



On the complexity of model complexity: Viewpoints across the geosciences

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1 **Title:**

2 **On the complexity of model complexity: viewpoints across the geosciences**

3

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22

23 **Abstract**

24 It is the core task of geoscientists to gain insight into the complex systems of nature.
25 Yet, complexity may be perceived very differently and a plethora of models with different
26 degrees of complexity is available. How do we, geoscientists, decide what model
27 complexity is warranted? Does this differ among disciplines? And, how do we even define
28 model complexity? We developed a short questionnaire to investigate the geoscientific
29 community's views on complexity in models. The response was overwhelming, with 618
30 completed responses. The results show that the number of processes explicitly included
31 and the number of interactions / feedbacks incorporated were seen as important
32 determinants of complexity. Confidence was not per se higher in the simulations of a
33 complex model compared to a simple one. Interestingly, neither gender, the discipline
34 within the geosciences, nor career stage or work sector, explained the characterization of
35 model complexity. The results of the questionnaire demonstrate that there is no general
36 consensus on how model complexity is perceived or should be defined, and that formal
37 definitions are not broadly or generally accepted. In an environment seeking greater
38 collaboration and interdisciplinarity, these results indicate the need for conscious
39 dialogue about this topic among different model users.

40

41 **Keywords:** model complexity, geosciences, perception, questionnaire

42

43 **1. Introduction**

44 Nature is complex and if geoscientists are ever to understand its intricate feedbacks
45 there is a need for increased collaboration across fields/disciplines of geosciences (Paola
46 et al. 2006, Liu et al. 2007, Van der Ploeg et al. 2017). Unfortunately, that seems to be
47 easier said than done for various reasons, including: 1) Interdisciplinary work is more
48 difficult to fund (Bromham et al. 2016), 2) Different approaches exist to address
49 complexity, reductionist and synthesist/hierarchical (Paola 2011, Kleinhans et al. 2005),
50 the choice often driven by data availability (Grayson and Blöschl, 2000), 3) Scaling of
51 environmental processes is possible only if they can be described by the same set of
52 equations, which is often not the case (Roth, 2008, Van der Ploeg 2017), 4) Model
53 selection may be driven by familiarity (Addor and Melsen, 2018). While these and
54 multiple other factors make collaboration within and across disciplines challenging, it is
55 our view that different approaches to addressing complexity, in addition to being a topic
56 on its own, exacerbates the other challenges, and therefore is an important starting
57 point in the quest for increased collaboration in geosciences.

58

59 Choices about the level of complexity are an inevitable part of the scientific realm,
60 controlled by, among other things, funding and available time. While it is the core task of
61 geoscientists to gain insight into this complex system, such differences may lead to
62 problems when applying for funding, or working together on a research project, and may
63 in essence hamper scientific progress to better understand nature.

64

65 Understanding nature often involves use of numerical models, ranging from simple linear
66 equations to complicated frameworks of multiple models including feedbacks and
67 emergent behaviour, crossing scales and disciplines. Frameworks for best practice in
68 environmental model use exist (Jakeman et al., 2006), including a sceptical review of
69 the model at every step of development and application. Given that all geoscientists
70 study a complex system, the Earth, and different aspects of that complex system, it is
71 not surprising that a plethora of models with different degrees of complexity exists. It is

72 conceivable and understandable that different disciplines apply models for different
73 applications or purposes (Desjardins et al., 2018), such as forecasting (e.g. Weerts et
74 al., 2011), what-if scenario analysis (e.g. Panagos et al., 2015; Grum et al., 2017) or for
75 improved process understanding (e.g. Paola and Leeder, 2011; Veldkamp et al., 2017),
76 this can result in different perceptions of model complexity. However, in light of the need
77 for increased collaboration, these differing perceptions of complexity raise a number of
78 questions. How do we, geoscientists, make our decisions on what degree of model
79 complexity is warranted or justified? Does this differ among disciplines? And, how do we
80 even define model complexity? These questions, and more, need answers in order to
81 understand current perspectives and develop approaches that allow for more
82 interdisciplinary collaboration. Moreover, increased clarification and understanding of
83 how current approaches differ, and the reasoning behind them, may further the ability of
84 the geoscience community to address the complexity of nature.

85

86 Therefore we set out to learn how geoscientists, whose disciplines essentially describe
87 the same part of the Earth system but who often choose different approaches to both
88 measuring and modelling, view complexity in models. To do so we developed a short
89 questionnaire which was distributed through social media and geoscientific mailing lists.
90 Our starting hypothesis was that perceptions regarding model complexity would differ by
91 discipline. The response was overwhelming, with 200 responses on the first day and
92 more than 600 fully completed within one month. In addition, we received multiple
93 responses from authors in various geoscience disciplines, who had published their
94 viewpoint on complexity, further reflecting the relevance of this discussion. This paper
95 presents our findings, starting with an overview of the various definitions of model
96 complexity that we received and could find in the literature, and then reporting on the
97 findings of the questionnaire. Discussion of the results is organized around two related
98 questions: 1) Addressing complexity across the geoscience realm – what can we learn
99 from each other? and 2) Which gaps - if any - need to be bridged to better address
100 complexity and increase interdisciplinary collaboration?

101

102 **2. Definitions of and dealing with model complexity**

103 What became quickly evident when reviewing what we received in response to the
104 questionnaire and found in the literature was that a multitude of definitions for and
105 approaches to model complexity exist, within and between disciplines. As noted by Lloyd
106 (2001) and Guthke (2017) a strict definition of model complexity does not exist.
107 Therefore, this section does not aim to offer a complete overview of definitions across all
108 disciplines of the geosciences. Rather, we present a variety of examples of how model
109 complexity is viewed in this discipline of research, to set the stage for our study.
110 Interestingly, in addition to the many existing definitions of model complexity, we noted
111 many publications in which authors refer to model complexity without actually providing
112 their definition for it. Perhaps authors assume their definition is the generally shared
113 one. As the rest of this section will show, this assumption generally does not hold.

114

115 For ecological modelling purposes, García-Callejas and Araújo (2016) mention the, in
116 their opinion, "loose definition" of model complexity, as: having to perform a larger
117 number of operations to obtain the desired outcome for data that are more difficult to
118 comprehend. Within the context of Earth observational networks Baatz et al. (2018)
119 define it differently: inclusion of multiple disciplines (or processes) and ecosystem
120 compartments may increase model complexity.

