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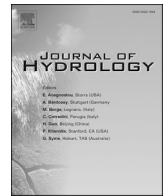
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## Research papers

# High-resolution modeling and prediction of urban floods using WRF-Hydro and data assimilation



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## ABSTRACT

We assess the impact of increasing the resolution of hydrologic modeling, calibration of selected model parameters and assimilation of streamflow observation toward event-based urban flood modeling and prediction using WRF-Hydro in the Dallas-Fort Worth area (DFW). We use quantitative precipitation estimates at 500-m 1-min resolution from the Collaborative Adaptive Sensing of the Atmosphere radar network for observed rainfall, Stepwise Line Search for calibration, and fixed-lag smoothing for data assimilation (DA). The model domain is a 144.6 km<sup>2</sup> area comprising 3 urban catchments in Arlington and Grand Prairie in the middle of DFW. It is shown that event-specific calibration of 6 WRF-Hydro parameters is largely successful in simulating hydrographs at the catchment outlets particularly for the most important rising limbs, but less so for attenuated peaks or fast-receding falling limbs. A spatial resolution of at least 250 m was necessary for the land surface model (LSM) to delineate small catchments and hence to capture catchment-wide rainfall with acceptable accuracy. Simulations at selected combinations of resolutions, 250 and 125 m for the LSM and 250, 125, 50 m for the routing models, showed mixed results. The overall results indicate that, in the absence of resolution-specific prescription and calibration of channel routing parameters, a resolution of 250 m for both the LSM and routing models is a good choice in terms of performance and computational requirements, and that, in the absence of high-quality calibration and continuous simulation of streamflow, DA is necessary to initialize WRF-Hydro for event-based high-resolution urban flood prediction.

## 1. Introduction

With the implementation of the National Water Model (NWM), the National Weather Service (NWS) has made a step-change advance in operational water forecasting by enabling high-resolution (1 hr, 1 km for land surface and 250 m for routing) hydrologic modeling across the US (NWS, 2020). As a part of the NWM initiative, the NWS has been mandated to provide forecasts at even higher spatiotemporal resolutions when and where such information is demanded such as in large urban areas for flood warning, and areas of high-value infrastructure,

susceptible to landslides, or impacted by forest fires (Graziano et al., 2017). The value of high-resolution products and services depends not only on the hydrologic and hydraulic models but also on the quality of the forcings, model parameters, initial conditions (IC) and boundary conditions at the commensurate resolutions. In the DFW area, the Collaborative Adaptive Sensing of the Atmosphere (CASA) Program operates a network of X-band radars to provide a suite of meteorological, hydrometeorological and hydrologic products for severe weather and flash flood monitoring and prediction (Chandrasekar et al., 2013). The network currently consists of 7 radars located at Addison, Arlington,

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Cleburne, Denton, Fort Worth, Mesquite and Midlothian, TX. A salient feature of the above operation is that the radar rainfall data are available at a very high resolution of 500 m and 1 min. The CASA quantitative precipitation estimates (QPE) are currently input to the NWS Hydrology Laboratory-Research Distributed Hydrologic Model (HL-RDHM, Koren et al., 2004; NWS, 2009) to produce a suite of hydrologic products at the same resolution in real time (Rafieeinassab et al., 2015; Habibi et al., 2016; Habibi and Seo, 2018). The characteristic spatial scale of natural and man-made physiographic features in the study area suggests that a further increase in hydrologic model resolution may improve the information content of the model output (Habibi et al., 2019). There is also an ever increasing demand for higher resolution hydrologic products for enhanced spatio-temporal specificity. The purpose of this work is to assess using WRF-Hydro how increasing the resolution of hydrologic modeling, calibration of selected model parameters and assimilating locally-available observations of precipitation and streamflow may improve flood modeling and prediction toward high-resolution water forecasting in urban areas.

Real-time continuous operation of high-resolution models is computationally very expensive particularly for large areas (Habibi et al., 2019). A more practical approach is likely to be event-based operation with robust initialization. As such, our assessment is carried out in the context of event-based modeling and prediction. The event-based paradigm meant that most conventional calibration methods, which rely on time-continuous observations of precipitation and streamflow, and sequential DA methods, which employ recursive state updating, may not be applicable or desirable. To that end, we employ multi-event averaging of event-specific parameter optimization results for calibration and reduced-rank fixed-lag smoothing for DA. The new contributions of this paper are: selective calibration of WRF-Hydro for urban flood modeling and prediction, improving simulation of highly peaked hydrographs with the addition of a conditional bias (CB)

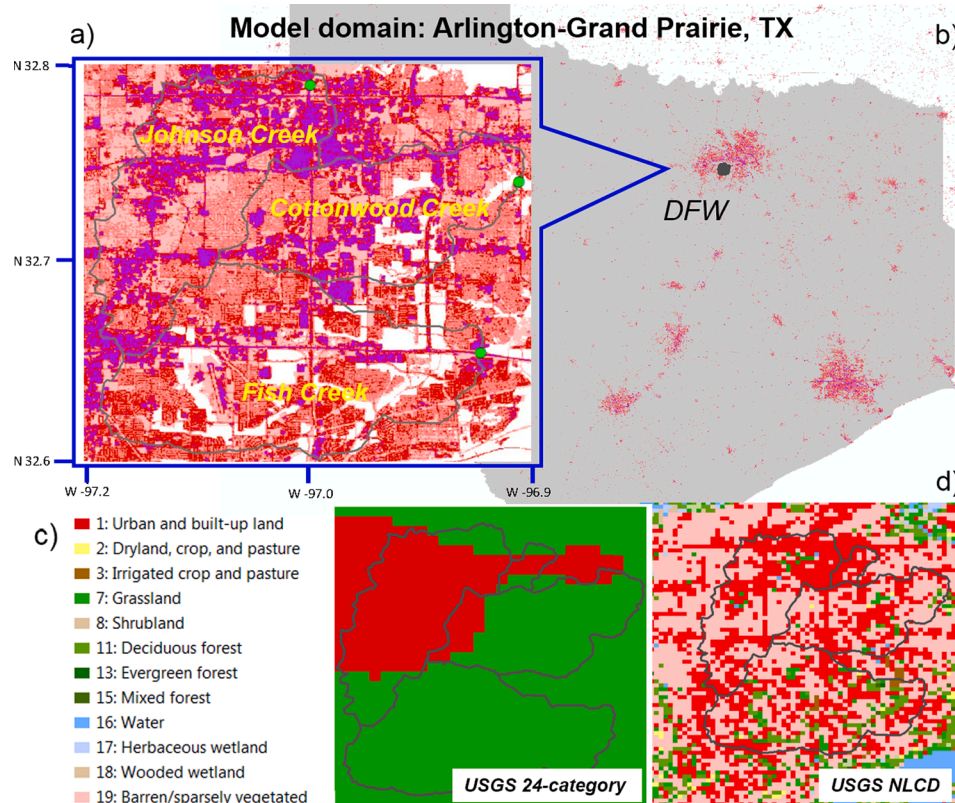
penalty, and assessment of the impacts of different spatio-temporal resolutions of rainfall-runoff and routing models, of ICs and land cover, and of assimilation of streamflow observations for initialization of WRF-Hydro toward event-based operation of high-resolution urban flood prediction. This paper is organized as follows. In Section 2, we describe the study area, data used and the hydrologic models used. Section 3 describes the methods used in the experiment design, calibration and DA. Section 4 describes the experiments and presents the results. Section 5 provides the conclusions and future research recommendations.

## 2. Study area, data and hydrologic models used

Here we describe the study area, data used and hydrologic models used.

### 2.1. Study area

The study area comprises the Johnson (40.2 km<sup>2</sup>), Cottonwood (32.3 km<sup>2</sup>) and Fish (54.6 km<sup>2</sup>) Creek Catchments in the Cities of Arlington and Grand Prairie in the Dallas-Fort Worth (DFW) area of TX (see Fig. 1a,b). These basins have been used in previous studies of high-resolution hydrologic modeling and sensing (Rafieeinassab et al., 2015, Norouzi, 2016; Habibi et al., 2016, 2019). The Johnson, Cottonwood and Fish Creek Catchments, referred to herein as JC, CC and FC, respectively, are highly urbanized with impervious fractions of 0.48, 0.37 and 0.31, respectively (Habibi et al., 2019, see Fig. 1a). Hydroclimatologically, the study basins are particularly challenging for hydrologic modeling and prediction due to very short memory in the surface and soil water storages. Recent assessment of the streamflow prediction skill of the NWS operational hydrologic models indicates that the study region has the smallest predictability among the 138 basins



**Fig. 1.** a) The 3-basin study area with commercial impervious (purple) and high-density developed (red) areas in the background. b) State-wide view of the study area. c) USGS 24-category and d) NLCD land cover in the study area. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

assessed in 8 different River Forecast Centers' (RFC) service areas across large sections of the US (Alizadeh et al., 2020).

