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MECHANIZATION OF SMALL FARMS IN DEVELOPING COUNTRIES

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Small farms in developing countries may be mechanized in two different ways independantly from the degree of mechanization:

- Individually by means of appropriated light equipment (power tiller) (2,6), a system widespread in South Asia.
- Collectively through the use of conventional four-wheeled tractors with corresponding implements similar to the practice on large farms on the base of partner-ownership or by the introduction of custom work or hire-service which is more common in the other parts of the world.

The application of both systems is incorporated with implications and they have their advantages and inconveniences as it is shown in the following:

Power tiller	Four-wheeled tractor
- It fits small farms due to its limited capacity	- Higher capacity and suitable for heavy jobs
- Suitable for mountainous farming	- Not suitable for difficult accessible plots
- Easy to run and repair	- Needs high skill for repair and service
- Relatively expensive per unit area with the diversified and the disintegrated accessories	- Reasonable in price per unit area when adequate area is available and can be served
- Can be cumbersome and even dangerous	- Comfortable to drive, can be dangerous too
- Not suitable for nonfarm activities	- Suitable for multipurpose use including transport
- Each farmer needs training	- Only the operator needs to be trained
- Keeps farmers independant	- Makes farmers more dependant

In this paper, the use of the conventional four-wheeled tractor will be dealt with, where both arable and mixed farming systems are practiced. It is the intention of the authors that this will be best suited for newly cultivated lands such as in large irrigation projects in densely populated areas or for existing systems where landreform is enforced and redistribution of land has taken place.

Mechanization in general will be introduced for the purpose of(1):

- permitting crop intensification thus increasing production and employment
- eliminating labour peaks
- improving farming practices by introducing new tools and new techniques
- reduces work load in field practices such as soil preparation etc.
- makes animals free from work and be converted into other purposes such as dairy and meat production

Nevertheless mechanization must be:

- simple to organize and to operate
- reasonable in purchase and running costs
- versatile and multipurpose in use

Taking above mentioned objectives in consideration a certain scale and a time path for introducing of mechanization has been applied. Tillage practices, sowing and when possible combine harvesting of cereals is taken as ultimate step to start with to permit double- or multiple cropping, to flatten labour peaks and even eliminates hired seasonal labour. For the purpose of this study, the Euphrate Project in Syria has been taken as an example. Labour requirements for manual and mechanical operations have been compiled in tables 1 and 2 for most commercial crops existing and recommended to be grown in the area.

Linear Programming (LP) techniques (4) and the added value per crop according to local standard has resulted in the selection of the following cropping pattern:

30% cotton	30% maize (double crop)
20% sugarbeet (summer&winter)	10% alfalfa
10% wheat	10% soya beans
10% lentils	10% broad beans

The farm size of 5.3 hectares has been found the most profitable size of holding for a 2 man equivalent family on both farm- and national levels. The rate of employment obtained through the application of this program has been 61% net i.e. labour directly related to field work, while the cropping index is 130%. Family revenues outdo their former incomes, for the storage lake area by + 25% and are nearly double to the average of the rural population in the country.

Crops	Months												Total							
	J	F	M	A	M	J	J	A	S	O	N	D								
Cotton April 15			3	5	2	3	10	8	7	8	8	3	2	-	20	12	10	101		
Cotton May 1			1	-	3	3	10	1	8	5	10	10	11	2	2	20	12	10	108	
Sesame							6	3	-	2	5	2	6	2	10	4	6	10	56	
Sorghum (grain)				7	2	-	2	5	2	1	2	-	12	2					35	
Soyabeans					7	2	-	2	4	2	4	2	4	3	-	3			27	
Sugarbeet summer	1	4	3	4	4	2	4	3	6	9	8	6	2	-	2	5	7	5	80	
Sugarbeet winter	4	-	4	2	8	2	7	3	7	2	-	5	6	5	4	-	-	5	2	71
Alfalfa			2	-	4	1	4	-	4	-	4	-	2	2	2	2	5		34	
Wheat			2	1	3	-	2	-	1	1	-	-	-	-	-	-	-	2	14	
Lentils			2	-	2	1	2	8	-	-	2	-	-	-	-	-	-	2	21	
Broadbeans			2	3	2	4	2	-	8	-	2	-	-	-	-	-	-	2	27	
Maize (grain)																			54	
Maize forage																			17	

Table 1: Manual labour requirements in mandays per half months for major crops recommended in the Euphrate Project.

