

# Tribology of hard particles lubricating soft surfaces

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# <sup>2</sup> **Tribology of hard particles lubricating soft surfaces**

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Soft materials often have interesting and unexpected frictional behavior owing to their deformable nature. We use soft polydimethylsiloxane (PDMS) surfaces lubricated by hard glass spheres to study how this deformability influences particle-based lubrication. For particles between 100 and 2000  $\mu$ m in size, we observe a nontrivial rate dependence and three frictional regimes: (I) a rolling friction regime where the rolling particles keep the surfaces apart sufficiently to give low friction coefficients—this is mainly found for large particles and smooth surfaces; (II) a sliding friction regime with high friction coefficients where the surfaces are partially in contact, which is found for small particles, rough surfaces, and high normal forces; (III) a PDMS-PDMS contact regime where the particles are fully inserted into surfaces and the surfaces are in contact. We interpret the friction dynamics in terms of the Hertzian contact deformation effects in the indentation of the PDMS surfaces.

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# <sup>19</sup> **I. INTRODUCTION**

 To reduce the friction between two sliding surfaces under given normal load, typically, one uses either a lubricating fluid film or solid ball bearings. Lubrication films have been studied for a long time; Reynolds [\[1\]](#page-7-0) already proposed an equation based on hydrodynamic pressure for such films [\[2\]](#page-7-0). Ball bearing lubrication has also been a subject of much interest throughout the past century  $[3-7]$ . The ability of ball bearings to reduce friction, wear, and subsequent energy losses has made these rolling elements of great importance to society with applications from computer components [\[8\]](#page-7-0) to aerospace machinery [ $9,10$ ].

31 In many fluid lubricated tribological systems, complex mechanics and nontrivial lubricant properties dictate the fric- tional behavior, and complexity is often the rule rather than the exception. The use of particles or *third bodies* between sliding surfaces should simply lead to a smaller real contact area between the sliding surfaces and subsequently decreases the friction coefficient. Many third-body lubrication studies consider only hard surfaces with hard particles for which surface deformation is negligible [\[11–13\]](#page-7-0). In soft material friction, however, the deformability of the involved materials becomes an additional important factor when third bodies are introduced, which should add interesting physics and po- tentially make it easier to clarify the physics of third-body friction, as pressures and time scales in tribological dynamics are reduced.

 Many soft surfaces have been studied for their tribolog- ical behavior recently, including rubbers  $[14–16]$ , hydrogels  $[17-19]$  $[17-19]$ , and elastomers  $[20-22]$ . Such soft materials are found in a variety of applications, such as biomimetics, soft

robotics cosmetics, and food materials. Most particle tribol- 50 ogy studies involving soft materials use particles suspended 51 in fluids instead of dry particles. For soft (hydrogel) particles  $52$ in suspension, it was found that particle properties such as 53 hardness, size, and volume fraction cause significant changes 54 in the frictional behavior  $[23,24]$ . Using hard particles suspended in aqueous media, it was found that continuous phase <sup>56</sup> viscosity, volume fraction, and particle-matrix entrainment 57 are important parameters influencing the friction coefficient 58 [ $25$ ]. In general, more particles and less fluids leads to lower  $\frac{1}{59}$ friction coefficients. In addition, under thin film (boundary)  $\approx$ conditions, deformation of the substrate promotes entrainment of spherical particles, which have the ability to roll.  $\epsilon$ <sub>2</sub> Entrainment in the thin film regime is also found to depend  $\overline{63}$ on fluid-particle-substrate interaction.

Due to the complex interplay of the effect of suspended  $65$ particles, fluid, and substrate, most studies, however, shed 66 little light on how the particle phase influences the tribo- 67 logical behavior of the system in the absence of fluids. In 68 this paper, we aim to uncover the particle contribution to  $\theta$ friction, particularly the contributions of rolling and/or slid- $\frac{70}{10}$ ing mechanisms behind dry particle lubrication. To isolate  $71$ particle-substrate interactions from fluid hydrodynamics and  $\frac{72}{2}$ focus specifically on the effect of the lubricating particles on  $\frac{73}{2}$ the frictional behavior of soft surfaces, we use dry hard glass  $74$ particles and soft polydimethylsiloxane (PDMS) surfaces. Using this hard-soft tribosystem, we also consider the changes  $\frac{76}{6}$ in the contact area associated with hard particles contacting  $77$ soft substrates. Soft surface deformation is expected to cause  $\frac{78}{6}$ (partial) particle penetration into the surfaces, which leads to <sup>79</sup> increased contact area between the particles and the surfaces 80 but also between surfaces for a large degree of deformation. 81 These changes in contact dynamics could limit particle rolling  $82$ ability. We test this hypothesis by systematically varying the 83 surface roughness (smooth and rough) of the PDMS substrate, 84