## 2.2. Data used

The CASA QPE products have been extensively evaluated (Chandrasekar et al., 2012; Chen et al., 2017; Cifelli et al., 2018). Comparative evaluation of different radar-based QPE products (Rafieinasab et al., 2014, 2015) showed that the CASA QPE is generally more accurate for larger precipitation amounts in the study area whereas the Multisensor Precipitation Estimator (MPE, Seo et al., 2010) estimates do better for smaller amounts. The CASA QPE operation recently began fusing the QPE from the X-band radar network with that from the WSR-88D in Burlington, TX (Chen and Chandrasekar, 2015). The rainfall estimates used in this study are the resulting fused QPE product. For details, the reader is referred to Chandrasekar (2017).

Because the CASA network has been in continuous operation only for several years, a long period of time-continuous data is not available. In this study, we used the 5 recent events of varying magnitude listed in Table 1. Fig. 2 shows the total rainfall maps for the 4 largest events. All other forcings for WRF-Hydro are from the near real-time North American Land Data Assimilation System (NLDAS) Phase 2 forcing and model output produced operationally at the Environmental Modeling Center of the NOAA/NWS/National Centers for Environmental Prediction (Cosgrove et al., 2003). Networks of ALERT sensors operated by the Cities of Arlington and Grand Prairie provide water level observations in the study area including at the catchment outlets. The observations are based on pressure transducers located at the channel bottom. To estimate discharge from stage observations, we used rating curves derived by Norouzi (2016) at the outlets of the 3 catchments (see Fig. 1a) based on the numerical modeling approach of Kean and Smith (2004), Kean and Smith (2005), and Kean and Smith (2010).

## 2.3. Hydrologic model used

The hydrologic model used is WRF-Hydro Version 5.0.2. (Gochis et al., 2018). For urban flood modelling, the most important components are the rainfall-runoff, terrain, or hillslope, routing and channel routing models. Below, we describe only the core model dynamics that are directly relevant to the development of this work.

## 2.4. Rainfall-runoff model

The rainfall-runoff option used in this work is the Simple Water Balance model (SWB) of Schaake et al. (1996) which is used by the NWM also. As in Moore (1985) and the SCS curve number method (USDA, 1986), the SWB models the average runoff depth over a grid box or a catchment,  $Q_s$ , as (Schaake et al., 1996):

$$Q_s = \frac{P_x^2}{(P_x + I_c)^2} \quad (1)$$

where  $P_x$  and  $I_c$  denote the average precipitation depth and infiltration capacity over the grid box. The infiltration capacity,  $I_c$ , in Eq. (1) is modeled as (Schaake et al., 1996):

$$I_c = D_x(1 - e^{-kt}) \quad (2)$$

Where  $D_x$  denotes the maximum water holding capacity of the soil column,  $k$  denotes the decay coefficient and  $t$  denotes the time elapsed. Eq. (2) is analogous to the potential infiltration depth,  $F$ , of the Horton infiltration model (Horton, 1941) without the constant infiltration rate due to gravity:

$$F = \frac{f_0}{k}(1 - e^{-kt}) \quad (3)$$

where  $f_0$  denotes the initial potential infiltration rate due to suction pressure and  $k$  denotes the decay rate. One may hence interpret the maximum soil water holding capacity,  $D_x$ , as representing  $f_0/k$  in Eq. (2) where  $1/k$  represents the time scale of decay of potential infiltration rate. The maximum water holding capacity  $D_x$  in Eq. (2) is modeled as (Schaake et al., 1996):

$$D_x = \sum_{i=1}^4 \Delta Z_i(\theta_{sat} - \theta_i) \quad (4)$$

where  $\Delta Z_i$  denotes the thickness of the  $i$ -th soil layer,  $\theta_{sat}$  denotes the saturation soil water content (i.e., porosity) and  $\theta_i$  denotes the initial soil water content in the  $i$ -th soil layer. Eq. (4) is analogous to the total infiltration depth in the Green-Ampt infiltration equation (Green and Ampt, 1911):

$$F = Z_f(\theta_{sat} - \theta_{init}) \quad (5)$$

where  $Z_f$  denotes the depth to the wetting front and  $\theta_{init}$  denotes the vertically uniform initial soil water content. As shown above, the surface runoff component of the SWB may be considered as a combination of the SCS method for runoff ratio and the Horton infiltration equation without the gravity term for time decay in potential infiltration rate in which the maximum water holding capacity is prescribed by the depth-integrated soil pore space given the antecedent soil water content. The study area is highly urbanized. Accurate high-resolution depiction of land cover is hence very important (Rafieinasab et al., 2015; Norouzi, 2016; Habibi et al., 2016). WRF-Hydro uses the United States Geological Survey's (USGS) 24-category land cover product (Loveland et al., 1995, see Fig. 1c) to parameterize the Land Surface Model (LSM). In this work, we use the USGS's National Land Cover Database (Wickham et al., 2020) for higher resolution depiction (see Fig. 1d) and compare with the USGS 24-category land cover.

## 2.5. Terrain routing model

The terrain, or hillslope, routing option used in this work is the diffusive wave model. The mass balance equation is given by:

$$\frac{\partial h}{\partial t} + \frac{\partial q_x}{\partial x} + \frac{\partial q_y}{\partial y} = i_e \quad (6)$$

where  $h$  denotes the water depth,  $q_x$  and  $q_y$  denote the specific discharge along the  $x$ - and  $y$ -directions, respectively, and  $i_e$  denotes the excess precipitation, or surface runoff depth, given by the rainfall-runoff model. Though expressed as a 2D model, Eq. (6) is solved only along the steepest-descending direction, referred to as the D8 option in WRF-Hydro (Gochis et al., 2018). The momentum balance equation is given by:

**Table 1**

List of rainfall events used.

Event	Event total mean areal rainfall (mm)			Period of record	Duration
	JC <sup>a</sup>	CC <sup>b</sup>	FC <sup>c</sup>		
Jan 2017	75.8	90.8	71.6	00:00Z 01/16/2017–23:59Z 01/17/2017	48 hrs
Feb 2018	95.2	93.7	100.5	00:00Z 02/20/2018–07:59Z 02/21/2018	32 hrs
Sep 2018	97.6	103.1	131.9	12:00Z 09/21/2018–19:59Z 09/22/2018	32 hrs
Apr 2019	31.5	33.5	27.1	00:00Z 04/17/2019 – 11:28Z 04/18/2019	35 hrs
May 2019	56.5	60.1	62.5	00:00Z 05/08/2019 – 03:43Z 05/09/2019	28 hrs

<sup>a</sup> Johnson Creek Catchment.

<sup>b</sup> Cottonwood Creek Catchment.

<sup>c</sup> Fish Creek Catchment.



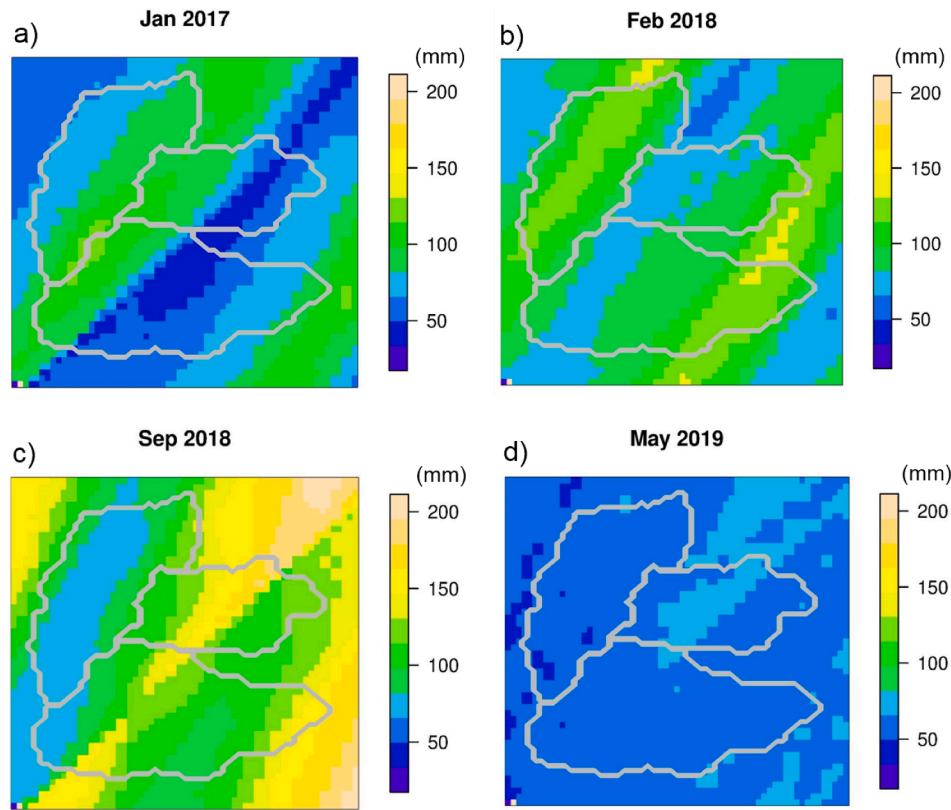


Fig. 2. Event total rainfall maps (in mm) for the a) Jan 2017, b) Feb 2018, c) Sep 2018 and d) May 2019 events.

$$-\frac{\partial h}{\partial x} + S_{ox} = S_{fx} = \left( \frac{n_{ov} q_x}{h^{5/3}} \right)^2 \quad (7)$$

where  $S_{ox}$  denotes the terrain or channel bed slope,  $S_{fx}$  denotes the friction slope and  $n_{ov}$  denotes the Manning's friction coefficient for the hillslope. The last equality in Eq. (7) follows from the Manning's equation under the wide channel assumption (Akan and Houghtalen, 2013). In WRF-Hydro,  $S_{ox}$  is calculated based on the DEM data and  $n_{ov}$  is prescribed according to land cover. As such, the choice of the land cover data impacts terrain routing.