Table 2.

Farming Operations and machines performances for the agricultural calendar in the Euphrate Project in Syria.

FARM OPERATION	CULTIVATED CROPS	OPERATION PERIOD		TOTAL HOURS	EFF. DAYS	COEF. %	EFF. HOURS	SHIFT	HOURS	WORK. %	EFF. HOURS	SPECIFICATION	M. TYPE	M. H/W	SPEED	TRK. h/ha	PERFORM. CAP. h/ha	PERFORMANCE	REMARKS
		START CALENDAR	END CALENDAR																
1	STALKING	COTTON	15.10	30.11	45	.80	36	1	9	.90	280	ROTARY S.	1.45	6	2.0	140	1500	1	
2	PLOWING	MAIZE	15.10	30.11	45	.80	36	1	9	.90	280	CUTTER	1.05	4	4.0	98	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
3		COTTON	15.10	30.12	75	.80	60	1	8	.90	452	3-R 4	1.40	6	2.6	99	1500	1	
4	PLOWING	SOYA	1.3	15.4	45	.80	36	1	9	.90	258	BOTTOM	1.05	4	4.6	98	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
5		SOYA	15.30	30.12	75	.80	60	1	8	.90	452	PLOUGH	1.40	6	2.6	140	1500	1	
6	PLOWING	SOYA	15.3	15.5	60	.80	48	1	8	.90	366		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
7		S.S. BEET	15.10	30.12	75	.80	60	1	8	.90	452		1.40	6	2.6	140	1500	1	
8	PLOWING	W.S. BEET	15.10	30.11	45	.80	36	1	9	.90	280		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
9		W.S. BEET	15.10	30.11	45	.80	36	1	9	.90	280		1.40	6	2.6	140	1500	1	
10	PLOWING	W.S. BEET	15.10	30.11	45	.80	36	1	9	.90	280		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
11		W.S. BEET	15.10	30.11	45	.80	36	1	9	.90	280		1.40	6	2.6	140	1500	1	
12	PLOWING	W.S. BEET	15.3	15.5	60	.80	48	1	8	.90	366	TANDEM	2.50	6	1.42	122	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
13		W.S. BEET	15.3	15.5	60	.80	48	1	8	.90	366	DISC	2.50	6	1.42	122	1500	1	
14	PLOWING	W.S. BEET	15.2	15.3	30	.80	24	1	8	.90	172	HARROW	1.21	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
15		W.S. BEET	15.2	15.3	30	.80	24	1	8	.90	172	HARROW	1.21	6	2.6	140	1500	1	
16	PLOWING	W.CROPS	1.11	15.12	45	.80	36	1	9	.90	269		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
17		W.CROPS	1.11	15.12	45	.80	36	1	9	.90	269		1.40	6	2.6	140	1500	1	
18	PLOWING	MAIZE	15.6	15.7	30	.80	24	1	9	.90	194		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
19		COTTON	15.4	30.4	75	.80	60	1	9	.90	497	6-UNITS	3.00	5	1.72	56	3000	1	
20	PLOWING	SOYA	1.5	30.5	30	.80	24	1	9	.90	194	PLANTER	1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