121

122 Within hydrology, model complexity is defined more than once in terms of process and
123 spatial complexity (Seibert et al. 2019). Process complexity addresses the number of
124 hydrological processes that the model explicitly represents. Spatial complexity addresses
125 the degree of spatial discretization and connectivity (Clark et al., 2016), such as
126 "spatially explicit models with different degrees of spatial discretization and connectivity,
127 and spatially implicit lumped hydrologic models". Shoups and Hopmans (2006) use
128 slightly different wording and include temporal complexity; model complexity is defined

129 by them in terms of: amount of relevant hydrological processes and the spatial and
130 temporal discretization of a numerical simulation model.

131

132 In the context of pyrolysis Bal and Rein (2013) posit that an increase in complexity
133 depends on inclusion of a higher number of mechanisms, resulting in a higher number of
134 parameters on physical properties (effective properties), mathematical constants,
135 experimental constants and calibration factors. In their view increasing complexity leads
136 to increasing uncertainty. The appropriate level of complexity is a trade-off between
137 reducing the error of prediction and the increase of uncertainty of the prediction.
138 Nevertheless, choices in model complexity are often subjective.

139

140 Wainwright and Mulligan's book on Environmental Modelling (2013) define five types of
141 model complexity, 1. Process complexity, 2. Spatial complexity, 3. Temporal complexity,
142 4. Process inclusivity, 5. Integration of feedback loops. In their view, an optimal model is
143 one that contains enough complexity to explain observed phenomena or emergent
144 behaviour.

145

146 Instead of defining model complexity directly, Larsen et al. (2016) focus on a clear
147 definition of the detail that is needed to appropriately address complexity. They
148 distinguish between representational detail - such as the number of state variables,
149 processes, interactions, and spatiotemporal extent, and computational detail - such as
150 spatiotemporal resolution, and mechanistic versus phenomenological description. Model
151 complexity in this definition then refers to high computational detail. Along the same
152 line of thought, Getz et al. (2018) present a complexity typology for ecological models,
153 and categorize them according to three types of complexity: 1. Process complexity,
154 which refers to the amount of detail for the (in)dependent variables, and includes
155 transformations, deterministic vs stochastic processes and scale; 2. Structural
156 complexity, referring to the amount of detail for the functions that describe the
157 dependence of the independent variables on the dependent variables, and includes

158 spatiotemporal patterns, feedback mechanisms, traits and hierarchy; and 3. Utility
159 complexity, which refers to the purpose for which the model is going to be used, such as
160 exploratory, management and/or fidelity. These three complexities then make up a 3D
161 matrix in which models can be placed.

162

163 In addition to the definitions of complexity, ways to quantify the level of complexity have
164 been proposed as well. Sivakumar and Singh (2012) demonstrate the correlation
165 dimension method to identify the catchment system complexity for streamflow data.
166 Snowling and Kramer (2001) present an index of complexity, in which the number of
167 state variables, number of processes flowing to or from a state variable, the number of
168 parameters, and the number of mathematical operations are used to rank the models
169 relative to each other. Shoups et al. (2008) discuss model complexity control within the
170 discipline of hydrology. Complexity control in general consists of 1. Specification of
171 model structures with varying degree of complexity, and 2. A check on the ability of the
172 specified models to echo observations. In their paper they describe a few complexity
173 definitions, which can be tied to statistical theory. One of these is Aikaike's information
174 criterion (Aikaike 1970), in which model complexity is defined as the number of
175 parameters related to data availability. A disadvantage of this method is the underlying
176 assumption of infinite observations. Another method that addresses this and works for
177 finite observations is structural risk minimization proposed by Cherkassky and Mulier
178 (2007). It includes the Vapnik-Chervonenkis dimension, which is related to a model's
179 data fitting flexibility, as an expression of model complexity. So, even though definitions
180 to quantify model complexity can be helpful in ranking models, the multitude of available
181 ways to do this quantification still makes a comparison of complexities challenging.

182

183 If models are to be used for management or policy, a different way of addressing the
184 needed level of complexity can be to involve stakeholders right from the start in a
185 'shared vision model.' This was done for regulation of flows and water levels in the Lake
186 Ontario and the St.Lawrence River, USA/Canada (Pete Loucks, personal communication).

187 Interestingly, we did not find or receive any other references that dealt with defining
188 complexity where stakeholders were involved.

189

190 It goes without saying that the multitude of definitions for and approaches to model
191 complexity creates problems or at least challenges for scientists to collaborate or
192 advance across or within geoscience disciplines. We also think that the efforts to clarify
193 or propose new definitions and approaches to this topic, and the rapid and enthusiastic
194 response to our questionnaire, indicate that this is a recognized challenge. The question
195 is, how to move forward and accomplish the vision contained in the words of Grand
196 (2000) in "Creation: Life and how to make it": Complexity is that which contains high
197 information content with high utility, if it only contains high information content (or high
198 amounts of involved processes) it is merely complicated.

199

200 **3. Methods**

201

202 *3.1 Questionnaire*

203 To assess the opinion of as many people as possible, both in and outside academia, we
204 developed a short questionnaire using SurveyMonkey software. Based on expert
205 recommendations, the questionnaire was kept short, i.e. possible to fill out within 5-10
206 minutes, in order to get many replies. The questionnaire was pilot-tested using a panel
207 of 10 people from both within and outside our institute and ranging in age / career
208 development stage. The main changes after testing included rephrasing of some of the
209 questions to make them clearer / less ambiguous, changing the number of options to
210 select from in answering the question from one to multiple options (e.g. for field of
211 work), addition of answer options that were suggested by the test panel and adding the
212 opportunity to give additional comments at the end of the questionnaire. It was then
213 launched in mid-October 2018 to personal contacts and science networks using email
214 lists of the community that were known to us, such as 'geomorph-list', Gilbert Club
215 mailing list, About Hydrology list (see reference section for links to these lists), and

216 through social media (twitter, LinkedIn). The questionnaire was closed after almost one
217 month on 12 November 2018.

