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# Estimation of Ammonia Emissions over China Using IASI Satellite-Derived Surface Observations

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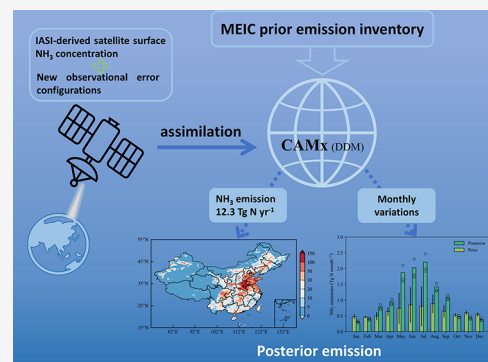
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**ABSTRACT:** An accurate ammonia ( $\text{NH}_3$ ) emission inventory is crucial for policymakers developing air pollution mitigation strategies. Both satellite observations and bottom-up estimates identify significant  $\text{NH}_3$  emission hotspots in China. However, bottom-up  $\text{NH}_3$  emission inventories are highly uncertain due to the lack of localized emission factors, while large and uncertain errors in IASI satellite  $\text{NH}_3$  columns have hindered their direct application in top-down emission inversion methods. In this study, we perform a top-down optimization of monthly  $\text{NH}_3$  emissions over China using IASI-derived surface  $\text{NH}_3$  concentrations with well-evaluated error estimates, combined with the CAMx model at a 36 km resolution. Our posterior  $\text{NH}_3$  emissions for 2020 ( $12.3 [10.9\text{--}13.6] \text{ Tg N yr}^{-1}$ ) are significantly higher than prior estimates from the MEIC inventory ( $7.6 \text{ Tg N yr}^{-1}$ ), which primarily underestimates emissions during the warm months in hotspot areas (e.g., NCP and MLYR). We employ multiple approaches to comprehensively evaluate our inversion results. Our study highlights that error estimates for low-value observations are a particularly critical factor in the inversion setup, significantly influencing the reliability of emission optimization.

**KEYWORDS:** ammonia, emissions over China, IASI satellite observations, emission optimization, agriculture



## 1. INTRODUCTION

Nitrogen is an indispensable element for crop growth and global food supply.<sup>1</sup> Since the early 20th century, the Haber-Bosch process has enabled the conversion of inert atmospheric nitrogen ( $\text{N}_2$ ) into ammonia ( $\text{NH}_3$ ) through reaction with hydrogen ( $\text{H}_2$ ). This breakthrough has significantly promoted the production of nitrogen synthetic fertilizers, resulting in a substantial increase in worldwide crop yields.<sup>2</sup> However, the widespread application of nitrogen fertilizers, coupled with reduced usage efficiency,<sup>3</sup> has led to the excessive loss of reactive nitrogen (Nr) to the environment, in the form of  $\text{NH}_3$ ,  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ ,  $\text{NO}_x$  ( $= \text{NO} \text{ \& } \text{NO}_2$ ), and  $\text{N}_2\text{O}$ . This phenomenon has contributed to serious environmental issues, such as  $\text{PM}_{2.5}$  (fine particulate matter with an aerodynamic diameter  $< 2.5 \mu\text{m}$ ) air pollution,<sup>4</sup> as well as soil acidification and water eutrophication due to excessive atmospheric nitrogen deposition.<sup>5</sup>

Accurate estimation of  $\text{NH}_3$  emissions is crucial for quantifying environmental impacts of Nr loss and assessing mitigation scenarios. Over the last two decades, numerous studies have focused on compiling  $\text{NH}_3$  emission inventories at regional and global scales, predominantly employing a bottom-up approach based on emission factors.<sup>6,7</sup> These studies consistently highlight agriculture activities, such as crop fertilization and application of livestock manure, as the predominant sources, contributing approximately 80% to the  $\text{NH}_3$  emissions.<sup>8,9</sup> In contrast, other sources, including

chemical industry, transportation, biomass burning, and waste disposal, make comparatively minor contributions, although they have shown notable growth in recent years.<sup>10,11</sup> China emerges as a global hotspot for  $\text{NH}_3$  emissions, primarily due to its intensive agricultural practices.<sup>12,13</sup> However, significant uncertainties persist in  $\text{NH}_3$  emission estimates for China, with variations exceeding a factor of 2 across different assessments, likely due to a lack of detailed emission factors on local scales.<sup>14,15</sup>

Inverse modeling provides a novel option for optimizing emissions by assimilating observational data sets, either from surface monitoring networks or satellite data.<sup>16–18</sup> This optimization (resulting in a *posteriori* or *top-down* emissions) adjusts existing emission inventories (*a priori* or *bottom-up* emissions) to reduce the mismatch between the observed and modeled concentrations driven by the prior emissions.<sup>19</sup>

Ground-based monitoring data provides relatively accurate local surface  $\text{NH}_3$  concentrations, but they may not adequately represent the more spatially averaged modeled concentrations. Recent advancements in satellite remote sensing, characterized

