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## Physical Review Materials

Rudge, Raisa E.D.; Theunissen, Karlijn; Stokes, Jason R.; Scholten, Elke; Dijksman, Joshua A. https://doi.org/10.1103/PhysRevMaterials.5.055604

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## Tribology of hard particles lubricating soft surfaces

Raisa E. D. Rudge,<sup>1,2,\*</sup> Karlijn Theunissen,<sup>1</sup> Jason R. Stokes,<sup>3</sup> Elke Scholten,<sup>2</sup> and Joshua A. Dijksman<sup>1</sup>

<sup>1</sup>Physical Chemistry and Soft Matter, Wageningen University, 6708 WE, The Netherlands

<sup>2</sup>Physics and Physical Chemistry of Foods, Wageningen University, 6708 WG, The Netherlands

<sup>3</sup>School of Chemical Engineering, The University of Queensland, 4072 Brisbane, Australia

(Received 14 December 2020; accepted 6 April 2021; published xxxxxxxxx)

Soft materials often have interesting and unexpected frictional behavior owing to their deformable nature. We use soft polydimethylsiloxane (PDMS) surfaces lubricated by hard glass spheres to study how this deformability influences particle-based lubrication. For particles between 100 and 2000  $\mu$ m in size, we observe a nontrivial rate dependence and three frictional regimes: (I) a rolling friction regime where the rolling particles keep the surfaces apart sufficiently to give low friction coefficients—this is mainly found for large particles and smooth surfaces; (II) a sliding friction regime with high friction coefficients where the surfaces are partially in contact, which is found for small particles, rough surfaces, and high normal forces; (III) a PDMS-PDMS contact regime where the particles are fully inserted into surfaces and the surfaces are in contact. We interpret the friction dynamics in terms of the Hertzian contact deformation effects in the indentation of the PDMS surfaces.

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DOI: 10.1103/PhysRevMaterials.00.005600

#### I. INTRODUCTION

To reduce the friction between two sliding surfaces under 20 given normal load, typically, one uses either a lubricating fluid 21 film or solid ball bearings. Lubrication films have been studied 22 for a long time; Reynolds [1] already proposed an equation 23 based on hydrodynamic pressure for such films [2]. Ball 24 bearing lubrication has also been a subject of much interest 25 throughout the past century [3–7]. The ability of ball bearings 26 to reduce friction, wear, and subsequent energy losses has 27 made these rolling elements of great importance to society 28 with applications from computer components [8] to aerospace 29 machinery [9,10]. 30

In many fluid lubricated tribological systems, complex 31 mechanics and nontrivial lubricant properties dictate the fric-32 tional behavior, and complexity is often the rule rather than 33 the exception. The use of particles or third bodies between 34 sliding surfaces should simply lead to a smaller real contact 35 area between the sliding surfaces and subsequently decreases 36 the friction coefficient. Many third-body lubrication studies 37 consider only hard surfaces with hard particles for which 38 surface deformation is negligible [11-13]. In soft material 39 friction, however, the deformability of the involved materials 40 becomes an additional important factor when third bodies 41 are introduced, which should add interesting physics and po-42 tentially make it easier to clarify the physics of third-body 43 friction, as pressures and time scales in tribological dynamics 44 are reduced. 45

Many soft surfaces have been studied for their tribological behavior recently, including rubbers [14–16], hydrogels [17–19], and elastomers [20–22]. Such soft materials are found in a variety of applications, such as biomimetics, soft robotics cosmetics, and food materials. Most particle tribol-50 ogy studies involving soft materials use particles suspended 51 in fluids instead of dry particles. For soft (hydrogel) particles 52 in suspension, it was found that particle properties such as 53 hardness, size, and volume fraction cause significant changes 54 in the frictional behavior [23,24]. Using hard particles sus-55 pended in aqueous media, it was found that continuous phase 56 viscosity, volume fraction, and particle-matrix entrainment 57 are important parameters influencing the friction coefficient 58 [25]. In general, more particles and less fluids leads to lower 59 friction coefficients. In addition, under thin film (boundary) 60 conditions, deformation of the substrate promotes entrain-61 ment of spherical particles, which have the ability to roll. 62 Entrainment in the thin film regime is also found to depend 63 on fluid-particle-substrate interaction. 64

