



Elucidating the Risk of Colorectal Cancer for Variants in Hereditary Colorectal Cancer Genes

An important subset of colorectal cancer (CRC) is caused by rare pathogenic variants in more than 20 high-risk genes.^{1–3} The National Comprehensive Cancer Network clinical practice guidelines (2022) recommend that physicians consider multigene panel testing for these high-risk genes in all newly diagnosed CRC patients^{2,3} to identify carriers of pathogenic variants and promote testing of family members who may also be carriers and would benefit from increased screening for CRC prevention. However, clinical challenges remain, such as (1) understanding the risk of CRC associated with individual variants within high-risk genes and (2) for recessively inherited CRC genes, where both alleles of the gene are defective (biallelic) because of the same pathogenic variant (homozygous carriers) or 2 different pathogenic variants (compound heterozygote carriers), understanding if CRC risk is increased if only 1 pathogenic variant is present (monoallelic carriers). Research addressing these challenges will improve clinical actionability regarding the intensity of screening and surveillance for carriers.

We combined genetic data from 58,998 CRC-affected individuals and 71,171 control individuals of European ancestry from 3 major CRC consortia, namely, the Genetics and Epidemiology of Colorectal Cancer Consortium (GECCO), the Colorectal Cancer Transdisciplinary Study (CORECT), and the Colon Cancer Family Registry (CCFR)¹ (Supplementary Table 1). To enable analysis of rare genetic variants in this dataset, we used the largest available imputation panel based on whole-genome sequencing data from 97,256 samples in the National Heart, Lung, and Blood Institute Trans-Omics for Precision Medicine (TOPMed) study⁴ to impute variants into genome-wide array data for CRC-affected case and control individuals. We examined the association of variants with a minor allele frequency (MAF) of <0.001 in 22 moderate- to high-penetrance CRC genes.² For the recessive CRC genes *MUTYH*, *NTHL1*, *MSH3*, and *MBD4*, we assessed the risk of CRC associated with biallelic or monoallelic carriers. If different variants within a gene increase CRC risk, testing all variants simultaneously can be more powerful; therefore, we conducted gene-based tests using the set-based Mixed-Effects Score Test (MiST).⁵ Detailed methods are provided in the Supplementary Material.

We investigated the association of single variants with CRC by modeling the variants as a log-additive effect, which is a more general model. Two significant variants were identified, the *APC* c.3920T>A:p.Ile1307Lys variant (odds ratio [OR], 1.82; $P = 1.62 \times 10^{-14}$), which is more common in the Ashkenazi Jewish population,⁶ and the c.1187G>A:p.Gly396Asp pathogenic variant in *MUTYH* (OR, 1.28; $P = 2.17 \times 10^{-5}$) (Table 1). Overall, 24 variants in 11 of 22 high-risk genes had a P value of <.01, but aside from the 2 variants in *APC* and *MUTYH*, none surpassed multiple comparison correction (Supplementary Table 2). Applying a recessive model for genes known to act recessive demonstrated that the recessive model was a better fit for *MUTYH* because the ORs were larger and

P values were lower than for the log-additive model. Biallelic carriers of *MUTYH* c.1187G>A:p.Gly396Asp (OR, 32.1; $P = 1.41 \times 10^{-6}$) or c.536A>G:p.Tyr179Cys (OR, 16.1; $P = 0.02$) and compound heterozygote carriers of these 2 variants had a substantially increased CRC risk (OR, 58.03; $P = 4.2 \times 10^{-4}$) (Table 1). Monoallelic carriers of either one of these 2 pathogenic variants in *MUTYH* did not demonstrate an increased risk of CRC. Furthermore, we investigated the association between monoallelic *MUTYH* carriers and CRC risk stratified by the presence or absence of 1 or more first-degree relatives with CRC. There was no evidence that monoallelic *MUTYH* carriers had an increased risk of CRC regardless of a family history of CRC (Supplementary Table 3). See the Supplementary Material for an analysis of monoallelic carriers (Supplementary Table 5) in additional recessive genes and candidate pathway genes (Supplementary Table 6).