## 2.6. Channel routing model

The channel routing option used in this work is the gridded diffusive wave model which solves the following mass and momentum balance equations:

$$\frac{\partial A}{\partial t} + \frac{\partial Q}{\partial x} = q_l \quad (8)$$

$$-\frac{\partial h}{\partial x} + S_o = S_f = \left( \frac{nQ}{AR^{2/3}} \right)^2 \quad (9)$$

where  $A$  denotes the wetted channel cross-sectional area,  $Q$  denotes the flow rate,  $q_l$  denotes the lateral inflow from Eqs. (6) and (7),  $h$  denotes the water depth,  $S_o$  denotes the channel bed slope,  $n$  denotes the Manning's roughness coefficient for the channel bed and  $R$  denotes the hydraulic radius of the channel cross section. The resulting finite difference equation is solved iteratively using the Newton-Raphson method (Gochis et al., 2018). The channels are delineated based on the National Hydrographic Dataset Plus Version 2 (NHDPlusV2, Moore et al., 2019). The channel routing model assumes trapezoidal cross section for which two additional parameters, the channel bottom width and side slope, are necessary:

$$Q = \frac{1}{n} AR^{2/3} S_f^{1/2} = \frac{1}{n} \frac{((B_w + zh)h)^{5/3}}{(B_w + 2h\sqrt{1+z^2})^{2/3}} S_f^{1/2} \quad (10)$$

where  $B_w$  denotes the channel bottom width,  $z$  denotes the channel side slope and  $h$  denotes the water depth. WRF-Hydro prescribes the above parameters stream order-specifically, i.e., channels of the same Strahler stream order share the same parameter values for channel routing (Gochis et al., 2018).

## 3. Methods

To assess how the resolution of hydrologic modeling, calibration, and DA may impact urban flood modeling and prediction using WRF-Hydro, we designed and carried out a set of simulation experiments. In this section, we describe the experiment design, calibration and DA.

### 3.1. Design of experiments

Table 2 shows the combinations of resolutions considered in this work. The CASA QPE is available at 500 m 1 min resolution. Rafieeinassab et al. (2015) report that a resolution of 500 m and 15 min or higher is necessary for streamflow prediction at the outlets of the study basins using CASA QPE and HL-RDHM (Koren et al., 2004). To assess how higher spatial resolution of hydrologic modeling may improve flood

Table 2

Combinations of spatio-temporal resolutions used.

	QPE	Rainfall-runoff	Terrain and channel routing
Spatial	125, 250, 500 m (all at 1 min resolution)	125, 250 m	50, 125, 250 m
Temporal	1, 10 min (both at 250 m resolution)	1 min timestep	15 sec timestep

simulation in the study area, we disaggregate the 500 m QPE to QPEs at nominal resolutions of 250 m and 125 m by remapping the CASA QPE on a lat-lon grid to a Lambert conformal conic grid for ingest by WRF-Hydro. For the remapping, we used the conserve method available for ESMF (NCAR, 2020). In addition, to assess possible gains from higher temporal resolution modeling, we aggregated the native resolution 1 min CASA QPE to 10 min accumulations. With the above choices, the LSM was run at 3 different spatial resolutions of 500, 250 and 125 m with a common native temporal QPE resolution for the spatial resolution experiment, and at two different temporal resolutions of 1 and 10 min with a common spatial resolution of 250 m for the temporal resolution experiment. In the above experiments, the resolution of the routing models was fixed at 250 m. The limited number of combinations of resolutions represent a compromise between the computational requirements and the range of resolutions that are most likely to be of operational interest in the study area.

It was observed in the early stages of the spatial resolution experiment that the mean areal precipitation (MAP) calculated at 500 m resolution is significantly different from that at 250 m or 125 m. The differences were traced to the coarseness of 500 m grid boxes in delineating small catchments in WRF-Hydro. Significant errors in precipitation volume often translate into significant errors in peak flow and time-to-peak flow. As such, we excluded 500 m resolution from further consideration. For routing, we initially considered 25 m resolution as well. It was discovered in the early stages, however, that the number of stream segments at this resolution for the study domain exceeds the maximum allowed by WRF-Hydro. For this reason, we excluded 25 m from further consideration for routing. Though limited in number, the resulting combinations allow comparisons of the LSM resolutions of 250 m and 125 m given the common routing model resolution of 125 m and of the routing model resolutions of 250 m, 125 m and 50 m given the common LSM resolution of 125 m.

### 3.2. Calibration

WRF-Hydro employs a large number of parameters for rainfall-runoff and routing modeling. Most of them are modeled as spatially-varying and specified by spatial maps or lookup tables of the relevant physiographic variables. Due to the computational cost, it is impractical to calibrate a large number of parameters. The approach taken in this work is to identify only the most influential and adjust them up or down with multiplicative scaling factors over the entire catchment, thus maintaining the prescribed spatial variations and physiographic relationships (Gupta et al., 2003). Examination of the model physics described in Eqs. (1) through (10) indicates the most influential parameters for the rainfall-runoff and routing models are likely to be the potential infiltration rate decay coefficient  $k$  in Eq. (2), the Manning's friction coefficient for overland flow,  $n_{ov}$ , in Eq. (7) and the 4 channel routing parameters of the Manning's friction coefficient  $n$ , the bottom width,  $B_w$ , the side slope  $z$ , and the initial water depth,  $h$ . The above 6 parameters,  $k$ ,  $n_{ov}$ ,  $n$ ,  $B_w$ ,  $z$  and  $h$ , are denoted in WRF-Hydro as *refdk*, *sfc\_rough*, *rmannn*, *bw*, *chsslp* and *hlink*, respectively, which are used below. Extensive sensitivity analysis involving all rainfall-runoff and routing parameters confirm the above choices. The decay coefficient  $k$  in Eq. (2) is coded in WRF-Hydro as:

$$k = \left( \text{REFKDT} \frac{DKSAT}{REFDK} \right) \cdot \left( \frac{DT}{86400} \right) \quad (11)$$

where *DKSAT* denotes the saturated hydraulic conductivity, *REFDKDT* and *REFDK* are parameters for surface runoff (Gochis et al., 2018), and *DT* denotes the time step in seconds. Both *REFKDT* and *REFDK* are calibratable parameters. Because adjusting *REFDKT* has the same effect as adjusting *REFDK*<sup>-1</sup> for  $k$ , it is not necessary in practice to calibrate both. As such, we calibrate only *REFDK* in this work. Note in Eq. (11) that, if *REFDK* increases or decreases,  $k$  decreases or increases and hence the

infiltration capacity decreases or increases given the maximum water holding capacity,  $D_x$ , respectively. Accordingly, one may consider *REFDK* as controlling the runoff ratio. All other parameters in the LSM are set to the WRF-Hydro default (Gochis et al., 2018).

For the terrain routing model,  $n_{ov}$  is by far the most important. In WRF-Hydro,  $n_{ov}$  is prescribed according to the USGS 24-category land cover (Loveland et al., 1995). In this work, we use the National Land Cover Database (NLCD, Wickham et al., 2020) and the same default land cover-dependent values of  $n_{ov}$ . In the calibration process, we apply a single multiplicative adjustment factor to the spatially varying  $n_{ov}$  for the entire catchment. Calibration of channel routing parameters presents a particular challenge as elaborated below. There are a total of 4 parameters,  $B_w$ ,  $z$  and  $n$ , and the initial condition,  $h$ , to be determined in the calibration process whereas the only source of information available is observed streamflow at the catchment outlet. For most natural channels, the cross sections are not trapezoidal. It is hence difficult to prescribe  $B_w$  and  $z$  externally based on physiographic information particularly for small streams. Given the above picture, we opted to assess first the impact of changes in each channel routing parameter via a series of idealized sensitivity analysis using the recently developed general analytical solution for nonlinear reservoir (Nazari and Seo, 2020). In this analysis, we prescribe an impulse as the upstream hydrograph and route it through a nonlinear reservoir which is modeled as a hydraulically-equivalent trapezoidal channel as in WRF-Hydro. We then visually examine the shape of the downstream hydrographs and assess the impact of changes in each of the 4 parameters to the downstream hydrograph. The results indicate that changes in each of the 4 routing parameters often produce similar effects, that the shape of the outlet hydrograph is least sensitive to changes in  $z$  and that, in addition to  $n$ , both  $B_w$  and  $h$  shape the outlet hydrograph to a significant degree, in particular, the upper and lower parts of the falling limb. The above findings suggest that one may be able to prescribe  $z$  externally and calibrate only the other three. In this work, we chose to calibrate all 4 parameters to assess empirically the degree of under-determinedness in each.