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FIG. 1. (a) Schematic image of the measuring segment of the Bruker UMT Tribolab tribometer. (b) Image of the 2000 and 100 μm particles between polydimethylsiloxane (PDMS) surfaces together with a schematic representation of the particles between the surfaces.

 the measuring speed (4–100 mm/s), particle size (100–2000  $\mu$ m), particle number (10–100% surface coverage), and the ratio at which the surfaces slide alongside one another. Using such a systematic approach allows us to study how particles influence the frictional behavior in the absence of fluids. We present our results in terms of probe roughness, normal force, and number of spherical particles covering the surfaces.

# <sup>92</sup> **II. FRICTION TESTS**

93 In this paper, we used a Bruker UMT Tribolab tribome-94 ter [Fig.  $1(a)$ ] to measure the friction coefficient between a 95 rough or smooth hemispherical probe  $(R = 2$  cm) and flat 96 substrate (5.9  $\times$  4.4  $\times$  0.4 cm), all made of PDMS (Sylgard<br>97 184 elastomer kit. 1:10 base:catalyst ratio). The rough probe 184 elastomer kit, 1:10 base:catalyst ratio). The rough probe 98 (asperity size  $\approx 100-400 \ \mu m$ ) was obtained using a stainless <sup>99</sup> steel mold (Eppicotispai Kitchenware). The tribological setup <sup>100</sup> used consisted of a reciprocating substrate and a stationary 101 probe. The oscillatory sliding distance was fixed at 10 mm, <sup>102</sup> and we measured at maximum velocities from 4 to 100 mm/s <sup>103</sup> at a fixed load of 0.5 N. As a lubricant, we introduced glass 104 spheres in sizes of  $\leq 106 \mu$ m (140-finer U.S. sieve), 212–300 <sup>105</sup> μm, 425–600 μm (Sigma Aldrich), and ∼2000 μm (manu-<br><sup>106</sup> facturer unknown) to completely cover the substrate surface. facturer unknown) to completely cover the substrate surface. <sup>107</sup> The number of particles needed by weight was determined <sup>108</sup> using the area of the substrate and the diameter of the particles <sup>109</sup> together with the density of the particles. These spherical par-<sup>110</sup> ticles are referred to as 100, 300, 600, and 2000, respectively, <sup>111</sup> throughout this paper. We show the smooth PDMS probe  $112$  combined with the smallest and largest particles in Fig.  $1(b)$ . It <sup>113</sup> should be noted that the presence of the metal screw inside the <sup>114</sup> PDMS probe may decrease the deformability of the material.

<sup>115</sup> To evaluate the effect of the relative speeds of sliding <sup>116</sup> surfaces, we also used a PCS Instruments Mini Traction Ma-<sup>117</sup> chine (MTM) tribometer with a PDMS probe and substrate. <sup>118</sup> The probe and the substrate on the MTM were driven by <sup>119</sup> separate motors, allowing for variations between the speed <sup>120</sup> ratio of the two. This ratio is known as the slide-to-roll ratio 121 (SRR). The SRR is defined as SRR =  $v_{\text{disk}} - v_{\text{ball}}/v_{\text{mean}}$  and<br>122  $(v_{\text{mean}} = v_{\text{disk}} + v_{\text{ball}})/2$  [26–28]. An SRR = 0 corresponds <sup>122</sup>  $(v_{\text{mean}} = v_{\text{disk}} + v_{\text{ball}})/2$  [\[26–28\]](#page-8-0). An SRR = 0 corresponds to so-called "pure rolling," the the case where the ball and to so-called "pure rolling," the the case where the ball and <sup>124</sup> the disk rotate at the same speed in the same direction. An 125 SRR = 2 refers to the ball rotating while the disk is stationary<br>126 and vice versa. This is called "pure sliding." This pure sliding and vice versa. This is called "pure sliding." This pure sliding 127 movement is most like the measurements performed with the Bruker UMT tribometer, where the substrates oscillate while 128 the probe remains stationary.