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by high spatial-temporal resolution, present a promising avenue for improving the quantification of the spatiotemporal patterns of  $\text{NH}_3$  emissions. This top-down method has been successfully employed in the United States, Europe, and globally using  $\text{NH}_3$  column density obtained from instruments such as the Tropospheric Emission Spectrometer (TES), Cross-track Infrared Sounder (CrIS), Atmospheric Infrared Sounder (AIRS), and Infrared Atmospheric Sounding Interferometer (IASI).<sup>17,18,20,21</sup> However, such inversion studies are limited in China. Notably, the top-down  $\text{NH}_3$  emission estimates by Zhang et al.,<sup>21</sup> based on the TES satellite, consistently exceeded the values reported by Paulot et al.,<sup>16</sup> who relied on monthly surface measurements of  $\text{NH}_4^+$  wet deposition fluxes. A more recent study showed an increasing trend in IASI-derived  $\text{NH}_3$  emissions in China from 2008 to 2019.<sup>21</sup> Furthermore, both the annual and monthly  $\text{NH}_3$  emissions exhibited varying patterns in previously compiled inventories.<sup>15</sup> Several factors critically affect the utility of satellite infrared (IR) instruments for  $\text{NH}_3$  column retrievals. The atmospheric  $\text{NH}_3$  abundance and the thermal contrast between the surface and the atmosphere determine how well lower atmospheric  $\text{NH}_3$  total column concentrations can be retrieved from the observed radiances. When both the  $\text{NH}_3$  concentration and thermal contrast are large, accurate  $\text{NH}_3$  column retrievals become feasible.<sup>23</sup>  $\text{NH}_3$  columns from IASI exhibit substantial uncertainty, ranging from 5% to over 1000%, with notably poorer performance during winter.<sup>24</sup> This significant uncertainty discourages the direct use of IASI columns in the optimization of  $\text{NH}_3$  emission estimates.

However, the  $\text{NH}_3$  surface concentrations derived from IASI columns provide a new perspective to optimize  $\text{NH}_3$  emissions. While this approach offers more observational data compared to using only sparse in situ measurements, uncertainties in this product remain unexplored. In this study, we aim to constrain monthly  $\text{NH}_3$  emissions in China for the year 2020 by integrating well-evaluated surface  $\text{NH}_3$  concentrations derived from the IASI satellite total columns<sup>25</sup> into the Comprehensive Air Quality Model (CAMx) with a 36 km grid resolution. In situ measurements from the National Nitrogen Deposition Monitoring Network (NNDMN)<sup>26</sup> are used to evaluate IASI-derived surface  $\text{NH}_3$  concentrations and to derive observational error estimates necessary for the inversion. Using a classical big-region inverse modeling technique, we derive monthly fluctuations in  $\text{NH}_3$  emissions and their associated errors across six regions in China.

## 2. METHODS

**2.1. IASI Satellite Observations.** The IASI instrument is a Fourier transform spectrometer installed on the polar solar-synchronous MetOp-A, -B, and -C meteorological satellites, each launched in different years.<sup>27</sup> MetOp-A has maintained operational stability since the end of 2006 and covers the entire globe twice a day at local times of 9:30 A.M. and P.M. with a nadir footprint of 12 km. The instrument measures infrared radiation in the spectral range of 645–2760  $\text{cm}^{-1}$ , emitted by the Earth's surface and the atmosphere. This includes absorption by  $\text{NH}_3$  near 950  $\text{cm}^{-1}$ , allowing for the retrieval of  $\text{NH}_3$  column concentrations.

Over the past years, several improvements have been made in  $\text{NH}_3$  column retrievals, which convert the observed spectral  $\text{NH}_3$  features into column concentrations. Initially, the brightness temperature differences (BTD) were used for the

retrieval.<sup>28</sup> Subsequently, hyperspectral radiation index (HRI) methods were introduced. Whitburn et al.<sup>29</sup> presented a retrieval algorithm based on HRI, followed by  $\text{NH}_3$  column conversion using a neural network. Later, a new data set was developed using an artificial neural network for IASI (ANNI) retrieval framework.<sup>24</sup> Currently, two IASI- $\text{NH}_3$  data sets are available: a near-real-time version, which directly utilizes meteorological input data from IASI,<sup>30</sup> and a reanalyzed data set (ANNI- $\text{NH}_3$ -v2.1R-I), where the retrieval relies on the European Centre for Medium-Range Weather Forecasts (ECMWF) climate reanalysis data.<sup>24</sup> The latter reanalyzed data set, referred to as ANNI- $\text{NH}_3$ -v3R-ERAS, maintains temporal coherence and is particularly suited for trend studies,<sup>31</sup> this is the data set used in the present study.

In this study, we exclusively used daytime IASI columns for the year 2020, as nighttime measurements tend to have larger relative errors due to the generally low thermal contrast.<sup>27</sup> The daily IASI  $\text{NH}_3$  column data were spatially mapped onto a 0.1 grid by calculating the arithmetic mean of a set of satellite  $\text{NH}_3$  columns within the same grid cell. Subsequently, the monthly average IASI column values were used to derive monthly mean  $\text{NH}_3$  surface concentrations. To convert the IASI columns into surface  $\text{NH}_3$  concentrations from, two key factors were considered: the modeled  $\text{NH}_3$  vertical profile and the surface  $\text{NH}_3$  concentration. A vertical profile for each grid cell was derived using Gaussian functions and layered  $\text{NH}_3$  concentration data from chemistry transport models (CTMs).<sup>25</sup> The IASI-derived  $\text{NH}_3$  surface concentrations, transformed into 24-h mean averages and interpolated to CAMx model grid resolution (Text S1), were used as observations in this study. Since errors in observations play a crucial role in the inversion process, the data product was carefully evaluated by comparing it to surface measurements—not only for spatiotemporal performance, as discussed in Section 3.1, but also by deriving separate relative and absolute errors, as outlined in Section 2.4.