Due to the complex interplay of the effect of suspended 65 particles, fluid, and substrate, most studies, however, shed 66 little light on how the particle phase influences the tribo-67 logical behavior of the system in the absence of fluids. In 68 this paper, we aim to uncover the particle contribution to 69 friction, particularly the contributions of rolling and/or slid-70 ing mechanisms behind dry particle lubrication. To isolate 71 particle-substrate interactions from fluid hydrodynamics and 72 focus specifically on the effect of the lubricating particles on 73 the frictional behavior of soft surfaces, we use dry hard glass 74 particles and soft polydimethylsiloxane (PDMS) surfaces. Us-75 ing this hard-soft tribosystem, we also consider the changes 76 in the contact area associated with hard particles contacting 77 soft substrates. Soft surface deformation is expected to cause 78 (partial) particle penetration into the surfaces, which leads to 79 increased contact area between the particles and the surfaces 80 but also between surfaces for a large degree of deformation. 81 These changes in contact dynamics could limit particle rolling 82 ability. We test this hypothesis by systematically varying the 83 surface roughness (smooth and rough) of the PDMS substrate,

<sup>\*</sup>raisa.rudge@wur.nl



FIG. 1. (a) Schematic image of the measuring segment of the Bruker UMT Tribolab tribometer. (b) Image of the 2000 and 100  $\mu$ m particles between polydimethylsiloxane (PDMS) surfaces together with a schematic representation of the particles between the surfaces.

the measuring speed (4–100 mm/s), particle size (100–2000 85  $\mu$ m), particle number (10–100% surface coverage), and the 86 ratio at which the surfaces slide alongside one another. Using 87 such a systematic approach allows us to study how particles 88 influence the frictional behavior in the absence of fluids. We 89 present our results in terms of probe roughness, normal force, 90

and number of spherical particles covering the surfaces. 91

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### **II. FRICTION TESTS**

In this paper, we used a Bruker UMT Tribolab tribome-93 ter [Fig. 1(a)] to measure the friction coefficient between a 94 rough or smooth hemispherical probe (R = 2 cm) and flat 95 substrate  $(5.9 \times 4.4 \times 0.4 \text{ cm})$ , all made of PDMS (Sylgard 96 184 elastomer kit, 1:10 base:catalyst ratio). The rough probe 97 (asperity size  $\approx$  100–400  $\mu$ m) was obtained using a stainless 98 steel mold (Eppicotispai Kitchenware). The tribological setup 99 used consisted of a reciprocating substrate and a stationary 100 probe. The oscillatory sliding distance was fixed at 10 mm, 101 and we measured at maximum velocities from 4 to 100 mm/s 102 at a fixed load of 0.5 N. As a lubricant, we introduced glass 103 spheres in sizes of  $\leq 106 \ \mu m$  (140-finer U.S. sieve), 212–300 104  $\mu$ m, 425–600  $\mu$ m (Sigma Aldrich), and ~2000  $\mu$ m (manu-105 facturer unknown) to completely cover the substrate surface. 106 The number of particles needed by weight was determined 107 using the area of the substrate and the diameter of the particles 108 together with the density of the particles. These spherical par-109 ticles are referred to as 100, 300, 600, and 2000, respectively, 110 throughout this paper. We show the smooth PDMS probe 111 combined with the smallest and largest particles in Fig. 1(b). It 112 should be noted that the presence of the metal screw inside the 113 PDMS probe may decrease the deformability of the material. 114

To evaluate the effect of the relative speeds of sliding 115 surfaces, we also used a PCS Instruments Mini Traction Ma-116 chine (MTM) tribometer with a PDMS probe and substrate. 117 The probe and the substrate on the MTM were driven by 118 separate motors, allowing for variations between the speed 119 ratio of the two. This ratio is known as the slide-to-roll ratio 120 (SRR). The SRR is defined as SRR =  $v_{\text{disk}} - v_{\text{ball}}/v_{\text{mean}}$  and 121  $(v_{\text{mean}} = v_{\text{disk}} + v_{\text{ball}})/2$  [26–28]. An SRR = 0 corresponds 122 to so-called "pure rolling," the the case where the ball and 123 the disk rotate at the same speed in the same direction. An 124 SRR = 2 refers to the ball rotating while the disk is stationary 125 and vice versa. This is called "pure sliding." This pure sliding 126 movement is most like the measurements performed with the 127