218

219 The complete questionnaire can be seen in the supplementary material. It was split into
220 two parts, one with background questions and one with questions related to people's
221 opinion on model complexity. Questions in part one were related to work sector (i.e.
222 academia, public sector, private sector), career stage (from (under)graduate
223 student/intern to company owner/emeritus professor), primary field of work/discipline
224 (e.g. hydrology, soil science, geomorphology etc.), age class and gender. Questions in
225 the second part related to (i) the use of models, (ii) opinions on what models are or can
226 / should do, (iii) how people characterise complexity in models, (iv) the relation between
227 model complexity and uncertainty, (v) factors that impact the decision to use a
228 simpler/more complex model and (vi) opinions on how to use model complexity and
229 factors that warrant using (more) complex models. Questions were designed as 5-point
230 Likert scale questions (5 scales from strongly disagree to strongly agree), questions for
231 which only one answer could be chosen and questions where multiple answers could be
232 selected. For details, see the complete questionnaire in the supplementary material.

233

234 *3.2 Data analysis*

235 A total of 682 responses to the questionnaire was collected. Out of these 682, 618
236 respondents filled out the complete questionnaire. Since all questions were compulsory
237 to fill out in order to continue to the next question, incomplete responses indicated
238 respondents that had quit the questionnaire at some point. For consistency, we only
239 used the 618 complete questionnaire results for the analysis; the 64 incomplete
240 responses were omitted.

241

242 The SurveyMonkey webtool provided the questionnaire results both in Excel format and
243 in CSV format. The data from the complete sample of respondents was analyzed using
244 Excel (discussed in Results Section 4.1). Analysis of the responses of different sub-

245 groups of the sample was conducted using R version 3.4.3 (R Core Team, 2013;
246 discussed in Results Section 4.2). Responses to questions were split into groups based
247 on responses to other questions. For example, the responses to the question 'How would
248 you characterize complexity?' were split based on the discipline of the respondent. If
249 respondents had filled out more than one option - for example, two disciplines such as
250 hydrology and soil science - their response is accounted for in the sub-groups of both
251 disciplines.

252

253 A total of 47 different combinations of groups and answers were assessed, representing
254 all sensible combinations of general questions and model complexity questions. For each
255 combination, bar plots were created, which were visually inspected to identify patterns in
256 the response. If any patterns were identified, the relationship was statistically tested
257 with the Chi-squared Test of Independence. This test was chosen because it is non-
258 parametric and can be applied to categorical (nominal) data. The obtained p-values have
259 been interpreted in line with the most recent guidelines in statistics as discussed in
260 Wasserstein et al. (2019) and are reported with the results. We therefore refrain from
261 any further reporting on whether relations are 'significant'.

262

263 **4. Results**

264

265 *4.1 Questionnaire results*

266

267 *4.1.1 Background questions*

268 The majority of the respondents work in research, either in academia (67%) or in the
269 public sector at a research institute (19%), while almost 6% work in consultancy. Over
270 60% of the respondents were rather young (25-34 and 35-44 years old) and two-thirds
271 (69%) were male versus 29% female. The career stage of the respondents corresponded
272 with their relatively young age, with over half being graduate (MSc and PhD) students
273 and post-docs in academia. None the less, senior researchers and assistant and

274 associate professors together made up 25% of the respondents. Outside academia,
275 respondents were mainly mid-career (31%) and senior (32%) researchers/consultants,
276 with a fair representation of juniors (19%).

277

278 Regarding field of work, respondents were allowed to select multiple answers. More than
279 half of the respondents (54%) did so, indicating that they do not relate their work
280 strictly to one discipline. Where only one answer was given, most people worked in
281 hydrology, followed by geomorphology, soil science and environmental sciences (Fig. 1,
282 dark coloured part of the bars). The light coloured part of the bar in Fig. 1 indicates the
283 total number of respondents who selected that field of work in combination with any
284 other.

285

286 >> Fig. 1 approximately here

287

288 In terms of model use, the majority of respondents work with models daily or weekly (27
289 and 35% respectively), while 20% use a model regularly (e.g. once a month on
290 average). Respondents use models in various ways. Application of existing models was
291 the most common use, with the purpose of investigating processes and their outcomes
292 being the most frequently selected reason. Application of models for scenario analysis
293 was the next most selected purpose. Model application to support policy and
294 management was the least selected although still 32% of the respondents use models
295 for this purpose.

296

297 Modellers' degree of (dis)agreement with a number of statements about model (use) in
298 general (i.e. not yet about model complexity) are displayed in Fig. 2. Overall there was a
299 tendency towards agreement with the statements. In line with the answers to the
300 previous question on model use, respondents tended to (strongly) agree with the view
301 that models are tools to investigate processes and their outcomes (95%; agree and
302 strongly agree combined). There was also agreement on the view that models are

303 exploratory tools (87.3%), with slightly less agreement (83.9%, agree and strongly
304 agree combined) on the view that models are a set of theories / used for hypothesis-
305 testing. The statement that models represent / predict reality was either not clear, or
306 respondents did not have a strong opinion. Just over one third of the replies were neutral
307 (34.9%), with slightly more in agreement (36%) and slightly less in disagreement
308 (29.1%). A similar spread can be seen for the statement that models objectively
309 represent our current state of knowledge. Strong agreement was expressed with the
310 view that models support decision-making (85.6%, agree and strongly agree combined).
311 More variation exists regarding the statement that models are useful when data is
312 absent, with a small majority in agreement (49%, versus 27.1% disagreement). Most
313 respondents (69.5%) agreed with the somewhat bold statement that 'all models are
314 wrong, but some are useful' (a statement generally attributed to statistician George
315 Box).

316

317 >> Fig. 2 approximately here

318

319 *4.1.2 Model complexity questions*

320 Modellers were asked how they would characterize complexity in a model, with multiple
321 selection of options allowed (14 options were given, plus the option 'other, please
322 specify'). Results are shown in Fig. 3. Clearly, the number of processes explicitly
323 included and the number of interactions / feedbacks incorporated were seen as most
324 relevant in terms of model complexity. A second group of answers selected relatively
325 often was the representation of processes that act over multiple temporal or spatial
326 scales, the number of input variables and the non-linearity of processes included. The
327 length of the code, the ease of use of the model (e.g. GUI) and computer calculation
328 time were not considered very important as characterising model complexity. Also,
329 respondents did not think the data at one's disposal compared to the required data was
330 important for model complexity. The most commonly mentioned factor by respondents
331 (n=49, about 8%) that chose the "Other" option related to number of parameters.