For calibration, we initially considered the Shuffled Complex Evolution (SCE, Duan et al., 1992) and the Stepwise Line Search (SLS, Kuzmin et al., 2008). Due to excessive computational requirement of SCE, however, we chose SLS as the main calibration technique (see Kuzmin et al., 2008 for comparison). Once the parameter space is defined, we use Latin Hypercube sampling (LHS, Tang, 1993) to run WRF-Hydro with the randomly-sampled parameter values from which a small number of best-performing parameter sets is retained. We then run SLS using the parameter sets retained above as starting points, visually examine the resulting hydrographs and choose the best. The original SLS minimizes the multi-scale objective function consisting of normalized root mean square error of simulated flow at multiple time scales of aggregation such as hourly, daily, weekly, monthly, etc. The hydrologic response time of the study basins, on the other hand, is sub-daily for which the multiscale objective function is not necessary. A second modification to SLS deals with the objective function itself as elaborated below. Arguably the two most important variables for urban flood prediction are the peak flow and time-to-peak flow, i.e., the time until the peak flow occurs relative to some reference time of user's interest. The hydrographs for the study basins are often characterized by high degrees of peakedness due to fast surface runoff over urban and semi-dry land surfaces. Commonly used objective functions for calibration such as the mean squared error (MSE) of simulated flow or its variable transform is not very effective in simulating very sharp peaks due to the typically very small number of observations associated with peak flows. To address the above, we combine the mean error (ME), MSE and Type II conditional bias (CB) for the objective function as follows the last of which is specifically to improve simulation of peaked hydrographs:

$$J = \left( \frac{1}{n} \sum_{i=1}^n O_i - \frac{1}{n} \sum_{i=1}^n S_i \right)^2 + \frac{1}{n} \sum_{i=1}^n (O_i - S_i)^2$$

$$+ \alpha \frac{1}{n} \sum_k^K n_k \left\{ O_k^{mid} - \frac{1}{n_k} \sum_{i=1}^{n_k} (S_i | O_k^{min} \leq O_i \leq O_k^{max}) \right\}^2 \quad (12)$$

where  $O_i$  and  $S_i$  denote the observed and simulated flows at timestep  $i$ ,  $n$  denotes the total number of  $\{O_i, S_i\}$  pairs in the calibration period,  $\alpha$  denotes the weight given to the conditional bias penalty term,  $K$  denotes the number of subintervals dividing the range of observed flow,  $O_k^{min}$  and  $O_k^{max}$  denote the lower and upper bounds of the  $k$ -th subinterval,  $n_k$  denotes the number of observed flow within the  $k$ -th subinterval,  $O_k^{mid}$  denotes the mid-point between  $O_k^{min}$  and  $O_k^{max}$ , i.e.,  $O_k^{mid} = O_k^{min} + (O_k^{max} - O_k^{min})/2$ , and  $S_i | O_k^{min} \leq O_i \leq O_k^{max}$  denotes the  $i$ -th simulated flow for which the verifying observed flow falls in the  $k$ -th subinterval. The three terms in Eq. (12) represent the ME, the MSE and the mean of the Type-II CB squared, respectively. The first term may appear redundant in that reducing CB is a sufficient condition for reducing ME. In practice, however, the CB penalty may not be effective across all ranges of flow due to small sample size in certain sub-ranges. Our experience indicates that a sub-range of 10 (cms) and  $\alpha = 2$  generally yield satisfactory results for the study basins. We note here that the last two terms in Eq. (12) represent a sample statistic for the objective function used in CB-penalized optimal linear estimation for improved estimation of extremes (Brown and Seo, 2013; Seo, 2012; Seo et al., 2014; Kim et al., 2018; Seo et al., 2018a, 2018b; Shen et al., 2019; Lee et al., 2019; Jozaghi et al., 2019).

Though the number of parameters calibrated is small, it is still computationally too expensive to perform resolution-specific calibration for all combinations of resolutions (see Table 2). The alternative strategy adopted in this work is to calibrate using SLS-LHS at the lowest spatial resolution, i.e., 250 m for both the LSM and routing models, and use the resulting parameter values as the starting point for calibration at the next higher-resolution using SLS only. For the routing model resolution of 50 m, however, the above strategy could not be used due to excessively large computational requirements (see Table 3). Instead, we borrow the calibration results at 250 m LSM and 125 m routing models and assess parameter transferability from 125 m to 50 m for routing. Event-specific calibration is bound to overfit the specific event at hand. To avoid dependent evaluation based on overfitted parameters, we averaged the middle 3 parameter values out of the 5 from event-specific calibration. The rationale for dropping the largest and the smallest values is to avoid large biases arising from possible extremes. The average parameter values thus obtained are referred to as the non-event-specific calibration results.

### 3.3. Assimilation of streamflow observations

Hydrologic and hydraulic processes are heavily controlled by complex local physiography. The models may not capture the fixed boundary conditions, the ICs or the physical processes occurring over certain ranges of scale. In addition, the precipitation input may have significant systematic or random errors, or the hydrologic model may lack adequate calibration. In such situations, the model states may become too unrealistic to produce skillful predictions especially when the hydrometeorological or hydrologic conditions depart from the historically observed.

**Table 3**

Wall clock times (in sec) for a 32-hr WRF-Hydro simulation.<sup>a</sup>

Resolution (m)		Number of threads			
LSM	Routing models	4	8	16	32
250	250	32	18	13	11
250	125	63	37	26	22
250	50	1043	637	386	264
125	125	150	79	48	43

<sup>a</sup> On Intel(R) Xeon(R) Gold 6152 CPU @ 2.10 GHz 44 CPU core (2 threads/core) Linux computer.

For this reason, some form of state updating, manual or automatic, is generally necessary for real-time flood forecasting (WMO, 1992). With high-resolution models, however, manual DA is not viable due to the very large dimensionality (Lee et al., 2011; Lee and Seo, 2014). In this work, we assess how assimilating streamflow observations at the catchment outlet may be used to initialize WRF-Hydro for event-based prediction. For the DA method, we use the fixed-lag formulation (Seo et al., 2003, 2009) of the ensemble Kalman filter (EnKF, Evensen, 1994, 2003). The motivation for the fixed-lag smoother is to support forecaster-supervised on-demand initialization of WRF-Hydro whether DA was previously run or not. We note here that EnKF is implemented in OpenDA (Van Velzen et al., 2016; Rakovec et al., 2015) which is integrated with the NWS's Community Hydrologic Prediction System (Roe et al., 2010), the main operational river forecast system at the RFCs. As such, there already exists an operational tool for implementation of the proposed method.

The control variables, i.e., the variables to be updated or adjusted via DA, include the multiplicative adjustment factor,  $\beta_p$ , to precipitation,  $P_x$ , applicable uniformly to the precipitation over the entire catchment  $P_x$ , and over the entire assimilation window (see Eq. (13)), and the multiplicative adjustment factor,  $\beta_\theta$ , to soil moisture,  $\beta_\theta$ , applicable uniformly to all 4 soil moisture layers  $\theta_i, i = 1, \dots, 4$ , and valid at the beginning of the assimilation window (see Eq. (14)):

$$Q_s = \frac{(\beta_p P_x)^2}{(P_x + I_c)^2}, \beta_p \geq 0 \quad (13)$$

$$D_x = \sum_{i=1}^4 \Delta Z_i (\theta_{sat} - \beta_\theta \theta_i), \beta_\theta \geq 0, i = 1, \dots, 4 \quad (14)$$

The simulated streamflow observations are then augmented to the state vector to render the observation equation linear (Lorentzen and Naevdal, 2011; Rafieeiniasab et al., 2014; Lee et al., 2019). As formulated above, the DA problem amounts to solving for the two adjustment factors in each assimilation cycle such that the simulated streamflow at the catchment outlet tracks the observed. If sequential estimation is desired, the control variables may be propagated from one assimilation cycle to the next based, e.g., on the first-order autoregressive-1 model (Lee et al., 2019). Different variations of the above DA approach have been used successfully with both lumped and distributed hydrologic models in both operational and research settings in the US and elsewhere (Lee et al., 2011, 2012, 2015, 2016; Lee and Seo, 2014; Kim et al., 2014; Mazzoleni et al., 2018; Noh et al., 2018; Rafieeiniasab et al., 2014; Riazi et al., 2016; Seo et al., 2003, 2009).

An important difference between the above formulation and the previous formulations of fixed lag smoothing is that the former does not include additive errors to runoff, i.e., later inflow into channels. The reason for this departure is that the addition requires modifications to the WRF-Hydro source code. Because there is no guarantee a priori that the model dynamics admit the error-added flows, the above modifications may produce numerical instabilities that are difficult to diagnose or control. The lack of additive error in the control vector means that the DA formulation is strongly-constrained rather than weakly-constrained (Lee et al., 2016), and hence more likely to render the smoother more susceptible to model structural or parametric errors. In addition to the assimilation window length and ensemble size, it is necessary to prescribe several uncertainty parameters for the smoother: the observation error variances for precipitation and streamflow, and mean and variance (or, alternatively, median and coefficient of variation) of each of  $\beta_\theta$  and  $\beta_p$ . In this work, the above DA parameters were prescribed following Lee et al. (2019) using the homoscedastic model and lognormal distribution for  $\beta_\theta$  and  $\beta_p$ , and were estimated based on limited sensitivity analysis (Rafieeiniasab et al., 2015; Lee et al., 2019). Due to the strongly-constrained nature of the DA formulation, however, the performance of DA is likely to benefit significantly from more rigorous estimation of the DA parameters.