21		S.S. BEET	15.2	15.3	30	.80	24	1	8	.90	172	(ADJUSTABLE)	1.21	6	2.6	140	1500	1	
22	PLOWING	W.S. BEET	1.11	30.11	30	.80	24	1	9	.90	183		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
23		W.S. BEET	1.11	30.11	30	.80	24	1	9	.90	183		1.40	6	2.6	140	1500	1	
24	PLOWING	B. BEANS	1.11	15.12	45	.80	36	1	9	.90	269		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
25		MAIZE	15.6	15.7	30	.80	24	1	9	.90	194		1.40	6	2.6	140	1500	1	
26	PLOWING	W.CROPS	1.11	15.12	45	.80	36	1	9	.90	269		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
27		W.CROPS	1.11	15.12	45	.80	36	1	9	.90	269		1.40	6	2.6	140	1500	1	
28	PLOWING	MAIZE	15.6	15.7	30	.80	24	1	9	.90	194		1.40	6	2.6	140	1500	1	Same plough for different purposes. Balloms may be used when performing heavy job.
29		ALFAFA	15.6	15.7	30	.80	24	1	9	.90	194		1.40	6	2.6	140	1500	1	
30	PLOWING	"	1.4	15.4	15	.80	12	1	8	.90	86	CIRCULAR	2.00	8	1.46	59	3000	1	Same plough for different purposes. Balloms may be used when performing heavy job.
31		"	1.5	15.5	15	.80	12	1	9	.90	97	MOWER	2.00	8	1.46	59	3000	1	
32	PLOWING	"	1.6	15.6	15	.80	12	1	9	.90	97	H.P. PICK-UP	2.00	8	1.46	66	4000	1	Same plough for different purposes. Balloms may be used when performing heavy job.
33		"	1.7	15.7	15	.80	12	1	9	.90	97	BALER	2.00	8	1.46	66	4000	1	
34	PLOWING	"	15.8	30.8	15	.80	12	1	9	.90	97		2.00	8	1.46	66	4000	1	Same plough for different purposes. Balloms may be used when performing heavy job.
35		"	15.9	30.9	15	.80	12	1	9	.90	97		2.00	8	1.46	66	4000	1	
36	PLOWING	"	15.10	30.10	15	.80	12	1	9	.90	97		2.00	8	1.46	66	4000	1	Same plough for different purposes. Balloms may be used when performing heavy job.
37		"	15.10	30.10	15	.80	12	1	9	.90	97		2.00	8	1.46	66	4000	1	
38	PLOWING	W.H. STRAW	1.6	30.6	30	.80	24	1	9	.90	194	H.P. PUPBNER	4.00	8	1.0	194	9000	1	Same plough for different purposes. Balloms may be used when performing heavy job.
39		WHEAT	1.6	30.6	30	.80	24	1	9	.90	194	14" SELF PROP	3.90	8	1.6	122	4000	1+3	
40	PLOWING	SOYA	15.9	30.9	15	.80	12	1	9	.90	97	COMBINE	2.00	8	1.6	61	146	1+3	with sack platform Marking stationary when threshing only
41		W.CROPS	15.7	15.9	60	.80	48	1	9	.90	388	HARVESTER	2.00	8	1.6	61	146	1+3	