332

333 >> Fig. 3 approximately here

334

335 Answers to the question of whether increasing model complexity results in increased or
336 decreased uncertainty revealed a clear message: only 3% of the respondents thought
337 uncertainty would decrease with increasing model complexity, and 15% thought it would
338 increase. Also striking is the number of respondents who consider complexity and
339 uncertainty to be unrelated (19%). The largest percentage of respondents, replied that
340 'it depends', either on the duration, frequency and quality of available observations /
341 measurements (25%) or on the number of different variables and states that are
342 observed / measured (19%). (Data presented in following section, Fig. 7)

343

344 The most important factor indicated for deciding whether to use a simple or more
345 complex model was the research question at hand (Fig. 4). Secondly, the availability of
346 input data was considered important, while the level of understanding of the system and
347 the spatial and/or temporal scale at which the model would be applied were considered
348 of less importance. In line with replies to the previous question, model runtime was not
349 deemed very important at all, nor was the reputation of the model or the experience
350 with the model in the respondent's organisation.

351

352 >> Fig. 4 approximately here

353

354 Finally, the community's opinions about how to deal with model complexity as
355 represented by degree of (dis)agreement with seven statements, showed a high degree
356 of agreement with two statements, agreement with two others and clear disagreement
357 with the remaining three (Fig. 5). The greatest agreement (84% 'strongly agree' and
358 'agree' combined, and less than 5% disagreeing) was with the statement that
359 explanation of rationale for model selection in reports would increase understanding of
360 relevance and usability of results. This was closely followed by the degree of agreement

361 that reduced complexity models can be as useful as complex models to increase our
362 understanding of environmental processes (79% agreement, with a slightly larger
363 number of respondents disagreeing). A majority agreed that discussion of model
364 complexity should be a priority, with less than 10% disagreeing but a larger percentage
365 of neutral replies. New observation techniques are seen as a justification to allow us to
366 increase model complexity by more than 50% of respondents, while increased computer
367 power was not seen as a good reason to do so by 50% of the respondents. The greatest
368 amount of disagreement was with the statements that you can have more confidence in
369 the simulations of a complex model as compared to a simple one (>60% disagree and
370 <10% agree), and that models would be improved by making them more complex (95%
371 disagree or neutral).

372

373 >> Fig. 5 approximately here

374

375 *4.2 Data analysis results*

376 In this section, we present the results from relating answers to the different questions to
377 each other in order to explore which factors influence one's characterization of model
378 complexity.

379

380 *4.2.1 Discipline*

381 Our starting hypothesis was that the way model complexity is characterized can be
382 related to the discipline of the modeller. Fig. 6 shows the response to complexity
383 characterization, by research discipline. No clear pattern is revealed: The number of
384 processes explicitly included in the model, and the number of feedbacks / interactions
385 included are still the main characterization of complexity for the majority of the research
386 disciplines. One exception is spatial planning, which places more value on
387 "representation of processes over several scales" than "number of processes explicitly
388 included". Some other minor differences can be found, such as "calculation time" being
389 slightly more important in meteorology compared to other disciplines, but here we have

390 to note that not all disciplines were equally represented and meteorology was the most
391 underrepresented discipline. Also the Chi-square test confirms that no clear relationship
392 exists between discipline and the definition of complexity ($p=0.99$), although the test is
393 slightly less robust due to the high number of dimensions involved (10 fields, 14
394 complexity-answers).

395

396 >> Fig. 6 approximately here

397

398 The results of the questionnaire therefore do not support our hypothesis. Also the
399 response to the question of whether increased model complexity leads to increased or
400 decreased model uncertainty, did not reveal clear patterns related to the discipline of the
401 modeller, as can be seen in Fig. 7. There is a general agreement that model uncertainty
402 does not decrease with increasing complexity. A relatively higher percentage of spatial
403 planners believes that model uncertainty increases with increased complexity, whereas a
404 relatively higher fraction of water quality modellers state that this depends on the
405 variables and states of which observations are available. The Chi-square test confirms
406 that no clear relationship exists between discipline and the perceived relation between
407 model complexity and model uncertainty ($p=0.65$).

408

409 >> Fig. 7 approximately here

410

411 *4.2.2 Other factors*

412 Fig. 6 shows that the way one would characterize model complexity is not necessarily
413 influenced by the discipline of the modeller, despite different disciplines having different
414 model uses (spatial planners work on average more for policy support, and
415 meteorologists do, on average, less with scenario-analysis). None of the other factors
416 investigated (age, gender, career stage, work sector, model experience) showed distinct
417 differences in how one would characterize model complexity, except for a few small
418 points. For example, "Number of input variables" as characterization of model complexity

419 decreases with increasing model experience, perhaps indicating that an experienced
420 modeller knows how and where to collect the required input data and does not relate
421 that to complexity of the model itself.

422

423 A general lack of clear patterns also holds true for the question: "Which of the following
424 do you think most impact your decision to select/use a simpler or more complex
425 model?", but we did observe one trend. Even though the Chi-square test indicates that
426 no clear relationship exists between age and how to decide on warranted model
427 complexity ($p=0.63$), some patterns are visible in individual answers. Whereas "The
428 research question at hand" is by far the most selected answer among all groups, there
429 was an interesting decline in selection of the options "Reputation of the model" and
430 "Runtime" with increasing age. "Runtime" was selected by 9.3% of the respondents <25
431 years of age, 5.6% of the respondents between 25-34, between 2.0 and 2.7% for
432 respondents in the age groups between 35 and 64, and 0% for respondents >64.
433 Consistent with this finding, we also observe a decline in "calculation time" as
434 characterization of complexity with increasing age (from 26.6% of the respondents
435 below 25 years old selecting this option, to 5.3% of the respondents >64 selecting it).
436 This suggests that, apparently, older modellers are less impatient for models to produce
437 fast output and/or have a different reference as to what is fast or slow (e.g. compared to
438 how long a model would run more than a decade ago).

439 "Reputation of the model" was selected by 7.0% of the respondents <25, between 2.9
440 and 4.0% for all respondents between 25 and 64, and 0% by respondents >64. Model
441 reputation might be more important for younger researchers since they cannot rely on
442 their own track record and publishing using a model with a good reputation is perhaps
443 easier than publishing with an obscure or new model.

