

### The role of increasing environmental pressure in triggering sudden shifts in ecosystem structure and function

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# **CASCADE**

## **Deliverable 4.2**

### **The role of increasing environmental pressure in triggering sudden shifts in ecosystem structure and function**

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April 2016

*WP4: Manipulative field mesocosm experiments on ecosystem dynamics*

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## Executive summary

Modelling exercises, field observations and a variety of theoretical developments indicate that drylands may experience sudden shifts from functional to degraded states in response to gradual increases in human and climatic pressures. Positive degradation feedbacks between reduced plant cover and increased resource loss driven by runoff has been often claimed to trigger this kind of tipping-point dynamics, driving dryland ecosystems to sudden degradation. However, empirical support for the proposed control factors, processes and feedback mechanisms is scarce.

To assess the occurrence of non-linear, threshold dynamics and tipping points towards a degraded state in response to decreasing plant cover and to gain insights about the mechanisms underlying such dynamics, we followed a manipulative field experimental approach that mimicked a gradient of accumulated impact of pressure (grazing and wood gathering) on a dryland system. On a set of three large-size ( $\sim 300 \text{ m}^2$  each) experimental plots, which were previously inter-calibrated over a two-year period, we removed manually part of the vegetation cover to final values of approximately 45, 30, and 15% (Treatment plots IC45, IC30, and IC15, respectively). On these plots, we monitored resource loss (runoff and sediment yield), vegetation dynamics, bare-soil connectivity and soil-surface condition for  $\sim 3.5$  years.

Our results demonstrate that the gradual decrease of (already) low vegetation cover in a dryland system causes a non-linear increase in the production of runoff and sediments, in the degree of rill development, and in the contribution of microtopography to erosion potential (LS-factor), with the change from 45 to 30% being critical for that increase, whereas no further significant increase was produced by a change from 30% to 15% cover. These results suggest that reduction of plant cover down to values  $\leq 30\%$  resulted in a particular degraded state, significantly different from the system that resulted from a reduction in plant cover down to 45%. It must be stressed that a severe drought naturally occurred in the area in 2013-2014, further challenging vegetation recovery in all plots, yet also contributing to certain homogenization of the pressure level between plots.

The capacity of the plots for producing runoff and sediments (i.e., for leaking resources) showed no sign of being reduced during the study period. Similarly, we found no evidence that pointed to the recovery of pre-disturbance vegetation cover for any of the plots. However, our results did also not provide evidence for the onset of any degradation loop that could further degrade the systems. The combined effect of the experimental removal of vegetation and a natural drought that occurred in the area drove the three experimental plots to a respective degraded state, with plant cover values that remained around 30-40% for the plot initially manipulated to have 45% plant cover, and around 20-30% for the other two plots (far from the pre-disturbance vegetation cover values), and with poor soil functional conditions in all three plots. These degraded states showed certain stability over the study period. However, the assessment of their long-term stability falls beyond the timeframe of this work.

At the patch scale, larger bare-soil connectivity also implies larger inter-patch areas, which is beneficial for the performance of the downslope patch. Our study proved that

runon inputs to individual patches increases with increased size of the upslope interpatch area, and that this relationship tends to a plateau, so that larger upslope areas beyond certain value do not further increase water gains. These results highlight the importance of the redistribution of resources and feedbacks that operate at the patch scale, which contribute to maintain patch productivity under very low plant cover, and could counterbalance net losses from the system, preventing or delaying the shift to a bare-soil state and promoting instead different communities and patterns with different overall resource availability and redistribution pattern.

It is worth mentioning that we found differences in the functional conditions of bare-soil interpatches as a function of the distance to vegetation patches (i.e., isolated bare-soil areas versus bare-soil areas next to vegetation patches), which supports the assumptions of dryland vegetation models that incorporate local facilitation as one of the critical processes that drive dryland vegetation dynamics and response to environmental change, yet the functional differences found in our work are not as contrasting as assumed by these models.

Despite the relatively short duration (~3.5 years) of the monitoring period reported here, our results have provided critical findings and insights that are relevant for improving modelling and theoretical developments on dryland dynamics. From a management perspective, our results highlight the need for being conservative regarding the minimum vegetation cover that should be maintained through proper resource exploitation.



# 1 Introduction

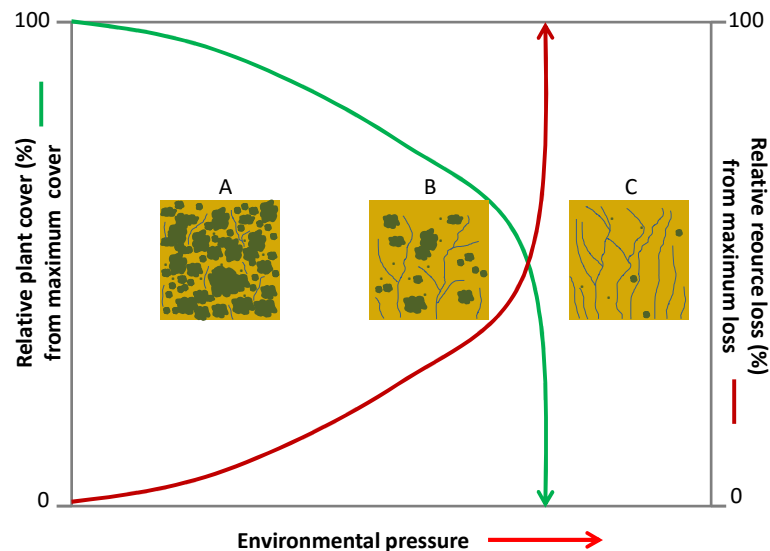
## 1.1 Sudden shifts in dryland ecosystems

Dryland degradation, known as desertification, affects 10% to 20% of the world drylands, and ongoing population growth and climate change are expected to exacerbate desertification risk (Millennium Ecosystem Assessment 2005). It has been proposed that desertification results from non-linear responses to interacting pressures (von Hardenberg et al. 2001, Peters and Havstad 2006, Reynolds et al. 2007), and a variety of theoretical developments, modelling exercises, and observations indicate that drylands may experience sudden shifts from functional to degraded states in response to gradual increases in human and climatic pressures (Rietkerk et al. 2004, Kéfi et al. 2007a,b, Solé 2007).

The possibility for rapid, large, and not easy to reverse ecological and economic losses explains the effort that is being dedicated over the past few years to understanding the factors, mechanisms, pressures, and interactions that control and drive sudden shifts in all kind of systems (Scheffer et al. 2001, van de Koppel et al. 2001, Scheffer and Carpenter 2003, Rietkerk et al. 2004, Bestelmeyer et al. 2011, Duarte et al. 2012) as well as to finding early warning signals for these shifts (e.g., Dakos et al. 2012, Boettiger and Hastings, 2013, Kéfi et al. 2014, Clements and Ozgul 2016). However, empirical support for the proposed control factors, processes and feedback mechanisms is scarce for many systems, including drylands.

Ecohydrological observations in dryland communities have suggested that a decrease in the cover and size of vegetation patches increases the hydrological connectivity of bare-soil areas (i.e. runoff-source areas) and the overall loss of water and nutrients from the system (Bautista et al. 2007, Mayor et al. 2008, Turnbull et al. 2010), which may in turn reduce plant productivity and further decrease plant cover, completing a positive degradation feedback (Ludwig et al. 2005, García-Fayos et al. 2010, Bestelmeyer et al. 2011). Once certain threshold or critical region in plant cover (or any other essential state variable) is surpassed, the positive degradation feedback between reduced plant cover and increased resource loss has been claimed to trigger tipping-point dynamics and drive dryland ecosystems to sudden degradation, even if the environmental pressure responsible for the reduction in plant cover is no further increased beyond the tipping point (Ludwig and Tongway 1995, Davenport et al. 1998, Moreno de las Heras et al. 2012, Mayor et al., 2013). Thus, at certain (low) plant cover value, the associated loss of resources (water, nutrients, propagules) through runoff and erosion would be large enough to exceed the resilience of the plant community against resource depletion; the extant vegetation would experience increased mortality and decreased colonization and growth, resulting in further reduced plant cover and further loss of resources, a process that can rapidly shift the system into a degraded state (Fig. 1). This degraded state is commonly represented by bare land, but it could also be a different plant community that can be established under the new degraded conditions as an alternative ecosystem state. Often, it is not the net loss of resources but its redistribution in the ecosystem what triggers the regime shift. For example, shrub encroachment in semiarid grasslands has

been attributed to increasing spatial heterogeneity in resource availability and fragmentation of grass cover due to overgrazing (Schlesinger and Pilmanis 1998, van Auken 2000).



**Figure 1. Conceptual diagram of the coupled non-linear dynamics and tipping-points of plant cover and resource loss in patchy drylands. State A represents optimum plant cover for healthy dryland condition, very low bare-soil connectivity and associated high level of resource conservation. State B represents the critical structure and conditions driving to a sudden shift, with high bare-soil connectivity and associated substantial loss of resources, which trigger positive degradation feedbacks that lead to degraded State C. State C represents the most leaky and unproductive conditions.**

## 1.2 CASCADE-WP4 Experimental approach to the analysis sudden shifts

Although theoretical models predict that drylands can experience sudden shifts, empirical evidence on this topic is very scarce and shows contrasting results. For instance, while Gao et al. (2011) found a degradation threshold ( $\approx 20\%$  vegetation cover) for natural restoration of overgrazed rangelands in a long-term (35-years) observational study in China, Bestelmeyer et al. (2013) found no critical thresholds, even at low plant cover values, in Chihuahuan Desert grasslands after a long term (13-year) pulse-perturbation experiment of heavy grazing and shrub removal. Observational field experiments are essential to illustrate sudden shifts in ecosystems. However, they are often unable to provide conclusive results. This is partly due to the background environmental heterogeneity of the landscape, particularly strong in drylands, or the large temporal and spatial scales involved, but mostly to the complex interactions occurring between multiple control factors. Conversely, appropriate manipulative experiments allow disentangling the relative role of the factors involved, yet they may imply an over-simplification of real ecosystems.

