

# Exploring the Potential of ToxCast Data in Supporting Read-Across for Evaluation of Food Chemical Safety

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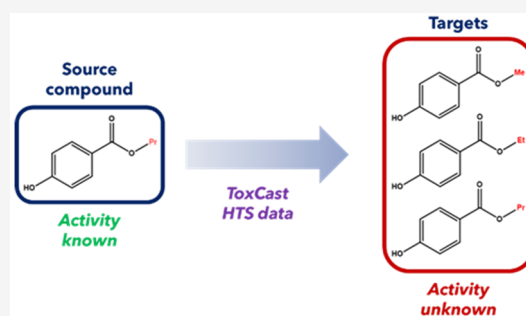


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**ABSTRACT:** The intention of this study was to determine the utility of high-throughput screening (HTS) data, as exemplified by ToxCast and Tox21, for application in toxicological read-across in food-relevant chemicals. Key questions were addressed on the extent to which the HTS data could provide information enabling (1) the elucidation of underlying bioactivities associated with apical toxicological outcomes, (2) the closing of existing toxicological data gaps, and (3) the definition of the boundaries of chemical space across which bioactivity could reliably be extrapolated. Results revealed that many biological targets apparently activated within the chemical groupings lack, at this time, validated toxicity pathway associations. Therefore, as means of providing proof-of-principle, a comparatively well-characterized end point—estrogenicity—was selected for evaluation. This was facilitated through the preparation of two exploratory case studies, focusing upon groupings of paraben-gallates and pyranone-type compounds (notably flavonoids). Within both, the HTS data were seen to reflect estrogenic potencies in a manner which broadly corresponded to established structure–activity group relationships, with parabens and flavonoids displaying greater estrogen receptor affinity than benzoate esters and alternative pyranone-containing molecules, respectively. As such, utility in the identification of out-of-domain compounds was demonstrated, indicating potential for application in addressing point (3) as detailed above.



## 1. INTRODUCTION

The traditional use of animals in safety assessment is facing increasing scientific scrutiny as to its appropriateness. Coupled with the associated legal and ethical concerns, this has served to increase urgency in the development of new approaches aimed at determining and predicting adverse effects.<sup>1–3</sup> In order that chemical regulatory requirements might be met, the generation of novel strategies for human health risk assessment across the range of established and newly marketed compounds has emerged as a necessity.

While resources such as PubChem, ChEMBL, or the OECD QSAR Toolbox provide accessible repositories for existing bioassay outcomes, the efforts of high-throughput screening (HTS) endeavors are further expanding the coverage of compound–biological target interactions.<sup>4–6</sup> Among the largest and most ambitious HTS enterprises to date is the United States federal collaboration incorporating Tox21 and ToxCast (referred to jointly henceforth by the descriptor “ToxCast”). Since inception in 2007, these have seen over 9000 chemicals tested across up to 1200 bioassays spanning a variety of *in vitro* systems.<sup>7,8</sup> End points screened encompass a broad range of targets, including nuclear receptor interaction and genetic transcription regulation, induction of oxidative stress, enzyme activation, and changes in organelle-specific functionality.

In a recent publication, Punt et al. explored the possibilities of utilizing ToxCast activity data within risk-benefit assessment of food-relevant chemicals.<sup>9</sup> A collection of more than 500 food substances, drawn from a list specified within Karmaus et al., were examined to obtain insight into their associated biological targets.<sup>10,11</sup> In doing so, compounds were grouped in accordance with their structural similarity and with their functional uses in food. Activities against ToxCast end points were examined and compared across the chemical groupings, from which patterns of activity could be visualized and inferences as to shared properties drawn.

The exercise of grouping entries according to chemical similarity constitutes a key preliminary stage within the performance of toxicological read-across.<sup>12</sup> This technique, which derives its methodology from the principle that compounds related in chemical structure (or similar defining characteristic) often display similar patterns of biological activity, has been developed as a means through which gaps

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within the data landscape might effectively be filled.<sup>13</sup> Classical read-across has typically centered upon extrapolation and interpolation of *in vivo* and *in vitro* toxicological outcomes, with chemical similarity between compounds then used in a hypothesis-driven fashion in order to propose the sharing of biological effects.<sup>14</sup> However, the demands of regulatory frameworks to ensure greater confidence in such predictions have spurred efforts to establish enhanced mechanistic grounding in support of the conclusions drawn.<sup>15–19</sup> This desire has manifested itself in the emergence of the adverse outcome pathway (AOP) paradigm, a framework linking defined molecular-level interaction between compound and biological target or system (molecular initiating event or MIE) with ultimate adverse outcome (AO) through intermediate key events.<sup>20,21</sup>

The insights which ToxCast data may provide concerning mechanisms of toxicity hold great potential for application within read-across, particularly with respect to the avoidance of additional studies within animals. In this context, ToxCast outcomes may assist in (1) the elucidation of underlying bioactivities associated with apical toxicological outcomes, (2) the closing and identification of data gaps (defining an end point for a chemical based on observed biological activity of an analogue), and (3) defining the boundaries of chemical space across which analogues may be inferred to elicit shared biological effects (incorporating structure–activity relationships).

The intention of this study was to build upon the analysis reported by Punt et al., in order to discern whether the associations between chemical structure and *in vitro* responses that had been identified had potential for adaptation to such read-across purposes.<sup>9</sup> The basis of this assessment is in the structural groupings established within this earlier publication, alongside shared biological targets as identified through ToxCast outcomes. As such, mapping of ToxCast activities to these chemical groups constituted a fundamental preliminary exercise. Focusing upon estrogenicity for proof of principle, exploratory case studies are presented covering two distinct chemical families: the paraben-gallate grouping and pyranone-type compounds (incorporating flavonoids).