444

445 As shown in Fig. 7, the majority of the respondents do not believe that model
446 uncertainty decreases with increased model complexity, irrespective of the discipline of
447 the respondent. There is, however, a relation visible between frequency of model use,

448 and the belief that model uncertainty will decrease with increasing complexity, which
449 also seems to be confirmed by the statistical test (Chi-square p-value is 0.076, although
450 some caution should be taken in interpreting this value given the low number of
451 respondents with limited modelling experience). Whereas 40% of the respondents that
452 have used a model once (n=5) selected 'decrease', this number declines with increasing
453 model use frequency; 7% for people who use models rarely (n=17) to 2.7% for people
454 using models daily (n=174). This response can thus be related to experience with
455 modelling. Furthermore, and in line with the 'decrease'-answer, the 'decrease'-group
456 agrees, compared to the other groups, more with the statement "I have more confidence
457 in the simulations of a complex model compared to a simple model". Compared to the
458 other groups, a larger portion of the 'decrease'-group agrees with the statement that
459 "Increased computer power is a good reason to increase model complexity ". These
460 results seem to imply that more experience with a model leads to more caution, or
461 perhaps even suspicion, towards model complexity and increasing model complexity.

462

463 Given that this is a special issue on women in geoscience, we also investigated
464 differences between men and women on the perception of model complexity. Only a few
465 minor differences were found. On the question 'How would you characterize model
466 complexity?', the number of processes and the number of feedbacks/interactions are the
467 two most chosen characteristics for both genders. However, from the female
468 respondents a slightly higher fraction selected 'feedbacks/interactions', while from the
469 male respondents, a higher fraction selected 'processes'. Furthermore, scenario analysis
470 and process investigation are the most frequent model uses for both genders. It should
471 be noted however, that female respondents are underrepresented and that neither
472 gender is equally distributed over career stage, discipline, and work sector, making it
473 difficult to directly relate the described (minor) differences to gender alone. Generally, it
474 can be concluded that gender does not influence characterization and perception of
475 model complexity. Indeed, neuro-imaging of 1400 human brains revealed that
476 male/female brain patterns cannot be distinguished from one another (Joel et al. 2015).

477

478 Summarizing, we find that the characterization of model complexity and the relation
479 between model complexity and model uncertainty can to some extent be related more to
480 model experience and age than to gender.

481

482 *4.2.3 Additional comments from respondents*

483 At the end of the questionnaire, respondents were given the opportunity to provide
484 additional comments. Multiple people commented that, in many cases, their answer to
485 many of the questions would be 'it depends', and feedback given on the questionnaire
486 included that people expected a (clearer) definition of both 'a model' in general and
487 'model complexity' in particular to be given. Several comments included a phrased
488 definition of complexity: Quite a number of people commented in several ways that data
489 availability is a key point. For example, 'It doesn't matter that we can simulate all these
490 complex, interacting processes if we don't have the data to parameterize the model'.
491 Several comments related to model complexity mentioned that model parsimony is
492 important and that a model should be as simple as possible, but not simpler. There were
493 also multiple comments stating that increasing model complexity should only be done if
494 it leads to improved results. A particular comment illustrating the 'it depends' position
495 was 'the main point about model complexity is in the balance between the goal of the
496 model (i.e., what answer at what scale is desired (specific case/generic) and what will be
497 done with it (policy/decision support vs. testing proof of principle) and the uncertainty in
498 data/knowledge available (can we provide input, assess sensitivity and uncertainty, do
499 we have data to validate model(components)?)'.
500

500

501 Additional points of attention that were raised included: Open access to model code, that
502 is needed to generalise models and to improve their code; that we did not include
503 assessment of regional origin or cultural background / formation of modellers, which
504 could well be a factor distinguishing differences in opinions on model complexity; the
505 'trilemma' of model choice: complexity versus precision versus communicability, which

506 can be related to both the need for open access of code and the use of the model
507 (outcomes), e.g. for policy making. A remark on simple versus complex models was that
508 he/she thought that complex models are needed to build good simple models and that
509 simple models often follow complex models, because simplification is only possible if you
510 can say something about the effect of simplification.

511

512 **5. Discussion**

513

514 As already noted, contrary to our hypothesis - perceptions regarding model complexity
515 differ between disciplines within geoscience - we found no differences between
516 geoscience disciplines. It is important to note that our survey results indicate the
517 questionnaire mainly reached academia, and thus we cannot tell how model complexity
518 is perceived by other groups of stakeholders working with models. The responses show
519 very heterogeneous perceptions of model complexity, and seem to be more individual
520 than related to science discipline or modeller type. In our opinion, this is even more
521 worrisome than a difference in perception between disciplines because individual
522 scientists often work within the same discipline and may implicitly assume their
523 definition of model complexity is everyone's definition. As an author team this is
524 something we can attest to; despite having more or less similar backgrounds, during
525 discussions we often had different ideas of some of the definitions discussed.

526

527 What we can learn from each other is that although many of us may tend to think there
528 are huge differences in perception of model complexity across science disciplines, in
529 reality a colleague in the same office may view model complexity differently compared to
530 our own perception. This also relates to one of the critiques mentioned in the comments
531 given at the end of the questionnaire - the lack of a given definition of model (use) and
532 model complexity in the questionnaire. As noted, we deliberately did not include a
533 definition of model complexity, because it was not our aim to focus or restrict ourselves
534 to discussing a particular definition; rather, we wanted to investigate modellers' opinions

535 about complexity and investigate if and how they differed, e.g. between scientific
536 disciplines. It is possible, however, that the questionnaire design was in line with our
537 own definition of complexity and, therefore, not flexible enough to capture all definitions.
538 The heterogeneity in responses demonstrates that the definition of complexity does not
539 necessarily differ between disciplines, but does differ among individuals. The comments
540 on the questionnaire seem to show that the definition of complexity includes many 'it-
541 depends'-booleans, which can explain why so many definitions are present in the
542 literature.

543

544 What needs to be changed? From the high number of responses to our questionnaire and
545 the various definitions of model complexity present in the literature, model complexity is
546 clearly a topic that resonates within the geoscience community. Many of the authors
547 notifying us of their publication on the topic were very opinionated about which definition
548 we should be using in general. Such passionate opinions were also noticed by Guthke
549 (2017) regarding the use of model complexity in groundwater hydrology. Although we
550 did not find a difference between male and female perception of model complexity, from
551 our literature search and responses received, male authors seem to be more concerned
552 than female authors about the need for a strong definition. Seeing the makeup of the
553 model user community within geoscience, a unified definition still seems a long way off.