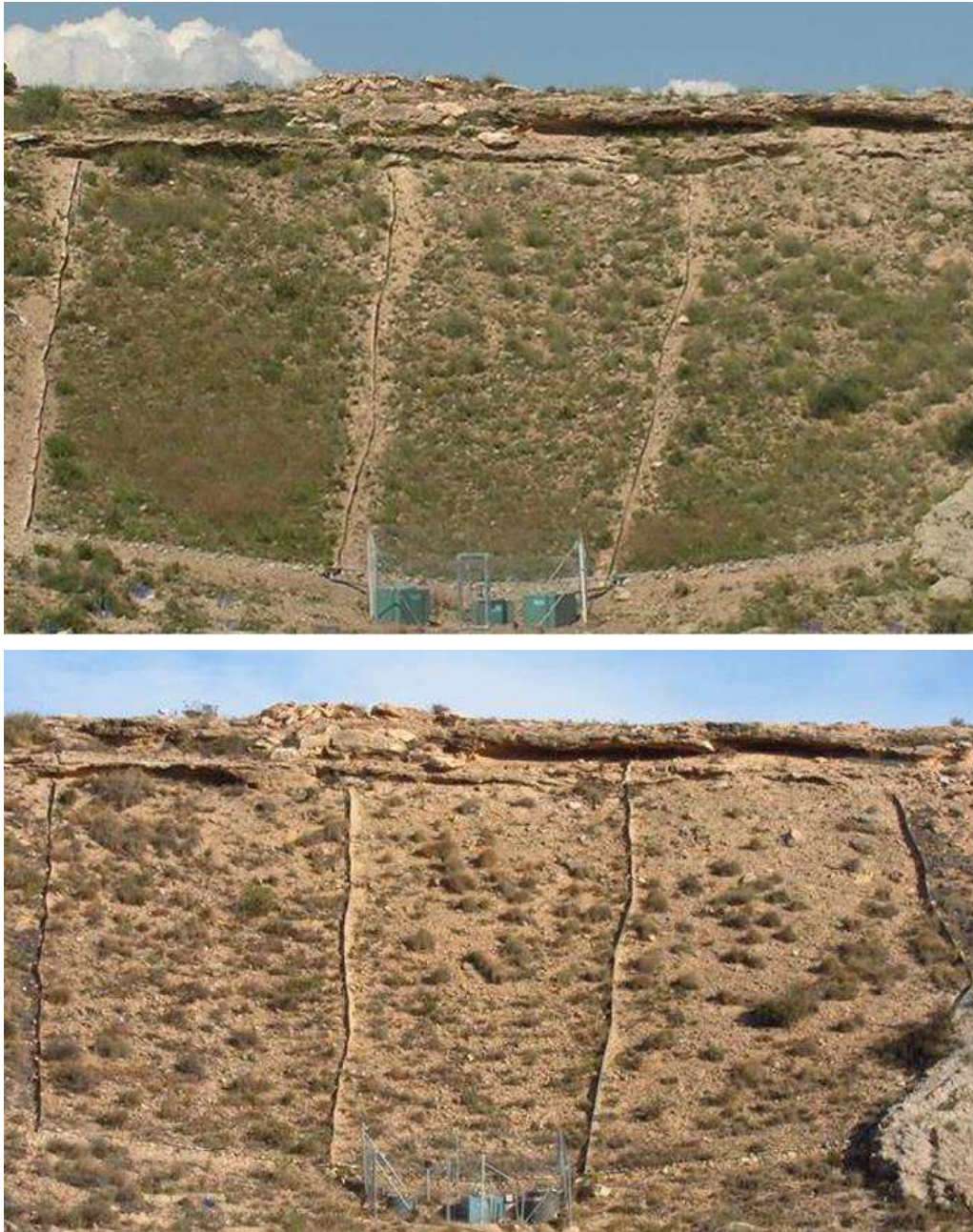
CASCADE-WP4 has adopted a combination of mesocosms and field manipulative experiments as the most promising approach for the study of sudden shifts in dryland ecosystems. Manipulative experiments allow the isolation of the processes and factors of interest, thereby facilitating the understanding of the underlying mechanisms and providing useful information for developing, parameterizing, calibrating and validating general models. Three interlinked tasks in WP4 specifically assess (1) ecohydrological feedbacks linking plant cover and pattern, resource conservation/redistribution and plant growth (reported in D4.1); (2) the role of increasing pressure in triggering rapid changes in ecosystem status (this report D4.2); and (3) degradation reversal dynamics and thresholds as a function of plant colonization pattern and diversity (reported in D4.3).

### **1.3 Assessing the role of increasing environmental pressure. Approach and objectives**

This Deliverable D4.2 reports on our experimental findings regarding the role of a gradual increase in environmental pressure as trigger of sudden shifts in drylands towards degraded states. Following a manipulative field experimental approach, we applied a gradually increasing pressure of vegetation removal (Fig. 2), which aimed to mimic a gradient of accumulated impact of pressure (grazing and wood gathering). We then monitored resource loss (runoff and sediment yield), vegetation dynamics, bare-soil connectivity and soil-surface condition for ~3.5 years.

The main objectives of this research were to experimentally assess the occurrence of tipping points towards a degraded state in response to decreasing plant cover, and to gain insights about the mechanisms underlying such dynamics. Specifically we aimed to test the following hypotheses:

- (1) For a range of low cover values (close to suggested thresholds for irreversible degradation), a gradual decrease in cover results in a tipping-point response regarding resource loss (i.e., large, non-linear increase in runoff and sediment yield, as well as in rill development and erosion potential) once certain low cover value is surpassed.
- (2) Resulting from the above response, soil functioning sharply decreases with decreasing plant cover, contributing to the net loss of resources and eventually to an ecosystem shift to a degraded state.
- (3) Once the pressure is ceased, and depending on the plant cover that remains, the extant vegetation could either stabilize at its degraded state (if plant cover values were lower than a threshold value for degradation) or recover back to pre-treatment cover values and healthy state (if plant cover values were above that threshold).
- (4) Increased inputs of resources from upslope bare-soil inter-patches enhance the performance and growth of the individual downslope patches, reducing in turn overall bare-soil connectivity, and thus contributing to prevent or delay a shift towards a bare-soil state.



**Figure 2. View of the experimental plots before (top panel) and after (bottom panel) the vegetation-removal treatment. Before treatment application, plant cover on the experimental slope was  $61\% \pm 7\%$ . From left to right post-removal plant cover was approximately 45%, 30% and 15%.**



## 2 Methods

### 2.1 Study area

The study area (38°23'02.0"N; 0°34'55.4"W) is an experimental slope (Figs. 2 and 3) located in the semiarid area of the province of Alicante, Southeast Spain. It is a south-facing slope, slightly concave, and with an altitude of 170 m.a.s.l. (top of the plot). Slope angle is approximately 30%. The climate is semi-arid Mediterranean. Mean annual rainfall is 311 mm, which mainly falls in autumn and winter, and mean annual temperature is 18°C (Alicante weather station, 81 m.a.s.l., 38°22'21"N - 0°29'39"W; period 1981-2010). The geological substrate consists of Upper Cretaceous Albian blue-green marls and limestones, with an upper limit of Plio-Quaternary caliche and clay materials (Martín-Algarra and Vera 2004). Soil is loamy, with a high cover of rock fragments; the surface soil layer (0-20 cm) contains 21% clay, 40% silt, 39% sand, and 1.4% organic carbon.

The vegetation on the slope is a semiarid grassland-shrubland mosaic, with patchy distribution of perennial grasses such as *Brachypodium retusum*, *Lygeum spartum* and *Hyparrhenia hirta*, scattered shrubs of *Thymelaea hirsuta*, *Rhamnus lycioides* and *Salsola genistoides*, and a variety of chamaephytes, such as *Fumana ericoides* and *Asparagus horridus*. Total vegetation cover, before treatment application in the area, was 61% ± 7%. The only recent land use of the area was marginal grazing.



Figure 3. 3D-Image of the experimental slope and plots (left) after treatment (vegetation removal) application, and detail of the soil surface (right).

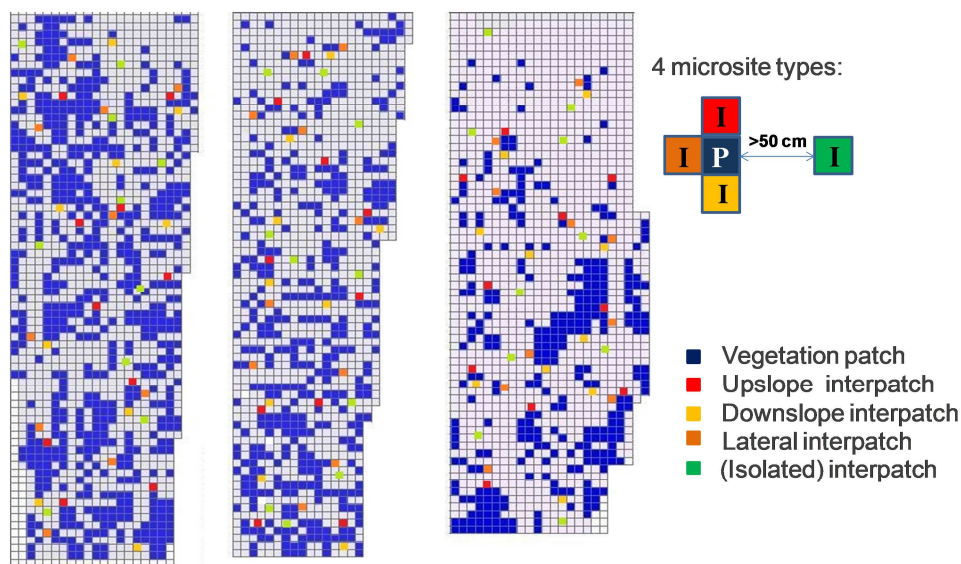
### 2.2 Experimental design

On a set of three large-size (~ 300 m<sup>2</sup> each) experimental plots, we mimicked a gradual gradient of pressure (grazing and wood gathering) by removing manually part of the

vegetation cover to intended final values of approximately 45, 30, and 15% (Fig. 2). Each cover level was randomly assigned to each plot. Plots were then labelled as IC45, IC30 and IC15, in agreement with their respective initial cover values at the beginning of the experiment. We targeted these values because they are close to the threshold of ~30% proposed by Thornes (1985) and Francis and Thornes (1990) as critical value below which runoff and sediment yield would substantially increase and drive the system to irreversible degradation.

Using aerial images of the experimental plots, we mapped the spatial distribution of the vegetation of each plot as binary maps (vegetation patches and bare-soil interpatches) with a resolution of 0.5 x 0.5 m pixel size. For each plot, and taking into account the original percentage of vegetation pixels, we calculated the number of vegetation pixels to be removed (changed to bare-soil pixels) so that the resulting vegetation cover were the intended values of 45, 30, and 15%. Then, we randomly selected the vegetation pixels to be removed. The resulting maps (Fig. 4) were used as templates to guide the field implementation of the vegetation-removal treatment. With the help of parallel strings placed on the plots, we selected the 0.5 x 0.5 vegetated areas from which vegetation had to be removed in agreement with the guiding maps. Vegetation was removed by hand, uprooting the plants, or cutting them below the root collar when uprooting was not possible without digging. The soil surface was then compacted by stepping on it. The removal treatment was applied in November 2012.

For monitoring purposes (See section 2.4), we randomly selected 4 groups of 10 bare-soil pixels each: 10 pixels located immediately upslope a vegetation patch; 10 pixels located immediately downslope a vegetation patch, 10 pixels lateral to a vegetation patch, and 10 isolated interpatch pixels with no contact with vegetation (Fig. 4).