### **III. RESULTS** 130

Dry PDMS surfaces in direct contact can give friction 131 coefficients as high as  $\mu = 3$  due to the self-adhesive nature of 132<br>PDMS [20.29]. To modulate the friction coefficient, we used PDMS  $[20,29]$ . To modulate the friction coefficient, we used spherical glass particles as our dry, solid lubricants. These 134 particles were placed on the flat substrate to fully cover the 135 surface (referred to as  $100\%$ ). The particle sizes we used were 136 2000, 600, 300, and 100  $\mu$ m. As shown in Fig. [2\(a\),](#page-3-0) these 137 particles are able to generate a 100-fold decrease in friction 138 coefficient with respect to bare PDMS-PDMS contacts to <sup>139</sup> values as low as  $0.02$ .

#### **A. Particle size and sliding speed dependence** <sup>141</sup>

We find that the friction coefficient decreases with increasing particle size. For the largest particles, the friction <sup>143</sup> coefficient ranges from  $0.02$  to  $0.08$  over the entire range of  $_{144}$ speeds, for the small particles, from 0.12 to 0.22 [Fig.  $2(a)$ ]. In 145 the case of smaller particles, a larger number of glass particles  $_{146}$ is required to obtain the same surface coverage. This gives  $a_{147}$ larger number of separate surface-particle contacts, which we 148 expect to contribute to a higher friction coefficient of the entire 149 system. The number of contacts scales with the glass particle 150 diameter  $R_g^2$ , hence the strong particle size dependence. The 151 surface-particle contact area can be estimated by calculating 152 how much the particle is pushed into the soft material due to 153 the applied load by means of Hertzian theories. It should be 154 noted that Hertzian theories consider two smooth surfaces in 155 contact and do not consider the effect of neighboring particles. <sup>156</sup> However, as we will demonstrate in the following sections, 157 our results do appear to scale with the parameters estimated 158 using the Hertzian-type analysis. This indicates that, although 159 the absolute contact area values may vary, using the estimated  $_{160}$ values allows us to satisfactorily relate the frictional behavior  $_{161}$ to the contact mechanics.

Using Hertzian theories, we estimate the displacement *d*, 163 which shows how much each particle is inserted into the 164 PDMS surface and the contact area diameter *a* as  $F_N = 165$  $\frac{4}{3}E^*R^{1/2}d^{3/2}$  [\[30\]](#page-8-0).

Here,  $F_N$  is the load per particle (0.5 N distributed over all 167 particles on 2 mm<sup>2</sup>), and  $E^*$  is the effective Young's modulus  $\frac{168}{168}$ 

<span id="page-3-0"></span>

FIG. 2. (a) The friction coefficient as a function of maximum velocity for a range of lubricating particle diameter at 0.5 N measured with a rough (asperity width  $100-400 \mu m$ ) polydimethylsiloxane (PDMS) probe (diagram not to scale). (b) The collapsed data obtained when  $\mu$  is multiplied by  $R_g^{0.5}$ . The scaling of  $R_g^{0.5}$  with  $F_F$ is shown in the inset. The dashed lines represent an empirical fit through the data.

 $_{169}$  of the PDMS (2.5 MPa). We use 2 mm<sup>2</sup> here because this is well above the estimated Hertzian contact area between 171 the 2000  $\mu$ m glass particle and the PDMS surface at 0.5 N ( $\approx$ 1 mm). At 2 mm<sup>2</sup>, we can thus assume that at least one 2000  $\mu$ m particle will be present between the PDMS surfaces. For the particles of sizes 2000, 600, 300, and 100  $\mu$ m, we find 175 indentation depths of 282, 85, 42, and 14  $\mu$ m, respectively. We therefore suggest that our observation of a friction coefficient 177 increasing with decreasing particle size may be due to the corresponding increase in particle-substrate contact area. The theoretical contact area diameter *a* of a glass particle indenting the PDMS surface is defined as  $a = (R_g d)^{0.5}$ , with  $R_g$  the radius of the glass particle. We find values of 481, 145, 72, 182 and 24  $\mu$ m for the 2000, 600, 300, and 100  $\mu$ m sized particles, respectively. The friction coefficient thus appears to decrease with increasing contact area.