Previous studies based on substantially smaller sample sizes have reported an increased risk of CRC for monoallelic carriers of *MUTYH* pathogenic variants, especially in the presence of a first-degree relative with CRC,⁷ although the effect size was small. Accordingly, our study provides strong evidence that biallelic, but not monoallelic, inactivation of the *MUTYH* gene predisposes to CRC. This is further supported by observations that COSMIC tumor mutational signatures (SBS18/SBS36), indicative of defective base excision repair, are present only in biallelic *MUTYH* pathogenic variants carriers but not in CRC tumors of monoallelic *MUTYH* pathogenic variant carriers.⁸ Similarly, monoallelic carriers of either of the 2 common pathogenic variants in *NTHL1*, c.268C>T:p.Gln90Ter or c.859C>T:p.Gln287Ter, were not associated with an increased risk of CRC. Our finding confirms a recent analysis of 5942 individuals with CRC or unexplained polyposis that showed no evidence of an increased risk of CRC in monoallelic *NTHL1* loss of function variant carriers and the absence of SBS30 mutational signature unique to biallelic inactivation of *NTHL1*.⁹

When we tested the association with CRC risk for all predicted pathogenic variants within a gene using gene-based MiST testing, we found that the combined burden of rare predicted pathogenic variants in the *APC* ($P = .0007$), *MSH3* ($P = .0216$), and *MLH1* ($P = .0362$) genes increased CRC risk, but these associations were driven by a single rare

Abbreviations used in this paper: CADD, Combined Annotation Dependent Depletion; CRC, colorectal cancer; gnomAD, Genome Aggregation Database; MAF, minor allele frequency; MiST, Mixed-Effects Score Test; OR, odds ratio; REVEL, Rare Exome Variant Ensemble Learner; SNP, single nucleotide polymorphism; TOPMed, Trans-Omics for Precision Medicine.

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Table 1. Imputed Germline Variants in High-Risk CRC Genes and the Association With CRC Risk Based on Individual Variant Level Analysis, Recessive Model Analysis of the 2 Common Pathogenic Variants in the *MUTYH* Gene, and the Set-Based MiST Gene Burden Analysis

Individual variant-level analysis						
Gene	Variant	gnomAD NFE frequency	Imputation accuracy	Case/control individuals	OR (95% CI)	P value
<i>APC</i>	c.3920T>A, p.Ile1307Lys (rs1801155)	6.4×10^{-4} (AJ, 0.036)	0.948	519/306	1.82 (1.56–2.12)	1.62×10^{-14a}
<i>MUTYH^b</i>	c.1187G>A, p.Gly396Asp (rs36053993)	5.4×10^{-3}	0.995	747/769	1.28 (1.15–1.42)	2.17×10^{-5a}
<i>MUTYH^b</i>	c.536A>G, Tyr179Cys (rs34612342)	2.3×10^{-3}	0.872	232/239	1.35 (1.11–1.67)	3.5×10^{-3}
<i>NTHL1</i>	c.268C>T p.Gln90Ter (rs150766139)	1.8×10^{-3}	0.758	195/224	1.11 (0.89–1.39)	0.54
<i>NTHL1</i>	c.859C>T p.Gln287Ter (rs146347092)	3.6×10^{-4}	0.558	15/29	0.43 (0.18–0.90)	0.6

Recessive analysis								
rs34612342 (c.536A>G, Tyr179Cys or Y179C)								
		TT		TC		CC		
		Case/control individuals	OR (95% CI)	Case/control individuals	OR (95% CI)	Case/control individuals	OR (95% CI)	
<i>MUTYH</i>	rs36053993 (c.1187G>A, Gly396Asp or G396D)	CC	58,049/70,475	1.00 (Reference)	194/237	1.13 (0.91–1.40)	8/1	16.1 (1.42–181.72)
		CT	665/766	1.09 (0.98–1.22)	30/1	58.03 (6.1–552.8)	0/0	—
		TT	52/2	32.1 (7.8–131.5)	0/0	—	0/0	—