**Figure 4. Maps of the intended plant cover on the experimental plots. Blue pixels in the maps represent vegetation and white pixels represent bare soil; red, orange, brown and green pixels represent monitoring plots for the assessment of bare-soil surface condition.**

Before the vegetation removal treatment, the experimental plots were inter-calibrated to assess natural variation between them in total runoff and sediment yield. For two years prior treatment application, we measured runoff and sediment yield (see Section 2.3) from the three plots. This long inter-calibration period allowed considering a wide range of variation in rainfall amount and intensity values for the comparative analyses of the hydrological response of the three experimental plots. The inter-calibration of the experimental units for the target processes studied is the standard procedure to control treatment effect when there are not replicates for the treatments applied. This approach, which is an extension of the “paired catchments” approach, has been widely used to assess the impact of land use or vegetation change on catchment hydrology (Bosch and Hewlett 1982). Such studies involve the use of two or more catchments with similar characteristics in terms of slope, aspect, soils, area, precipitation and vegetation located adjacent to each other. Following a calibration period, where all catchments are monitored, the catchments are subjected to different treatments. The change in the hydrological behaviour can then be attributed to changes in vegetation due to the treatment (Bosch and Hewlett 1982, Lane and Mackay 2001, Best et al. 2003).

## 2.3 Hydrological measurements

We measured runoff and sediment yield after each natural rainfall event for a period of 41 months (November 2012–March 2016). Runoff from each plot was collected in a gutter (Gerlach trough) connected to a large (1000 litres) water tank, where it was measured (Fig. 5). For IC15 plot, we add a second water tank to increase the total capacity for storing runoff. The concentration of sediments in the runoff was estimated by desiccation of the runoff samples taken from the collection tanks after extensive stirring of the water-sediment mix and resuspension of sediments that had settled. Total sediment yield per rainfall event was calculated by adding up the amount of sediments in the tanks, estimated from the sediment concentration data, and the bed-load sediment accumulated in the gutters.

Rainfall was measured with a tipping-bucket rain gauge installed in the experimental site with a temporal resolution of 5 min. For each rainfall event we calculated total rainfall amount and maximum intensity in 15 minutes ( $I_{15}$ ). We then analysed the relationships between these rainfall properties and runoff and sediment yield.

To assess the effect of the vegetation-removal treatment on the redistribution of water by runoff within the experimental plots, we installed TDR (Topp and Davis 1985) soil-moisture probes (0-15 cm depth) upslope of nine vegetation patches (*Lygeum tussocks*) per plot. These patches varied in the size of the bare-soil interpatch upslope each of them, with patches in plot IC15 having the largest upslope bare-soil interpatches, and patches in plot IC45 having the smallest ones. For selected well-forecasted large rainfall events, we measured soil water content (SWC) the day just before the event and the day



after, estimating from the difference in soil moisture between these two days the water gains for each vegetation patch.

Differences in runoff and sediment yield between the experimental plots (treatment effect) were analysed by ANCOVA, using rainfall amount or rainfall intensity ( $I_{15}$ ) as covariable. The relationships between the size of the upslope interpatch area and the water input gained after a rainfall event were analysed by regression analysis. These analyses and all statistical analyses described hereafter were performed using R (R Core Team, 2013).



**Figure 5. General view of the plots and the containers for runoff and sediment measurements (top) and details of measurement containers after a large rainfall event.**



## 2.4 Microtopography and erosion potential

Starting in May 2014, we monitored the profile dynamics of main rills developed on the experimental plots after the vegetation-removal treatment. We identified in the field and marked the most conspicuous rills developed on each plot. We then installed a number of regularly spaced pairs of fixed rods transversal to the main axis of each rill. Periodically, we assessed the variation in the profile of the rills by using a simple two-dimensional microprofilemeter mounted on these pairs of rods (Fig. 6).

On May 15, 2015, using a Remote Piloted Aircraft (RPA) equipped with a GPS system, we took 203 aerial images of the experimental area. From these images, we generated a high resolution orthophoto (5.7 mm per pixel) and a DEM (11.5 mm per pixel) of the area. Further treatment of the images we performed with QGIS and GRASS software. Using the high resolution DEM, we estimated a modified LS factor of RUSLE (Renard et al. 1997) for each pixel in a raster map with a resolution of 5 mm per pixel. The S-factor measures the effect of slope steepness, and the L-factor defines the impact of slope length. The combined LS-factor describes the effect of topography on soil erosion. Values of LS factor are dimensionless and represent relative values compared to soil loss from a field under the standard conditions of 22.1 m length and 9 percent steepness. Our calculation of the modified slope length (LS) factor was based on slope and (specific) catchment area, the latter as substitute for slope length (see, for example, Panagos et al. 2015). We used one of the hydrology modules (Module LS factor) available in SAGA (System for Automated Geoscientific Analyses) software, which provides numerous applications for data analyses focusing on DEMs. This module takes a Digital Elevation Model (DEM) as input and derives catchment areas according to Freeman (1991). Using this module we generated maps for each plot representing the modified LS for each pixel, so that larger values indicate higher erosion potential. Rills concentrate runoff from microcatchments and have local high slope angles; thereby they typically yield high LS values, which helps to easily identify them on a LS map.



**Figure 6. Two-dimensional microprofilemeter used for the monitoring of the shape of the rills developed on the experimental plots.**

## 2.5 Soil surface condition and functioning

We assessed soil surface condition and functioning following the LFA methodology (Tongway and Hindley, 2004). We estimated the LFA soil functional indices (stability, infiltration and nutrient cycling indices), by combining field measurements of eleven soil features (Table 1). Using 50 cm × 50 cm sampling quadrats, and following a rating scale (Tongway and Hindley, 2004), these soil features were measured for the four types of bare-soil microsites: upslope, lateral and downslope a patch of *Lygeum spartium*, and isolated bare-soil interpatch (Fig. 4). Ten sampling quadrats were assessed per type of microsite and plot in March and October 2013, September 2014 and July 2015.

**Table 1. LFA functional indices and soil surface features used to estimate each of them (Tongway and Hindley 2004).**

LFA index	Soil surface features considered
Stability index (%)	Ground cover; litter cover, crust cover; crust fragmentation; erosion type and severity; amount of deposited materials; surface resistance to disturbance; and crust stability.
Infiltration index (%)	Canopy cover; litter cover; soil surface roughness; crust stability; and soil texture
Nutrient cycling index (%)	Canopy cover; litter cover, origin, and degree of decomposition; crust cover; and soil surface roughness

## 2.6 Vegetation performance, pattern, and dynamics

Plant cover was monitored by the point intercept method along three (25-m length) permanent transects per plot (125 points per transect). Plant cover and species number were recorded in November 2012, June 2013, August 2014, June 2015, and April 2016.

From the orthophoto obtained with the RPA on May 2015, we created a binary map and estimated total plant cover on each plot. Combining the orthophoto and the DEM, we calculated the index Flowlength (FL) for each plot. Flowlength quantifies the connectivity of bare-soil areas considering both vegetation pattern and topography. It is calculated as the average of the runoff pathway lengths from all the cells in a raster-based map of the area (Mayor et al. 2008).

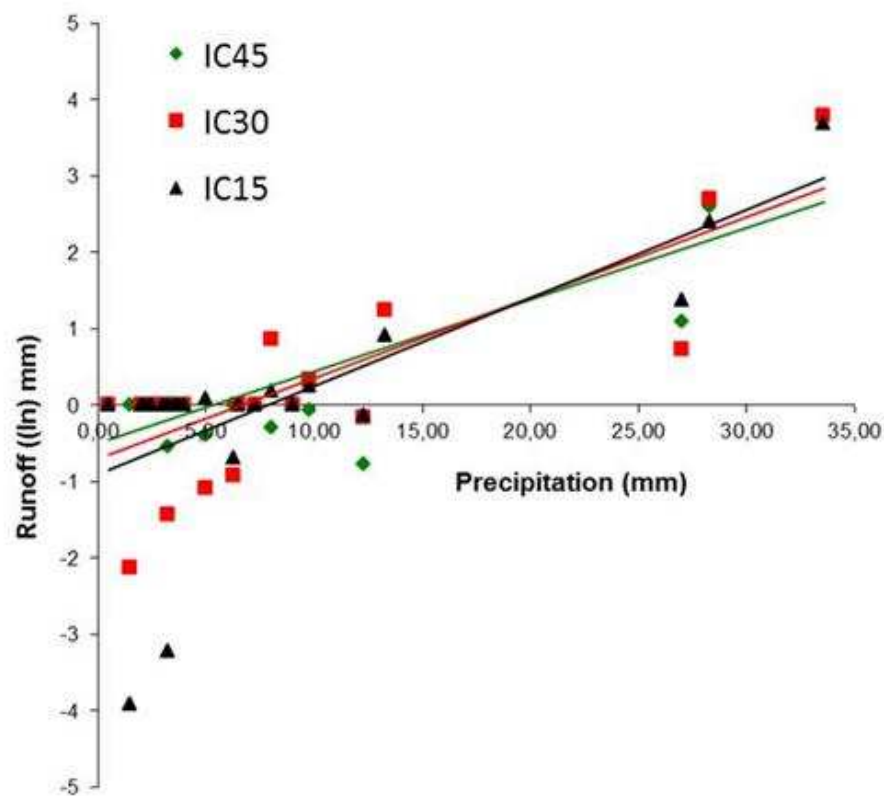
Finally, to improve our understanding of the role of source–sink dynamics in plant productivity in drylands, and gain insights on the local (patch-scale) effect of pattern-driven resource redistribution, we monitored 10 individual *Lygeum* tussocks per plot and estimated the tussock basal growth (change in basal diameter with time), and reproductive effort (number of spikes per tussock basal area unit). Since tussock growth may depend on the tussock size (in turn depending on age), we represented tussock growth relative to the initial size. We studied these relationships using regression analyses. We compared growth and reproductive effort between plot treatments using repeated measures ANOVA.

## 3 Results

### 3.1 Inter-calibration of experimental plots

During the 2-years inter-calibration period, the hydrological response of the three experimental plots was very similar. There were no statistical differences between plots regarding the relationships between rainfall and runoff (Fig. 7). Although we measured some between-plots differences in runoff production in response to small rainfall events, they were minor differences, probably related to differences in the runoff produced very close to the plot outlet. Conversely, the runoff produced by large rainfall events was almost identical in the three plots (Fig. 7). We obtained similar results regarding sediment yield, as well as using other rainfall metrics, such as rainfall intensity (data not shown).

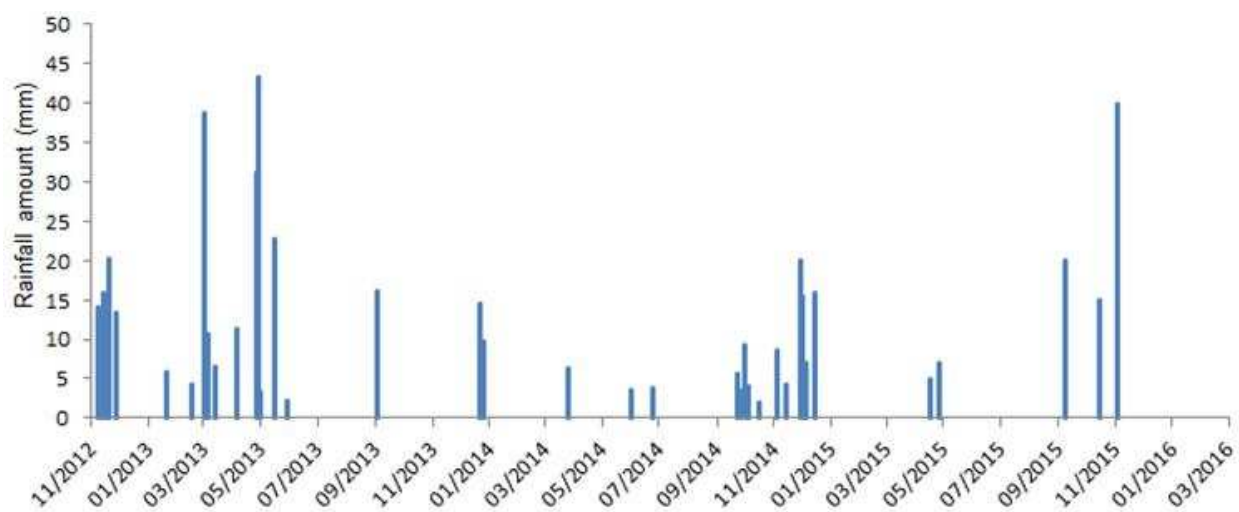
Given the lack of differences in the hydrological response of the three experimental plots, the differences found after treatment application were attributed to the treatment effect.