## 4. Results

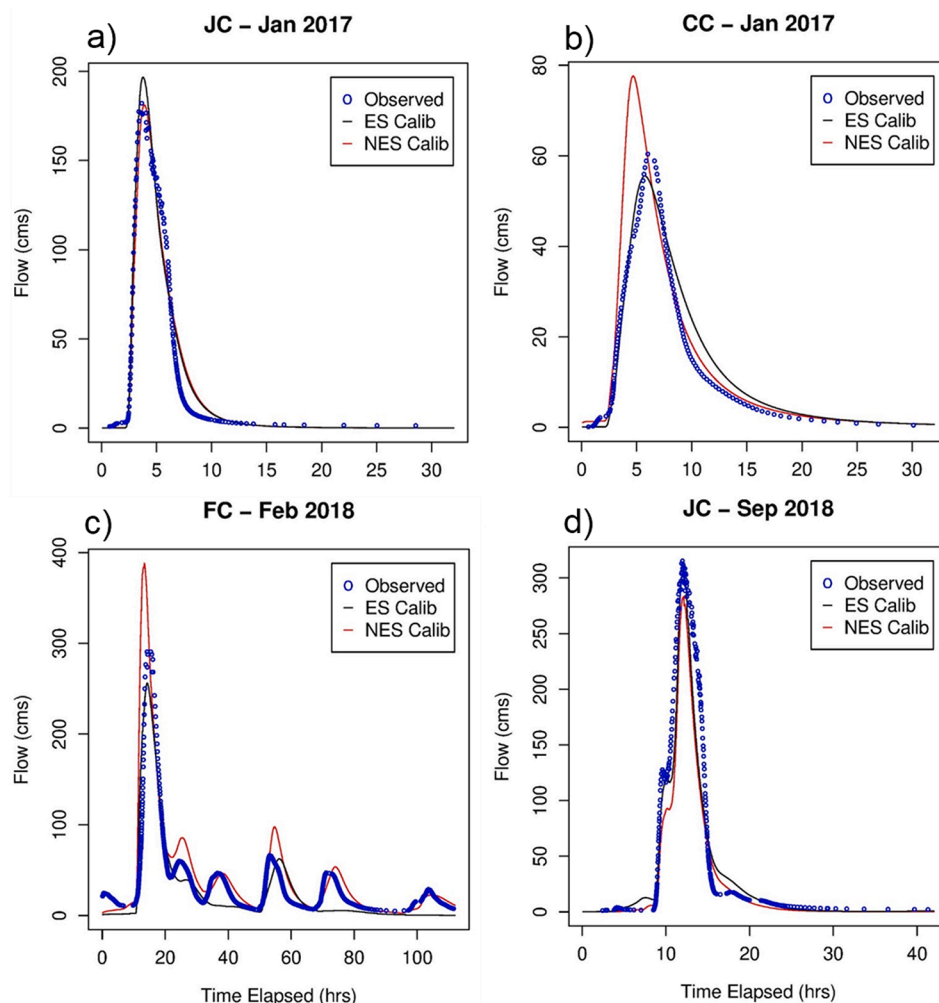
Our assessment consisted of the 5 experiments described below. We use peak flow and time-to-peak flow errors as the primary performance measures, by far the two most important for urban flood prediction (Liu et al., 2011; Rafieeiniasab et al., 2014).

### 4.1. Experiment 1: Event-specific vs. non-event-specific calibration

Fig. 3 shows examples of event-specific (black) vs. non-event-specific (red) calibration results at 250 m resolution for both the LSM and routing models. Additional results are presented in Fig. 10 in the context of DA. The temporal resolution of QPE is 1 min. The event-specific results are based on calibrating the 6 parameters specifically for each event. The non-event-specific results are based on dropping the largest and smallest values from the 5 event-specific results and averaging only the middle 3. It is important to point out that, in event-specific calibration,  $refdk$  reflects the soil moisture ICs. Note in Eqs. (2) and (4) that changing  $refdk$  has effects similar to changing the maximum water holding capacity of the soil,  $D_x$ , which is a function of the initial soil water content. Event-specific calibration of  $refdk$  is hence subject to event-to-event variability of antecedent soil moisture conditions. The averaging of the 3 middle parameter values from the event-specific results is an attempt to dampen or average out this variability in the ICs. To illustrate, Fig. 4a shows the event-specific result for the multiplicative

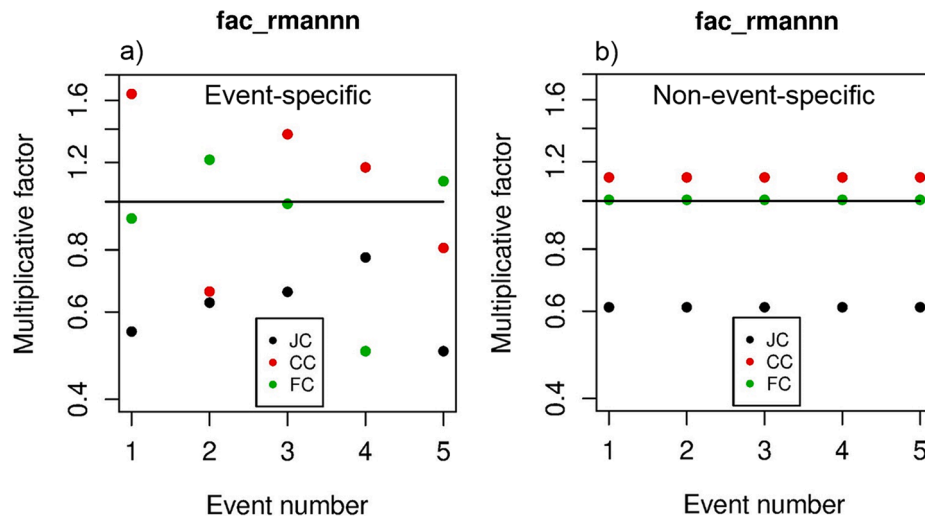
factor to  $rmannn$ , or  $fac\_rmannn$ . Significant event-to-event variations are seen particularly for less impervious CC and FC (see Fig. 1). Fig. 4b shows the non-event-specific result from averaging the middle 3 parameter values in Fig. 4a. Note that JC, which has the largest impervious fraction (see Fig. 1), has significantly smaller  $rmannn$  than CC and FC, and that little adjustment from the WRF-Hydro default was needed for the least impervious FC.

The event-specific results indicate that the calibration strategy is mostly successful in simulating hydrographs for the most important rising limbs. For a number of cases, however, the simulated hydrographs do not recede as quickly as the observed. A likely contributing factor is that WRF-Hydro does not model storm drains. While the impact of storm drains is not very significant for large events (Rafieeiniasab et al., 2015), in lower flow conditions, the impact is likely to be larger (Habibi and Seo, 2018). Of the 15 cases (i.e., from 5 events for 3 basins), significant differences were observed for 10 cases between the event-specific and non-event-specific results. Comparison of the parameter values between the two indicates that significant differences exist most often in  $refdk$  followed by  $rmannn$  and  $sfc\_rough$ . For  $bw$ ,  $hlink$  and  $chsslp$ , significant differences were observed only in a few cases. The large event-to-event variability of  $refdk$  is not surprising in that in event-specific calibration this parameter can effectively control dynamically-varying runoff ratio as explained above. Of the 15 non-event-specific cases, 6 and 3 cases show over- and under-simulation of runoff volume resulting in over- and under-simulation of peak flows and too early and late rises to peak flows,



**Fig. 3.** Simulation results from event-specific (black) and non-event-specific (red) calibration vs. the observed (blue empty circles) for the a) JC Jan 2017, b) CC Jan 2017, c) FC Feb 2018 and d) JC Sep 2018 cases. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)





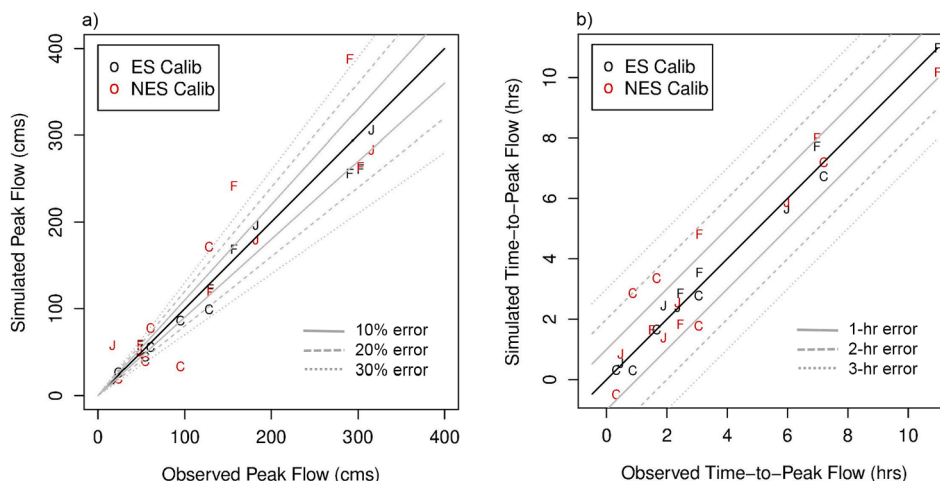
**Fig. 4.** a) Multiplicative factors to Manning's  $n$  for channel routing obtained from event-specific calibration. b) Non-event-specific estimates of Manning's  $n$  for channel routing obtained from averaging for each catchment the middle 3 of the 5 values in a).