**2.2. Ground  $\text{NH}_3$  Measurements.** The monthly surface  $\text{NH}_3$  measurements in the NNDMN are used to assess the satellite-derived surface  $\text{NH}_3$  concentrations and evaluate the posterior emissions. This monitoring network, operated by China Agricultural University, serves as a vital long-term platform for national-scale monitoring.<sup>32,33</sup> It consists of 47 monitoring sites distributed across major land-use types (Table S1), including urban, rural (cropland), and background regions (coastal, forest, and grasslands). The locations of the sites are shown in Figure S1. A few sites temporarily shut down due to COVID infection prevention measures. Surface  $\text{NH}_3$  concentrations were obtained using both DELTA (Denuder for Long-Term Atmospheric sampling) active sampling systems and  $\alpha$  (Adapted Low-cost Passive High Absorption) passive samplers, with a high level of consistency between the two systems ( $R^2 = 0.9$ ).<sup>33</sup>

**2.3. Model Simulations.** To relate  $\text{NH}_3$  emissions to  $\text{NH}_3$  concentrations in the atmosphere, a CTM model is required that describes the major pathways by which  $\text{NH}_3$  is transported and transformed. The Comprehensive Air Quality Model with decoupled direct method implemented (CAMx-DDM)<sup>34</sup> is used to perform simulations at a spatial resolution of 36 km  $\times$  36 km, with 20 vertical layers extending up to 20 km, covering the entire geographical extent of China within the East Asia domain. The main pathway of gas-phase  $\text{NH}_3$  scavenging is through aerosol-forming reactions with  $\text{H}_2\text{SO}_4$  and  $\text{HNO}_3$ , with these aerosols subsequently deposited into the terrestrial ecosystem by both dry and wet deposition. The model

employed the SAPRC99 chemical mechanism,<sup>35</sup> and a resistance model for dry deposition.<sup>36</sup> The wet deposition process is represented by an exponential function of the scavenging coefficients.<sup>37</sup> The meteorological fields used to drive simulations in this study are generated using the Weather Research & Forecasting Model (WRF).<sup>38</sup> The performance of the WRF-CAMx model in predicting key pollutants (e.g., sulfate, nitrate, ammonium, and aerosol precursor species) has been evaluated in previous studies.<sup>39,40</sup> The impact of meteorological factors and biases in the CAMx model on optimized emissions will be discussed in Section 3.4.

The anthropogenic NH<sub>3</sub> emission inventory used in this study for China was sourced from the Multiresolution Emission Inventory for Climate and Air Pollution Research<sup>41</sup> (MEIC v1.3) for the base year of 2020. This inventory provides gridded 2-D monthly data for NH<sub>3</sub>, as well as other gas and aerosol pollutant emissions, at a spatial resolution of 0.25°. Monthly NH<sub>3</sub> emissions were categorized into sectors, including agriculture, industry, residential, and transportation (Figure S2). For areas outside of China, the Regional Emission inventory in Asia (REAS v2.1)<sup>42</sup> emission inventory for the base year of 2008 was adopted. In this study, NH<sub>3</sub> emissions were considered to originate solely from anthropogenic activities, with natural sources excluded. Gridded 3-D hourly emission inputs required for the CAMx model spatial resolution were produced using the Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system.<sup>43</sup> Further details on the configuration of the CAMx model are provided in Text S2.

For the direct calculation of the sensitivities for a number of parameters simultaneously, the DDM module implemented in the CAMx framework is a computationally efficient method.<sup>44</sup> In this study, the DDM method is employed to simulate the sensitivity of NH<sub>3</sub> concentrations to NH<sub>3</sub> emissions from six distinct regions across China (Figure S1). The resulting hourly sensitivities were transformed into 24-h mean monthly averages, consistent with the observations.

**2.4. Inversion Algorithm.** Some data assimilation methods, such as four-dimensional variational (4D-Var), are constrained in application by the availability of an adjoint model and computational cost.<sup>45,46</sup> In this study, we select a relatively small number of state vector elements, which makes a traditional basic Bayesian “big region approach” feasible. We divide the entire Chinese domain into six regions and obtain monthly posterior emissions by applying a scaling factor to the prior emissions for each region. Given the limited number of state variables in the current setup (six emission scaling factors for each month), the inversion is computationally efficient and provides the posterior error covariance matrix (see below), which is used to quantify the uncertainty reduction of the prior emission estimates.<sup>47</sup> The monthly posterior emissions ( $\hat{E}$ ) for specific regions are derived by scaling the prior emissions ( $E_a$ ) using the following equation:

$$\hat{E} = \hat{x}E_a \quad (1)$$

The optimal state vector ( $\hat{x}$ ) for prior state vector ( $x_a$ ) is derived as

$$\hat{x} = x_a + (K^T S_O^{-1} K + S_a^{-1})^{-1} K^T S_O^{-1} (y - Kx_a) \quad (2)$$

In this context, the observations  $y$  represent the monthly IASI-derived surface NH<sub>3</sub> concentrations. The Jacobian matrix  $K$ , calculated using the DDM method, provides the sensitivity of

the simulated observations to the state variable.<sup>47</sup> The matrices  $S_O$  and  $S_a$  represent the error covariance for observations and for the monthly prior emissions respectively. Both matrices are assumed to be diagonal because we consider each element within them to be independent. Considering the errors on the prior emissions, larger uncertainties were adopted during the summer months when fertilizer and manure is applied. In an iterative procedure, we selected the monthly relative uncertainty on the prior emissions  $x_a$  such that  $\chi^2 = \frac{(\hat{x} - x_a)^2}{6S_a}$

equals 1, where  $x_a$  is the prior emission scaling factor ( $x_a = 1$ ) and  $\hat{x}$  is the posterior emission scaling factor. Statistically, this means that the posterior emissions remain within the prescribed error range of the prior emissions, while optimally utilizing the information from the observations.<sup>48</sup> Detailed information about  $\chi^2$  and the selected relative uncertainty on the prior emissions is provided in Text S3, S4 and Figure S3.

The errors associated with observations are concentration-dependent. In principle, a relative error is used. However, when only a relative error is configured, low-value observations, which dominate the possibility density function (PDF) pattern of observations (Figure S4), receive a small error that begins to dominate the cost function (Text S3). We found that, as a result, the optimal emissions would be underestimated. For this reason, we configured a concentration-dependent error (in  $\mu\text{g N m}^{-3}$ ) that consists of a 30% relative error and a 1  $\mu\text{g N m}^{-3}$  absolute error. This was done by analyzing the observational PDF, the cost function, and comparing it to the NNDMN in situ measurements. The derivation of  $S_O$  is detailed in Text S5 and Figure S5. To evaluate the impact of the error configurations on the optimized emissions, we performed different inversions with alternative error settings, as discussed in Section 3.4.