**Figure 7. Relationships between runoff and precipitation (rainfall) amount for the three experimental plots (IC15, IC30, and IC45) before treatment application**

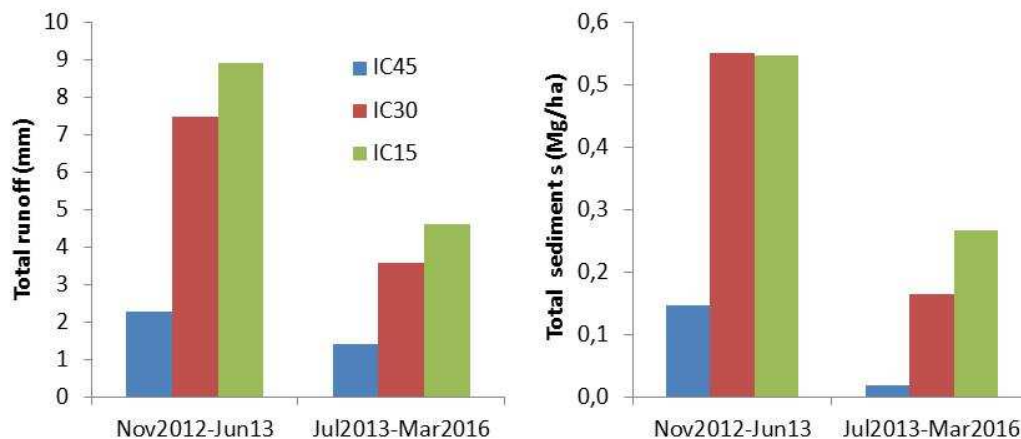
## 3.2 Hydrological response

During the study period reported here (Nov 2012-March 2016), we recorded 40 rainfall events that produced runoff on one or more plots. These events totaled 501 mm, 60% of total rainfall in the area in that period. The temporal variability of runoff-producing rainfall events was very high (Fig. 8), with many medium-size events concentrated in autumn of 2012 and 2014, few very large events in spring 2013 and autumn 2015, and long dry intervals between these periods. It is worth noting the extraordinary dry period occurred between July 2013 and October 2014, only interrupted by six events that produced runoff. The year 2015 was also extremely dry, with only five events that produced runoff, yet one of them was particularly large.



**Figure 8. Temporal distribution of runoff-producing rainfall events during the study period (Nov 2012-March 2015).**

Total runoff produced over the study period was 3.7 mm, 11.1 mm, and 13.2 mm, for plots IC45, IC30, and IC15, respectively, which means that 0.4% to 1.6% of total rainfall was lost as runoff from the experimental plots. At the event scale, runoff coefficients for runoff-producing events ranged between 0.01% and 4.22% (average 0.33%) for IC45; 0.33%; 0.01% and 13.94% (average 0.99%) for IC30; and 0.01% and 9.20% (average 1.30%) for IC15. Most of this runoff was produced during the first six months of the experiment, before the severe 2013-2014 drought, while the longer but drier period of July 2013-March 2016 yielded approximately half of the runoff produced over the first six months (Fig. 9). The production of sediment yield followed the same pattern. In general, plots IC30 and IC15 produced much higher runoff and, particularly, sediments than IC45, with IC15 showing only slightly higher yields than IC30 (Fig. 9).



**Figure 9. Accumulated runoff and sediment yield from plots IC45, IC30 and IC15 for two contrasting periods: Nov2012-Jun2013 (from treatment application to the beginning of the severe drought of 2013-14) and Jul2013-March2016 (from the beginning of the drought till the end of the monitoring period).**

Rainfall-runoff and rainfall-sediments relationships and ANCOVA analysis (Figs. 10 and 11; Table 2) showed significant variation in the hydrological and erosional response of the three plots (i.e., significantly different regression slopes, as expressed by significant interaction effect treatment x rainfall; Table 2), with almost identical response to rainfall of plots IC30 and IC15, and much higher production of runoff and sediments in these two plots than in IC45 for any given rainfall intensity higher than  $\sim 20 \text{ mm h}^{-1}$ . The best explanatory rainfall variable was the  $AI_{15}$  index, which captures the influence of both rainfall amount and intensity (Fig. 10). Results for only rainfall amount or intensity were similar, yet rainfall amount explained less of the variation in runoff and sediment yield (Fig. 11; note  $R^2$ ) and showed weaker treatment and rainfall-treatment interaction effects than  $AI_{15}$  (Table 2).

**Table 2. ANCOVA results (F and p values) for treatment and rainfall (covariable) main effects and interaction effect on runoff and sediment yield. Treatments: IC45, IC30, IC15. Covariables: maximum rainfall intensity in 15 minutes ( $I_{15}$ ;  $\text{mm h}^{-1}$ ), rainfall amount (A, mm), and  $AI_{15}$  index ( $\text{mm}^2 \text{ h}^{-1}$ ).**

Effects	Runoff		Sediments	
	F	P	F	P
$I_{15}$	230.2	< 0.001	155.9	< 0.001
Treatment	1.6	0.201	1.8	0.168
$I_{15} * \text{Treatment}$	19.2	< 0.001	16.8	< 0.001
Rainfall amount (A)	86.8	< 0.001	40.6	< 0.001
Treatment	0.83	0.44	0.62	0.538
A * Treatment	7.3	0.001	5.2	0.007
$AI_{15}$	280.1	< 0.001	123.6	< 0.001
Treatment	0.28	0.755	0.15	0.861
$AI_{15} * \text{Treatment}$	21.0	< 0.001	13.9	< 0.001

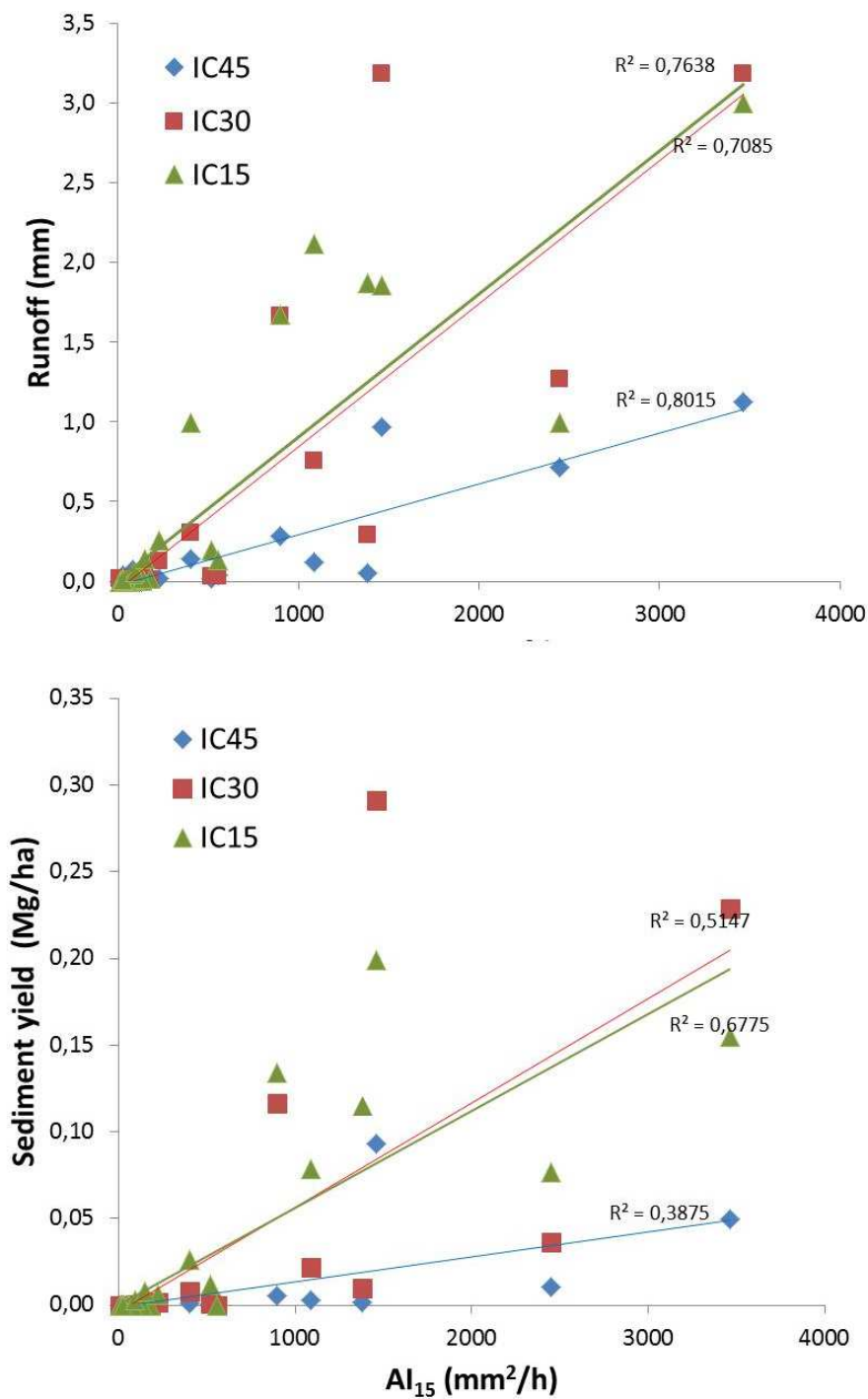
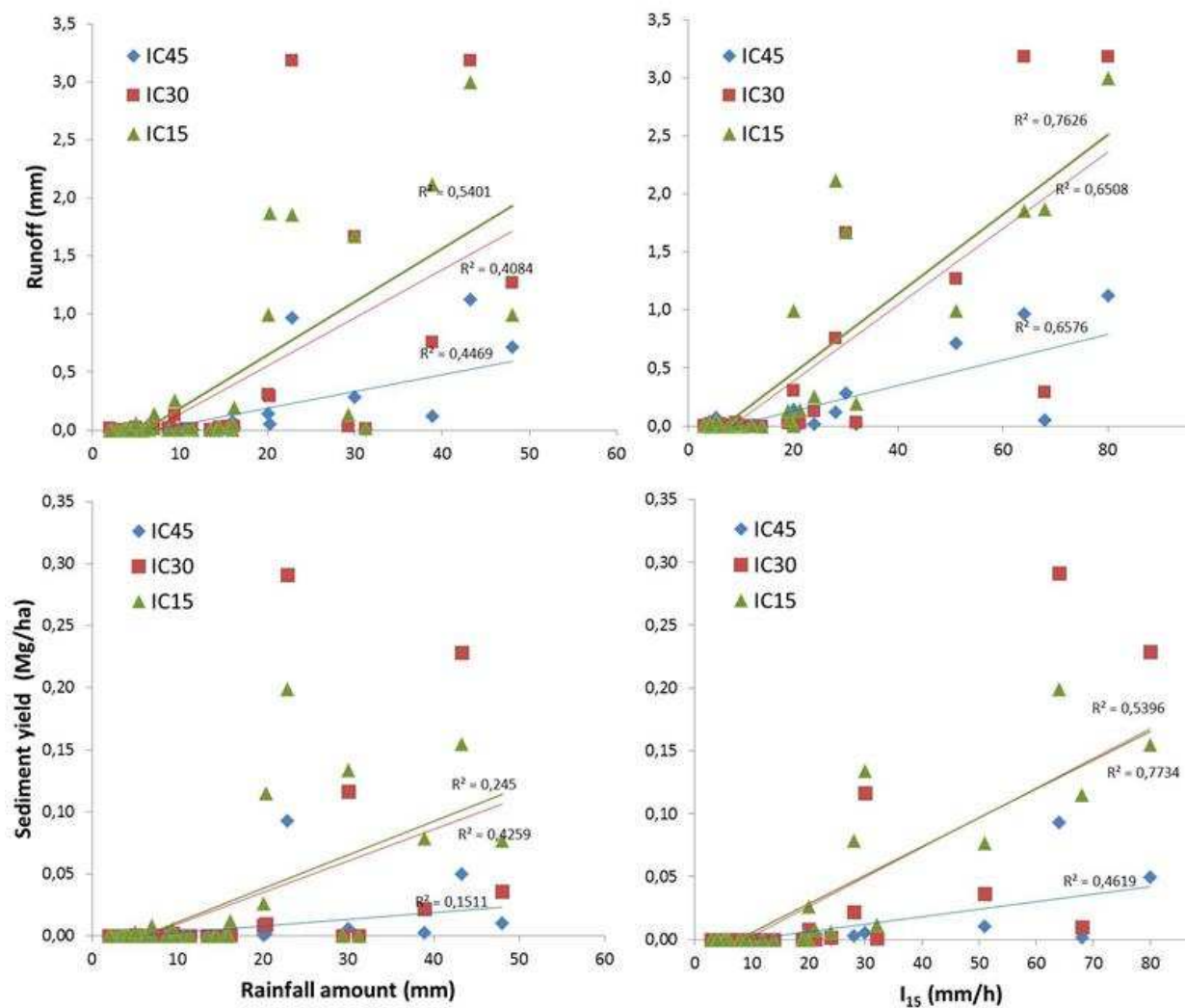