respectively. Fig. 5a shows the simulated peak flows from event-specific (black) and non-event-specific (red) calibration vs. the observed. Fig. 5b shows the associated time-to-peak flow since the beginning of the rising limb vs. the observed. In Fig. 5b, the absolute magnitude of the time-to-peak flow is of little importance because the beginning of the rising limb can be anywhere, and only the departure of the time-to-peak flow from the diagonal is of interest. In Fig. 5, the JC Feb 2018 event was excluded due to lack of observed peak flow. Shown for reference in Fig. 5a and Fig. 5b are the lines of 10, 20 and 30 percent errors in peak flow and of 1, 2 and 3 hr errors in time-to-peak flow, respectively. Harmel et al. (2006) report streamflow measurement errors of 42%, 19%, 10%, 6% and 3% for small watersheds for the worst, typical maximum, typical average, typical minimum, and the best case scenarios, respectively. Di Baldassarre and Montanari (2009) report that the overall error affecting river discharge observations ranges from 6.2% to 42.8%, at the 95% confidence level, with an average value of 25.6%. The 10 to 30 percent error lines in Fig. 5a hence provide a sense of the magnitude of the errors in simulated peak flow relative to possible observational errors. Empirical unit hydrographs for JC, CC and FC show time-to-peak values of 0.75, 3 and 2.75 hrs, respectively (Rafieeiniasab et al., 2015). An error in time-to-peak flow on the order of the time-to-peak values hence indicates poor performance. Fig. 5 indicates that most case-specific calibration results have less than 10% error in peak flow and less than an hour of time-to-peak flow error, but that, for about 5 cases, the non-event-

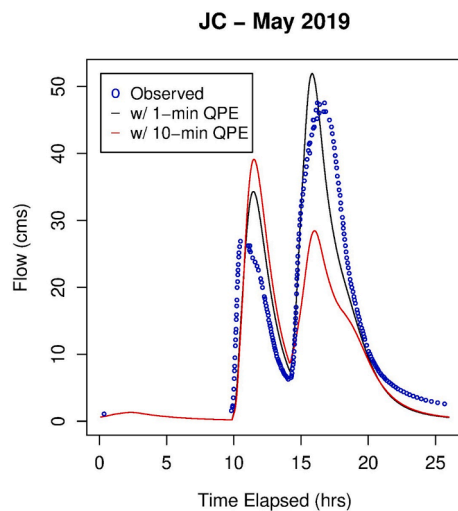
specific results suffer from significantly larger errors. All 5 cases of excessively large peak flow or time-to-peak flow errors are associated with significant volume errors except for the FC May 2019 case for which a less than accurate simulation of the rising limb is responsible for the large time-to-peak flow error. The above results indicate that high-quality initialization is necessary for event-based urban flood prediction using WRF-Hydro. In Experiment 5, we assess how DA may help address the situation.

#### 4.2. Experiment 2: Impact of temporal resolution of precipitation

In this experiment, we assess how the temporal resolution of precipitation input may impact the quality of streamflow simulation by forcing the LSM with 1-min average of 10-min QPE vs. the native 1-min QPE. For 10 min QPE, we aggregate the 1-min CASA QPE to 10 min accumulations and run the LSM at 1 min timestep using the 1-min average over each 10 min period. For comparison, we also ran the LSM at 10 min timestep using 10-min QPE. In this experiment, we use the parameter values obtained from the event-specific calibration to reduce hydrologic uncertainty. The common spatial resolution used is 250 m for both the LSM and routing models. Examination of the results for all cases indicates that the differences in simulated hydrographs due to 1 min vs. 10 min QPE are very small except for the May 2019 event which we elaborate below. Fig. 6 shows the simulated vs. observed



**Fig. 5.** a) Comparison of simulated peak flow from event-specific (black) and non-event-specific (red) calibration vs. the observed for all 15 cases except for the JC Feb 2018 case. The symbols "J", "C" and "F" denote the JC, CC and FC results, respectively. The solid, dashed and dotted gray lines represent  $\pm 10$ , 20 and 30% errors. b) Same as a) but for time-to-peak flow. The solid, dashed and dotted gray lines represent  $\pm 1$ , 2 and 3-hr errors. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 6.** Comparison of simulated hydrographs forced by 1-min (black) and 1-min average of 10-min (red) CASA QPE vs. the observed (blue empty circles) for the JC May 2019 case. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

hydrographs at the outlet of JC for the May 2019 event. The simulation of the second rise for this double-peaked event is cut short due to missing CASA QPE. To identify possible causes for the disparate response in simulated streamflow, we examined the MAP time series for all cases. It is observed that the MAP values for the second peak of the May 2019 event are significantly smaller than those for all other events. Because runoff generation may be considered as thresholding rainfall such that little runoff occurs for rain rate below some threshold and almost all excess rainfall runs off for rain rate above the threshold (see Subsection 2.4, Norouzi et al., 2019), one may look for a threshold rain rate above and below which the runoff response is very different. Examination of the MAP hyetographs and the associated hydrographs for the May 2019 event points to a threshold of about 0.5 mm. For this event, the maximum 1 min MAP associated with the second peak was well above 0.5 mm for all three basins. The maximum 1 min-average of 10 min MAP, on the other hand, was well below 0.5 mm for JC and CC, and stayed above 0.5 mm only for a single 10 min period for FC. The above findings indicate that the SWB used for rainfall-runoff modelling in WRF-Hydro is sensitive to the temporal resolution of precipitation for moderate precipitation amounts due to the increased nonlinearity in runoff generation (see Eqs. (1), (2) and (11)).

#### 4.3. Experiment 3: Impact of spatial resolutions of rainfall-runoff modelling and routing

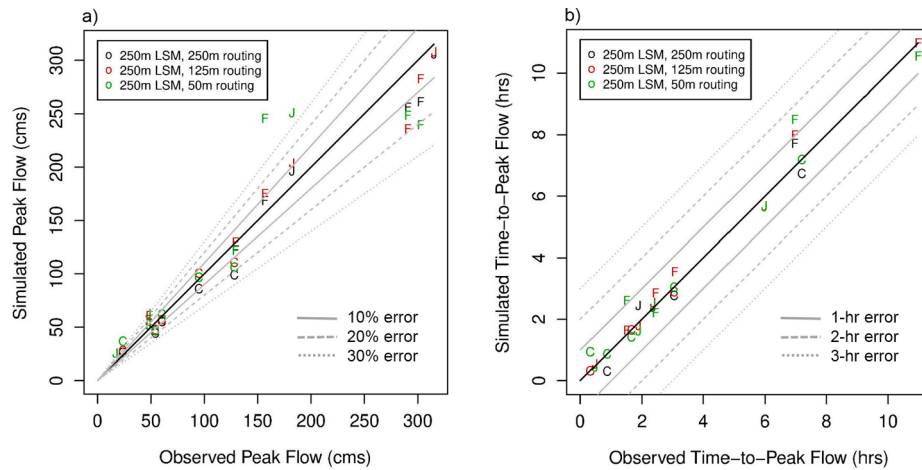
In this experiment, we compare the quality of the outlet simulations for peak flow and time-to-peak flow among the resolutions of 250 m, 125 m and 50 m for routing with a common LSM resolution of 125 m, and between the resolutions of 250 m and 125 m for LSM with a common routing model resolution of 125 m. The 250 m LSM and 250 m routing model simulations, referred to herein as the 250 m-250 m results, are based on event-specific calibration using SLS with LHS. One may hence consider the above calibration as based on quasi-global optimization. The 250 m LSM and 125 m routing simulations, referred to herein as the 250 m-125 m results, are based on event-specific calibration using only SLS in which the local search is started with the 250 m-250 m results. One may hence consider the above calibration as local optimization of a priori parameter values from a coarser resolution. As mentioned in Section 3, it was not possible to calibrate at the 250 m LSM and 50 m routing resolution due to excessive computational requirements (see Table 3). The 250 m LSM and 50 m routing simulations, referred to herein as the 250 m-50 m results, are based on the parameter

values borrowed from the 250 m-125 m results. One may hence consider the above results as based solely on a priori parameter values transferred from a coarser resolution. Because the level of calibration is different from one resolution to another, it is not very meaningful to compare the non-event-specific results. For this reason, we focus below on the event-specific results only.