The inversion method provides the posterior error covariance matrix  $S_p$ :

$$S_p = (K^T S_O^{-1} K + S_a^{-1})^{-1} \quad (3)$$

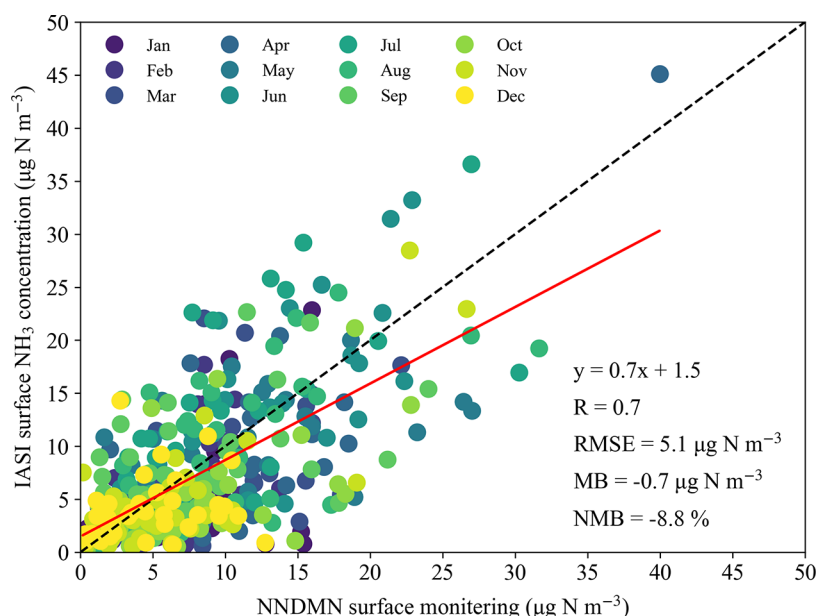
This estimate quantifies the reductions in errors of the prior emissions ( $S_a$ ) and it is used to calculate the posterior standard deviation ( $\sigma$ ) per month, per region and for the total annual emissions. Detailed information is provided in Text S6.

### 3. RESULTS

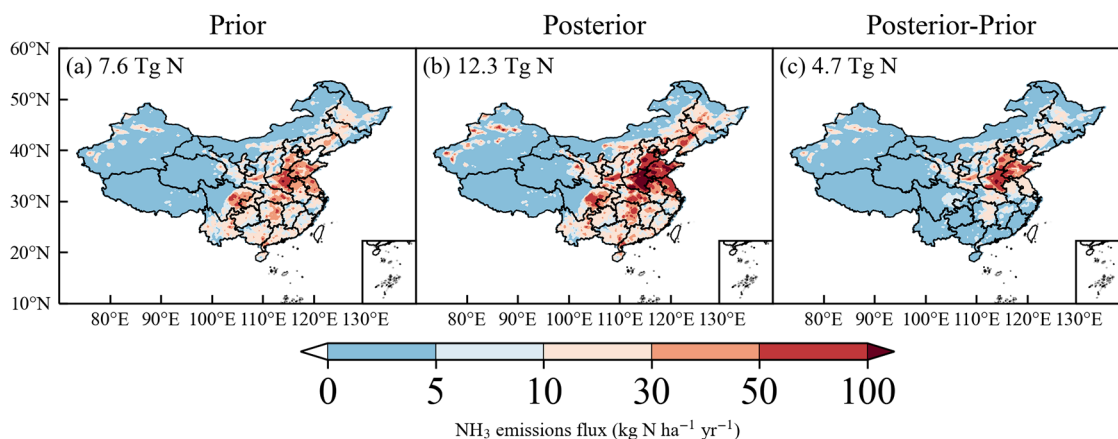
**3.1. IASI-Derived Surface NH<sub>3</sub> Concentration.** The annual mean NH<sub>3</sub> surface concentration over China in 2020, derived from IASI satellite observations, was 3.8 (range: 0.1 to 34.8  $\mu\text{g N m}^{-3}$ ) (Figure S6). The highest regionally averaged NH<sub>3</sub> concentrations were observed in the North China Plain (NCP), with a value of 16.5  $\mu\text{g N m}^{-3}$  (Figure S7). Additionally, high NH<sub>3</sub> concentrations were identified in the Middle and Lower Yangtze River (MLYR) region (regional mean of 7.4  $\mu\text{g N m}^{-3}$ ), and in the northwestern area of Xinjiang Province, which had a maximum value of 21.7  $\mu\text{g N m}^{-3}$ . The surface NH<sub>3</sub> concentrations in summer are significantly higher than those in winter (Figure S8). The highest average NH<sub>3</sub> concentration over China occurred in July (7.0  $\mu\text{g N m}^{-3}$ ) while the lowest was in January (2.5  $\mu\text{g N m}^{-3}$ ). This significant seasonal variation is consistent with the variations observed in total satellite columns from IASI (Figure S9), AIRS<sup>49</sup> and GIIRS.<sup>22</sup>

Before conducting the emission inversion, the IASI-derived surface NH<sub>3</sub> concentrations were assessed by comparing them





**Figure 1.** Comparison of the IASI-derived monthly  $\text{NH}_3$  surface concentrations and the NNDMN monthly average measurements for 2020.