## 2. MATERIALS AND METHODS

**2.1. Grouping and Classification of Chemicals with Respect to Structure.** Processing and grouping of chemicals proceeded in accordance with the methodology described within Punt et al.<sup>9</sup> Karmaus et al. identified 563 food additives, which, following removal of mixtures and entries possessing undefined composition, were reduced to a working group consisting of 552 single, discrete molecular entities (listed in their entirety in [Supplementary Table 1](#)).<sup>10,11</sup> These were grouped according either to chemical similarity or to shared functional or physiological role, through a method primarily reliant upon manual judgment, though supplemented with assistance of ChemoTyper software (version 1.0; Molecular Networks, Erlangen, Germany).<sup>22</sup> A three-tier system of classification was adopted, extending from broadest level “primary” (e.g., carboxylic acid), through intermediate “secondary” (e.g., carboxylic acid, alkyl) to most defined “tertiary” (e.g., carboxylic acid, alkyl, straight chain). In total, 169 tertiary groupings were identified (summarized in [Supplementary Table 2](#)). Those groups consisting of two or fewer compounds were excluded for purposes of further analysis, leaving 102 clusters appropriate for read-across consideration (as elaborated in [Supplementary Table 3](#)). The extent of similarity between compounds within each group was estimated through derivation of the average Tanimoto coefficient relating possession of shared structural fragments. This was achieved within R using the ChemmineR tool, with

the utilized code accessible at [https://git.wur.nl/Punt001/ilsi\\_toxcast](https://git.wur.nl/Punt001/ilsi_toxcast).<sup>23,24</sup>

**2.2. Classification of ToxCast Outcomes and Quantification of Activity.** Input files containing AC<sub>50</sub> values (ac50\_Matrix\_180918.csv), the corresponding Z-scores (zscore\_Matrix\_180918.csv), flagged results (AllResults\_flags\_180918.csv) and assay summary information (Assay\_Summary\_180918.csv) were retrieved from the United States Environmental Protection Agency’s (U.S. EPA’s) online ToxCast data repository (10.23645/epacomptox.6062479.v3). These were combined into a unified data set and filtered as outlined below. Such procedures were facilitated through use of R, where the relevant scripts are available for access at [https://git.wageningenur.nl/Punt001/ilsi\\_toxcast\\_readacross](https://git.wageningenur.nl/Punt001/ilsi_toxcast_readacross).

End points describing features associated either with assay background effect or with general cytotoxicity were excluded from analysis (based upon the Assay\_Summary\_180918.csv files). These included all assays for which the “assay\_function\_type” was “background control,” the “assay\_design\_type” was “background reporter” or “viability reporter,” the “intended\_target\_family” was “background measurement,” and the “biological\_process\_target” was “cell death,” “cell proliferation,” or “cytotoxicity”. For the consideration of the effect of general cytotoxicity upon the assay outcomes, results with defined Z-scores in excess of 3.0 were considered to hold a reasonable likelihood of representing a selective activity at a given molecular target independent of the burst of activities relating to cell death.<sup>25</sup> For the evaluation of the shared targets activated by the chemical groups, results with Z-scores below 3.0 were excluded. Conversely, within the case studies, compounds that expressed activity with Z-scores lower than 3.0 toward the selected target were retained in order to provide a more complete indication of the full range of activities (both specific and nonspecific) held by the chemicals within. It should further be noted that this score is to some extent a function of potency, as compounds with high AC<sub>50</sub> values tend to have low separation between measured effect and general cytotoxicity. Owing to the high general presence of flagged outcomes across the spread of ToxCast data (those possessing alerts relating the quality of curve-fitting underlying activity calls), such results were by necessity retained for purposes of analysis.

To locate tertiary groupings to which read-across may potentially be applied, analysis was performed to identify those groups containing multiple compounds active at a shared target. Within the ToxCast data, the “technological\_target\_official\_symbol” (e.g., ESR1, HIF1A, NFKB1, etc.) defines the biological target of an assay (many targets possessing multiple corresponding assays). In instances whereby a minimum of two assays corresponding to a biological target were activated by a two or more compounds within a chemical group, an association between the grouping and the target was registered.

**2.3. Preparation of Case Studies.** For two selected case studies, those of the paraben-gallate grouping and pyranone-type compounds, data (incorporating AC<sub>50</sub> values and derived Z-scores) were extracted relating to all estrogen receptor (ER)-associated end points. Such chemical groups were selected to form the focus of these studies owing to the strength of their attested associations with respect to estrogenicity, both *in vitro* and *in vivo* (as discussed in [Section 3.3](#)). ToxCast contains in total 20 ER assays, including those measuring agonism and antagonism at ESR1 (ER $\alpha$ ) and ESR2 (ER $\beta$ ) receptor subtypes as well as those specific for neither. For each compound present in a group, the activity profile across this suite of assays was determined. Results were split in accordance with Z-score. Scores of lower than 3.0 were held to indicate a potential influence by nonspecific factors such as cytotoxicity, whereas scores greater than 3.0 were conversely interpreted as representing unequivocal, target-specific effects. Distinction was further drawn between outcomes in assays representing agonistic effects and those describing antagonism. The former were defined as those displaying a “positive” assay signal direction, and the latter as those labeled “negative”. While attention is drawn to the presence of data flags associated with individual outcomes, flagged results remain integrated within the analysis.

In order to further assess the applicability of hypotheses, structurally related compounds possessing relevant ToxCast data,

Table 1. Chemical Groupings Listed in Accordance with Quantity of Targets Matched<sup>a</sup>

group ID	group title	target matches	key affected target	key target active hits/assays screened (%)
55	Ester: Aromatic acid ester: Paraben-gallate	13	ESR1 ESR2	3/3 (100%)
11	Alcohol: Hydroxybenzene: Phenol, aliphatic substituted	12	PTGER2	9/19 (47%)
33	Ester: Aliphatic alcohol diester/triester: Alkyl alcohol diester/triester	10	NR1I2	7/52 (13%)
85	Ketone: Alkenyl: Ionone/irone	7	NR1I2	11/31 (35%)
102	Vitamins and derivatives	7	NR1I2	6/57 (11%)
24	Carboxylic acid: Alkyl: Alkyl, straight chain	6	SREBF1	2/10 (20%)
79	Heterocycles and polycycles: Oxygen heterocycles: Pyranone	6	ESR1 ESR2	4/10 (40%)
90	Metallic salts (organic)	6	NR1I2	5/32 (16%)
93	Organosulfur: Thiol	6	FOS JUN	3/13 (23%)
1	Alcohol: Alkenyl: Alkenyl, primary	5	NR1I2	9/45 (20%)
9	Alcohol: Hydroxybenzene: Alkoxy phenol ether, substituted	5	PTGER2	3/6 (50%)
14	Alcohol: Phenylalkanol: Phenylalkyl/alkenyl	5	MMP9	2/10 (20%)
15	Aldehyde: Alkenyl: Alkenyl, acyclic	5	NR1I2	9/30 (30%)
65	Ester: Lactone: Lactone, five-membered	5	NR1I2	5/53 (9%)
46	Ester: Alkyl alcohol: Methanol, aliphatic	4	RXR	2/15 (15%)
54	Ester: Aromatic acid ester: Cinnamate	4	NR1I2	5/22 (23%)
18	Aldehyde: Aromatic: Benzaldehyde derivatives	3	HIF1A	2/24 (8%)
22	Carboxylic acid: Alkenyl: Alkenyl, straight chain	3	PPARG	2/22 (9%)
53	Ester: Aromatic acid ester: Benzoate	3	NR1I2	5/51 (10%)
62	Ester: Aromatic alcohol: Phenylethyl alcohol, aliphatic	3	TGFB1	2/8 (25%)
70	Ether: Aromatic: Aryl methoxy, aliphatic substituted	3	NR1I2	3/24 (12%)
81	Hydrocarbon: Terpene	3	NR1I2	7/54 (13%)