Gene set-based MiST analysis					
Gene	Number of variants	Lead variant	OR (95% CI)	MiST P value	MiST P value ^c (minus lead variant)
<i>APC</i>	15	c.3920T>A, p.Ile1307Lys (rs1801155)	1.82 (1.56–2.12)	0.0007	.85
<i>MLH1</i>	7	c.1321G>A, p.Ala441Thr (rs63750365)	1.55 (1.02–2.37)	0.0362	.16
<i>MSH3^d</i>	12	c.2262A>G, p.Ile754Met (rs200819607)	0.406 (0.184–0.897)	0.0216	.21

NOTE. The lead variant is the most associated variant at the locus. The reference single-nucleotide polymorphism cluster ID is based on the National Center for Biotechnology Information dbSNP Build 150. Alleles are on the positive strand. P values are based on fixed-effects inverse variance-weighted meta-analysis. For recessive analysis, OR is the OR estimate for the risk allele. P values reported in this section are based on pooled data analysis. Estimates were adjusted for age, sex, and genome-wide association study genotyping platform. For set-based MiST analysis, genetic variants in each gene were restricted to missense, stop-gained, frameshift, and splice site variants with a minor allele count of >10, an MAF of <5%, and an imputation R² of >0.3. CADD and REVEL prediction scores were included as continuous functional weights: 1 if CADD > 20 or REVEL > 0.5.

AJ, Ashkenazi Jewish gnomAD frequency; CI, confidence interval; NFE, non-Finnish European gnomAD frequency.

^aStatistically significant P value based on Bonferroni correction to account for multiple comparisons.

^bThe number of carriers among case or control individuals for each of these 2 pathogenic variants includes counts of all carriers regardless of whether they occur as heterozygous/monoallelic, compound heterozygous, or homozygous/biallelic carriers.

^cIndicates MiST P value excluding the lead variant.

^dGenes inherited in an autosomal recessive pattern.

variant per gene. Exclusion of each of these lead variants resulted in a diminished and nonsignificant signal (Table 1).

Imputation to TOPMed allowed us to test a substantially larger number of genetic variants at higher imputation quality than our previous efforts using the Haplotype Reference Consortium¹ (26.4 million vs 14.8 million single-nucleotide polymorphisms with MAFs between 0.1% and 1%) (Supplementary Table 4). We note that although sequencing provides the best quality, it has been shown that imputation of rare variants is more reliable than direct genotyping using arrays.¹⁰ Nevertheless, the TOPMed imputation remains limited for variants with MAFs as low as ~0.01%, and large-scale whole-genome sequencing studies are required to identify those ultrarare genetic variants. Following the lead where this has been successful in cardiometabolic and lung diseases via the TOPMed program, dedicated funding is required to enable a well-powered discovery effort for cancer. The study population is limited to individuals of European descent and needs to be expanded to include other ancestry populations.

This well-powered study provides strong evidence that monoallelic pathogenic variant carriers in *MUTYH*, regardless of family history of CRC, as well as other recessive-acting genes, such as *NTHL1*, *MSH3*, and *MBD4* do not have an increased CRC risk. Accordingly, intensified surveillance of monoallelic carriers of these recessive genes, which have a relatively high population frequency close to 1%, is not warranted.

Supplementary Material

Note: To access the supplementary material accompanying this article, visit the online version of *Gastroenterology* at www.gastrojournal.org, and at <https://doi.org/10.1053/j.gastro.2023.06.032>.

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Conflicts of interest

These authors disclose the following: After the completion of the submitted work, Xiaoliang Wang has been employed by Flatiron Health, Inc, and reports being a stockholder in Roche. After the completion of the submitted work, Stephanie A. Bien is employed by and has stocks in Adaptive Biotechnologies. Heather Hampel has served on the scientific advisory board for Invitae Genetics, Genome Medical, and Promega and holds stock/stock options in Genome Medical and GI OnDemand. Anshul Kundaje has been a paid consultant with Illumina Inc, served on the scientific advisory board of Open Targets (GSK), and is the scientific cofounder of Ravel Biotechnology Inc. Victor Moreno reports research projects with Aniling. Rish K. Pai has received consulting fees from Alimientiv Inc, Allergan, and Verily. Zsafia K. Stadler's immediate family member serves as a consultant for Alcon, Adverum, Neurogene, Gyroscope, and RegenexBio. Stephen B. Gruber is the cofounder of Brogent International LLC, unrelated to present work. The remaining authors disclose no conflicts.