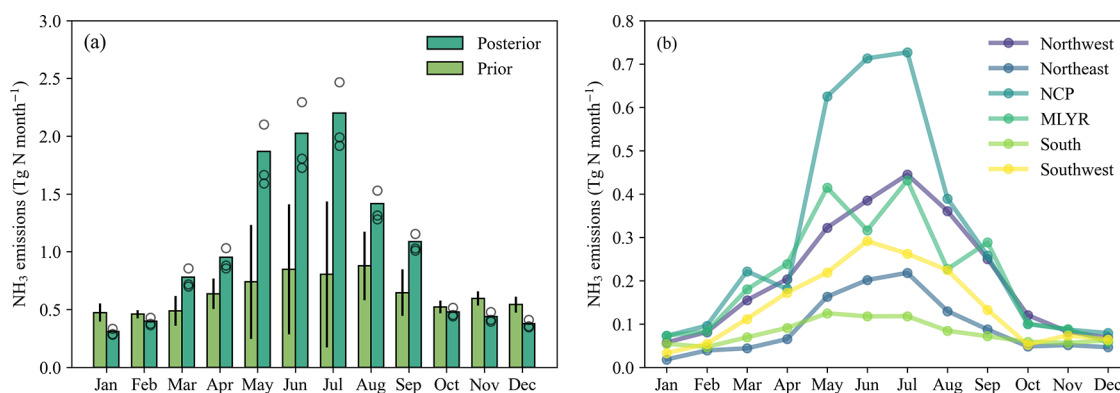


**Figure 2.** Spatial distributions of (a) the prior and (b) the posterior annual  $\text{NH}_3$  emissions over China in 2020, and of (c) the posterior minus prior emissions estimates.

with in situ measurements. The measured  $\text{NH}_3$  concentrations were generally well captured by IASI estimates, showing a correlation coefficient of 0.7 (Figure 1) and a normalized mean bias (NMB) of only  $-8.8\%$ . However, the IASI surface estimates notably underestimated  $\text{NH}_3$  concentrations in the Northeast (NE), South China (SC) and Southwest (SW) regions, with mean bias errors (MB) of  $-2.0$ ,  $-1.5$ , and  $-1.3 \mu\text{g N m}^{-3}$ , respectively (Figure S10). In contrast, there was strong agreement between IASI-derived surface  $\text{NH}_3$  concentrations and NNDMN measurements in the MLYR and NCP regions. We also assessed the IASI-derived  $\text{NH}_3$  estimates for the different seasons (Figure S11). The surface  $\text{NH}_3$  concentrations were poorly represented by the IASI-derived data in January, exhibiting an MB of  $-2.0 \mu\text{g N m}^{-3}$  and  $R = 0.4$ . This suggests potential underestimations in IASI-derived surface  $\text{NH}_3$  due to the limited  $\text{NH}_3$  abundance, lower temperatures and lower thermal contrast during the winter season. Moreover, errors in the model's vertical profile, and inaccuracies in the representation of the derived surface data may also contribute to the discrepancies.<sup>25</sup>

**3.2. Posterior  $\text{NH}_3$  Emissions.** Figure 2 shows the spatial distributions of the prior and posterior annual  $\text{NH}_3$  emissions and the differences between them. The posterior annual emission is  $12.3 \text{ Tg N yr}^{-1}$ , reflecting a substantial  $62\%$  increase compared to the prior estimate of  $7.6 \text{ Tg N yr}^{-1}$ . The posterior emissions generally show an increase across China. The most significant emission increases are observed in the NCP and Northwest (NW) regions, where the posterior  $\text{NH}_3$  emissions are more than twice those of the prior estimates. In contrast, the SC and SW regions show smaller increases, with increments of only  $17\%$  ( $0.14 \text{ Tg N yr}^{-1}$ ) and  $14\%$  ( $0.21 \text{ Tg N yr}^{-1}$ ), respectively (Figure S12). The SC region is characterized by a smaller agricultural production, but highly developed transportation infrastructure, a large population and intensive industrial activity.<sup>11</sup>

High  $\text{NH}_3$  emissions are consistently observed in the NCP and MLYR regions, and the Sichuan basin in both the prior and posterior estimates, with the largest differences between the two estimates also occurring in these regions. The NCP region, which includes the provinces of Beijing, Tianjin, Hebei, Henan, and Shandong, has the largest  $\text{NH}_3$  emissions in China,



**Figure 3.** Monthly variations in posterior NH<sub>3</sub> emissions for 2020 over (a) the whole of China and (b) six individual regions. The circles in panel (a) represent uncertainties in posterior emissions, derived from performing inversions with alternative observational error configurations, as will be discussed in Section 3.4.

with an optimized maximum emission intensity exceeding 100 kg N ha<sup>-1</sup> yr<sup>-1</sup>. In the posterior estimates, considerable emissions are also observed in the Sichuan basin, as well as in the NE and MLYR regions, surpassing the levels of the prior estimates and reaching values close to those of the NCP region. Although our posterior emissions represent total amounts, the pattern of high-emission regions aligns with areas characterized by significant agricultural activities, as inferred from the geographic distribution of cropland in 2020 (Figure S13) and livestock production.<sup>50,51</sup> This suggests that agricultural emissions may have been underestimated in the MEIC. Additionally, local underestimations may result from sources with relatively minor regional contributions but high local emission densities, such as industry and residential, as indicated by the spatial distribution in the prior emissions (Figure S2).

**3.3. Monthly Variations.** The posterior NH<sub>3</sub> emissions exhibit distinct seasonal variations, as shown in Figure 3a. The total emissions during the summer months (May–July) were 6.1 Tg N, approximately five times higher than those in the winter months (1.1 Tg N, November–February). The optimized NH<sub>3</sub> emissions display an ascending trend starting in January, peaking in July, and gradually declining thereafter. This seasonal pattern aligns with the findings from recent inversion studies, which contrast with existing bottom-up studies that report peak values in June and August (Figures S14 and S15).