The medium size four-wheeled tractor (55 kW) with appropriate implements has been adopted to do mechanized work because of the intention of local manufacturing of these utilities. This choice does not seem unjustified where findings elsewhere (9) support this opinion (1970 price basis).

Mathematical models have been compiled for the determination of the maximum annual capacity that may be attained by such a tractor. The following major constraints have been taken into consideration:

- field operation sequences to be performed machinally based on the above mentioned cropping pattern,
- timeliness due to climatic and agronomic conditions;
- one work-shift of 9 hours/day in the summertime and 8 hours/day in the wintertime;
- machine productivity per effective working hour.

LP results as projected in fig. 1 show that 65 ha are easily served by one single tractor, whereas only the Autumn period is fully occupied. Nevertheless this limited occupation means 900 full tractors hours per year used effectively. This is far above the level obtained in most developed countries with higher level of mechanization where the average use is about 750 h/year.

Being the fact that mechanization is the most capital intensive production factor on the farm level, a better and more intensive utilization is required. Therefore a certain overtime allowance is permitted on the condition that only one critical period may be trespassed and be limited to 30% at one time.

Accordingly 84 ha per tractor per year has been found the optimal capacity totalling 1158 effective hours per year with only 128 excess hours as overtimes to be carried on in the fall period. Whereas the summer period has been brought to the critical limit as shown with the dotted lines again on fig. 1. Accordingly the effective employment rate for tractor operators per year has been brought to 52%. This solution is a correct and an attractive one for both tractor and operator, but not for the farm implements. A tractor runs usually one machine at one time. Under this condition no single implement becomes fully utilized. Therefore individual tractor exploitation leads to irrational machine utilization. Any plan, that contemplates the full exploitation of farm machines, implies the allocation of one tractor to every farm implement put in service to carry this during the available time span of the appropriate operation. As the performance and the capacity of each machine is different there will be a need for grouping more tractors together to form an optimal combination under one management. This means at the same time a larger field operation unit and a reduction in overhead charges.

The determination of the size of such an O.U. is a conflicting point between planners with different backgrounds and policies.

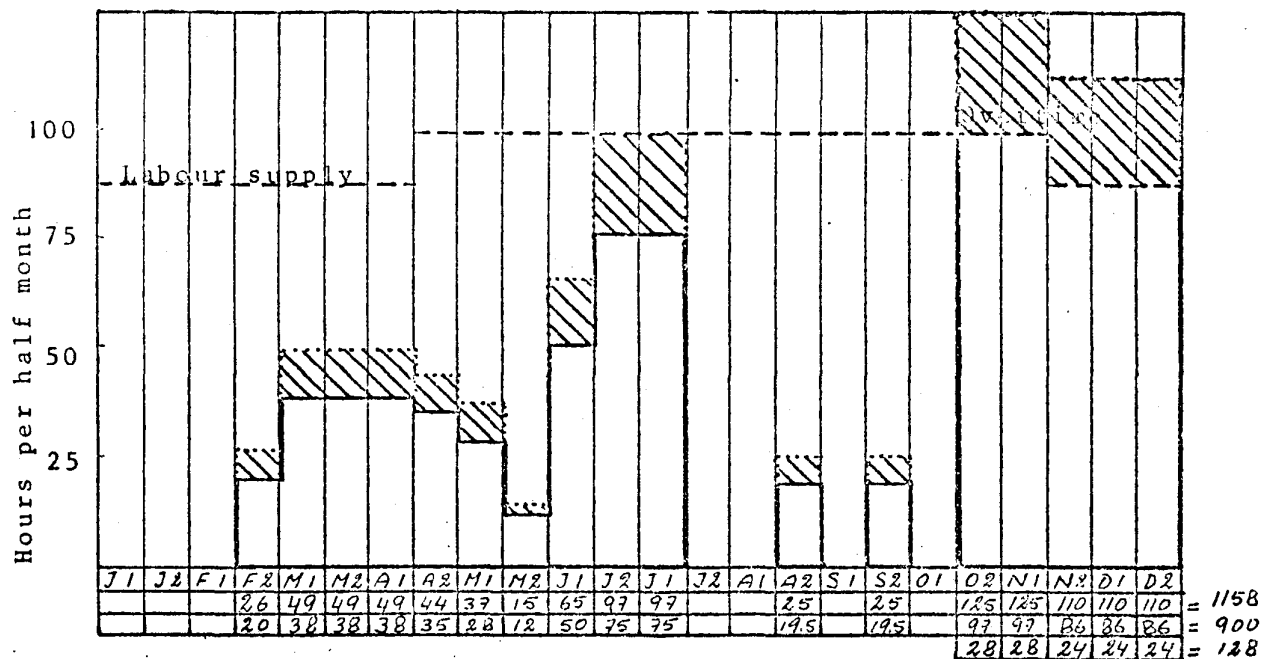


Fig.1 Profile of effective tractor hours per year for 5.3 ha holding for 65ha and 84ha capacity with overtime.