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Supplementary Material

Study Population

We combined studies from 3 consortia: the Genetics and Epidemiology of Colorectal Cancer Consortium (GECCO), the Colorectal Cancer Transdisciplinary Study (CORECT), and the Colon Cancer Family Registry (CCFR). Most studies have been described in detail previously,¹⁻⁴ and additional studies not previously included are described in detail here. After quality control, we included 58,920 CRC or advanced adenoma case individuals and 71,171 control individuals of European ancestry. We confirmed genetic ancestry by principal component analysis^{5,6} on a shared, linkage disequilibrium-pruned set of autosomal single-nucleotide variants and comparison with 1092 individuals from the 1000 Genomes Project.⁷ [Supplementary Table 1](#) provides details on the sample numbers and demographic characteristics of the study participants. All participants provided written informed consent, and each study was approved by the relevant research ethics committee or institutional review board.

Genome-Wide Association Study Genotype Data and Imputation

Details of genotyping and quality control for studies included are described elsewhere,¹⁻⁴ and samples not previously included underwent quality control analysis using standardized methods as detailed in Laurie et al.⁵ The genotyping data were imputed to the National Heart, Lung, and Blood Institute TOPMed Consortium version r1 panel using the University of Michigan Imputation Server.⁸ The TOPMed panel comprises whole-genome sequencing data from 97,256 samples and 308,107,085 genetic variants. To improve imputation accuracy and phasing, imputation was performed after pooling studies or genotyping projects that used the same or very similar genotyping platforms ([Supplementary Table 1](#)).

Statistical Analysis

We performed a genome-wide association analysis of individual variants with CRC risk using the score test under the log-additive logistic regression model, adjusting for sex, age, and 20 principal components to account for population substructure. Stratifying by genotyping platform, we calculated the score statistic and corresponding variance for each variant. We then combined the platform-specific results by summing score statistics U and variance V and obtained the study-wide P value based on the score test U/\sqrt{V} .⁹

In addition to genome-wide analysis, we examined the association of variants (MAF < 1%) in 22 moderate- to high-penetrance genes that were previously reported to be established or with suggestive evidence for association with CRC risk.¹⁰ This set of genes includes 16 consensus hereditary CRC and polyposis risk genes (mismatch repair genes) (*MLH1*, *MSH2*, *MSH6*, *PMS2*, *EPCAM*, *APC*, *BLM*, *BMPR1A*, *GREM1*, *MUTYH*, *NTHL1*, *POLD1*, *POLE*, *PTEN*, *SMAD4*, and *STK11*) and 6 genes with accumulating

evidence for association with CRC and/or polyposis predisposition (*AXIN2*, *MSH3*, *MLH3*, *RNF43*, *MBD4*, and *RPS20*).¹⁰⁻¹⁴

In addition to the log-additive association test, we assessed the association analysis under a recessive model for *MUTYH* because this gene is known to have a recessive mode of inheritance.¹¹ In addition, we examined the association of biallelic carriers (compound heterozygotes and homozygous carriers) for pairwise variants within *MUTYH*, *NTHL1*, *MSH3*, and *MBD4* genes. Because the frequency of biallelic carriers is rare, we pooled individual-level data from all samples for the analysis and ran the logistic regression model adjusting for age, sex, and genotyping platform rather than performing a meta-analysis.