Due to its pronounced seasonality and status as the largest contributor to NH<sub>3</sub> emissions, agriculture has emerged as the most important factor influencing monthly variations in NH<sub>3</sub> emissions compared to other sectors, such as residential, transportation, and industry. These latter sectors make only minor contributions to the total emissions and show relatively constant year-round emissions (Figure S16). Monthly fluctuations in agricultural emissions are influenced by a combination of farming practices (including timings of cultivation and fertilization) and environmental factors, such as temperature, soil properties, and wind speed. Agricultural sources primarily include livestock waste and cropland. Monthly variations in livestock emissions are mainly attributed to meteorological factors, such as higher temperatures in summer, because there are minimal fluctuations in livestock populations across different months.<sup>8,52</sup> In the case of cropland emissions, monthly variations are primarily driven by specific fertilization practices tailored to different crops, which coincide

with environmental factors. In most regions of China, various crops are sowed with base fertilization in April, followed by additional top dressing in the subsequent 1–2 months.<sup>8</sup> A significant proportion (approximately 70%) of nitrogen fertilizer is applied through injection and broadcast methods from April to August.<sup>21</sup> This, coupled with the increasing air temperature,<sup>52</sup> leads to emissions during the warmer months (April–September).

The monthly variations in NH<sub>3</sub> emissions across six regions are shown in Figures 3b and S17. The posterior emissions reveal varied seasonal fluctuations in the six regions, primarily attributed to planting patterns (including seeding and fertilization timing for multiple crop categories) and differing environmental conditions across China, from north to south. A clear peak in July is observed in the NCP region, which is attributed to the prevalent winter wheat-summer maize rotation system, a distinctive farming practice in this region.<sup>53</sup> Moreover, the alkaline soil properties in northern China can further enhance temperature-dependent NH<sub>3</sub> volatilization.<sup>54</sup> The NW region covers a vast area, and a large fraction of this region has low grain production due to its arid climate. However, the northwestern part of Xinjiang province is notable for its intensive livestock farming. The MLYR and SW regions are characterized by widespread paddy rice and vegetable cultivation, with sowing beginning in March–April.<sup>55,56</sup> The higher NH<sub>3</sub> volatilization rates in paddy fields (14.8%) compared to upland soils (11.8%) may be a key driver contributing to the larger monthly emissions observed in the MLYR regions compared to dry areas.<sup>55,57</sup> In contrast, the SC region does not exhibit a prominent summer peak in NH<sub>3</sub> emissions because of urbanization and limited agricultural activity. Both nonagricultural and agricultural sources are important contributors to NH<sub>3</sub> in the urban atmosphere.<sup>8,58</sup>

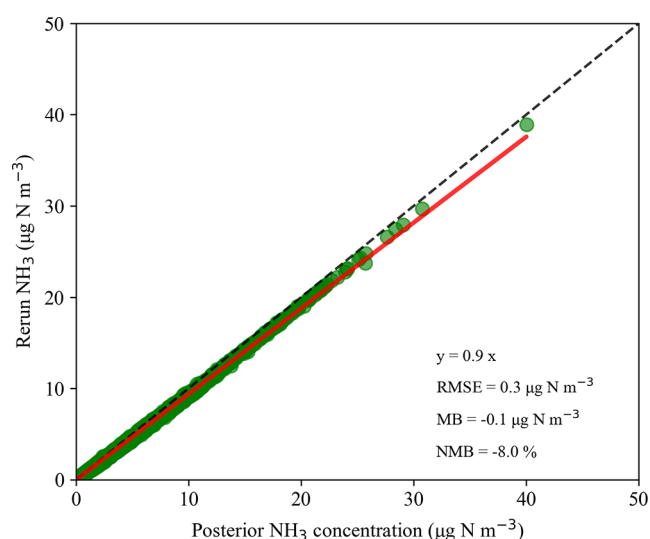
### 3.4. Validation of the Optimized Emission Estimates.

We use five approaches to comprehensively evaluate the optimal emission estimates obtained from the 12 monthly inversions. First, we assess the impact of error configuration on the posterior emissions and cost function. The posterior emissions do not change significantly with different choices of error characteristics for prior emissions (Figures S18 and S19), which can be attributed to the small weight of the background component in the total cost function (Text S3). However, the optimal estimates are more sensitive to the choice of observational errors (Figure S20) when we perform the inversion program with different combinations of relative and

absolute errors. Low concentrations ( $< 1 \mu\text{g N m}^{-3}$ ) dominate the observational data set across China, as shown by the probability density function (PDF) of observations (Figure S4). If a small error is assigned to these low-concentration observations, they would have a disproportionately large weight in the cost function (Text S3), leading to an underestimation of emissions. This highlights the importance of absolute error, as errors in low concentrations are primarily influenced by this component. A proper error setting for small observations can be determined by analyzing the observational component of the posterior  $\chi^2$  (Text S4). Ideally, concentrations modeled with the posterior emissions ( $K\hat{x}$ ) should exhibit zero bias and normally distributed deviations from the observations ( $y$ ), with a mean  $\sigma$  value close to the prescribed observational error. Following this principle, an absolute error of  $0.5\text{--}1.0 \mu\text{g N m}^{-3}$ , combined with a 30% relative error, proves to be a reasonable choice in our study, as shown in Figures S21 and S22. In general, the outliers in Figure S21 are negative, indicating that the model overestimates several high observations. Simply put, an excessively large error for small observations places more weight on high observations, leading to higher optimal emission estimates. Conversely, smaller errors for low observations result in lower optimal emission estimates.

Second, the error reductions are evaluated (Text S6). The posterior standard deviations of the monthly, regional and annual total emissions show a significant reduction compared to the prescribed errors in the prior emissions (Tables S3 and S4). The prior uncertainty of  $0.6 \text{ Tg N}$  in July declines to  $0.1 \text{ Tg N}$  in the posterior emissions. When aggregating the error for the annual total  $\text{NH}_3$  emissions in China, the posterior error appears unrealistically low at  $0.1 \text{ Tg N yr}^{-1}$ . This suggests that the large number of observations, combined with a relatively small number of unknown scaling factors that are optimized, results in a well-constrained system. We believe that a realistic error in the optimized emissions is likely much larger because: (1) our results are sensitive to the error settings: when we vary these errors within a realistic range, the yearly total optimized emissions fall within the range of  $10.9\text{--}13.6 \text{ Tg N yr}^{-1}$  (Figure S20); (2) there may be errors in model parameters that link emissions to atmospheric observations, including uncertainties in the chemistry and deposition of  $\text{NH}_x$ ; (3) the observations used in this study have large uncertainties and generally underestimate the surface concentrations from the NNDMN network in winter (Section 3.1 and Figure S11). After correcting this for the winter bias, we find that the optimized winter emissions increase by approximately  $0.5 \text{ Tg}$  (Figure S23).