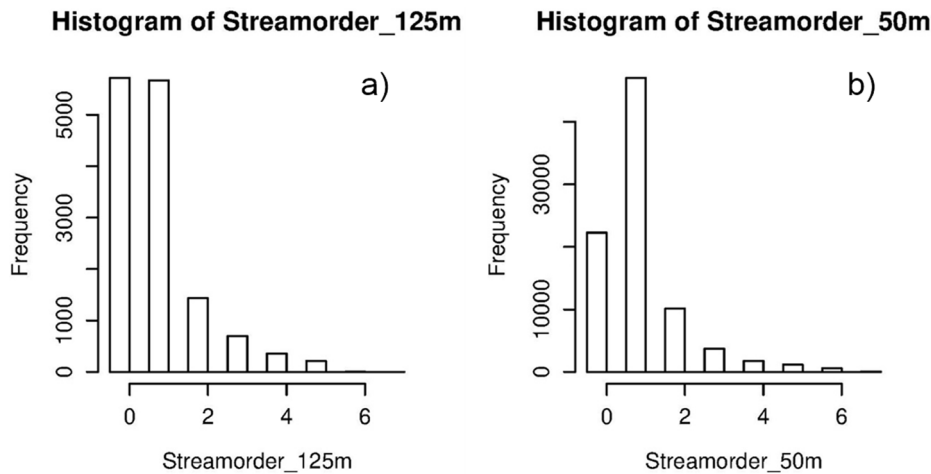
Fig. 7a and b show the simulated peak flow vs. the observed, and the simulated time-to-peak flow vs. the observed, respectively. As in Fig. 5, we overlay the 10, 20 and 30 percent error lines in Fig. 7a and of 1, 2 and 3 h of timing error lines in Fig. 7b to help assess the magnitude of the errors. Fig. 7 indicates that the 250 m-250 m and 250 m-125 m results, both of which are calibrated scale-specifically, are very similar, and that for a number of events the 250 m-50 m results are not as good as the above two. The above observations are perhaps not very surprising in that one may expect scale-specific calibration to perform better than using parameter values borrowed from a lower resolution. The magnitude of the errors in the 250 m-50 m results, however, is surprisingly large for a number of events. To trace the potential sources of the error, we examined the spatially-distributed channel routing parameters, including the channel grid, flow accumulation, flow direction and stream order at all resolutions. It is seen that, whereas the differences between 250 and 125 m are relatively small, there are large differences between 50 m and the coarser resolutions. To illustrate, Fig. 8a and b show the histograms of the stream order in the model domain at resolutions of 125 m and 50 m, respectively. The histogram at 250 m is similar to that at 125 m. In the figure, the frequency for the stream order of zero represents the number of grid boxes that do not contain any channel segments. As one may expect, at 50 m resolution, the channel network is much denser and has more higher-order streams. WRF-Hydro prescribes the channel routing parameters according to the stream order. As such, changes in the channel density or stream order are very likely to change the conveyance characteristics of the channel network. The above findings suggest that a combination of resolution-specific prescription of the channel routing parameters and their calibration is likely to be necessary to benefit from very high-resolution modeling using WRF-Hydro. We also compared the 250 m-125 m results with the 125 m-125 m to assess the impact of increasing the LSM resolution. As with the 250 m-125 m results, the 125 m-125 m results are based on scale-specific local optimization using SLS in which the parameter values from the 250 m-125 m results are used as the starting point. The comparison indicates that the 125 m-125 m results improve the peak flow prediction over the 250 m-125 m for the study basins but only marginally.

#### 4.4. Experiment 4: Impact of quality of ICs

In this experiment, we assess how the quality of the ICs of the rainfall-runoff model may impact the accuracy of streamflow prediction. A potential source of the ICs in real-time event-based operation of WRF-Hydro is the warm states of the NWM. A direct use in this experiment of the NWM warm states, however, is not likely to allow clear attribution at least for two reasons. The first is that the USGS 24-category land cover (see Fig. 1c) and the MRMS QPE (Zhang et al., 2011, 2016) used in NWM are of coarser resolution than those used in this work. The second is that the model parameter values used in the NWM (Gochis et al., 2019) are not the same as those used in WRF-Hydro in this work. As such, the ICs from the NWM analysis are not likely to transfer cleanly to WRF-Hydro as implemented in this work as evidenced in Experiments 1 through 3 above. As a compromise, we emulate the NWM analysis by running WRF-Hydro using the USGS 24-category land cover and NLDAS precipitation (Cosgrove et al., 2003) in place of the NLCD land cover and CASA QPE, respectively. The NLDAS precipitation has a much lower resolution than the 1 km 1 hr MRMS QPE used by the NWM. It is hence possible that the results from this experiment may somewhat inflate the positive impact of higher resolution precipitation. The above experiment design nonetheless completely removes all model-parametric



**Fig. 7.** Same as Fig. 5 but the comparison is among the 250 m LSM and 250 m routing (black), 250 m LSM and 125 m routing (red) and 250 m LSM and 50 m routing (green) results. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

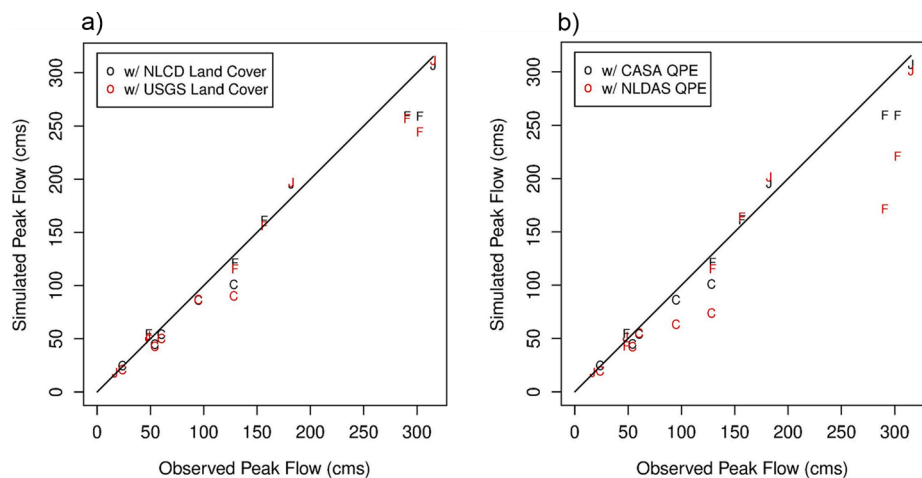


**Fig. 8.** Histograms of stream order as modeled at resolutions of a) 125 m and b) 50 m.

uncertainties and hence makes possible unambiguous attribution.

In this experiment, we start running WRF-Hydro at least several hours before the prediction time using the NLDAS precipitation and USGS 24-category land cover where the lower resolution NLDAS

precipitation is disaggregated uniformly in space and time to a resolution of 250 m and 1 min. The prediction time is chosen where the observed hydrograph begins to rise. This is also when streamflow response is most sensitive to the ICs. At the prediction time, we switch to



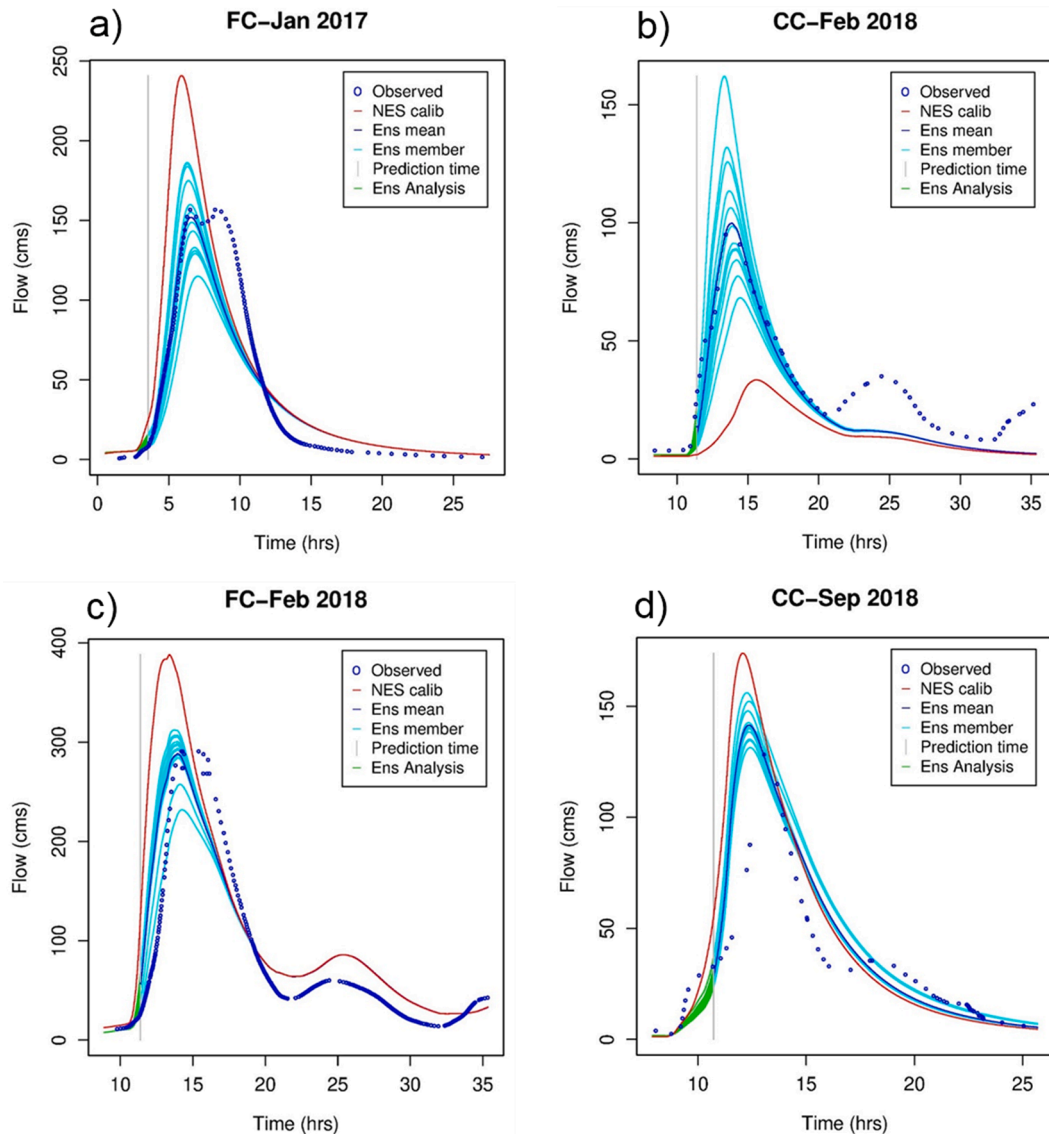
**Fig. 9.** Same as Fig. 5a but the comparison is for a) the NLCD (black) vs. the USGS 24-category (red) land cover results, and b) the CASA QPE (black) vs. the NLDAS QPE (red) results. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