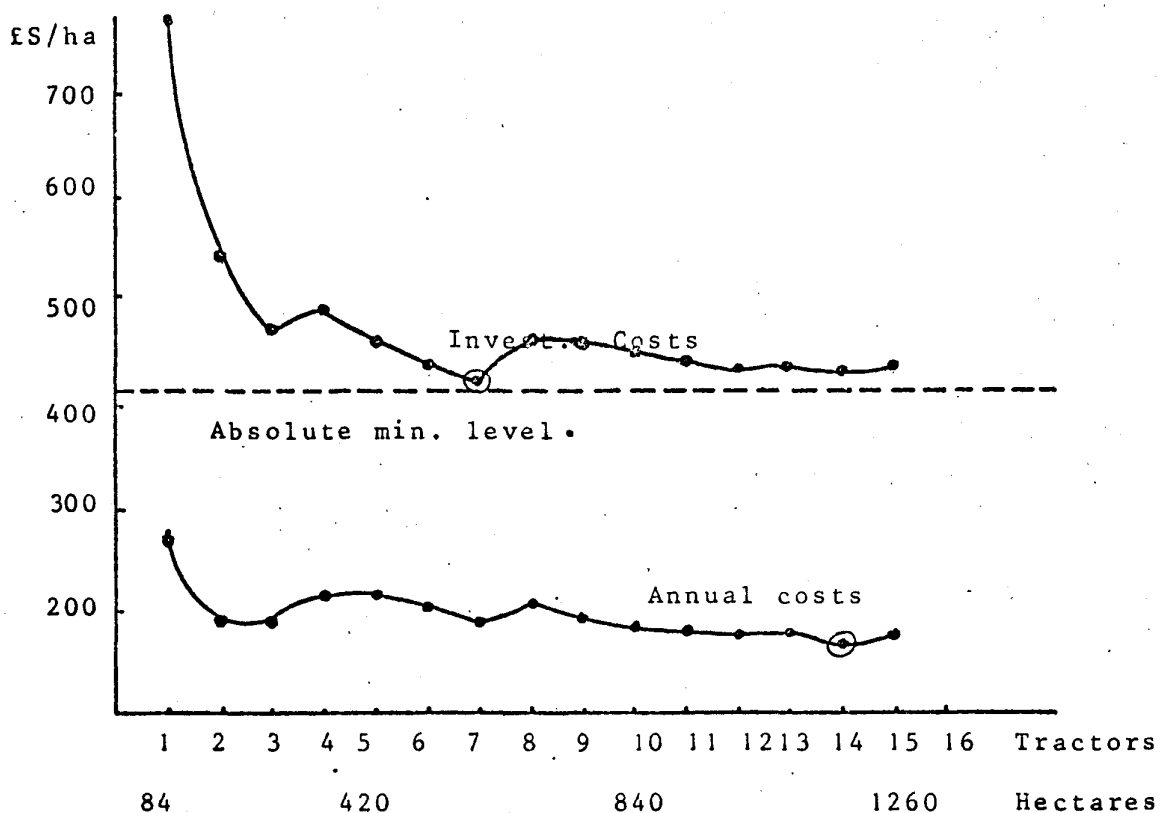


Fig.2 Trend of investment and running costs per ha for tractor combinations (Operation Units) in the Euphrates project.

Even in the countries with centralized planning, where this is general application, the discrepancy is great. The size of such units is varying between a few hundreds to several thousands of hectares (3).

In this issue, rational utilization and cost factors of mechanization have been used basically for the optimization of the size of the concerned O.U. Continuous Programming with rounding the results to get whole farm implements, has been applied instead of parameter or integer programming, due to practical and economical reasons. The results have given an optimal combination with ultimate machine use and minimal investment costs for mechanization in a unit area.