Figure 10. Relationships between the  $Al_{15}$  rainfall index (product of rainfall amount,  $A$ , and maximum intensity in 15 minutes,  $I_{15}$ ) and runoff (top) and sediment yield (bottom) for IC45, IC30, and IC15 plots.



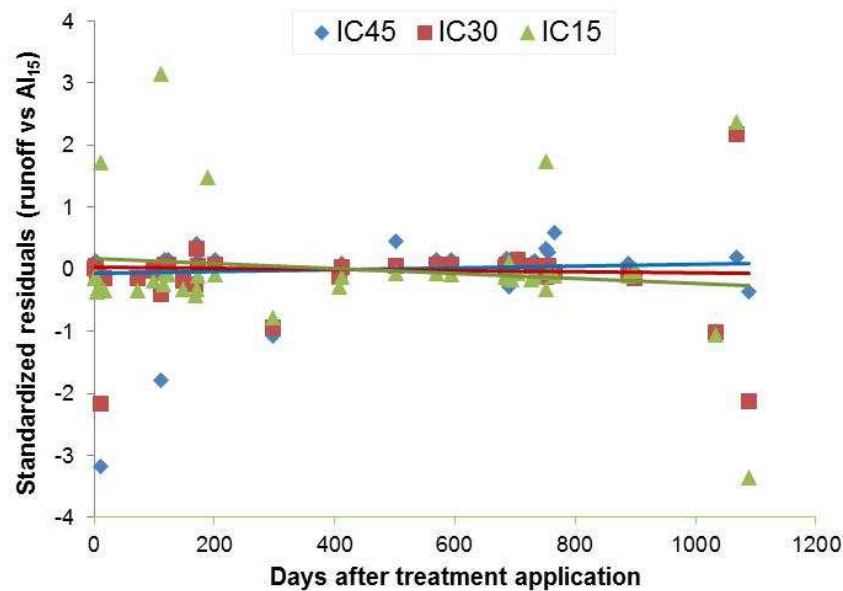


**Figure 11. Relationships between rainfall amount (left) and maximum intensity in 15 minutes,  $I_{15}$  (right) as explanatory variables and runoff (top) and sediment yield (bottom) as dependent variables, for IC45, IC30, and IC15 plots.**

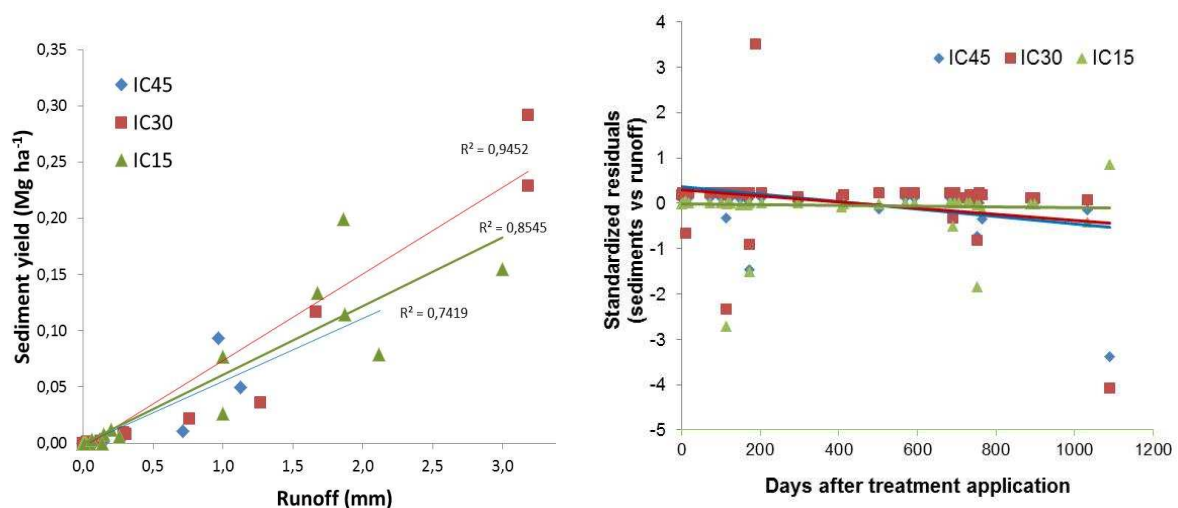
The residuals from the relationships shown above between rainfall and runoff or sediment yield (using the best explanatory variable: Maximum intensity in 15 minutes,  $I_{15}$ ) can be plotted against time since treatment implementation. This type of graphical representation (Fig. 12) provides information on the trends in the hydrological behaviour once the effect of the magnitude and/or intensity of the rainfall have been removed from the analysis. For the three experimental plots, the residuals from the regression between runoff and  $AI_{15}$  index showed a constant trend, which indicates that the capacity of the plots for producing runoff (i.e., losing resources) did not significantly vary with time since treatment application. This means that, for any given type (amount-intensity) of rainfall, the hydrological response of the plots was more or less the same either soon or long time after treatment application.

The relationships between sediment yield and runoff were very similar for all plots (Fig. 13, left), with IC30 showing a higher slope and therefore indicating a higher average

sediment concentration in runoff than the other two plots. The residuals from these relationships plotted against the time elapsed since treatment application showed that sediment concentration in runoff did not vary with time, except for a hardly perceptible decreasing trend in IC15 (Fig. 13, right).



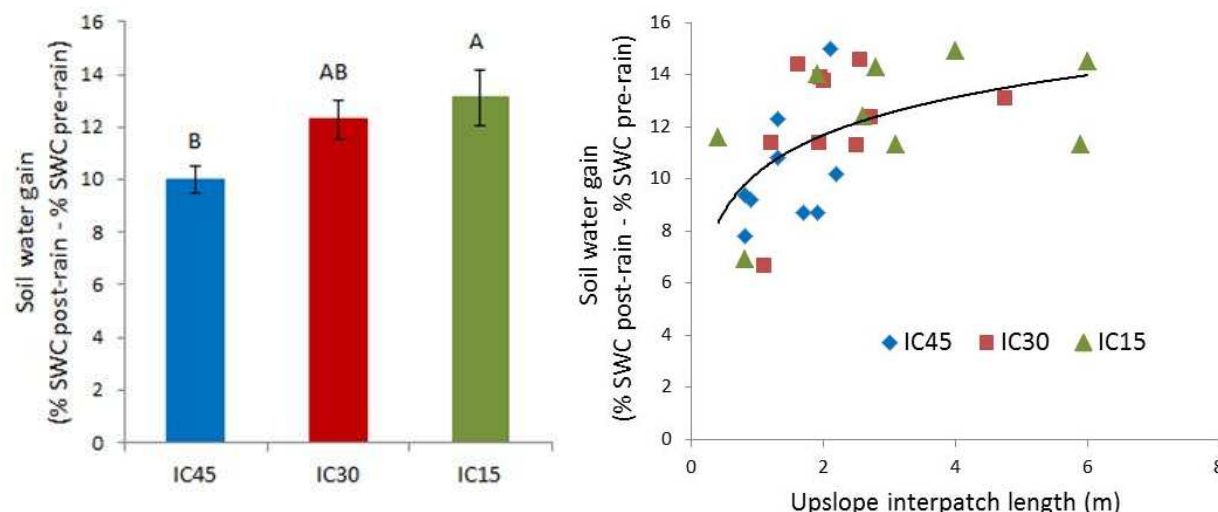
**Figure 12. Standardized residuals from the regression models for runoff versus  $AI_{15}$  index relationships for IC45, IC30 and IC15 plots as a function of the time elapsed since treatment application.**



**Figure 13. Relationships between sediment yield and runoff for IC45, IC30 and IC15 plots (left) and standardized residuals from the respective regression models for IC45, IC30 and IC15 plots versus the time elapsed since treatment application (right).**



Regarding water redistribution at the patch scale, soil water gain upslope *Lygeum* tussocks after a runoff-producing rainfall event, varied between plots ( $F = 3,76$ ;  $p = 0,037$ ; ANOVA), with higher water gains upslope tussocks in IC15 and lower in IC45 (Fig. 14, left). The variation in soil water gains depended on the size (length) of the upslope interpatch (Fig. 14, right).