<sup>185</sup> The speed curves for the different particle sizes all appear 186 to follow a similar increasing trend. When we multiply  $\mu$  by <sup>187</sup>  $R_g^{0.5}$ , we find that the data collapse onto a single line, shown 188 here with a (dashed) trend line [Fig.  $2(b)$ ]. To understand the <sup>189</sup> origin of this dependence of  $\mu$  on  $R_g^{0.5}$ , we assess the friction <sup>190</sup> and normal forces acting on the probe and a single particle <sup>191</sup> (Fig. 3) using the Hertzian approach. For this, we use the



FIG. 3. Schematic view of the normal and friction forces acting on the probe  $(F_{N_p}, F_{F_p})$  and on the particles  $(F_{N_g}, F_{F_g})$  and the indentation depth *d*.

normal force the PDMS probe  $(F_{N_p})$  exerts on each particle: 192  $F_{Np} = (a/R^2)F_{Ng}$ . We determine the normal force per glass 193 particle as  $F_{Ng} = E^* R_g^{0.5} d^{3/2}$ . Using these two equations, we 194 obtain  $F_{Np} = aE^*(d/R_p)^{3/2}$ . A similar approach as used here 195 for the normal force can be used for the friction force, result-<br>196 ing in  $F_{Fp} = (a/R^2)F_{Fg}$ . Assuming that  $F_F \propto$  the contact area, we then find that  $F_{Fg} \propto R_g d$ , and thus,  $F_{Fp} \propto a(d/R_g)$ . Since we then find that  $F_{Fg} \propto R_g d$ , and thus,  $F_{Fp} \propto a(d/R_g)$ . Since 198  $\mu = F_F/F_N$ , we then find that  $\mu = F_{Fp}/F_{Np} \propto E^*(d/R_g^{0.5})$ . 199 This would mean that  $\mu R_g^{0.5}$  should be constant at given speed  $\alpha$ and normal force. This scaling with  $R_g$  is verified in Fig. 2(b). 201

When we increase the sliding speed, we see an increase 202 in the friction coefficient for all particle sizes [Fig.  $2(a)$ ]. At 203 high speeds, particles may easily be pushed out of the contact, 204 which could potentially lead to PDMS-PDMS contact. As 205 the escaping of particles from between the surfaces occurs at 206 random, the relatively large error bars observed here are to be 207 expected. 208

For the rough surfaces used here, we expect smaller particles can enter the space between the asperities. When particles 210 become trapped between asperities, their ability to roll could 211 be inhibited, and friction coefficients are expected to increase. 212 This was also found in a previous study, where the friction co-<br>213 efficient of rough PDMS surfaces lubricated by solid particles 214 increased when particles were of similar size as the surface <sup>215</sup> asperities [\[7\]](#page-7-0). When we compare friction coefficients of rough  $_{216}$ surfaces lubricated by 100  $\mu$ m particles with smooth surfaces  $_{217}$ lubricated by the same particles, we find friction coefficients 218 that are twice as large for the rough surfaces at high normal 219 forces, as will be discussed in the next section. This shows 220 that an increase in surface-surface and particle-surface contact 221 area causes an increase in the friction coefficient. We will 222 discuss this further in the following section.

Although particles enter the space between asperities, we 224 do find that the friction coefficients of particle lubricated con- <sup>225</sup> tacts are still far lower than the friction coefficient of a dry <sup>226</sup> PDMS-PDMS contact. This is an indication that the particles 227 can still prevent complete PDMS-PDMS contact. <sup>228</sup>

### **B.** Normal force dependence 229

We expect particle inclusion between the asperities to be 230 enhanced at higher normal forces, due to the deformable 231 nature of the surfaces. As the load increases, the elastic substrates can cover and entrain the lubricating particles due 233 to deformation of the PDMS around the particles, causing <sup>234</sup> increased direct PDMS-PDMS contact. From measurements 235 at normal forces ranging from 0.25 to 1.5 N using both a <sup>236</sup>

<span id="page-4-0"></span>

FIG. 4. Normal force  $F_N$  dependent friction for all particle sizes using (a) a rough and (b) a smooth probe. The transition from rolling to sliding friction is highlighted in blue.

 rough probe and a smooth probe, we indeed find that higher normal forces give higher friction coefficients for the smallest 239 (100  $\mu$ m) particles [Figs. 4(a) and 4(b)]. The increase in friction coefficient as a function of the normal force is modest for larger particles, suggesting only a small contribution to the total dissipation from the few normal-force strengthened surface-particle contacts.