Bruker UMT tribometer, where the substrates oscillate while 128 the probe remains stationary. 129

#### **III. RESULTS**

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Dry PDMS surfaces in direct contact can give friction 131 coefficients as high as  $\mu = 3$  due to the self-adhesive nature of 132 PDMS [20,29]. To modulate the friction coefficient, we used 133 spherical glass particles as our dry, solid lubricants. These 134 particles were placed on the flat substrate to fully cover the 135 surface (referred to as 100%). The particle sizes we used were 136 2000, 600, 300, and 100  $\mu$ m. As shown in Fig. 2(a), these 137 particles are able to generate a 100-fold decrease in friction 138 coefficient with respect to bare PDMS-PDMS contacts to 139 values as low as 0.02. 140

#### A. Particle size and sliding speed dependence

We find that the friction coefficient decreases with in-142 creasing particle size. For the largest particles, the friction 143 coefficient ranges from 0.02 to 0.08 over the entire range of 144 speeds, for the small particles, from 0.12 to 0.22 [Fig. 2(a)]. In 145 the case of smaller particles, a larger number of glass particles 146 is required to obtain the same surface coverage. This gives a 147 larger number of separate surface-particle contacts, which we 148 expect to contribute to a higher friction coefficient of the entire 149 system. The number of contacts scales with the glass particle 150 diameter  $R_{p}^{2}$ , hence the strong particle size dependence. The 151 surface-particle contact area can be estimated by calculating 152 how much the particle is pushed into the soft material due to 153 the applied load by means of Hertzian theories. It should be 154 noted that Hertzian theories consider two smooth surfaces in 155 contact and do not consider the effect of neighboring particles. 156 However, as we will demonstrate in the following sections, 157 our results do appear to scale with the parameters estimated 158 using the Hertzian-type analysis. This indicates that, although 159 the absolute contact area values may vary, using the estimated 160 values allows us to satisfactorily relate the frictional behavior 161 to the contact mechanics. 162

Using Hertzian theories, we estimate the displacement d, which shows how much each particle is inserted into the PDMS surface and the contact area diameter a as  $F_N =$  $\frac{4}{2}E^{*}R^{1/2}d^{3/2}$  [30].

Here,  $F_N$  is the load per particle (0.5 N distributed over all 167 particles on 2 mm<sup>2</sup>), and  $E^*$  is the effective Young's modulus



FIG. 2. (a) The friction coefficient as a function of maximum velocity for a range of lubricating particle diameter at 0.5 N measured with a rough (asperity width 100–400  $\mu$ m) polydimethylsiloxane (PDMS) probe (diagram not to scale). (b) The collapsed data obtained when  $\mu$  is multiplied by  $R_g^{0.5}$ . The scaling of  $R_g^{0.5}$  with  $F_F$  is shown in the inset. The dashed lines represent an empirical fit through the data.

of the PDMS (2.5 MPa). We use 2 mm<sup>2</sup> here because this 169 is well above the estimated Hertzian contact area between 170 the 2000  $\mu$ m glass particle and the PDMS surface at 0.5 N 171 ( $\approx 1$  mm). At 2 mm<sup>2</sup>, we can thus assume that at least one 172 2000  $\mu$ m particle will be present between the PDMS surfaces. 173 For the particles of sizes 2000, 600, 300, and 100  $\mu$ m, we find 174 indentation depths of 282, 85, 42, and 14  $\mu$ m, respectively. We 175 therefore suggest that our observation of a friction coefficient 176 increasing with decreasing particle size may be due to the 177 corresponding increase in particle-substrate contact area. The 178 theoretical contact area diameter a of a glass particle indenting 179 the PDMS surface is defined as  $a = (R_g d)^{0.5}$ , with  $R_g$  the 180 radius of the glass particle. We find values of 481, 145, 72, 181 and 24  $\mu$ m for the 2000, 600, 300, and 100  $\mu$ m sized particles, 182 respectively. The friction coefficient thus appears to decrease 183 with increasing contact area. 184