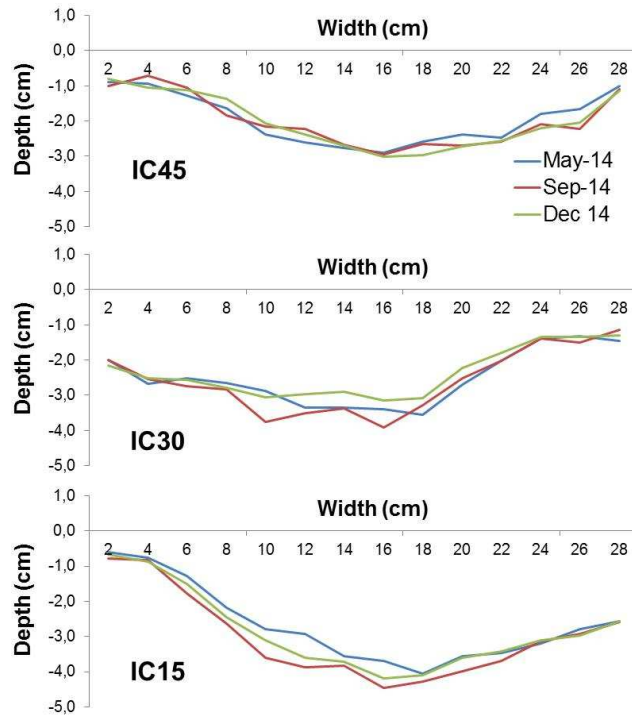


**Figure 14.** Variation in post-rainfall water gain upslope individual *Lygeum* tussocks in each plot (left), and as a function of the length of the upslope interpatch area and plot (right). Different letters mean significant differences ( $n=9$  tussocks per plot). IC45, IC30 and IC15: plots with initial plant cover around 45, 30 and 15% respectively.

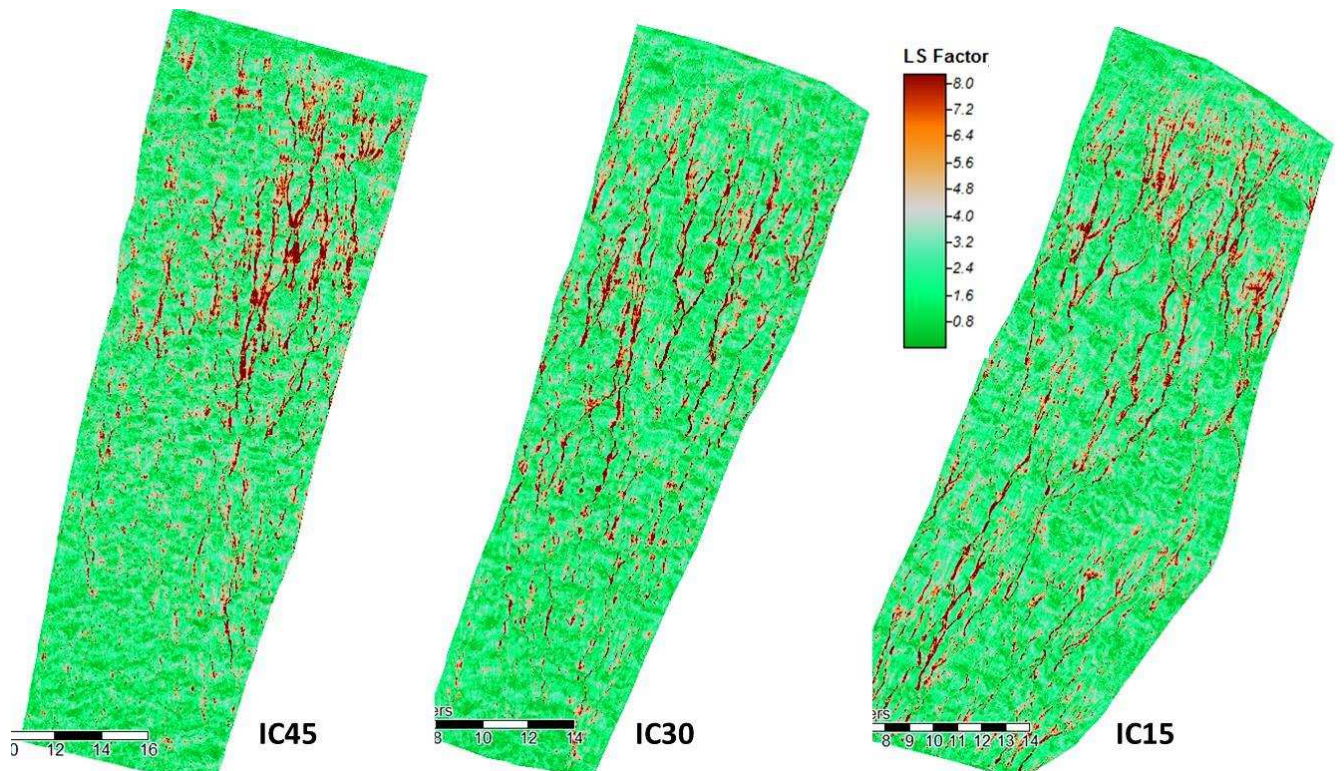
### 3.3 Microtopography

The assessment of rill-profile dynamics showed that the largest rills developed on plot IC15 (Fig. 15), yet the average maximum depth for the assessment period was similar for rills on plots IC30 ( $5.1 \pm 0.6$  cm) and IC15 ( $5.0 \pm 0.4$  cm) and smaller for rills on IC45 ( $4.2 \pm 0.4$  cm). However, while rills on IC45 hardly varied their profile with time, rills on IC30 and IC15 showed a further increase in rill incision from May 14 to Sep 14 (Fig. 15) followed by a partial refilling of the rill (from Sep 14 to Dec 14).

From the high resolution orthophoto taken in May 2015, we estimated the spatial variation and average value per plot of the LS factor, which captures the contribution of topography to erosion potential. Average LS-factor values were 2.23, 2.77, and 2.75, for IC45, IC30 and IC15, respectively. The spatial variation of LS factor highlighted the rill network of each plot, which showed longer and denser rills in IC30 and IC15 plots than in IC45 (Fig. 16). While IC45 concentrated high LS values and a rill area on the top of the plot that did not connect with the plot outlet, IC30 and, particularly, IC15 showed highly connected network of rills and high LS areas.



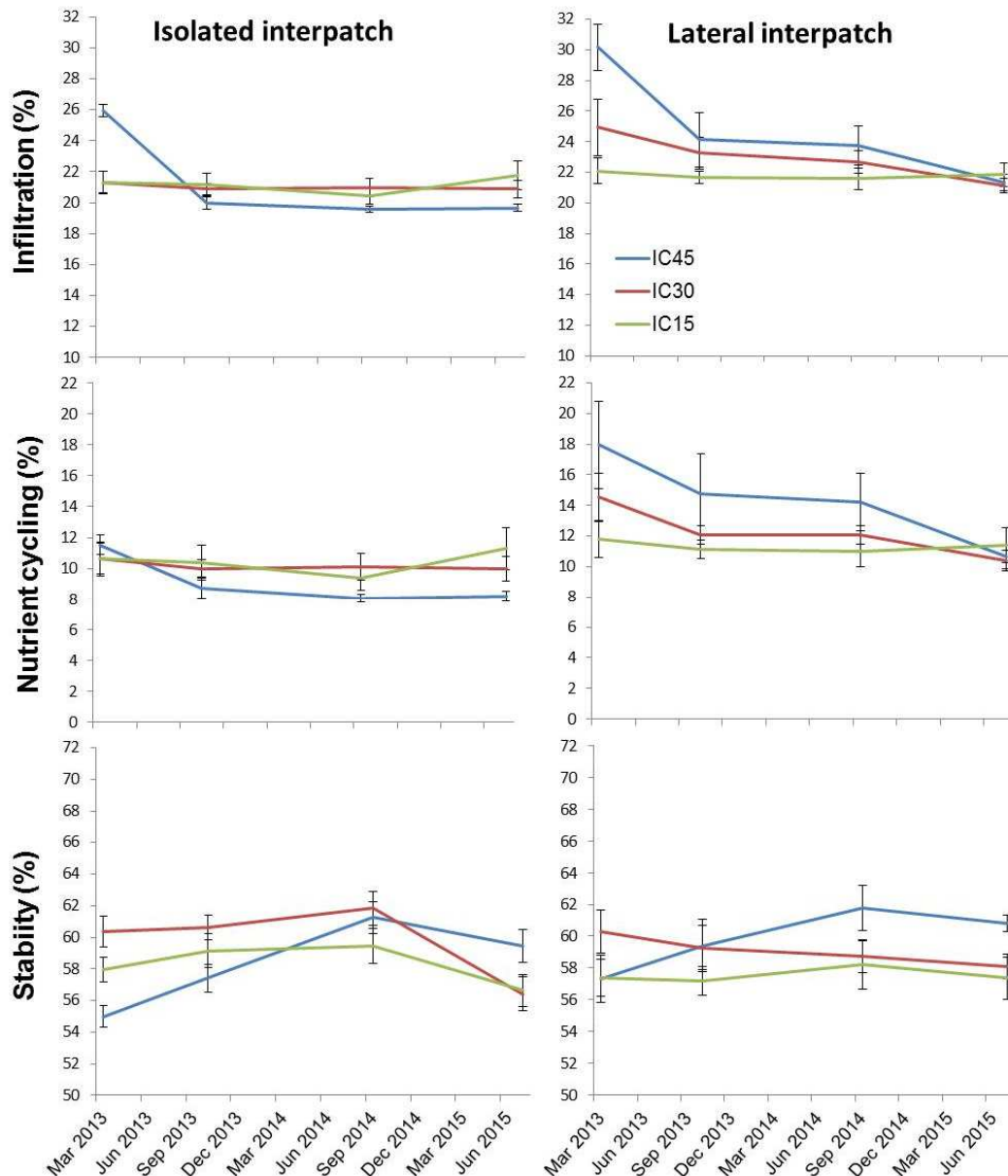
**Figure 15. Average rill profile dynamics from May to December 2014. For each sampling date, depths values are average values from 9 microprofiles per plot.**



**Figure 16. Map of LS factor map for each experimental plot**

### 3.4 Soil surface condition and functioning

In general, bare-soil interpatch areas (microsites) located next to a vegetation patch (e.g., lateral interpatch) showed slightly higher values for the LFA soil functional indices than interpatch areas located at certain distance from vegetation patches (isolated interpatch), particularly for the Infiltration and Nutrient cycling indices (Fig. 17).



**Figure 17. Dynamics of LFA Stability, Infiltration and Nutrient cycling indices for isolated (far from vegetation patches) and lateral (next to a vegetation patch) bare-soil interpatch areas for plots IC45, IC30 and IC15.**

Isolated bare-soil interpatches on plots IC30 and IC15 showed no variation with time for the Infiltration and Nutrient cycling indices, whereas IC45 showed higher values for these two indices at the beginning of the monitoring period (March 2013) that rapidly decreased and then stabilized at slightly lower values than IC30 and IC15 (Fig. 17). Conversely, the stability index for isolated interpatches showed an increasing trend during the first 1,5 year of the monitoring period (March 13 - Oct 14), particularly for IC45 plot, which changed from initial (March 2013) lower values to final (June 2015) higher values than IC30 and IC15.