 For the 100 μm particles, we find a threefold increase in 245 friction coefficient from  $\mu = 0.15$  to 0.45 when the normal force is increased from 0.25 to 1.5 N using the rough surface. While the contact area is expected to increase gradually with  $_{248}$  increasing normal forces, there appears to be a threshold  $F_N$  above which the friction coefficient increases strongly. This threshold force is most likely related to particles becoming entrained between the asperities of the rough PDMS (asperity size  $\approx 100-400 \ \mu m$ ) and the deformability of PDMS, which leads to a sudden change in contact dynamics with changes in <sup>254</sup> *FN*.

 When the normal force increases, the indentation of the PDMS surfaces by the particles facilitates particle inclusion between the asperities and generates larger contact areas be- tween the two surfaces and between the surfaces and the particles.

#### <sup>260</sup> **C. Rolling and sliding friction**

261 An increase in normal force from 0.25 to 1.5 N for a glass particle on a PDMS plane gives an increase in contact area  $\frac{15}{263}$  from 115 to 380  $\mu$ m<sup>2</sup>. This is related to an increase in indenta- tion depth from 7 to 24  $\mu$ m. For the rough surfaces, the actual contact area is higher as particles enter the space between the asperities, and therefore, more direct PDMS-PDMS contact will occur. In Fig.  $4(b)$ , it can be seen that the normal force dependence for smooth surfaces is much less pronounced compared with surfaces with asperities. For surfaces under higher load, we find two separate mechanisms that may affect the friction coefficient: (I) reduction in rolling capacity of particles due to inclusion between asperities causing particles to slide over the surface with increased glass-PDMS contact area and (II) direct PDMS-PDMS sliding contact.

 The transition from rolling to sliding friction is visible in Fig. 4(a), where the contact regime (sliding friction) is highlighted in blue. This shows the contact area dependence of the friction coefficient. The smallest particles paired with the

rough surface are most sensitive to changes in normal force 279 as the particle-surface contact area increases rapidly for these 280 surfaces where the asperities are of similar dimensions as the 281 particles.

We visualize the particles entering the space between the 283 asperities by means of optical microscopy. To observe how <sup>284</sup> particles may be inserted between PDMS asperities, we placed 285 particles between a (rough or smooth) PDMS probe and a flat 286 glass microscope slide. The particles were then pushed against 287 the PDMS surface at 0.5 and 1.5 N. In Fig.  $5(a)$ , in the top 280 row, we observe PDMS-particle contact at 0.5 N compared 289 with 1.5 N for the smooth probe. The difference in contact for 290 the two forces is not very obvious, which is consistent with 291 the similarity of the friction coefficients. Note that, to obtain 292 informative images, a lower surface coverage of particles was 293 used during imaging than in the tribology experiments. For 294 the rough probe, we see that particles are inserted between the 295 asperities at both low and high normal force. Additionally, we 296 observe an increase in contact area at higher normal forces. <sup>297</sup> Applying a black-and-white image filter makes it even more 298 evident that more contact (white) is obtained at higher normal 299 forces as particles (black) are pushed further into the PDMS 300 surface [Fig.  $5(b)$ ]. These results show the major role that 301 particle inclusion between the asperities and contact area play 302 in the frictional behavior of deformable surfaces.