<sup>a</sup>Displayed alongside is the key affected target, accompanied by prevalence of active hits across corresponding screened assays.

yet falling outside of the Karmaus et al. data set, were sought. For each chemical within the case study groupings, the “similar compounds” function present on the US EPA CompTox Chemicals Dashboard (<https://comptox.epa.gov/dashboard>; accessed 2020-09-21) was utilized.<sup>26</sup> This by default returns all entries present within the database holding a Tanimoto similarity coefficient of >0.8 with respect to the parent molecule. A pool consisting of all compounds matching this condition, while simultaneously holding the appropriate data, was created.

### 3. RESULTS

**3.1. Defining Chemical Groups to Which Read-Across May Potentially Be Applied Based upon ToxCast Outcomes.** Activities relating to a total of 244 defined targets (encompassing 600 distinct assays) were mapped across the sum of 102 relevant chemical groupings. In accordance with the criteria described in Section 2.2, a total of 49 chemical groups exhibited activity of at least two substances at a minimum of two assays. A detailed listing describing each relationship may be found in Supplementary Table 4. Appearing in Table 1 is an abridged overview outlining the most prominent groups by quantity of targets affected (presented in full in Supplementary Table 3). In each instance, the key affected target, defined as that possessing a maximal proportion of active hits relative to assays screened, is displayed.

The group consisting of paraben and gallate esters was observed to exhibit the greatest frequency of interaction across ToxCast targets, with activity recorded at 13 sites (estrogenicity being most prominent). Aliphatic-substituted phenols and alkyl alcohol di- and triesters were further notable, registering 12 and 10 associations, respectively. With respect to a key target, NR1I2 (the pregnane X receptor) was represented most commonly.

**3.2. Defining Relevant ToxCast Targets for Read-Across.** Of the 244 distinct targets, 46 were found to be

Table 2. Targets Listed by Extent of Activation Across Chemical Groupings

groups matched	target	protein product
35	NR1I2	pregnane X receptor
27	ESR1	estrogen receptor $\alpha$
12	PPARG	PPAR- $\gamma$
11	NFE2L2	Nrf2
6	VDR	vitamin D receptor
5	RXRA	retinoid X receptor $\alpha$
5	RXR	retinoid X receptor $\beta$
4	AHR	aryl hydrocarbon receptor
3	FOS JUN	c-Fos c-Jun
3	PTGER2	prostaglandin receptor E2
3	TCF7 TCF7L2 LEF1 TCF7L1	transcription factor 7 transcription factor 7-like 2 lymphoid enhancer-binding factor 1 transcription factor 7-like 1

activated across at least one chemical grouping, with those occurring most frequently outlined in Table 2 (refer to Supplementary Table 5 for complete listing). The pregnane X receptor (PXR) was identified as most commonly triggered, with activity noted within 35 chemical groups. Owing to the function of this protein in the sensing of xenobiotic substances, it exhibits by necessity a broad specificity with respect to the structure of the molecules which may bind. It is feasible, therefore, that its elevated activation may arise as a product of this physiological role, rather than representing the toxicological response.<sup>27</sup>

Similarly implicated within generalized metabolic and detoxification response is the aryl hydrocarbon receptor (AHR).<sup>28</sup> Nrf2 (active within 11 groups) is upregulated

**Table 3. Identity, Structure, and Estrogenic Activity Profile for Paraben-Gallate Group as Sourced from (A) Karmaus et al. and (B) Wider ToxCast Repository<sup>a</sup>**

Part A												
compound	R <sub>1</sub>	R <sub>2</sub> –R <sub>3</sub>	combined			agonist			antagonist			
			assays active		assays inactive	assays active		assays inactive	assays active		assays inactive	
			Z > 3.0	Z < 3.0		Z > 3.0	Z < 3.0		Z > 3.0	Z < 3.0		
methylparaben	CH <sub>3</sub>	H	6	0	14	6	0	7	0	0	7	
propylparaben	CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	H	7	6	7	6	5	2	1	1	5	
butylparaben	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	H	6	7	7	5	5	3	1	2	4	
heptylparaben	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>5</sub> CH <sub>3</sub>	H	6	9	5	5	7	1	1	2	4	
propyl gallate	CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	OH	0	9	11	0	8	5	0	1	6	
octyl gallate	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>6</sub> CH <sub>3</sub>	OH	1	14	5	1	9	3	0	5	2	
dodecyl gallate	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>10</sub> CH <sub>3</sub>	OH	0	9	11	0	8	5	0	1	6	
% occurrence			19	39	43	25	46	29	6	25	70	
Part B												
compound	R <sub>1</sub>	R <sub>2</sub> –R <sub>3</sub>	combined			agonist			antagonist			
			assays active		assays inactive	assays active		assays inactive	assays active		assays inactive	
			Z > 3.0	Z < 3.0		Z > 3.0	Z < 3.0		Z > 3.0	Z < 3.0		
ethylparaben	CH <sub>2</sub> CH <sub>3</sub>	H	0	10	10	0	9	4	0	1	6	
isobutylparaben	CH <sub>2</sub> CH(CH <sub>3</sub> )CH <sub>3</sub>	H	1	3	3	1	1	1	0	2	2	
sec-butylparaben	CH <sub>2</sub> (CH <sub>3</sub> )CH <sub>2</sub> CH <sub>3</sub>	H	4	3	5	3	2	0	1	1	5	
pentylparaben	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	H	0	4	3	0	2	1	0	2	2	
hexylparaben	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>4</sub> CH <sub>3</sub>	H	4	3	5	3	1	1	1	2	4	
2-ethylhexylparaben	CH <sub>2</sub> CH(CH <sub>2</sub> CH <sub>3</sub> ) (CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	H	10	8	2	9	4	0	1	4	2	
octylparaben	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>6</sub> CH <sub>3</sub>	H	5	12	3	4	8	1	1	4	2	
nonylparaben	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>7</sub> CH <sub>3</sub>	H	2	3	2	1	1	1	1	2	1	
dodecylparaben	CH <sub>2</sub> (CH <sub>2</sub> ) <sub>10</sub> CH <sub>3</sub>	H	0	4	3	0	0	3	0	4	0	
phenylparaben	C <sub>6</sub> H <sub>5</sub>	H	5	9	6	4	8	1	1	1	5	
benzylparaben	CH <sub>2</sub> C <sub>6</sub> H <sub>5</sub>	H	4	9	7	3	7	3	1	2	4	
% occurrence			23	45	32	29	48	24	9	32	59	

<sup>a</sup>Data relating outcomes at all ER-associated assays (agonist and antagonist) are displayed, grouped in accordance with Z-score. Groups R<sub>1</sub>–R<sub>3</sub> positioned as depicted in Figure 1.