554

555 At the same time, other scientists call for reduced model complexity and exploratory
556 modelling approaches. Exploratory modelling arises from the realization that simple
557 processes can lead to complex phenomena (Larsen et al. 2014). Identifying the
558 underlying processes by using simple models and connecting different components of the
559 system is employed frequently to learn more about the processes and feedbacks, for
560 example, for ecology (e.g., Tilman, 1994), hydrology (e.g., Porporato, D'Odorico, Laio, &
561 Rodriguez- Iturbe, 2003), and geomorphology (e.g., Saco, Willgoose, & Hancock, 2007).
562 However an opposite view, the notion that we first need to know the entire system

563 before we can simplify our models (i.e. that simple models follow more complex ones),
564 also exists as expressed in one of the comments to the questionnaire.

565

566 Guthke (2017) argues there is a need to define a defensible range of complexity, in
567 terms of specific model goals and available observations, thereby bridging a goal
568 oriented model complexity choice and a statistically motivated choice of model
569 complexity. In addition to this suggestion, Larsen et al. (2016) present several potential
570 strategies to decide on the level of detail (recall their definition of complexity),
571 considering state variables, spatiotemporal dimension, spatial extent, boundary
572 conditions, resolution, and representation of coupling. Such strategies, in combination
573 with their presented decision tree may be instrumental in guiding discussions between
574 involved actors about what level of detail representing model complexity is useful. Such
575 discussion on model complexity has been noted as important by the respondents to the
576 questionnaire. In light of the increasing call and need for interdisciplinary collaboration,
577 these discussions must happen.

578

579 **6. Conclusions**

580 The evidence strongly suggests that the ultimate choice of how complex a model needs
581 to be is determined by the actors involved. Therefore, we think it is better to not attempt
582 to develop yet another, "better", definition of model complexity. Combining the insights
583 from the questionnaire with the multitude of definitions in the literature, we think and
584 conclude that aiming for a single definition of model complexity is neither feasible nor
585 desirable.

586

587 Instead we deem it of much greater importance that geoscientists, in order to
588 collaborate and communicate more effectively, clearly state and discuss how they
589 address model complexity, in research proposals, projects, and publications. Just as data
590 management has received increased attention, and data management plans are
591 increasingly recognized as being for the common good, a model complexity management

592 plan could aide consortia of researchers and others in their progress towards
593 understanding the complexity of nature. Therefore, following Grand's advice, rather than
594 making things more complicated by just adding another definition of complexity to the
595 list, we aim for high information content with high utility, and suggest a practical
596 approach to dealing with model complexity: never assume that a definition is generally
597 accepted, always be explicit about your assumptions, ask about others' perspectives and
598 be clear about the approach you are taking and why. In this way, we can avoid, or at
599 least greatly reduce, complications with complexity.

600

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608

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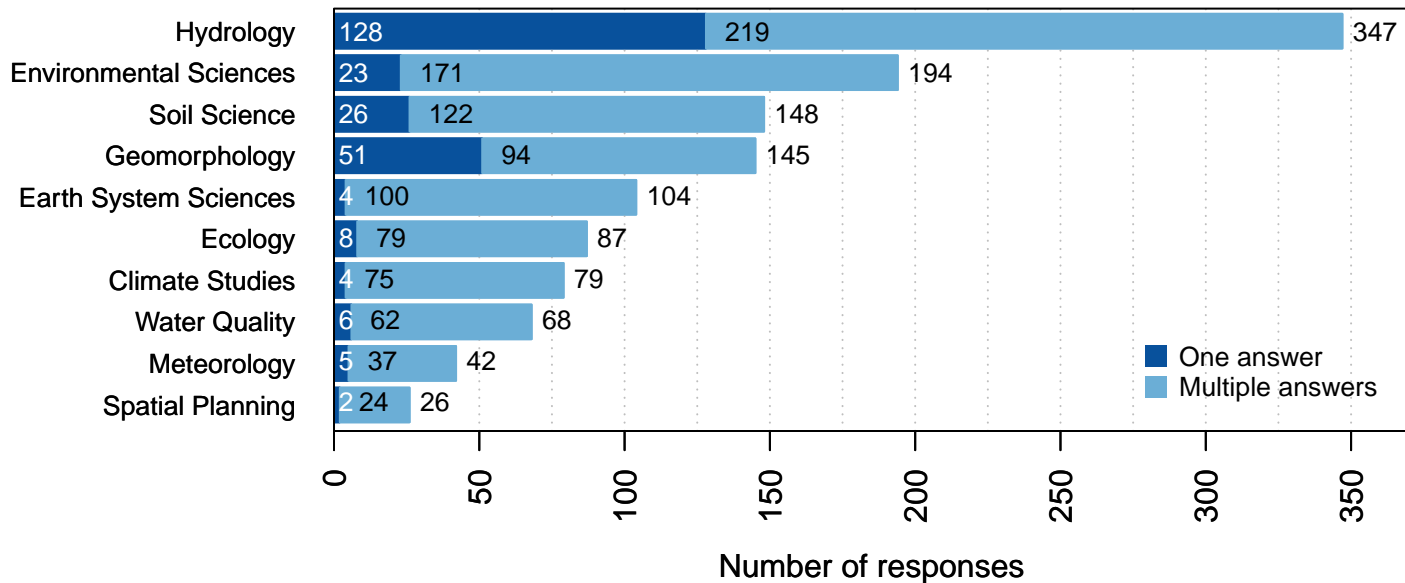
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734

Highlights:

- Response to our questionnaire was overwhelming with >600 complete responses
- No difference in perception of model complexity was found between disciplines
- Definitions and perceptions of model complexity were very heterogeneous
- Model complexity is clearly a topic that resonates within the geoscience community
- So: be explicit about your assumptions and clear about the approach you take and why