Fig. 2 which is drawn from table 3 demonstrates this trend. The combination of 7 tractors fulfills this purpose perfectly. Adding running costs and personnel charges to the investment, a larger combination is gaining position; 14 tractors seem to be a more profitable size which correspond with 1246 ha arable land net, including 70 ha for private use. This should be considered as one integral Operation Unit, housing 235 farmer's families and having its own management and farm workshop for primary repairs, daily maintenance and service. Projecting these findings in the Balikh area and including non-arable land within the borders of the irrigation scheme (10), the gross area of the projected O.U. amounts to 4500 ha which means an operation radius of 4 km, thus 20-30 minutes at driving speeds of 12 - 8 km/h respectively. Capital repairs and spare-parts supply are kept for special workshops functioning at higher organizational levels.

Combine harvesting according to these findings may and is arranged for each O.U. separately as the market may supply the appropriate machine for field and stationary work. A self propelled 14 footer has been very suitable for the job.

Table 3.

Development of mechanization costs for Operational Units of different sizes in the Euphrate Project in Syria (holdings of 5.3 ha)

	84	168	252	336	420	504	588	672	756	840	924	1008	1092	1176	1260
1 HECTARES	84	168	252	336	420	504	588	672	756	840	924	1008	1092	1176	1260
2 EFF. TRACTOR HOURS	1160	2320	3480	4640	5800	6960	8120	9280	10440	11600	12760	13920	15080	16240	17400
3 TOTAL MACHING HOURS	1500	3000	4500	6000	7500	9000	10500	12000	13500	15000	16500	18000	19500	21000	22500
4 STALK CUTTERS	.28	.56	.84	1.12	1.40	1.68	1.96	2.24	2.52	2.80	3.08	3.36	3.64	3.92	4.20
5 PLOUGHS	.99	1.97	2.96	3.94	4.93	5.91	6.89	7.88	8.86	9.84	10.82	11.80	12.78	13.76	14.75
6 HARROWS	.19	.37	.56	.74	.93	1.12	1.30	1.49	1.67	1.86	2.05	2.23	2.42	2.60	2.79
7 PLANTERS	.29	.58	.87	1.16	1.45	1.75	2.04	2.33	2.62	2.90	3.20	3.49	3.78	4.07	4.36
8 DRILLS	.08	.16	.24	.32	.40	.48	.56	.64	.72	.80	.88	.96	1.04	1.12	1.20
9 MOWERS	.13	.25	.38	.51	.63	.76	.89	1.02	1.14	1.27	1.40	1.52	1.65	1.78	1.90
10 H. P. BALERS	.13	.25	.38	.51	.63	.76	.89	1.02	1.14	1.27	1.40	1.52	1.65	1.78	1.90
11 RIDGERS	.88	1.75	2.63	3.50	4.38	5.25	6.12	7.00	7.88	8.75	9.62	10.50	11.38	12.25	13.12
12 TRAILERS	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
13 TRACTORST20%Sp	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
14 PICK-UPS	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
15 COMBINES	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
16 WORKSHOP	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
17 SUBTOTAL	64660	91720	118780	166940	194000	222560	249620	312780	351840	378900	412060	440620	482420	527740	560920
18 INVESTMENT COSTS	769.8	646.0	471.3	496.8	461.9	441.6	424.5	465.5	465.4	451.1	442.0	437.1	442.0	436.0	445.2
19 ANNUAL COSTS 20%	12932	18344	23756	33388	38200	44512	49924	62556	70368	79780	82412	88124	96536	105548	112120
20 COSTS/EFF. HOUR	11.15	7.91	6.83	7.20	6.69	6.40	6.15	6.74	6.74	6.88	6.46	6.33	6.40	6.31	6.45
21 COSTS/HECTARE	154.0	109.2	94.36	99.4	92.4	88.3	84.9	93.1	93.1	95.0	89.2	87.4	88.4	87.2	87.0
22															
23 HEAD	12000														
24 FOREMAN	6000														
25 DRIVERS+20%Sp	3600														
26 CLERK	4200														
27 MECHANIC	6000														
28 ASSISTANT	7200														
29 KEEPER	3000														
30 SUBTOTAL	9600	13200	22800	38400	52800	68800	82400	75600	79200	82800	87600	91200	98400	102000	111600
31 COSTS/HECTARE	114.28	78.57	90.48	114.28	124.28	116.67	106.12	112.5	104.76	98.57	94.81	90.48	90.11	86.73	88.57
32 COSTS/EFF. HOUR	8.28	5.64	6.55	8.28	9.00	8.45	7.68	815	7.59	7.14	6.87	6.55	6.53	6.28	6.41
33 TOTAL COST/ha	268.28	187.77	184.78	213.68	216.68	204.97	191.02	205.6	197.86	193.57	184.01	177.88	178.51	173.93	177.57
34 TOTAL COST/eff.h	19.43	13.60	13.38	15.48	15.69	14.85	13.83	14.89	14.33	14.02	13.33	12.88	12.93	12.59	12.26
35 TOTAL COST/mach.h	15.02	10.51	10.35	11.97	12.13	11.48	10.70	11.51	11.08	10.64	10.31	9.96	10.00	9.74	9.44