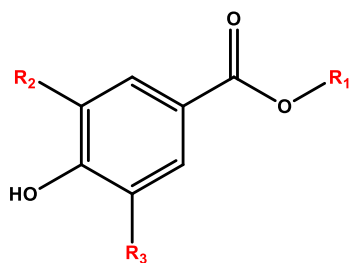
under conditions of oxidative stress and hence may see a greater expression in cells subject to cytotoxicity.<sup>29</sup> Gene products including PPAR- $\gamma$  and the ESRRA mediate pathways associated with fatty acid metabolism, whereas RXR subtypes are noted as possessing affinity for a varied array of nuclear receptors with which they interact in the formation of heterodimers.<sup>30</sup> The toxicological impact accompanying the perturbation of these and indeed many of the 46 systems activated through the compound groupings has not been established definitively. Utility toward assisting read-across, both in terms of closing data gaps and elucidating underlying activities, will only become apparent once a wider understanding both of the assay target role within AOPs and of the fundamental limitations arising from the inherent differences present between HTS and alternative systems has progressed.

**3.3. Read-Across Case Studies.** Taking into account the uncertainty related to the association of various targets with *in vivo* or clinical manifestation of toxicity, a focus was placed upon defining the translatability of ToxCast outcomes toward end points which serve as the established MIE for definitive, identifiable adverse effects. The estrogen receptor provided the greatest promise in this regard, since there is an extensive array of assays that cover this target and also a clear association with reproductive and developmental toxicity *in vivo*.<sup>31</sup> Accordingly,

the following studies present assessments of the capacity of the ToxCast data to support read-across (which may be performed either quantitatively or qualitatively) of estrogenicity within two structural groupings, both of which possess corroborating evidence through alternative assay systems (both *in vivo* and *in vitro*) attesting the presence of the effect and are furthermore large enough in terms of membership that appropriate conclusions may be drawn.

**3.3.1. Paraben-Gallate Grouping.** Detailed in Table 3A, this group consists of seven straight-chain alkyl esters (*n*-alkyl esters), either of 4-hydroxybenzoic acid (termed “parabens”) or of 3,4,5-trihydroxybenzoic acid (“gallates”), and the defining base structure of which is depicted in Figure 1. The average ChemmineR-determined structural similarity, expressed in terms of Tanimoto coefficient, stood at 0.72. All function as preservatives, with parabens employed for their antibacterial and antifungal efficacy and gallates for their antioxidative effect.<sup>32,33</sup> A total of 13 distinct target end points were activated, as outlined in Table 4.

The estrogenic effect is strongly suggested, with activity evident at both ER $\alpha$  and ER $\beta$ . A breakdown of compound-by-compound activity profile is displayed in Table 3A, with differentiation present with respect to nature of receptor interaction (agonism or antagonism) and Z-score. In all, 58%



**Figure 1.** Structural core common to all compounds within the paraben-gallate grouping.

of results across all seven compounds return positive for estrogenicity (19% when limited to *Z*-scores in excess of 3.0). Further resolution revealed that activity at agonism-associated assays was more pronounced than at those indicative of antagonism, with 71% positivity registered for the latter and 31% for the former (irrespective of *Z*-score).

Such findings of estrogenicity are supported through the wider literature, with *in vitro* studies indicating agonistic activity of parabens at each receptor isoform—albeit with potencies substantially reduced relative to those of endogenous estrogens.<sup>34–36</sup> Outcomes of *in vivo* studies of parabens again imply an association with estrogen-mediated reproductive dysfunction, confined however to elevated levels of exposure.<sup>37,38</sup> Gallate esters have yet to be characterized in such detail, yet are recorded as displaying both agonistic and antagonistic activity for these receptors.<sup>39–41</sup>

In order to assess the wider applicability of these associations, a selection of suitably similar compounds holding ToxCast data, yet falling outside of the Karmaus list, was sought. Through use of methodology described in Section 2.3, 11 such chemicals were identified (as listed in Table 3B). Each was a paraben: nine alkyl (in common with those previously considered) and two aryl, with a mean Tanimoto coefficient of 0.73. Their activity profiles across the suite of estrogenic assays showed close concordance with those within the Karmaus set. In all, 68% of outcomes were active (23% with a *Z*-score > 3.0): 77% among the agonism-related assays and 41% among antagonist.