The third evaluation concerns the inversion algorithm outlined in Text S4. The posterior cost function decreases compared to the prior one (Figure S19). By validating the predicted posterior  $\text{NH}_3$  concentrations match to IASI-derived surface observations, the gap is noticeably reduced (Figure S24). It is important to note that the optimized emissions were derived under the assumption of a linear relationship between emissions and atmospheric surface concentration, by  $Kx$  in the inversion. However, this relationship is likely not linear due to nonlinear chemistry processes. To assess the effect of these nonlinearities, we compared the posterior concentrations ( $K\hat{x}$ , referred to as the linear model) to the CAMx rerun simulations ( $K(\hat{x})$ , referred to as the nonlinear model). Figure 4 illustrates a strong correlation between the linear model and the nonlinear CAMx model. Overall, the nonlinearities in the

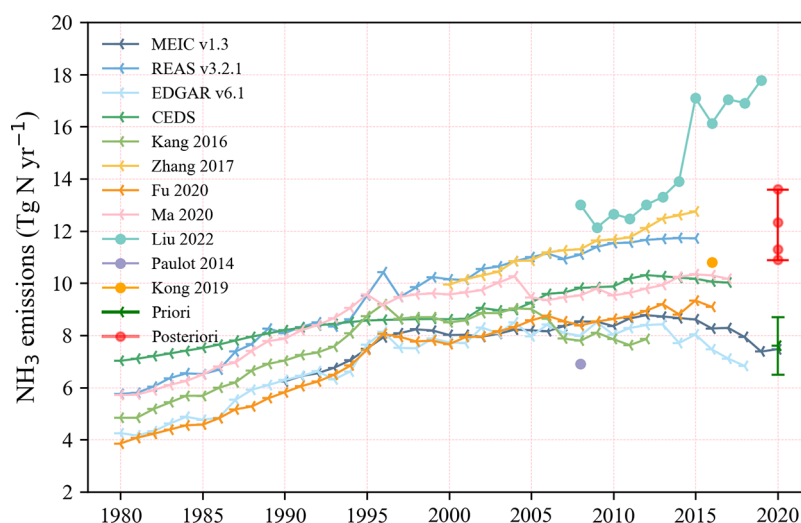


**Figure 4.** Comparison of gridded  $\text{NH}_3$  concentrations over China simulated by model rerun  $K(\hat{x})$  and the posterior estimates  $K\hat{x}$ .

emission–concentration relationship result in slightly lower  $\text{NH}_3$  concentrations compared to those predicted by the linear model. One could argue that accounting for the nonlinear emission–concentration relationship would require slightly larger positive emission increments than those obtained from the linear inversion.

Fourth, the spatial distribution and seasonal variations in the posterior emissions are evaluated by comparing simulations to in situ  $\text{NH}_x$  ( $\equiv \text{NH}_3 + \text{NH}_4^+$ ) measurements, which have been suggested to be a more robust indicator of model performance than  $\text{NH}_3$  concentrations alone.<sup>59</sup> The spatial distribution is assessed by comparing annual average  $\text{NH}_x$  per site, as shown in panels (a) and (b) of Figure S25. The annual average  $\text{NH}_x$  in the prior run is generally underestimated and shows only a small correlation with measurements ( $\text{MB} = -8.2 \mu\text{g N m}^{-3}$ , slope = 0.2). The fit is significantly improved in the rerun with the posterior emission. Next, the temporal distribution is evaluated using the same set of measurements but on a monthly average basis, as shown in panels (c) and (d) of Figure S25. The modeled monthly  $\text{NH}_x$  concentrations in the prior simulations show a clear underestimate and a large discrepancy compared to the measurements ( $R = 0.4$ , slope = 0.2). The rerun with the posterior emissions leads to a substantial improvement, with a higher correlation ( $R = 0.6$ , slope = 0.5) and smaller biases. While emissions play a crucial role, measurement uncertainties also contribute to discrepancies between measured and predicted  $\text{NH}_x$ . Systematic biases in sampling and analytical procedures may affect observed concentrations. Additionally, spatial resolution is a key factor:<sup>60</sup> surface measurements reflect local conditions, whereas model simulations represent grid-cell averages, making direct comparisons inherently challenging. This issue is particularly significant for  $\text{NH}_3$ , which has a short atmospheric lifetime and undergoes rapid scavenging processes. Furthermore, uncertainties in the modeling of chemical and physical processes, such as gas–aerosol partitioning and deposition, can substantially influence  $\text{NH}_x$  predictions. We select  $\text{NH}_x$  as an evaluation metric to mitigate uncertainties in gas–aerosol partitioning; however, limitations in the model’s deposition parametrization may still bias the comparisons. For instance,





**Figure 5.** Comparison of  $\text{NH}_3$  emissions in China from published results and data sets (Tables S5 and S6). Note that the posterior error is taken as the range from inversions (red circles) with different error settings on the observations. Studies represented by circles indicate  $\text{NH}_3$  emissions estimated using the inversion model method.

overestimating deposition velocity would artificially reduce simulated  $\text{NH}_x$  concentrations.