The speed curves for the different particle sizes all appear to follow a similar increasing trend. When we multiply  $\mu$  by  $R_g^{0.5}$ , we find that the data collapse onto a single line, shown here with a (dashed) trend line [Fig. 2(b)]. To understand the origin of this dependence of  $\mu$  on  $R_g^{0.5}$ , we assess the friction and normal forces acting on the probe and a single particle (Fig. 3) using the Hertzian approach. For this, we use the



FIG. 3. Schematic view of the normal and friction forces acting on the probe  $(F_{Np}, F_{Fp})$  and on the particles  $(F_{Ng}, F_{Fg})$  and the indentation depth *d*.

normal force the PDMS probe  $(F_{Np})$  exerts on each particle: 192  $F_{Np} = (a/R^2)F_{Ng}$ . We determine the normal force per glass particle as  $F_{Ng} = E^* R_g^{0.5} d^{3/2}$ . Using these two equations, we 193 194 obtain  $F_{Np} = aE^*(d/R_p)^{3/2}$ . A similar approach as used here 195 for the normal force can be used for the friction force, result-196 ing in  $F_{F_p} = (a/R^2)F_{F_g}$ . Assuming that  $F_F \propto$  the contact area, 197 we then find that  $F_{Fg} \propto R_g d$ , and thus,  $F_{Fp} \propto a(d/R_g)$ . Since 198  $\mu = F_F/F_N$ , we then find that  $\mu = F_{Fp}/F_{Np} \propto E^*(d/R_g^{0.5})$ . 199 This would mean that  $\mu R_g^{0.5}$  should be constant at given speed 200 and normal force. This scaling with  $R_g$  is verified in Fig. 2(b). 201

When we increase the sliding speed, we see an increase in the friction coefficient for all particle sizes [Fig. 2(a)]. At high speeds, particles may easily be pushed out of the contact, which could potentially lead to PDMS-PDMS contact. As the escaping of particles from between the surfaces occurs at random, the relatively large error bars observed here are to be expected.

For the rough surfaces used here, we expect smaller parti-209 cles can enter the space between the asperities. When particles 210 become trapped between asperities, their ability to roll could 211 be inhibited, and friction coefficients are expected to increase. 212 This was also found in a previous study, where the friction co-213 efficient of rough PDMS surfaces lubricated by solid particles 214 increased when particles were of similar size as the surface 215 asperities [7]. When we compare friction coefficients of rough 216 surfaces lubricated by 100  $\mu$ m particles with smooth surfaces 217 lubricated by the same particles, we find friction coefficients 218 that are twice as large for the rough surfaces at high normal 219 forces, as will be discussed in the next section. This shows 220 that an increase in surface-surface and particle-surface contact 221 area causes an increase in the friction coefficient. We will 222 discuss this further in the following section. 223

Although particles enter the space between asperities, we do find that the friction coefficients of particle lubricated contacts are still far lower than the friction coefficient of a dry PDMS-PDMS contact. This is an indication that the particles can still prevent complete PDMS-PDMS contact. 228

#### B. Normal force dependence

We expect particle inclusion between the asperities to be enhanced at higher normal forces, due to the deformable nature of the surfaces. As the load increases, the elastic substrates can cover and entrain the lubricating particles due to deformation of the PDMS around the particles, causing increased direct PDMS-PDMS contact. From measurements at normal forces ranging from 0.25 to 1.5 N using both a

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FIG. 4. Normal force  $F_N$  dependent friction for all particle sizes using (a) a rough and (b) a smooth probe. The transition from rolling to sliding friction is highlighted in blue.

rough probe and a smooth probe, we indeed find that higher normal forces give higher friction coefficients for the smallest (100  $\mu$ m) particles [Figs. 4(a) and 4(b)]. The increase in friction coefficient as a function of the normal force is modest for larger particles, suggesting only a small contribution to the total dissipation from the few normal-force strengthened surface-particle contacts.