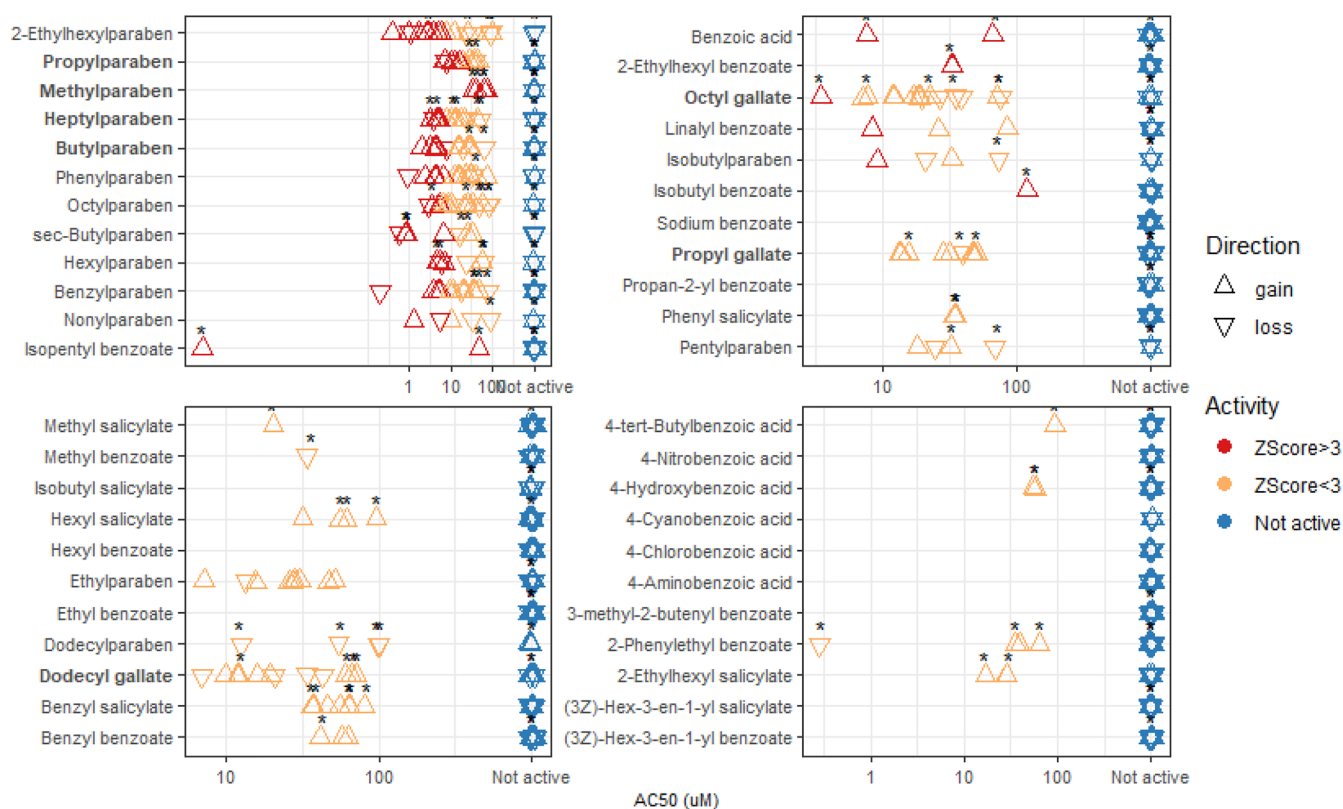
Figure 2 provides a graphical representation of activity at individual assay level, spanning the full range of compounds described above. Among *n*-alkyl parabens, a general increase in estrogenic agonist potency was apparent with chain length, moving from methyl to heptyl before falling off from octyl

onward. Such an initial increase is corroborated through the outcomes of previous studies, although data on heavier analogues (pentylparaben and above) are lacking.<sup>35,38,42</sup> More active still than the straight-chain compounds were the branched equivalents: *sec*-butylparaben and 2-ethylhexylparaben. Enhanced estrogenicity accompanying such branching has been noted in prior studies.<sup>43,44</sup> Matching these latter analogues in terms of activity were the two arylparabens: phenyl and benzyl. Once again, their greater apparent toxic potential relative to the *n*-alkyl series accords with trends established through alternative, well-recognized assay systems.<sup>45</sup> Both propyl and octyl gallates displayed a reduced potency relative to the corresponding parabens. Antagonist activity remained uniformly low across all examined compounds, including those gallates for which evidence of such capacity had been reported previously.<sup>39–41</sup>

While constructing read-across groups, it is necessary to define the degree of structural variance across which prediction may reliably be extended (domain of applicability). Both parabens and gallates are considered to display affinity for the ER as a consequence of their 4-OH hydroxyl units, which in turn mimic the identical group present at the A-ring of endogenous estrogens.<sup>46</sup> Simultaneously, an alkyl substituent at the ester linkage is deemed highly desirable as a means of supporting hydrophobic interactions otherwise facilitated by the greater steroid structure. In order to determine the extent to which removal of either feature influences the receptor affinity relative to parabens and gallates, ToxCast estrogenic activity of a selection of substituted benzoic acids and benzoate (unsubstituted) and salicylate (*ortho*-hydroxybenzoic acid) esters was analyzed. Each set was composed of the corresponding grouping compiled from Karmaus chemicals (ID 26, 53, and 57, respectively), supplemented as appropriate with further analogues sourced through use of techniques described in Section 2.3. Existing evidence suggests the existence of a very limited estrogenic potential within these classes, falling notably beneath that of parabens upon direct comparison.<sup>47,48</sup> Appropriately, salicylates, benzoate esters, and substituted benzoic acids (16%, 9% and 5% active, respectively, disregarding *Z*-score distinction) showed a greatly reduced potency across the sum of ER-linked assays. While these results are depicted graphically alongside those of the paraben-gallate grouping in Figure 2, complete descriptions may be found in Supplementary Table 6. A feature of these additional classes, as visible in Figure 2, is the greater prevalence of flags

**Table 4.** ToxCast Activity Profile for Paraben-Gallate Group, Depicting All Matched Targets

target	assays screened	assays active	active hit %	protein product
ESR1 ESR2	3	3	100	estrogen receptors $\alpha$ , $\beta$
PTGER2	9	4	44.4	prostaglandin receptor E2
ESR2	16	7	43.8	estrogen receptor $\beta$
TSPO	6	2	33.3	translocator protein
CYP1A2	10	3	30	cytochrome P450 1A2
ESR1	56	14	25	estrogen receptor $\alpha$
AR Ar	9	2	22.2	androgen receptor
NFE2L2	17	3	17.6	Nrf2
GLI1	12	2	16.7	zinc finger protein GLI1
ESRRA	36	4	11.1	estrogen-related receptor $\alpha$
NR1I2	30	3	10	pregnane X receptor
VCAM1	47	3	6.4	vascular cell adhesion protein 1
TGFB1	33	2	6.1	transforming growth factor $\beta$ 1



**Figure 2.** Assay-level estrogenic activities of compounds within paraben-gallate and related groups, expressed in terms of micromolar  $AC_{50}$ . Z-scores  $> 3.0$  are colored red, while those lower are highlighted yellow. Direction “gain” and “loss” represents assays descriptive of agonist and antagonist effects, respectively. Presence of data flags indicated by accompanying asterisk symbol.

accompanying apparently active outcomes. A particularly notable example of this phenomenon may be found in the instance of isopentyl benzoate.