Finally, we conducted a comparative assessment with several existing studies to evaluate our posterior  $\text{NH}_3$  estimates in terms of magnitude, spatial distribution, and seasonal variations. Our optimized  $\text{NH}_3$  emissions, obtained through the assimilation of IASI-derived surface  $\text{NH}_3$  concentrations data in China for the year 2020, increased from  $7.6 \pm 1.1 \text{ Tg N yr}^{-1}$  to  $12.3$  ( $10.9\text{--}13.6$ )  $\text{Tg N yr}^{-1}$ , representing a 78% increase compared to the  $6.9 \text{ Tg N yr}^{-1}$  estimate from Paulot et al.<sup>16</sup> and a significant increase relative to most bottom-up estimates (Figure 5). However, the optimized emissions are 45% lower than those of Liu et al.<sup>22</sup> ( $17.8 \text{ Tg N yr}^{-1}$  in 2019), who used a mass balance method based on the same observations as those used in our study<sup>22</sup>. Our optimized emissions are roughly consistent with the estimates of Kong et al.<sup>61</sup> ( $10.8 \text{ Tg N yr}^{-1}$  in 2016), who used an Ensemble Kalman Filter (EnKF) method combined with monthly  $\text{NH}_3$  concentrations from a surface measurement network. These disparities are mainly attributed to the approach used to estimate  $\text{NH}_3$  emissions, variations in the observation data sets used for inversion and differences in the base years. The spatial distributions of recently developed bottom-up  $\text{NH}_3$  emission data sets are shown in Figure S26, based on the up-to-date available year. Our estimates are generally aligned with the REAS estimates in hotspot regions but show notable discrepancies with EDGAR and CEDS. Most of the bottom-up inventories consistently report much lower  $\text{NH}_3$  emissions than our optimized estimates in NCP and MLYR regions. A comparison of the monthly variations between our posterior  $\text{NH}_3$  emissions and previous estimates is presented in Figures S14 and S15. The monthly  $\text{NH}_3$  emission patterns vary considerably among inventories. Although our optimized emissions align with bottom-up estimates during the winter months, they are significantly higher in summer, with a noticeable peak in July. This divergence reflects the substantial uncertainty in summer  $\text{NH}_3$  emissions, which is associated with the complexity of agricultural practices at fine temporal resolutions and the substantial influence of environmental conditions on emission factors.

We performed inversions with different error settings and found that the inversion solution is highly sensitive to these settings, particularly for observations with low concentrations. An ill-defined error for low-value observations can easily lead to erroneous emission estimates. In our final approach to selecting observational errors, we determined that a combination of a 30% relative error and an absolute error of  $0.5\text{--}1 \mu\text{g N m}^{-3}$  is consistent with surface monitoring network data and results in realistic inversion outcomes. Additionally, we focused on the linearization problem of the inverse, the calculation of error reduction in the posterior, comparisons with surface measurements, and previous studies.

While the “big-region” approach provides useful emission estimates, biases in the model inputs and equations play a crucial role in the inversion process by strongly influencing  $\text{NH}_x$  prediction through transport, and dispersion, chemical interactions. First, WRF-simulated meteorological fields were evaluated using hourly surface measurements (Figures S27–30). Overall, WRF successfully replicates observed meteorological conditions. However, WRF generally underestimates wind speed, potentially leading to higher predicted  $\text{NH}_3$  concentrations and subsequently underestimated emissions. Conversely, the underestimated temperature over region “South”, which enhances  $\text{NH}_3$  partitioning into aerosols in the model, would result in overestimated optimized emissions. Additionally, higher modeled precipitation would lead to overestimated emissions due to more deposition and smaller  $\text{NH}_3$  atmospheric concentrations.

Second, biases in acid gas emissions can also affect  $\text{NH}_3$  predictions. For instance, gas-phase  $\text{NH}_3$  is often underpredicted when sulfate is overestimated,<sup>62</sup> reducing  $\text{NH}_3$  sensitivity to emissions and leading to overpredicted optimized emissions. Third, uncertainties in model numeric, gas-aerosol partitioning, and deposition velocity further influence optimized emissions. Balasubramanian (2020) summarized CAMx model evaluations from previous studies, which showed consistent underpredictions of  $\text{NH}_3$  concentrations. One possible reason is the overestimated  $\text{NH}_3$  deposition, as CAMx currently does not model bidirectional  $\text{NH}_3$  exchange, which takes canopy compensation points into account to predict ambient  $\text{NH}_3$  concentrations. The introduction of



bidirectional exchange in the LOTOS-EUROS model increased  $\text{NH}_3$  concentration predictions by 30–40% due to the longer  $\text{NH}_3$  lifetime.<sup>63</sup> In contrast, higher partitioning to the aerosol phase reduces  $\text{NH}_3$  atmospheric concentrations, potentially leading to an overestimation of inverted emissions.

To mitigate these biases and to improve inversion estimates, future work could: (1) project the native-resolution state vector onto several representative states using alternative techniques, such as the Gaussian Mixture Model (GMM) or reduced-dimension/rank inversions.<sup>19,64</sup> These methods could improve the spatial distribution of emissions. (2) directly integrate multi-satellite  $\text{NH}_3$  observations (e.g., from CrIS and GIIRS) into CTM.<sup>17,65</sup> This could offer a promising avenue for improving the spatial-temporal resolution and reducing biases. (3) conduct multi-year simulations to analyze interannual variability driven by meteorology and its impact on optimized  $\text{NH}_3$  emissions, providing a more comprehensive assessment.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.4c10878>.

Description of observational data, model configuration, cost function, inversion evaluation and error estimates. Evaluation of observations. Quantify observational errors. Validation of posterior emissions. Sites of NNDMN. Error estimation by month and region. Comparison with previous studies (PDF)

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### Author Contributions

W.X. and M.K. designed the study. J.C. performed the data analysis and prepared the figures and tables. J.C., M.K., and W.X. wrote the manuscript. X.D. helped to perform the CAMx model. All authors were involved in the discussion and the revision of the manuscript.

### Notes

The authors declare no competing financial interest.

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