For the 100  $\mu$ m particles, we find a threefold increase in 244 friction coefficient from  $\mu = 0.15$  to 0.45 when the normal 245 force is increased from 0.25 to 1.5 N using the rough surface. 246 While the contact area is expected to increase gradually with 247 increasing normal forces, there appears to be a threshold  $F_N$ 248 above which the friction coefficient increases strongly. This 249 threshold force is most likely related to particles becoming 250 entrained between the asperities of the rough PDMS (asperity 251 size  $\approx 100-400 \ \mu m$ ) and the deformability of PDMS, which 252 leads to a sudden change in contact dynamics with changes in 253  $F_N$ . 254

When the normal force increases, the indentation of the PDMS surfaces by the particles facilitates particle inclusion between the asperities and generates larger contact areas between the two surfaces and between the surfaces and the particles.

#### C. Rolling and sliding friction

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An increase in normal force from 0.25 to 1.5 N for a glass 261 particle on a PDMS plane gives an increase in contact area 262 from 115 to 380  $\mu$ m<sup>2</sup>. This is related to an increase in indenta-263 tion depth from 7 to 24  $\mu$ m. For the rough surfaces, the actual 264 contact area is higher as particles enter the space between the 265 asperities, and therefore, more direct PDMS-PDMS contact 266 will occur. In Fig. 4(b), it can be seen that the normal force 267 dependence for smooth surfaces is much less pronounced 268 compared with surfaces with asperities. For surfaces under 269 higher load, we find two separate mechanisms that may affect 270 the friction coefficient: (I) reduction in rolling capacity of 271 particles due to inclusion between asperities causing particles 272 to slide over the surface with increased glass-PDMS contact 273 area and (II) direct PDMS-PDMS sliding contact. 274

The transition from rolling to sliding friction is visible in Fig. 4(a), where the contact regime (sliding friction) is highlighted in blue. This shows the contact area dependence of the friction coefficient. The smallest particles paired with the rough surface are most sensitive to changes in normal force as the particle-surface contact area increases rapidly for these surfaces where the asperities are of similar dimensions as the particles. 280

We visualize the particles entering the space between the 283 asperities by means of optical microscopy. To observe how 284 particles may be inserted between PDMS asperities, we placed 285 particles between a (rough or smooth) PDMS probe and a flat 286 glass microscope slide. The particles were then pushed against 287 the PDMS surface at 0.5 and 1.5 N. In Fig. 5(a), in the top 288 row, we observe PDMS-particle contact at 0.5 N compared 289 with 1.5 N for the smooth probe. The difference in contact for 290 the two forces is not very obvious, which is consistent with 291 the similarity of the friction coefficients. Note that, to obtain 292 informative images, a lower surface coverage of particles was 293 used during imaging than in the tribology experiments. For 294 the rough probe, we see that particles are inserted between the 295 asperities at both low and high normal force. Additionally, we 296 observe an increase in contact area at higher normal forces. 297 Applying a black-and-white image filter makes it even more 298 evident that more contact (white) is obtained at higher normal 299 forces as particles (black) are pushed further into the PDMS 300 surface [Fig. 5(b)]. These results show the major role that 301 particle inclusion between the asperities and contact area play 302 in the frictional behavior of deformable surfaces. 303