Bare-soil interpatch areas located next to vegetation patches (lateral interpatches) showed a very similar pattern for Infiltration and Nutrient cycling indices: lower values and no variation with time for IC15; a clear decreasing trend for IC45; and a slight decreasing trend for IC30, which all together resulted in no differences between plots at the end of the monitoring period (Fig. 17). The Stability index for lateral interpatches showed no variation or slight decreasing trend for IC15 and IC30, respectively. However, IC45 showed an increasing trend with time, which resulted in higher values of this index for IC45 than for the other two plots at the end of the monitoring period.

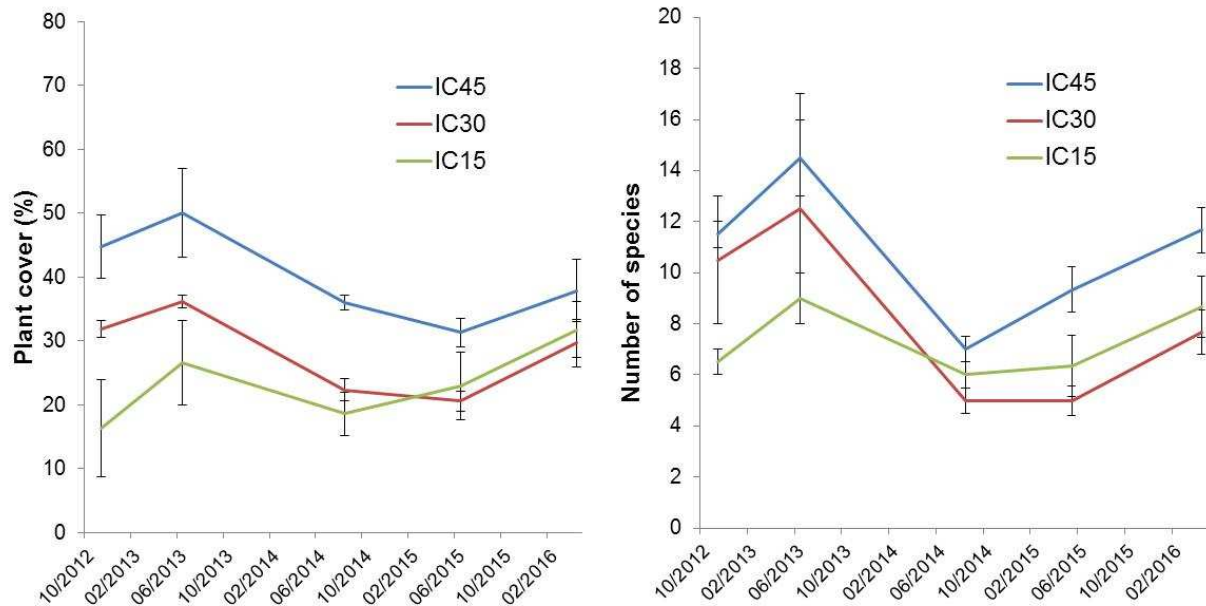
Likewise lateral interpatch areas, interpatch areas located upslope or downslope a vegetation patch also showed higher values than isolated interpatch areas. However, these two types of interpatch area showed less variation with time and between experimental plots (data not shown).

### **3.5 Vegetation performance and dynamics**

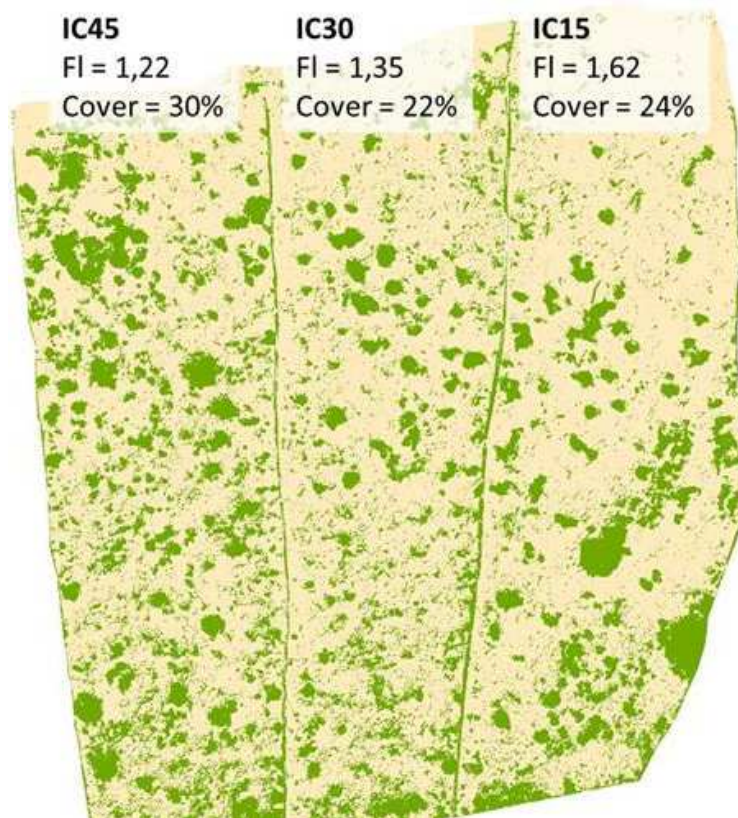
The dynamics of plant cover and number of species reflected the impact of both the plant-removal treatment and the severe 2013-14 drought (Fig. 18). During the first rainy period and growing season after the treatment application, plant cover increased in all plots. Then, in response to the drought, plant cover decreased in all plots, particularly in IC30. After the drought, plant cover stabilized around 20-25% cover in IC15 and IC30 plots, and slowed down the decreasing trend in IC45. Only during the last year of the monitoring period reported here, plant cover has showed certain degree of recovery, resulting in value around 30% for IC15 and IC30 plots, and close to 40% for IC45 plot (Fig. 18, left), still very far from the original pre-treatment values. The number of species followed a similar dynamics (Fig. 18, right).

In May 2015, total plant cover values estimated from a high-resolution aerial picture taken from a Remote Piloted Aircraft (Fig. 19) fully matched the values estimated from the field transects for that period. The between plot variation in bare-soil connectivity (estimated by the Flowlength index; Mayor et al. 2008), did not match the variation in plant cover, as IC15 showed higher bare-soil connectivity than IC30 despite having a similar, slightly higher plant cover value. Average patch size for IC45, IC30 and IC15 were  $292 \pm 33 \text{ cm}^2$ ,  $239 \pm 22 \text{ cm}^2$ , and  $423 \pm 85 \text{ cm}^2$ , respectively.





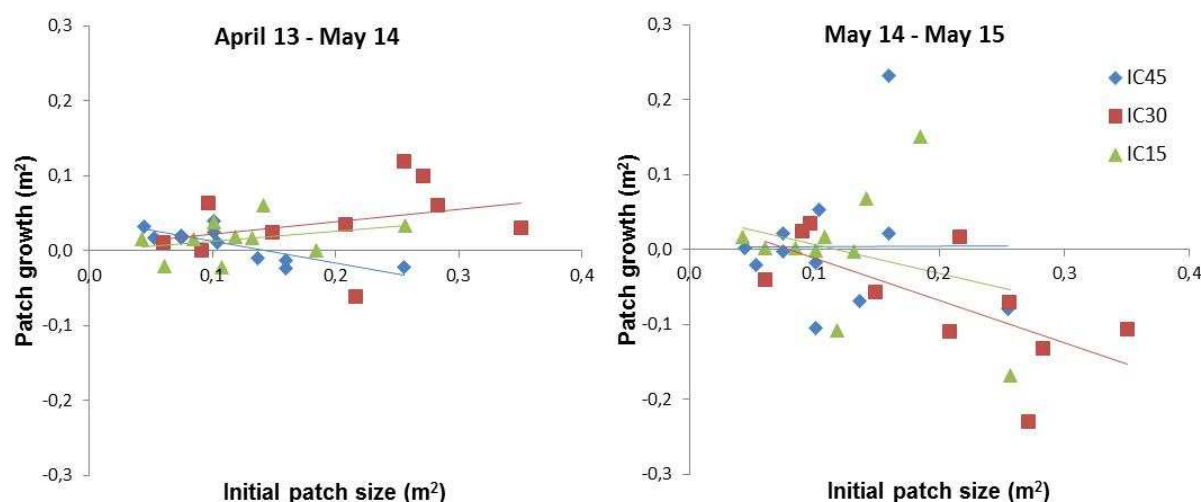
**Figure 18. Dynamics of plant cover (left) and number of species per sampling transect (right) for plots IC45, IC30 and IC15 over a 42-month period after treatment application. Mean values and SE (n=3).**



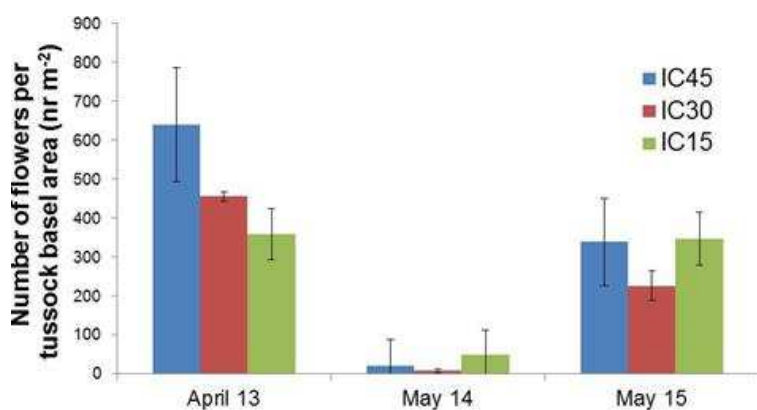
**Figure 19. Binary maps of plots IC45, IC30 and IC15 showing the patch patches (green) and the bare-soil matrix and values of plant cover and Flowlength index (FI) estimated for each plot. Source image taken on May 2015.**

The growth of *Lygeum spartum* tussocks (relative to their initial size) showed different trends between the different plots and the dates on which they were measured (Fig. 18). During the first part of the assessment period (May 2013 - May 2014) individuals of IC15 and IC30 plots showed an increasing trend in growth with increasing initial size, while individuals of IC45 plot showed the opposite trend (Fig. 20, left). During the second part of the assessment period (May 2014 - May 2015), the observed trends were almost opposite to the ones observed the previous period, so that individuals of plots IC30 and IC15 showed a decreasing trend in growth with increasing initial size, especially clear in individuals of IC30. Individuals of IC45 did not show any effect of the initial size on their growth during this period (Fig. 20, right). However, these differences in trend between plots were not statistically significant (ANCOVA Analyses).

Production of flowers by *Lygeum* individuals showed a clear impact of the drought period, which reduced production to almost zero, but not did not show any significant effect of the treatment, except for a slight, non-significant decreasing trend from IC45 to IC15 during the first assessment period (Fig. 21).



**Figure 20.** Relationships between *Lygeum* patch growth in basal area and initial area for plots IC45, IC30, and IC15 and two monitoring periods.



**Fig. 21.** Variation in the number of flowers of *Lygeum* tussocks (relative to individual tussock basal area) for plots IC45, IC30, and IC15 and three monitoring periods.