Finally, we performed aggregate tests at the gene level using our set-based MiST.¹⁵ MiST tests the association of the total effect of the variants in a set by combining the burden component test that accounts for functional annotations of variants and the variance component test for the associations of individual variants that have not been explained by the burden components using the Fisher combination test. We incorporated in silico functional effect prediction scores from CADD^{16,17} and REVEL¹⁸ and population frequency from gnomAD (version 3.1)¹⁹ as weights to calculate the weighted burden scores. MiST performs among the best in terms of statistical power across a range of architectures²⁰ and has recently been extended to using summary statistics only.²¹ We used the score statistics as the summary statistics and individual-level genotyping data ($n = 8725$) from GECCO to estimate linkage disequilibrium. Variants with a minor allele count of <10 or imputation R^2 of <0.3 were excluded.

For genome-wide marginal and set-based analyses, we used Bonferroni correction to account for multiple comparisons and considered a 2-sided P value of $<5 \times 10^{-8}$ and $<0.05/22$ genes = 0.0024 as statistically significant, respectively. For all other analyses, we considered a 2-sided P value of <.05 as showing evidence for association.

Variant Annotation

Variants were annotated, and their biological consequences were determined using Ensembl Variant Effect Predictor (version 94).²² Functional impact on gene function was predicted for missense variants using in silico tools PolyPhen-2,²³ SIFT,^{24,25} CADD,^{16,17} and REVEL.¹⁸ Variants with CADD of >20 or REVEL of >0.5 were considered to be “predicted pathogenic.” The variants were mapped to the ClinVar database²⁶ to incorporate ClinVar classifications. Population level variant allele frequencies were obtained from the population-based reference dataset, gnomAD (version 3.0), where the variants are derived from a harmonized dataset of 71,702 whole-genome sequences.¹⁹

Additional Genes for Recessive Analysis

Recessive genes *MUTYH*, *NTHL1*, *MSH3*, and *MBD4* are associated with CRC and colonic polyposis. We did not observe that heterozygous carriers of a single pathogenic

variant in these genes are associated with an increased risk of CRC; therefore, increased screening for CRC may not be warranted in these individuals. Further, given the varying frequency of these *MUTYH* variants in specific Jewish populations (North African/Moroccan Jews), reanalysis excluding samples from the study conducted in Israel showed similar associations as shown in [Table 1](#) (data not shown).

Similarly, monoallelic carriers of a pathogenic/likely pathogenic variant in the recessively inherited CRC genes *NTHL1*, *MSH3*, and *MBD4* showed no evidence of an increased risk of CRC. We could not test for CRC risks for biallelic (homozygous or compound heterozygous) carriers for the *NTHL1* variants c.268C>T p.Gln90Ter (rs150766139; $P = .54$; MAF, 0.17%) and c.859C>T p.Gln287Ter (rs146347092; $P = .6$; MAF, 0.02%) because only a single biallelic carrier was observed in the dataset. No biallelic *MSH3* or *MBD4* carriers were observed.

Recurrent High-Risk Variants

Investigation of recurrent, high-risk variants in other cancer genes found no evidence for an association with CRC risk for the prostate cancer risk allele in *HOXB13*

(c.251G>A, p.Gly84Glu, rs138213197; MAF, 0.22%; $P = .47$) or the melanoma risk allele in *MITF* (c.1273G>A, p.Glu318Lys, rs149617956; MAF, 0.14%; $P = .53$). We were not able to impute the *CHEK2* c.1100delC p.Thr367fs (rs555607708) and *ATM* c.7271T>G p.Val2424Gly (rs28904921) variants. The *CHEK2* c.470T>C p.Ile157Thr (rs17879961)²⁷ variant was imputed; however, no significant association was observed.

Biallelic Assessment of Candidate Colorectal Cancer Pathway Genes

An additional 221 genes ([Supplementary Table 6](#)) were sourced from the CRC-associated base excision repair, mismatch repair, and Wnt-signaling (Wingless/integrated) KEGG (Kyoto Encyclopedia of Genes and Genomes) pathways to expand our investigation into recessive acting genes (either homozygous or compound heterozygote). For this, we selected all nonsynonymous variants with an MAF of <5% and >10 minor allele count within a gene and tested either for homozygous or compound heterozygous carriers of all variant combinations. Despite the expansive evaluation, we did not identify any additional recessive acting gene after accounting for multiple comparisons ([Supplementary Table 5](#)).

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