#### **D. Partially covered surfaces** 304

We previously suggested that the friction coefficient increases with increasing PDMS contact, for example, due <sup>306</sup> to increased normal forces or enhanced particle insertion 307 between asperities. To verify how the friction coefficient re- <sup>308</sup> sponds to increasing PDMS-PDMS contact, we designed an 309 experiment where we varied the number of glass particles 310 present on the surface. We eliminated the effect of particle 311 trapping by using a smooth PDMS probe against a smooth 312 PDMS surface and limited sliding speed to 10 mm/s and 313 the normal force to 0.5 N. To maximize changes in surface- <sup>314</sup> surface contact, we used the smallest particles which initially  $315$ already gave a small gap size of maximum 100  $\mu$ m, i.e., the 316 diameter of the particles. We quantified surface coverage via 317 the total area of particles covering the flat PDMS surface; we 318 used the particle diameter to calculate its effective surface <sup>319</sup> coverage and present surface coverage as a percentage of <sup>320</sup> the total surface coverage (Fig. [6\)](#page-5-0). Here,  $100\%$  refers to the  $321$ substrate being completely covered with a randomly packed 322 monolayer of particles. At low surface coverage, the friction 323 coefficient is rather high ( $\mu \approx 2$ ) and approaches the friction  $\cos$  coefficient of dry PDMS ( $\mu \approx 3$ ). As there are only a few coefficient of dry PDMS ( $\mu \approx 3$ ). As there are only a few particles present to separate the surfaces, direct PDMS-PDMS 326 sliding contact is likely the cause for these high frictional val-<br>327 ues due to surface deformation or indentation. We find a steep  $\frac{328}{2}$ decrease in friction coefficient as the percentage of particles 329 increases. Higher particle surface coverage corresponds to 330 less deformation, i.e., larger gap sizes, and less PDMS-PDMS 331 contact, which leads to a decrease in friction as also seen in 332 previous sections (Fig. 4). At a surface coverage of  $1, 2, 5$ , and  $333$ 10% and a normal force of 0.5 N, the estimated indentation <sup>334</sup> depth using Hertzian theories equals 12, 18, 157, and 250  $\mu$ m. 335 As the latter values of indentation are larger than the particle 336

<span id="page-5-0"></span>

FIG. 5. (a) Microscopy images of smooth and rough polydimethylsiloxane (PDMS) surfaces in contact (white areas) with particles (dark areas) at 0.5 and 1.5 N for smooth (upper row) and rough (lower row) surfaces. In (b), the same images are shown with a black-and-white filter applied to emphasize PDMS contact and particle contact. Larger white areas are seen at high  $F_N$ , indicating larger contact areas and particle insertion between asperities. Low surface coverage was used here for imaging purposes. Scale bar represents 200  $\mu$ m.

 $337$  size (100  $\mu$ m), the particles become fully enveloped by the <sup>338</sup> PDMS surfaces, and direct PDMS contact arises.

 The glass particles between PDMS surfaces already show optimal lubrication at only 10% surface coverage. For sur- face coverage values higher than 10% of particles, we see a constant friction coefficient. We can thus expect that 10% of particles distributes the total normal force over enough particles that the PDMS substrates do not deform enough to completely envelope the particles. Thus, PDMS-PDMS con- tact is limited, and the particles can roll between the PDMS surfaces. This regime of constant friction coefficient repre- sents the rolling friction regime; values for  $\mu$  here are indeed 349 consistent with those shown in Fig. [4.](#page-4-0)

 The surface coverage dependence measurements of hard- particle-lubricated soft substrates are an additional confirma- tion that there is a strong indentation depth or contact area dependence. Contact area dependencies are not uncommon for either soft or hard materials and have been shown to occur



FIG. 6. Friction coefficient as a function of surface coverage for the 100  $\mu$ m particles between a smooth hemispherical polydimethylsiloxane (PDMS) probe and a smooth flat PDMS substrate. Surface coverage is defined with respect to the maximum number of particles that geometrically fit in a single layer; see text.

in different systems, such as elastic contacts  $[31]$  and, more 355 specifically, hydrogels  $[17,18,32]$  $[17,18,32]$ .  $356$ 

#### **E. Varying the tribometer motion** 357

To assess the robustness of the observations under differ- <sup>358</sup> ent tribological circumstances, we varied the relative sliding 359 and rolling motion of the sliding surfaces. To do this, we 360 introduced an additional friction tester, the MTM, Fig.  $7(a)$ , 361 which is known as a double drive tribometer. This tribometer 362 can give us extra insights into the sliding-rolling effects, as  $\frac{363}{2}$ the MTM can control the rotating PDMS ball and the sliding 364



FIG. 7. (a) Schematic view of the Mini Traction Machine with two polydimethylsiloxane (PDMS) surfaces and glass particles as a lubricant. (b) Friction coefficient obtained using a double drive tribometer at various slide-to-roll ratios.