the CASA QPE and NLCD land cover for simulation over the forecast horizon. For the above comparison run, we assume average soil moisture conditions for the LSM and pre-storm conditions for the hillslopes and channel routing models as obtained from event-specific calibration (see Subsection 4.1). In the baseline run, we run the model at 250 m 1 min resolution using the CASA QPE and NLCD land cover for the entire simulation period. Any differences in the two simulated hydrographs over the forecast horizon are hence due solely to the ICs valid at the prediction time. Fig. 9a shows the simulated vs. observed peak flow for the NLCD (black) and USGS 24-category (red) land cover. All other conditions are the same as in the baseline 250 m-250 m simulation. The positive impact of higher-resolution land cover is readily seen. Note that the differences are the smallest for JC which is identified mostly as urban by the USGS 24-category land cover in agreement with the NLCD (see Fig. 1c,d). Fig. 9b shows the simulated vs. observed peak flow for the CASA (black) and NLDAS (red) QPE-forced ICs. All other conditions are the same as in the baseline 250 m-250 m simulation. Note the very significant positive impact of higher-resolution QPE, particularly for CC and FC for Feb 2018 and Sep 2018, the two largest events among the five

(see Fig. 2 and Table 1). Examination of timing errors associated with Fig. 9a and 9b shows similarly positive impact of higher-resolution QPE and, to a lesser extent, land cover.

#### 4.5. Experiment 5: Impact of updating ICs via DA

In this Experiment, we assess how DA may potentially be used to initialize WRF-Hydro for event-based prediction. In the real world, it is generally not possible to schedule pre-storm warmup runs as described in the 4th Experiment. Instead, it is necessary to be able to initialize the model on demand often without the aid of any a priori information. The fixed-lag smoother, solved using EnKF in this work, is aimed at supporting such an operation. For high-resolution runs, EnKF is computationally expensive. In this work, all ensemble runs were made at the coarsest spatial resolution of 250 m for both the LSM and routing models. Limited sensitivity analysis suggests that a small ensemble size of 12 is generally acceptable for ensemble mean prediction owing to the very low dimensionality of the DA formulation. We then use the non-event-specific calibration results to emulate realistic model-parametric



**Fig. 10.** DA-aided ensemble predictions (cyan), ensemble mean prediction (blue) and DA-unaided base predictions based on non-event-specific calibration (red) vs. the observed (blue empty circles) for the a) FC Jan 2017, b) CC Feb 2018, c) FC Feb 2018 and d) CC Sep 2018 cases. The green and black lines show the ensemble DA analysis within the assimilation window and the prediction time, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



uncertainty and predict streamflow with and without DA. Due to the small sample size, quantitative verification was not possible. Instead, we critically examine the DA-aided predictions for those 5 cases for which the non-event-specific calibration results compare least favorably with the event-specific in Experiment 1 (see Fig. 3). By far the largest potential value of DA in urban flood prediction is improving peak flow and time-to-peak flow predictions when the streams first respond to rainfall. Accordingly, we focus specifically on DA-aided predictions when the hydrograph begins to rise. This is also the time when the degrees of freedom for signal for DA (Rodgers 2000) is greatly reduced due to the generally reduced predictive skill of rainfall-runoff and routing models, and hence streamflow observations carry larger information content relative to the model prediction (Zupanski et al., 2007; Zupanski, 2009).

Fig. 10 shows the streamflow predictions without DA (red), DA-aided ensemble predictions (cyan), the associated ensemble mean predictions (blue), ensemble streamflow analysis from DA (green) and the verifying observed hydrographs (empty blue circles) for 4 of the 5 cases for which non-event-specific calibration produced very poor simulations in Experiment 1. The case not shown in Fig. 10 due to space limitations is JC Apr 2019 which is by far the smallest event of the 5 and is hence of lesser interest. In the figure, the vertical gray line indicates the prediction time which also marks the end of the assimilation window. The horizontal extent of the ensemble analysis (green) shows the size of the assimilation window. All streamflow and precipitation observations valid within the assimilation window are assimilated in these runs to update the soil moisture states valid at the prediction time. All DA results are based on single assimilation cycles to emulate on-demand operation without the potential benefit of any previous DA cycles. The results indicate that DA improves prediction for all 5 cases over the DA-unaided base predictions. For the FC Jan 2017 and CC Feb 2018 events, for which non-event-specific calibration very significantly over- and under-predict, respectively, DA greatly improves prediction. As noted in Section 3, the primary source of error in peak flow or time-to-peak flow is the error in runoff volume. The results indicate that DA is largely able to reduce runoff volume errors by providing WRF-Hydro with high quality ICs. Fig. 10 shows, however, that the ensembles are significantly underspread in the recession limb due to lack of accounting of structural and parametric uncertainties, and that WRF-Hydro is not able to reproduce the bimodal or attenuated peaks, or the fast-receding falling limbs in FC Jan 2017 (Fig. 10a) and FC Feb 2018 (Fig. 10c). The above results indicate that, overall, the fixed-lag smoother is very effective in reducing runoff volume errors and hence errors in peak flow and time-to-peak flow.

## 5. Conclusions and future research recommendations

We assess the impact of increasing the resolution of hydrologic modeling, calibration of selected model parameters and assimilation of streamflow observations toward event-based high-resolution urban flood modeling and prediction using WRF-Hydro in the Dallas-Fort Worth area (DFW). We use quantitative precipitation estimates (QPE) at 500-m 1-min resolution from the Collaborative Adaptive Sensing of the Atmosphere (CASA) operation for observed rainfall, the Stepwise Line Search for calibration, and ensemble Kalman filter (EnKF) implementation of fixed-lag smoothing for data assimilation (DA). The model domain is a 144.6 km<sup>2</sup> area comprising 3 urban catchments in the Cities of Arlington and Grand Prairie in the middle of DFW. The main findings, conclusions and recommendations follow below.

Event-specific calibration of the 6 WRF-Hydro parameters identified in this work is largely successful in simulating hydrographs in the study area, in particular, the most important rising limbs. It is less successful, however, for attenuated peaks or fast-receding falling limbs. A novel element in the above calibration is the inclusion of a conditional bias penalty in the objective function to improve simulation specifically of highly peaked hydrograph. A spatial resolution of at least 250 m is necessary for the land surface model (LSM) to delineate small

catchments and hence to capture catchment-wide rainfall with acceptable accuracy. Increasing the resolution of the LSM from 250 m to 125 m showed marginal improvement. The same resolution increase for the routing models showed little improvement. Increasing the routing resolution further to 50 m using parameter values borrowed from 125 m, on the other hand, increased errors for a number of cases due to large changes in channel grid and stream order. The above findings suggest that, to benefit from very high-resolution modeling using WRF-Hydro, a combination of resolution-specific prescription and calibration of the channel routing parameters is likely to be necessary. The high-resolution CASA QPE and the National Land Cover Database (NLCD) land cover showed very significant and significant positive impact on streamflow simulation compared to the lower-resolution North American Land Data Assimilation System (NLDAS) QPE and USGS 24-category land cover, respectively. The above points out the importance of resolution-consistent high-quality initialization of WRF-Hydro for event-based operation. The EnKF implementation of fixed-lag smoother significantly reduced peak flow errors under realistic parametric uncertainty for predictions made when streams first respond to rainfall. The DA-aided ensemble predictions are, however, significantly underspread in the recession limb due to lack of accounting of structural and parametric uncertainties. The overall results suggest that, in the absence of resolution-specific prescription and calibration of channel routing parameters, a resolution of 250 m for both the LSM and routing models is a good choice in terms of performance and computational requirements. Recall that the National Water Model currently runs routing at 250 m over the continental US. The results also suggest that, in the absence of high-quality calibration and continuous simulation of streamflow, DA is necessary to initialize WRF-Hydro for event-based operation for high-resolution urban flood prediction.

## Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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