**3.3.2. Pyranone.** The cluster of pyranone-type compounds present within the Karmaus list consists of eight compounds—the identities and structures appear in Table SA. Incorporated are molecules featuring either the 4-pyranone or 2-pyranone moieties (relevant units highlighted red). It is apparent that estrogenic influence again dominates the activity profile of this set, which spans six targets in total (listed in Table 6). A more detailed inspection of the data revealed that this estrogenicity is a feature almost exclusively of the three flavonoid components: daidzein, genistein, and, to a lesser degree, quercetin. Each is, at least to some extent, acknowledged as a phytoestrogen, with evidence attesting to existing both *in vitro* and *in vivo*.<sup>49,50</sup> Relative agonist potencies across individual ER end points followed a general trend, with genistein appearing to have the highest receptor binding affinity, followed by daidzein and then eventually by quercetin (which had  $AC_{50}$  values orders of magnitude greater than the others, as depicted in Figure 3). This mirrors observations recorded within other *in vitro* systems.<sup>51,52</sup> As with the grouping of parabens and gallates, all three potencies are greatly reduced relative to those of endogenous estrogens. Antagonist activity was, similarly, consistently low. Quercetin was considered to be borderline positive for  $ER\alpha$  and  $ER\beta$ -mediated activities, while maltol and ethyl maltol, whose positive results were isolated and in direct contrast to the negative majority of findings, were judged essentially inactive. This highlights a potential advantage of the approach that weak activity of one analogue may be supported

by stronger activity of other structurally related compounds in a group, contributing to a weight-of-evidence assessment.

It is the range variety of biological activities, combined with the diversity of the class of structures responsible (reflected in the comparatively low average Tanimoto similarity score of 0.45), that has the potential to render read-across within this chemical group challenging. Methodology identical to that described in Section 2.3 (and further applied within the paraben-gallate study) was adopted in order to identify a cohort of structurally associated compounds lying outside of the Karmaus list. Fourteen such chemicals were identified (present in Table 5B) with a mean Tanimoto coefficient of 0.5.

Five of this number were coumarin derivatives (including 4-methyl-umbelliferone and 5-methoxypsoralen). Appropriately, their apparent estrogenicity—both agonist and antagonist—was minimal. As such, the data can be utilized to draw a greater confidence in reading-across inactivity to all similar compounds. Activity among the alternative classes, both flavone and isoflavone, was however less immediately amenable to simple extrapolation. In contrast to quercetin, which exhibits only moderate potency, the hydroxylated flavones apigenin, kaempferol, and chrysin each appeared as highly active agonists akin to isoflavones genistein and daidzein. Among the non-Karmaus isoflavones were biochanin A, ipriflavone, and formononetin. While the former displays activity in line with its counterparts such as genistein, the latter two were markedly more inert. Such outcomes suggest that patterns of hydroxylation and methoxylation impact upon affinity and that such factors must be considered alongside the nature of the central scaffold when inferring outcomes within a read-across exercise, a point further illustrated by the comparative

Table 5. Identity, Structure, and Estrogenic Activity Profile for Pyranone-Type Group as Sourced from (A) Karmaus et al. and (B) Wider ToxCast Repository<sup>a</sup>

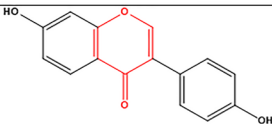
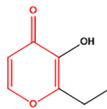
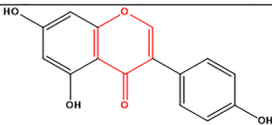
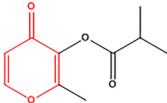
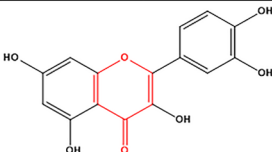
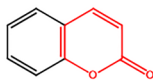
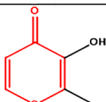
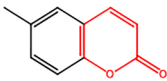
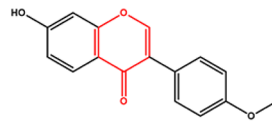
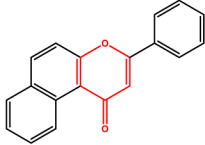
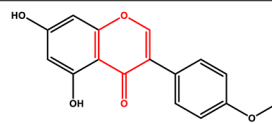
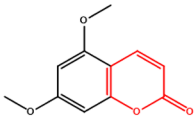
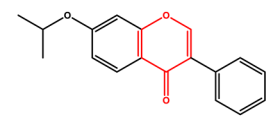
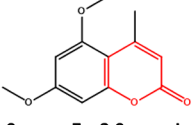
A.									
Name	Structure			Name	Structure				
Daidzein				Ethyl maltol					
	Z > 3.0	Z < 3.0	Inactive		Z > 3.0	Z < 3.0	Inactive		
	Overall	10	5		5	Overall	1	0	19
	Agonist	9	4		0	Agonist	1	0	12
Antagonist	1	1	5	Antagonist	0	0	7		
Genistein				Maltol isobutyrate					
	Z > 3.0	Z < 3.0	Inactive		Z > 3.0	Z < 3.0	Inactive		
	Overall	12	4		4	Overall	0	0	11
	Agonist	11	2		0	Agonist	0	0	5
Antagonist	1	2	4	Antagonist	0	0	6		
Quercetin				Coumarin					
	Z > 3.0	Z < 3.0	Inactive		Z > 3.0	Z < 3.0	Inactive		
	Overall	1	10		9	Overall	0	0	20
	Agonist	1	8		4	Agonist	0	0	13
Antagonist	0	2	5	Antagonist	0	0	7		
Maltol				6-Methyl coumarin					
	Z > 3.0	Z < 3.0	Inactive		Z > 3.0	Z < 3.0	Inactive		
	Overall	1	0		19	Overall	0	0	12
	Agonist	0	0		13	Agonist	0	0	5
Antagonist	1	0	6	Antagonist	0	0	7		
B.									
Name	Structure			Name	Structure				
Formononetin				5,6-Benzoflavone					
	Z > 3.0	Z < 3.0	Inactive		Z > 3.0	Z < 3.0	Inactive		
	Overall	0	6		6	Overall	2	3	6
	Agonist	0	4		1	Agonist	2	1	2
Antagonist	0	2	5	Antagonist	0	2	4		
Biochanin A				5,7-Dimethoxy-coumarin					
	Z > 3.0	Z < 3.0	Inactive		Z > 3.0	Z < 3.0	Inactive		
	Overall	9	7		4	Overall	0	1	11
	Agonist	8	5		0	Agonist	0	0	7
Antagonist	1	2	4	Antagonist	0	1	4		
Ipriflavone				5,7-Dimethoxy-4-methyl-coumarin					
	Z > 3.0	Z < 3.0	Inactive		Z > 3.0	Z < 3.0	Inactive		
	Overall	0	1		11	Overall	0	1	7
	Agonist	0	1		4	Agonist	0	1	3
Antagonist	0	0	7	Antagonist	0	0	4		