<span id="page-6-0"></span>365 PDMS disk independently [\[20,26,28\]](#page-8-0). The MTM also allows us to answer an additional question: how does the surface motion (e.g., rolling or sliding probe and substrate) influence the contact area dependent friction coefficient? We use the 100  $\mu$ m particles entrained between two smooth PDMS surfaces at 10 mm/s, as used previously, at a surface coverage of 100%. In this case, a normal force of 1 N is used to stay within the measuring range of the tribometer, and the probe is very similar to the probe used on the Bruker tribometer [diameter (hemi-)sphere on MTM tribometer: 19 mm, Bruker 375 tribometer: 20 mm]. With the ability to drive the probe and substrate separately, we have the possibility to vary the ratio between the speed of the ball and the speed of the disk, also known as the SRR.

 At SRR = 2, where pure sliding of the surfaces takes place,<br> $380$  the frictional values are within the same range as observed the frictional values are within the same range as observed 381 previously for the same particles of 100  $\mu$ m in diameter <sup>382</sup> [ $\mu_{\text{max}} \approx 0.17$ , Fig. [7\(b\)\]](#page-5-0). The expected transition from sliding to rolling friction is also seen using the MTM. We find a strong to rolling friction is also seen using the MTM. We find a strong decrease in friction coefficient from 0.2 to ∼0.008 when we decrease the SRR from 2 to 0. When the ball and disk are 386 rotating at equivalent velocities, i.e.,  $SRR = 0$ , the spherical say particles are easily maintained between the surfaces and are particles are easily maintained between the surfaces and are allowed to roll along with the imposed motion of the PDMS surfaces. The rolling of the particles is then driven by both the ball and the disk, which results in extremely low friction coef- ficients. At higher SRRs, the rolling of the particles is mainly driven by only one of the surfaces at a time, while the sta- tionary surface counteracts the rolling motion of the particles. Additionally, at high SRR values, particles can be expected to leave the contact regime and accumulate around the probe, which would result in high friction as well. Once particles exit the gap, large PDMS contact areas lead to increased friction coefficient. Particles exit the gap at different times and speeds during each measurement, which explains the large error bars observable in Fig.  $7(b)$ . The increase in friction with decreased particle rolling ability shows that restricted particle rolling motion causes an increase in friction coefficient, which was also seen when varying measuring parameters including the normal force in previous sections.

#### <sup>405</sup> **F. Relating the friction coefficient to the contact area**

 We have highlighted the transition from rolling (particle) friction to sliding (surface dominated) friction by varying the particle size, normal force, surface roughness, number of particles, and even by using an additional tribological device. As the friction coefficient is often related to the contact area, 411 we combine the friction coefficients from the measurements in previous sections performed with the smooth probe into one figure, as a function of the contact area radius estimated using a Hertzian approach (Fig. 8).

 Using this approach, we show that the friction coefficient <sup>416</sup> in the rolling regime scales with  $R_a^{-0.5}$ , with  $R_a$  the radius of the contact area. The friction coefficient thus decreases with increasing contact area for the particles with different particle sizes. When the contact area increases due to in- creases in normal force or decrease in surface coverage, the friction coefficient increases. In the latter case, particle inser-tion and PDMS-PDMS contact causes the increase in friction



FIG. 8. Frictional values of Figs. [2,](#page-3-0) [4,](#page-4-0) [6,](#page-5-0) and [7](#page-5-0) are combined to display the friction coefficient as function of contact area radius (*Ra*). The color bar represents  $R_g - d$ , which results in rolling, sliding or PDMS-PDMS contact friction.

coefficient. We attempt to quantify the increase of particleparticle and surface-particle contact and calculate the separa- <sup>424</sup> tion distance between the two PDMS surfaces by subtracting 425 the indentation depth from the particle size; when the indenta- <sup>426</sup> tion depth is larger than the particle size, the PDMS surfaces  $427$ are allowed to contact one another. We must note that PDMS 428 is a viscoelastic material, and surface deformation can lead <sup>429</sup> to internal dissipation at the surface or within the bulk of 430 the material. However, these effects often become significant 431 at either low sliding speeds or at higher deformation rates <sup>432</sup> [\[33,34\]](#page-8-0), and therefore, we consider these negligible. This 433 scaling with the Hertzian contact mechanics parameters shows 434 that the change in the contact area (rather than effects caused 435 by internal dissipation) is the main contributor to the frictional 436 behavior observed here. 437