#### D. Partially covered surfaces

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We previously suggested that the friction coefficient in-305 creases with increasing PDMS contact, for example, due 306 to increased normal forces or enhanced particle insertion 307 between asperities. To verify how the friction coefficient re-308 sponds to increasing PDMS-PDMS contact, we designed an 309 experiment where we varied the number of glass particles 310 present on the surface. We eliminated the effect of particle 311 trapping by using a smooth PDMS probe against a smooth 312 PDMS surface and limited sliding speed to 10 mm/s and 313 the normal force to 0.5 N. To maximize changes in surface-314 surface contact, we used the smallest particles which initially 315 already gave a small gap size of maximum 100  $\mu$ m, i.e., the 316 diameter of the particles. We quantified surface coverage via 317 the total area of particles covering the flat PDMS surface; we 318 used the particle diameter to calculate its effective surface 319 coverage and present surface coverage as a percentage of 320 the total surface coverage (Fig. 6). Here, 100% refers to the 321 substrate being completely covered with a randomly packed 322 monolayer of particles. At low surface coverage, the friction 323 coefficient is rather high ( $\mu \approx 2$ ) and approaches the friction 324 coefficient of dry PDMS ( $\mu \approx 3$ ). As there are only a few 325 particles present to separate the surfaces, direct PDMS-PDMS 326 sliding contact is likely the cause for these high frictional val-327 ues due to surface deformation or indentation. We find a steep 328 decrease in friction coefficient as the percentage of particles 329 increases. Higher particle surface coverage corresponds to 330 less deformation, i.e., larger gap sizes, and less PDMS-PDMS 331 contact, which leads to a decrease in friction as also seen in 332 previous sections (Fig. 4). At a surface coverage of 1, 2, 5, and 333 10% and a normal force of 0.5 N, the estimated indentation 334 depth using Hertzian theories equals 12, 18, 157, and 250  $\mu$ m. 335 As the latter values of indentation are larger than the particle 336



FIG. 5. (a) Microscopy images of smooth and rough polydimethylsiloxane (PDMS) surfaces in contact (white areas) with particles (dark areas) at 0.5 and 1.5 N for smooth (upper row) and rough (lower row) surfaces. In (b), the same images are shown with a black-and-white filter applied to emphasize PDMS contact and particle contact. Larger white areas are seen at high  $F_N$ , indicating larger contact areas and particle insertion between asperities. Low surface coverage was used here for imaging purposes. Scale bar represents 200  $\mu$ m.

size (100  $\mu$ m), the particles become fully enveloped by the PDMS surfaces, and direct PDMS contact arises.

The glass particles between PDMS surfaces already show 339 optimal lubrication at only 10% surface coverage. For sur-340 face coverage values higher than 10% of particles, we see 341 a constant friction coefficient. We can thus expect that 10% 342 of particles distributes the total normal force over enough 343 particles that the PDMS substrates do not deform enough to 344 completely envelope the particles. Thus, PDMS-PDMS con-345 tact is limited, and the particles can roll between the PDMS 346 surfaces. This regime of constant friction coefficient repre-347 sents the rolling friction regime; values for  $\mu$  here are indeed 348 consistent with those shown in Fig. 4. 349

The surface coverage dependence measurements of hardparticle-lubricated soft substrates are an additional confirmation that there is a strong indentation depth or contact area dependence. Contact area dependencies are not uncommon for either soft or hard materials and have been shown to occur



FIG. 6. Friction coefficient as a function of surface coverage for the 100  $\mu$ m particles between a smooth hemispherical polydimethylsiloxane (PDMS) probe and a smooth flat PDMS substrate. Surface coverage is defined with respect to the maximum number of particles that geometrically fit in a single layer; see text.

in different systems, such as elastic contacts [31] and, more specifically, hydrogels [17,18,32].

#### E. Varying the tribometer motion

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To assess the robustness of the observations under different tribological circumstances, we varied the relative sliding and rolling motion of the sliding surfaces. To do this, we introduced an additional friction tester, the MTM, Fig. 7(a), which is known as a double drive tribometer. This tribometer can give us extra insights into the sliding-rolling effects, as the MTM can control the rotating PDMS ball and the sliding 364



FIG. 7. (a) Schematic view of the Mini Traction Machine with two polydimethylsiloxane (PDMS) surfaces and glass particles as a lubricant. (b) Friction coefficient obtained using a double drive tribometer at various slide-to-roll ratios.