## 4 Discussion

Our results demonstrate that the gradual decrease of (already) low vegetation cover in a dryland system causes a non-linear increase in the production of runoff and sediments, with the change from 45 to 30% being critical for that increase, whereas no further significant increase was produced by a change from 30% to 15% cover. Similarly, rill development and the contribution of microtopography to erosion potential (LS-factor) were much lower in IC45 than in IC30 and IC15 plots, which did not present major differences between them.

These results support our two first hypotheses and are consistent with a number of conceptual frameworks and models that point to a sharp increase in bare-soil connectivity at low vegetation cover values as the main factor driving to the substantial change in runoff and erosion (Ludwig and Tongway 1995, Davenport et al. 1998, Wilcox et al. 2003, Mayor et al. 2013, Saco and Moreno-de las Heras 2013). Furthermore, the fact that plots IC30 and IC15 have a similar hydrological behaviour, with much higher resource loss than IC45 suggests that both IC30 and IC15 resulted in a particular degraded state, significantly different from IC45.

For our experimental system, a small reduction in vegetation cover within the range of 45%-30% of vegetation cover caused a critical change in the hydrological behaviour of the system. This sensitive range or threshold area could vary among dryland systems depending on a number of environmental factors such as soil type, topography, or rainfall regime, that have proven to be critical control factors of dryland hydrology (e.g., Francis and Thornes 1990, Boorman et al. 1995, Devito et al. 2005, Moody and Martin 2009).

A critical question is if the sharp increase in resource loss resulting from a decrease in vegetation cover triggers a feedback loop that further decreases plant cover, eventually leading to full degradation (ecosystem shift to a desert/unproductive state). Within CASCADE, we have incorporated this type of feedback to a model of dryland vegetation dynamics (Mayor et al. 2013), and we found that for a gradual increase of environmental pressure (e.g., aridity, grazing), these feedbacks decrease the amount of pressure required to cause a critical shift to a degraded state. However, the analysis of the runoff dynamics over the study period reported here showed no significant increasing trend for any of the experimental plots, which suggest that no degradation loop has been triggered so far. Instead, our results suggest that the disturbance and stress experienced by the experimental plots changed their state to a higher level of degradation from which they do not seem to be recovering, neither further degrading. Similarly, the rills initially developed on the plots did not show a consistent increasing trend in their incision level, but periods of incision and deposition that kept their profiles without major net changes.

A previous long-term study that assessed erosion losses after disturbances of varying intensities found irreversible loss of soil productivity below vegetation cover values around 20%, with successful recovery over time for cover values above this value (Gao et al., 2011). In agreement with this study and with the conceptual framework of sudden

shifts in ecosystems (e.g., Scheffer et al 2001, Rietkerk et al. 2004), we hypothesized that once the pressure is ceased, the extant vegetation could either stabilize at its degraded state or recover pre-disturbance cover values and its healthy state, depending on the plant cover value that resulted from the disturbance. However, as discussed above, we found no evidence that pointed to the recovery of pre-disturbance vegetation cover for any of the plots. Only at the end of the monitoring period, 3.5 years after treatment application, we found a slight increasing trend in vegetation cover for all the three plots. However, the final values measured ( $\sim 30\%$  cover for IC30 and IC15 plots and  $\sim 40\%$  for IC45) are still very far from pre-disturbance cover values in the area ( $61\% \pm 7\%$ ). The variation in the number of species matched the variation in cover, which suggests that decreasing cover resulted in the local extinction or reduction of the least suitable species for the new conditions created.

Sediment concentration in runoff showed a slight and nonsignificant decrease with time, which could be related to the final slight recovery in vegetation cover, but it could also reflect some exhaustion in the amount of easily detachable soil material with time, a rather common process in closed plots (Boix-Fayos et al. 2007).

It could be argued that the vegetation-removal gradient applied was a relatively mild pressure gradient, as it was applied only once and the soil was not excessively altered, as per triggering the hypothesized degradation loop. It must be stressed though that the combined effect of the experimental treatment and the severe drought that occurred in the area in 2013-2014 reduced vegetation cover to very low values, particularly in IC15 and IC30 plots ( $\sim 20\%$ ), low enough to represent the impact of high environmental pressure over the system. However, despite the clear and nonlinear increase in resource losses (runoff, soil) that resulted from the decrease in cover, the total amount of water lost through runoff only represented between 0.4 and 1.6% of total water input from rainfall, which probably is not enough relevant for triggering a degradation loop in the timeframe of our experiment.

It is important to note that while the vegetation-removal treatment implied a clear stress gradient between plots, the natural drought implied a homogeneous stress for all three plots, yet the impact could have varied as a function of plant biomass/cover (see below). Therefore, the effect of the drought might have masked to some extent the differences in stress due to the vegetation-removal levels.

Initially, the new degradation level of the experimental plots reflected the degree of vegetation removal. Thus, initial values (i.e., monitoring values during the first year after treatment application) of vegetation cover and bare-soil LFA infiltration and nutrient cycling indices linearly decreased from IC45 to IC30 and IC15. However, the natural drought occurred in the area during the second year of the monitoring period appeared to have larger adverse impacts in plots with higher vegetation cover, which seems to have driven both vegetation cover and bare-soil functional status to certain convergent trend between plots. The LFA stability index did not show as clear dynamics and between-plots differences as the other two indices, which can be explained by a lower sensitivity of this index in Mediterranean conditions (Mayor and Bautista 2012).



It is worth mentioning that the differences found in the functional conditions of the bare-soil interpatches as a function of the distance to vegetation patches (i.e., isolated bare-soil areas versus bare-soil areas next to vegetation patches) support the assumptions of dryland vegetation models that incorporate local facilitation as one of the critical processes that drive dryland vegetation dynamics and response to environmental change (e.g., Kéfi et al. 2007a), yet the functional differences found in our work do not seem to be as contrasting as assumed by these models. Our results also highlight the interacting role of drought and vegetation cover as control factors of soil functioning.

At the patch scale, larger bare-soil connectivity also implies larger inter-patch areas, which is beneficial for the performance of the downslope patch. It is known that the transfer of resources from bare-soil interpatches to downslope vegetation patches contribute to plant productivity (Aguilar and Sala 1999, Yu et al. 2008, Turnbull et al. 2012, Urgeghe and Bautista 2015, see also CASCADE D4.1). Enhanced patch growth would then contribute to reduce the size of the bare-soil areas, which in turn would reduce the amount of resources transferred to downslope patches. In agreement with this rationale, it has been proposed that the relative amount of source and sink areas in functional landscapes remains within a certain range of variation around a hypothesized optimum source:sink ratio that maximizes both the availability of resources and the growth of vegetation patches (Puigdefábregas et al. 1999, Urgeghe et al. 2010).

We hypothesized that increased inputs of resources from upslope bare-soil inter-patches enhance the performance and growth of the individual downslope patches, which in turn reduce overall bare-soil connectivity, contributing to prevent or delay a shift towards a bare-soil state. Our study proved that runoff inputs to individual patches increased with increased size of the upslope interpatch area, which resulted in larger runoff input for patches in plots IC15, followed IC30 and IC45. The relationship between soil water gains and upslope interpatch area tended to a plateau, so that larger upslope areas beyond certain value did not further increase water gains. This could be due to a negative relationship between drainage-catchment size and runoff yield per unit area (e.g., Mayor et al., 2011), which is explained by the area-dependent opportunity for runoff to infiltrate before it reaches a downslope vegetation patch (Wilcox et al., 2003). It could also be that large water inputs from large upslope interpatches exceed the maximum capacity of plant patches to capture runoff.

Regarding plant performance, we found that before the drought the larger the individual patch in IC30 and IC15 plots the greater the patch growth, suggesting a larger capacity for capturing and benefiting from runoff. However, during and after the drought, these relationships shifted to decreasing trends (lower growth with increasing initial size), particularly for patches in IC30 plot, which could be explained by the expected lower growth rate with increasing plant age, as size co-varies with age, but could also be explained by a higher impact of drought and/or enhanced global resource loss on the largest patches or on patches that grew more before the drought.

These results highlight the importance of the redistribution of resources and feedbacks that operate at the patch scale, which contribute to maintain patch productivity under very low plant cover, and could counterbalance net losses from the system, preventing

or delaying the shift to a bare-soil state and promoting instead different communities and patterns with different overall resource availability and redistribution (Puigdefábregas et al. 1999, Rietkerk et al. 2004). However, our results also highlight the complexity of the interactions between endogenous feedbacks and external pressures as control factors of dryland dynamics (D'Odorico et al., 2012). Thus, for example, the influence of patch size in plant performance depended on the level of drought stress.

## 5 Conclusions and final remarks

Overall, the results reported here support that a reduction in plant cover beyond certain threshold values nonlinearly increases resource (water, soil) losses from the system and may trigger a change to a degraded state that functions under less resource-wise conservative conditions. For our case study, there was an area of particular sensitivity within the transition from 40% to 30 % vegetation cover, in which small changes in the cover percentage resulted in a turning point in the hydrological response of the system. The pressure exerted over the system resulted from a gradient of vegetation removal combined with the impact of a severe drought naturally occurring in the area. All over the study period, and once the pressure ceased, there were no evidences pointing to any recovery trend towards pre-disturbance levels. However, our results did also not provide evidence for the onset of any degradation loop that could further degrade the systems. The three experimental plots shifted to degraded states with vegetation cover around 25-35% at the end of the study period (half of the pre-disturbance vegetation cover value). The assessment of the stability of these new degraded states falls beyond the timeframe of this work.

The major strengths of the research reported here include (1) the use of a manipulative approach to establish a pressure gradient, which allowed a large degree of control in the level of stress exerted over the systems, and (2) the comprehensive set of processes and responses addressed at the global (plot) and local (patch) scales, which provided a rather complete picture of the system behaviour and dynamics. However, a clear limiting factor for this work is the relatively short duration of the experiment, as the systems have proven to be very dynamic and our research would benefit from a longer monitoring period that provides insights about longer-term dynamics. Acknowledging this, we extended the monitoring period beyond the original window scheduled, and we will continue the monitoring till the end of CASCADE project duration, incorporating any potential new finding to the final dissemination products of CASCADE. Nevertheless, the study period (~3.5 years) reported here has provided a solid temporal framework for addressing most of the questions formulated in this Deliverable, and has yielded critical findings and insights that are relevant for improving modelling and theoretical developments on dryland dynamics. Thus, for example, our results on differential bare-soil functioning dynamics depending on the distance to a plant patch; the positive power-type relationship between upslope interpatch area and resource inputs from runoff; or the changes in resource loss with decreasing cover are essential inputs for parametrizing and calibrating dryland dynamics models. From the management point of view, our

results highlight the need for being conservative regarding the minimum vegetation cover that should be maintained through proper resource exploitation.

Finally, by incorporating the use of RPA (drone) systems to the monitoring of our experimental plots, we were able to very precisely assess vegetation patterns and surface conditions. This tool will largely enhance our capacity for assessing further dynamics in the system and eventually test the degree of stability of the new conditions generated.

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## Photo credits

Susana Bautista (Fig. 2); Hanspeter Liniger (Fig. 5, top), Fran Fornieles (Fig. 5 bottom, and Fig. 6).

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