Table 5. continued

Name	Structure	Name	Structure				
<b>Apigenin</b>		<b>4-Methylumbelliferone</b>					
	<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>		<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>				
Overall	9	7	4	Overall	0	2	9
Agonist	8	5	0	Agonist	0	0	6
Antagonist	1	2	4	Antagonist	0	2	3
<b>Kaempferol</b>		<b>Giparmen</b>					
	<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>		<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>				
Overall	8	8	4	Overall	0	0	4
Agonist	7	5	1	Agonist	0	0	2
Antagonist	1	3	3	Antagonist	0	0	2
<b>Chrysin</b>		<b>Methylchromone</b>					
	<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>		<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>				
Overall	4	6	10	Overall	0	0	3
Agonist	4	4	5	Agonist	0	0	2
Antagonist	0	2	5	Antagonist	0	0	1
<b>Flavone</b>		<b>5-Methoxy-psoralen</b>					
	<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>		<b>Z &gt; 3.0</b> <b>Z &lt; 3.0</b> <b>Inactive</b>				
Overall	3	4	13	Overall	0	1	11
Agonist	3	2	8	Agonist	0	0	7
Antagonist	0	2	5	Antagonist	0	1	4

<sup>a</sup>Data relating outcomes at all ER-associated assays (agonist and antagonist) are displayed, classified in accordance with Z-score. Pyranone moiety highlighted red.

**Table 6. ToxCast Activity Profile for Pyranone-Type Group, Depicting All Matched Targets**

target	assays screened	assays active	active hit %	protein product
ESR1/ESR2	10	4	40	estrogen receptors $\alpha$ , $\beta$
ESR2	24	7	29.2	estrogen receptor $\beta$
ESR1	90	14	16	estrogen receptor $\alpha$
NFE2L2	21	3	14.3	Nrf2
F3	23	2	8.7	tissue factor
NR1I3	55	2	3.6	constitutive androstane receptor

inactivity of the unsubstituted flavonoids flavone and 5,6-benzoflavone. It is appropriate to consider, however, that a variety of factors independent of ligand–receptor SAR (as discussed in Section 3.2) may influence the apparent activity within these systems.

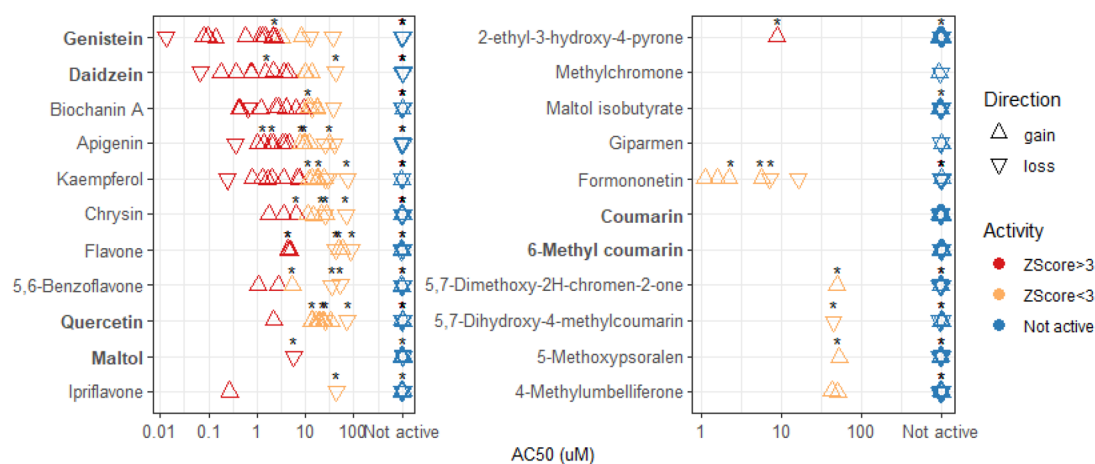
#### 4. DISCUSSION

Traditional read-across has focused upon established end points within toxicology for which data have been most widely available, of particular prominence is the no observed effect level (NOEL) quantities obtained following acute or repeated-

dose administration of compounds within test animal species. Such an exercise typically relies upon chemical similarity in the framing of boundaries across which predictions (generally limited to organ or whole-organism level outcomes) may be extended. Aside from the associated ethical considerations, such protocols are costly and time-consuming and may lack both translational relevance and mechanistic grounding. *In vitro* HTS data, exemplified by ToxCast and Tox21, offer potential solutions to a number of these issues, enabling generation of volumes of pathway-specific data without recourse to *in vivo* methodology. A future vision for a more mechanistically anchored read-across may include incorporation of such findings, and as such, their utility and shortcomings must be assessed. Within this study, key questions were raised as to the extent to which the HTS data could provide assistance enabling (1) the elucidation of underlying bioactivities associated with apical toxicological outcomes, (2) the closing of existing toxicological data gaps, and (3) the definition of the boundaries of chemical space across which bioactivity could reliably be extrapolated.

In order to appreciate the challenges associated with addressing these points, it is first necessary to consider the distribution and general interpretability of the biological





**Figure 3.** Assay-level estrogenic activities of compounds within the pyranone-type group, expressed in terms of micromolar AC<sub>50</sub>. Z-scores > 3.0 are colored red, while those lower are highlighted yellow. Direction “gain” and “loss” represent assays descriptive of agonist and antagonist effect, respectively. Presence of data flags indicated by accompanying asterisk symbol.

associations suggested. Of the 102 suitably defined chemical groups, 49 displayed activity against at least one target. Across all, a total of 165 putative relationships were recorded. It should be noted that of the 244 targets identified, approximately four-fifths were inactive across all groups. Among the most commonly triggered assays were those relating to the general sensing of, and response to, xenobiotic presence, including transcriptional regulators PXR (activated across 35 groups) and AHR (four groups).<sup>53</sup> Owing to the necessary broadness of their substrate specificity, widespread stimulation under conditions utilized within the ToxCast assays is to be anticipated and may be considered an adaptive physiological response facilitating metabolism and detoxification. PPAR $\gamma$  similarly possesses a large, adaptive binding pocket capable of facilitating activity of a wide selection of ligands—a factor which is correspondingly likely to account for the variety of groups (12 in total) which share activity against it.<sup>54</sup> Induction of Nrf2, a transcription factor associated with mediation of defense toward oxidative stress, was noted within 11 chemical groups. It is plausible that the extent of its enhancement within the HTS protocols arises as a function of general stress placed upon cells by elevated xenobiotic concentration.<sup>29</sup> RXR subtypes  $\alpha$  and  $\beta$  are in combination triggered across 10 groups. Ready interpretation of the relevance of these findings is confounded by the promiscuity of such proteins as dimerization partners for PXR and CAR, together with a variety of nuclear receptors including PPAR, RAR, LXR, FXR, and TR.<sup>30</sup>