From the color bar in Fig. 8 showing  $R_g - d$ , we see 438<br>at an increase in this value causes a decrease in the fricthat an increase in this value causes a decrease in the fric- <sup>439</sup> tion coefficient for the particles of different sizes. For the <sup>440</sup> 100  $\mu$ m particles, we find that, when particles are pushed  $\mu$ <sub>441</sub> into the soft surfaces and are still able to keep the surfaces 442 separated  $(R_g - d \approx 40 \mu m)$ , relatively low friction coeffi-<br>cients are obtained. Once PDMS-PDMS contact is established cients are obtained. Once PDMS-PDMS contact is established <sup>444</sup>  $(R_g - d < 0 \mu m)$ , a strong increase in the friction coefficient 445 is seen in this PDMS contact regime. This shows that each <sup>446</sup> frictional regime has its own complex relation with the contact 447 area depending on the particle-surface and surface-surface <sup>448</sup> dynamics.  $449$ 

The lowest friction coefficients are seen for the larger par-<br> $450$ ticles that are well able to keep the surface apart (large  $R_g - d$  451 values) in the rolling friction regime. When the separation values) in the rolling friction regime. When the separation distance falls below 100  $\mu$ m, the particles are less able to 453 separate the surfaces. Below this gap size, particle insertion  $454$ into the PDMS surface begins to take place due to elastomeric 455 surface deformation, and PDMS-PDMS contact is enlarged. 456 We therefore propose the following mechanism: As particles 457 have limited rolling ability, friction is dominated by sliding  $458$ surfaces. This change from rolling particles to particles being 459 pushed into and deforming the surfaces marks the onset of  $460$ 

<span id="page-7-0"></span> the sliding regime, as indicated in Fig. [8](#page-6-0) in blue. Sliding here refers to the limited rolling ability of the particles due to insertion into the surfaces and enhanced particle-PDMS con- tact. The separation distance between the surfaces decreases further as the normal force increases or when there are less particles present on the surface. Both conditions lead to a higher normal force per particle, i.e., more surface deforma- tion and higher surface-particle contact area and, with that, higher friction coefficients. When the glass-PDMS indenta- tion depth is larger than the particle size, negative values are found for the indentation depth. We find indentation depths larger than the particle size at a surface coverage of 2 and 1%. At these percentages of surface coverage, particles are fully enveloped by the surfaces, and direct PDMS-PDMS sliding

 This supports our suggested frictional mechanism: For large separations, low (or even no) direct PDMS-PDMS con- tact is expected, and low friction coefficients are found. As the separation distance decreases due to a decrease in particle size, particle number, or an increase in normal force, the over- all indentation increases, and the friction coefficient increases accordingly. Once the surfaces are in contact, a large increase in friction is measured. We thus show that the degree of sep- aration between the PDMS surfaces, as caused by changes in normal force and particle size of surface coverage, determines in what contact regime the frictional system is located. Based on the contact regime (PDMS contact, sliding, or rolling), the friction coefficient shows a positive or negative dependence on the contact area of the contact between the glass particles

<sup>475</sup> contact occurs.

<sup>490</sup> and the PDMS surface.

**IV. CONCLUSIONS** 491

In this paper, we used two tribometers to assess the frictional dynamics of dry hard spherical particles lubricating soft 493 surfaces. We find that the friction coefficient increases with 494 increasing normal force, when we decrease the number of 495 particles on the surface and when smaller particles are used. <sup>496</sup> The increase in friction coefficient in these cases is attributed 497 to more surface-surface and particle-surface contact. <sup>498</sup>

By manipulating different aspects of this soft-hard tribosystem, we display three different frictional regimes: a 500 rolling regime, a sliding regime, and a PDMS-PDMS contact 501 regime. The rolling regime displays low friction coefficients,  $\frac{502}{2}$ attributed to the rolling motion of the particles. In the sliding 503 regime, an increase in friction coefficient is found as particles  $\frac{504}{2}$ are inserted between asperities due to similarity in size be- <sup>505</sup> tween particles and asperities. In this regime, particle-surface 506 and surface-surface interactions arise, leading to an increase in 507 friction coefficients. When the particles are fully covered by 508 the surfaces (particle insertion and PDMS deformation), direct  $\frac{1}{509}$ PDMS-PDMS contact takes place, and the friction coefficient 510 increases accordingly. 511

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