PDMS disk independently [20,26,28]. The MTM also allows 365 us to answer an additional question: how does the surface 366 motion (e.g., rolling or sliding probe and substrate) influence 367 the contact area dependent friction coefficient? We use the 100 368  $\mu$ m particles entrained between two smooth PDMS surfaces 369 at 10 mm/s, as used previously, at a surface coverage of 370 100%. In this case, a normal force of 1 N is used to stay 371 within the measuring range of the tribometer, and the probe 372 is very similar to the probe used on the Bruker tribometer 373 [diameter (hemi-)sphere on MTM tribometer: 19 mm, Bruker 374 tribometer: 20 mm]. With the ability to drive the probe and 375 substrate separately, we have the possibility to vary the ratio 376 between the speed of the ball and the speed of the disk, also 377 known as the SRR. 378

At SRR = 2, where pure sliding of the surfaces takes place, 379 the frictional values are within the same range as observed 380 previously for the same particles of 100  $\mu$ m in diameter 381  $[\mu_{\text{max}} \approx 0.17, \text{Fig. 7(b)}]$ . The expected transition from sliding 382 383 to rolling friction is also seen using the MTM. We find a strong decrease in friction coefficient from 0.2 to  $\sim$ 0.008 when we 384 decrease the SRR from 2 to 0. When the ball and disk are 385 rotating at equivalent velocities, i.e., SRR = 0, the spherical 386 particles are easily maintained between the surfaces and are 387 allowed to roll along with the imposed motion of the PDMS 388 surfaces. The rolling of the particles is then driven by both the 389 ball and the disk, which results in extremely low friction coef-390 ficients. At higher SRRs, the rolling of the particles is mainly 391 driven by only one of the surfaces at a time, while the sta-392 tionary surface counteracts the rolling motion of the particles. 393 Additionally, at high SRR values, particles can be expected 394 to leave the contact regime and accumulate around the probe, 395 which would result in high friction as well. Once particles exit 396 the gap, large PDMS contact areas lead to increased friction 397 coefficient. Particles exit the gap at different times and speeds 398 during each measurement, which explains the large error bars 399 observable in Fig. 7(b). The increase in friction with decreased 400 particle rolling ability shows that restricted particle rolling 401 motion causes an increase in friction coefficient, which was 402 also seen when varying measuring parameters including the 403 normal force in previous sections. 404

#### F. Relating the friction coefficient to the contact area

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We have highlighted the transition from rolling (particle) 406 friction to sliding (surface dominated) friction by varying 407 the particle size, normal force, surface roughness, number of 408 particles, and even by using an additional tribological device. 409 As the friction coefficient is often related to the contact area, 410 we combine the friction coefficients from the measurements in 411 previous sections performed with the smooth probe into one 412 figure, as a function of the contact area radius estimated using 413 a Hertzian approach (Fig. 8). 414

Using this approach, we show that the friction coefficient 415 in the rolling regime scales with  $R_a^{-0.5}$ , with  $R_a$  the radius 416 of the contact area. The friction coefficient thus decreases 417 with increasing contact area for the particles with different 418 particle sizes. When the contact area increases due to in-419 creases in normal force or decrease in surface coverage, the 420 friction coefficient increases. In the latter case, particle inser-421 tion and PDMS-PDMS contact causes the increase in friction 422



FIG. 8. Frictional values of Figs. 2, 4, 6, and 7 are combined to display the friction coefficient as function of contact area radius ( $R_a$ ). The color bar represents  $R_g - d$ , which results in rolling, sliding or PDMS-PDMS contact friction.

coefficient. We attempt to quantify the increase of particle-423 particle and surface-particle contact and calculate the separa-424 tion distance between the two PDMS surfaces by subtracting 425 the indentation depth from the particle size; when the indenta-426 tion depth is larger than the particle size, the PDMS surfaces 427 are allowed to contact one another. We must note that PDMS 428 is a viscoelastic material, and surface deformation can lead 429 to internal dissipation at the surface or within the bulk of 430 the material. However, these effects often become significant 431 at either low sliding speeds or at higher deformation rates 432 [33,34], and therefore, we consider these negligible. This 433 scaling with the Hertzian contact mechanics parameters shows 434 that the change in the contact area (rather than effects caused 435 by internal dissipation) is the main contributor to the frictional 436 behavior observed here. 437