With doubt cast over the relevance and translatability of the aforementioned end points with respect to definable adverse outcome, it was necessary to probe associations within the variety of less commonly triggered targets. In total, 38 of the 46 matched targets were present within three or fewer groups. In a number of instances, the functions of such entities have been characterized: HIF1A, p53, and VEGF1 (FLT1) have, for example, each been studied extensively. Others, however, remain more obscure. It is likely that many of the associations between the ToxCast end point and *in vivo* effect (the subject of the first read-across applicability question raised within this study) will remain unknown until knowledge of the underlying biological pathways has expanded. This will require advancement in the general understanding of the influences of gene products upon the progression of pathology, an endeavor

requiring progression across “omics” fields.<sup>55</sup> Publicly accessible resources including Reactome and KEGG are among a number of established repositories holding information concerning the connections underlying physiological systems.<sup>56,57</sup> Similarly, the Comparative Toxicogenomics Database explicitly seeks to associate the disturbance of gene expression with defined toxicological end points.<sup>58</sup> Only through a continuation of research into these interactions, and subsequent integration into informed AOPs, will rationalization of the wealth of data available be realized.<sup>21</sup> It should be noted that the addressing of second question posed—that of whether the recovered data could be adapted for the closing of existing toxicological data gaps—is very much dependent upon the establishment of such mechanistic links. Accordingly, it is apparent that the utility of the examined HTS outcomes for this purpose at present remains limited.

As such, the focus was limited to a pair of comparatively well-characterized relationships: the xenoestrogenicity of both the parabens and of pyranone-type compounds (notably flavonoids).<sup>34,59</sup> ToxCast contains a variety of assays characterizing agonist and antagonist effects at both ER subtypes, enabling an apparently reliable characterization of compound action at these molecular targets. Indeed, many of the most promising studies to date examining the predictive potential of the ToxCast data have focused upon endocrine-mediated end points through not only the estrogenic but also the androgenic receptors.<sup>60–64</sup> It was noted that not only did these assays reflect the general presence of estrogenicity within the examined groups, but that the potency of the suggested effects in some instances correlated with structure–activity trends indicated through other assay systems. Resolution to such a level is of course highly desirable within practical read-across scenarios, where subtle structural alterations across a grouping of closely related compounds may be associated with variations in activity. Within the paraben-gallate grouping, it was observed that aryl and branched-chain alkyl parabens showed a greater agonist affinity for the receptors than did the straight-chain equivalents. Among these *n*-alkyl analogues, a trend of increasing agonistic activity was seen from methylparaben to butylparaben, with each more potent than its counterpart gallate. Evidence of ER antagonism was present only to a minimal degree in all. Within the pyranone group, however, concordance with accepted SAR among flavonoids and

isoflavonoids was not so readily apparent. While it is acknowledged that isoflavonoids generally display greater estrogenic potency than do the corresponding flavones, this trend was not universally mirrored in ToxCast outcomes—a factor likely attributable in part to the variance in hydroxyl and methoxy substitution patterns additionally present.<sup>65,66</sup>

Within both case studies, a clear contrast was observed between the estrogenic profiles of those compounds with widely attested estrogenic potential and those structural relatives without. For example, marked differences were noted in the activity of parabens and gallates relative to benzoate esters and salicylates (lacking the essential 4-OH binding group) and also to the substituted benzoic acids (without the alkyl ester substituent). Hydroxylated isoflavonoids and flavonoids were substantially more potent than their equivalents not possessive of appropriate hydrogen-bonding units (such as flavone and 5,6-benzoflavone). Such results suggest that ToxCast forms a relevant source of information primarily in defining the boundaries of the chemical space across which analogues may elicit certain biological effects. In doing so, this answers effectively the third read-across question ventured at the commencement of the study. Adaptation of this capacity may facilitate the development of tools enhancing confidence in the suitability of compounds for inclusion within putative read-across categories, offering further dimensionality when integrated alongside those methods solely considerate of chemical structure such as molecular fingerprints, structural alerts, and physicochemical property descriptors.<sup>12</sup>

It should be recalled that relating the outcomes of *in vitro* assays to defined *in vivo* effects is an inherently challenging exercise, with a general limitation of the most current HTS approaches such as ToxCast being the inability of the constituent assays to account for xenobiotic bioactivation and metabolism, factors integral in determining not only the fate of but in some cases the bioactivity of administered compounds within living organisms.<sup>67</sup> Additional issues include the presence of gaps, often substantial, within the data landscape (which undoubtedly contributed to the lack of matches relating to the majority of targets present) and further technical challenges associated with the processing of chemicals varying intrinsically with regards to their volatility, stability, and proclivity to bind to materials.<sup>68</sup> Previous studies conducted with the intention of adapting ToxCast end points for the construction of predictive toxicological models have noted varying degrees of performance, dependent upon the systems examined.<sup>69</sup> Associations between nuclear receptor assay activation (notably of the PPAR family) and hepatotoxicity have additionally been observed, while further promising outcomes were noted in efforts to detect signatures representing impairment to vascular development.<sup>70,71</sup> However, attempts to discern relationships with neurotoxicity and adipogenesis, among others, have in contrast proved less successful.<sup>60,72,73</sup>

In conclusion, this study has enabled a preliminary exploration of the utility of HTS data, as exemplified by results from ToxCast, for application within chemical read-across for food relevant chemicals. Uncertainties with regards to the relevance of many of the target hits toward apical outcome limit application at the current time, although it is likely that with an appreciation of their place within AOPs, the applicability of the findings will likewise expand. Representative case studies indicate a promising ability to replicate estrogenic effects found within the paraben-gallate grouping

and also within pyranone-containing flavonoid compounds, discriminating out-of-domain structural relatives and mirroring, to an extent, in-group SAR.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.chemrestox.0c00240>.

Supplementary Table 1: Identity of compounds present within Karmaus et al. list, accompanied by their primary, secondary, and tertiary structural grouping assignments. Supplementary Table 2: Overview of compiled chemical groupings. Supplementary Table 3: Chemical groupings eligible for read-across consideration. Supplementary Table 4: Unabridged listing of chemical grouping-target associations. Supplementary Table 5: ToxCast targets ordered by extent of activation across chemical groupings. Supplementary Table 6: ToxCast estrogenic activity profiles for compound clusters considered alongside paraben-gallates (XLSX)

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### Notes

The opinions expressed herein and the conclusions of this publication are those of the authors and do not necessarily represent the views of ILSI Europe nor those of its member companies, nor any regulatory authority.

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