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MECHANIZATION OF SMALL FARMS IN DEVELOPING COUNTRIES

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Summary

The two means to mechanize small holdings, the power tiller and the medium size four-wheeled tractor for collective use are discussed. For the latter a mechanization model is computed for the Euphrates Project in Syria, based on an optimal cropping pattern. This also showed that a 5.3 ha holding produces reasonable incomes for a farmer's family with 2 man equivalent with a rather high rate of employment amounting 61% net. No hired labour is involved while peak periods are adjusted to family labour supply. The optimal area to be served by one tractor is 84 ha.

The annual effective tractor hours totalled 1158 which is about 52% of one work-shift supply. Only in the fall period room was given for overtime work.

The model has been extended to maximize the use of farm implements too through combining more tractors with fewer machines and limited additional manpower for managerial and maintenance purposes. 1246 ha cropped area with 14 tractors has been found as an optimal size for an Operational Unit that may house 235 farmer's families. The operation radius depending on the waste land enclosed within the boundaries of the O.U. in the Euphrates Project area is about 4 km or 25 minutes drive.

MÉCHANISATION DES PETITES EXPLOITATIONS DANS LES PAYS EN VOIE DE DÉVELOPPEMENT

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Résumé

L'utilisation du motoculteur et du tracteur de la puissance moyenne est discuté. On a préparé un modèle de programmation linéaire pour l'emploi du tracteur basé sur un assolement optimal pour la région de l'Euphrate en Syrie. Ce dernier nous a montré qu'une exploitation de 5,3 ha avec polyculture peut garantir des revenus assez raisonnables pour une famille de 2 main d'oeuvres avec un emploi annuel montant jusqu'à 61% sans l'utilisation de travail étranger. La durée annuelle maximale d'utilisation effective du tracteur se monte à 1158 heures en une seule équipe avec quelques heures supplémentaires en automne. Pour maximiser l'utilisation des matériels agricoles un autre modèle a été construit qui nous mène vers la combinaison des plusieurs tracteurs avec moindre machines agricoles en même temps a réduit les frais de l'entretien et de la manutention. 1246 ha de la surface agricole utile servie par 14 tracteurs parait d'être optimale pour une Unité Operationnelle fonctionnante comme une exploitation collective et emploie 235 familles paysannes. Le rayon d'action de cette unité dépend des terrains inutiles trouvées à place. Pour l'Euphrate c'est du 4 km, c'est à dire 25 minutes à conduire.