From the color bar in Fig. 8 showing  $R_g - d$ , we see 438 that an increase in this value causes a decrease in the fric-439 tion coefficient for the particles of different sizes. For the 440 100  $\mu$ m particles, we find that, when particles are pushed 441 into the soft surfaces and are still able to keep the surfaces 442 separated ( $R_g - d \approx 40 \ \mu m$ ), relatively low friction coeffi-443 cients are obtained. Once PDMS-PDMS contact is established 444  $(R_g - d < 0 \ \mu m)$ , a strong increase in the friction coefficient 445 is seen in this PDMS contact regime. This shows that each 446 frictional regime has its own complex relation with the contact 447 area depending on the particle-surface and surface-surface 448 dynamics. 449

The lowest friction coefficients are seen for the larger par-450 ticles that are well able to keep the surface apart (large  $R_g - d$ 451 values) in the rolling friction regime. When the separation 452 distance falls below 100  $\mu$ m, the particles are less able to 453 separate the surfaces. Below this gap size, particle insertion 454 into the PDMS surface begins to take place due to elastomeric 455 surface deformation, and PDMS-PDMS contact is enlarged. 456 We therefore propose the following mechanism: As particles 457 have limited rolling ability, friction is dominated by sliding 458 surfaces. This change from rolling particles to particles being 459 pushed into and deforming the surfaces marks the onset of 460

the sliding regime, as indicated in Fig. 8 in blue. Sliding

here refers to the limited rolling ability of the particles due to

insertion into the surfaces and enhanced particle-PDMS con-

tact. The separation distance between the surfaces decreases

further as the normal force increases or when there are less

particles present on the surface. Both conditions lead to a

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## IV. CONCLUSIONS

In this paper, we used two tribometers to assess the frictional dynamics of dry hard spherical particles lubricating soft surfaces. We find that the friction coefficient increases with increasing normal force, when we decrease the number of particles on the surface and when smaller particles are used. The increase in friction coefficient in these cases is attributed to more surface-surface and particle-surface contact.

By manipulating different aspects of this soft-hard tri-499 bosystem, we display three different frictional regimes: a 500 rolling regime, a sliding regime, and a PDMS-PDMS contact 501 regime. The rolling regime displays low friction coefficients, 502 attributed to the rolling motion of the particles. In the sliding 503 regime, an increase in friction coefficient is found as particles 504 are inserted between asperities due to similarity in size be-505 tween particles and asperities. In this regime, particle-surface 506 and surface-surface interactions arise, leading to an increase in 507 friction coefficients. When the particles are fully covered by 508 the surfaces (particle insertion and PDMS deformation), direct 509 PDMS-PDMS contact takes place, and the friction coefficient 510 increases accordingly. 511

#### ACKNOWLEDGMENTS

We would like to thank Heather Shewan for fruitful discussions. We wish to acknowledge funding by the Graduate School VLAG, travel funding from LEB fund Wageningen, and the Australian Research Council Discovery Project DP180101919 on Multiscale Viscoelastic Lubrication of Soft Matter Systems. 518

higher normal force per particle, i.e., more surface deforma-467 tion and higher surface-particle contact area and, with that, 468 higher friction coefficients. When the glass-PDMS indenta-469 tion depth is larger than the particle size, negative values are 470 found for the indentation depth. We find indentation depths 471 larger than the particle size at a surface coverage of 2 and 1%. 472 At these percentages of surface coverage, particles are fully 473 enveloped by the surfaces, and direct PDMS-PDMS sliding 474 contact occurs. 475 This supports our suggested frictional mechanism: For 476

large separations, low (or even no) direct PDMS-PDMS con-477 tact is expected, and low friction coefficients are found. As 478 479 the separation distance decreases due to a decrease in particle size, particle number, or an increase in normal force, the over-480 all indentation increases, and the friction coefficient increases 481 accordingly. Once the surfaces are in contact, a large increase 482 in friction is measured. We thus show that the degree of sep-483 aration between the PDMS surfaces, as caused by changes in 484 normal force and particle size of surface coverage, determines 485 in what contact regime the frictional system is located. Based 486 on the contact regime (PDMS contact, sliding, or rolling), the 487 friction coefficient shows a positive or negative dependence 488 on the contact area of the contact between the glass particles 489 and the PDMS surface. 490

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