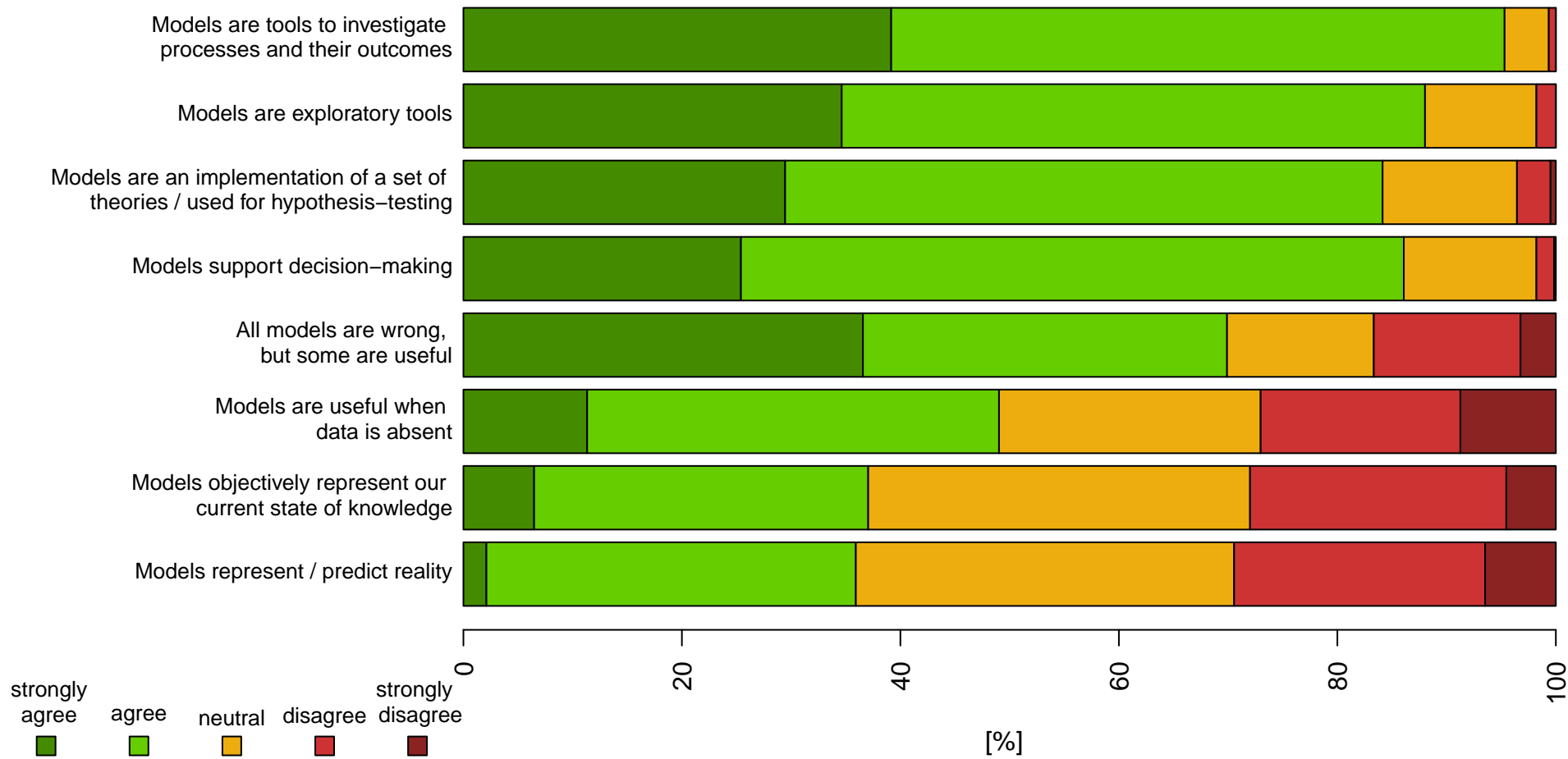
Figure_1

Field of work



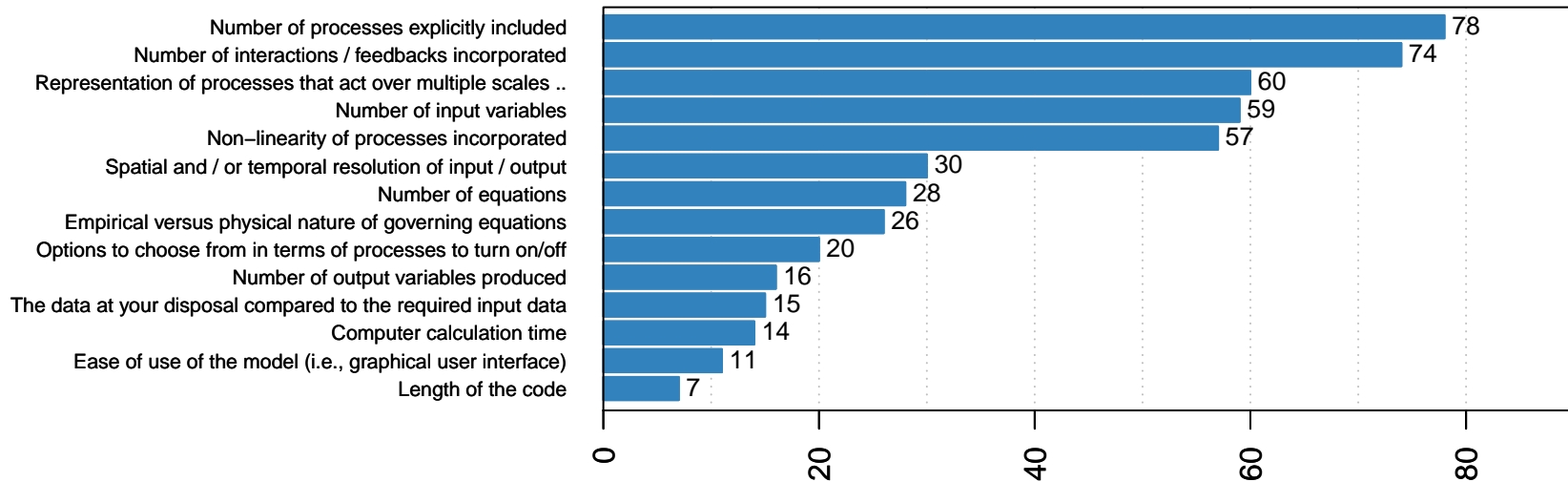
Figure_2

Please indicate the degree of your (dis)agreement with the following statements:



Figure_3

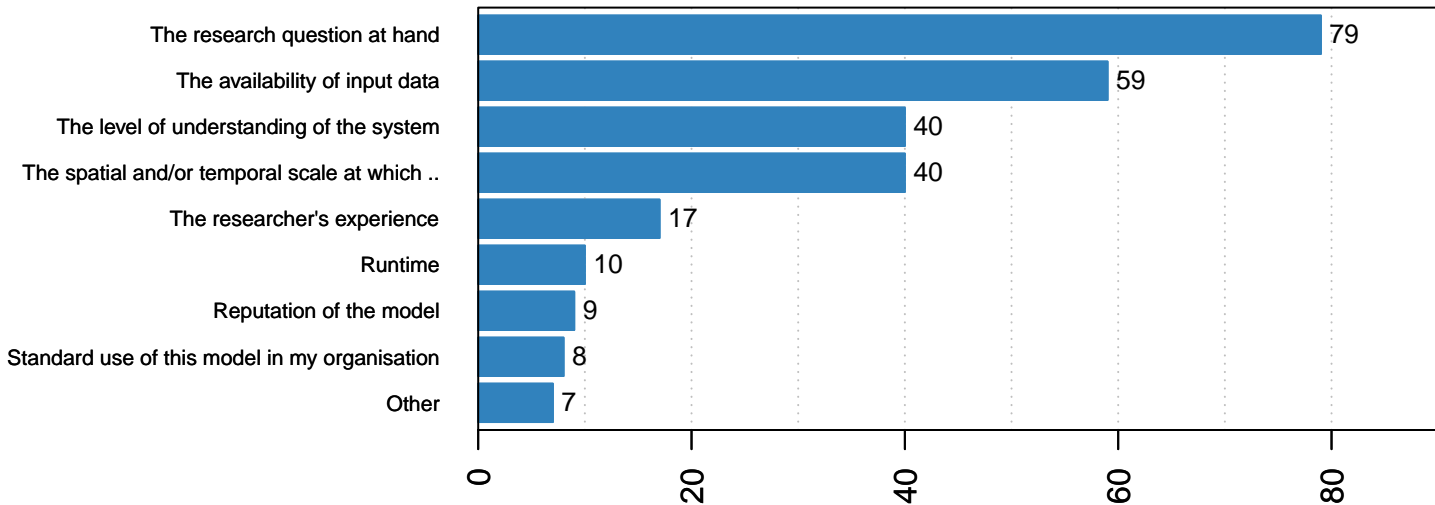
How would you characterise complexity in a model?



Percentage of respondents who selected a particular answer option

Figure_4

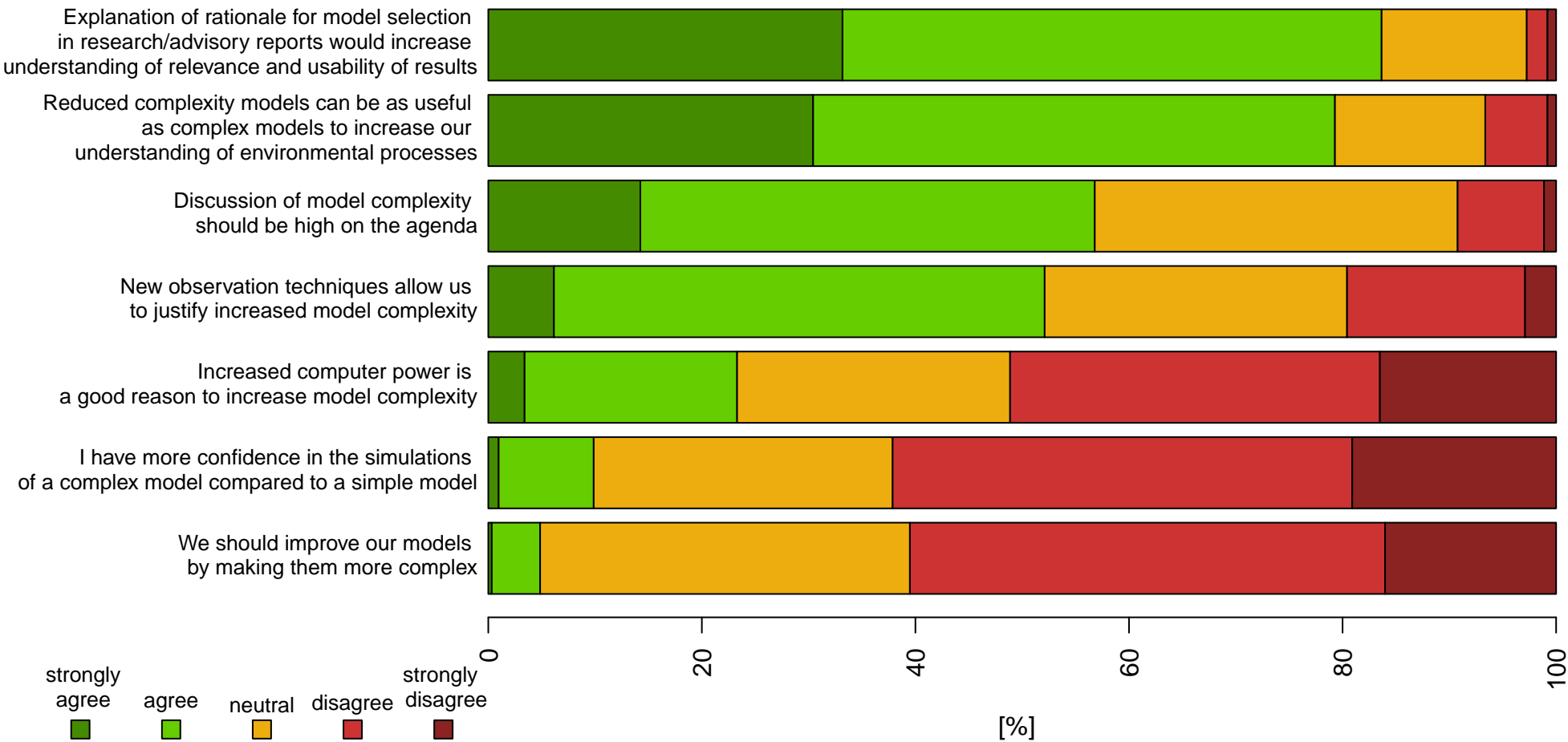
What most impacts your decision to select / use a simpler or more complex model?



Percentage of respondents who selected a particular answer option

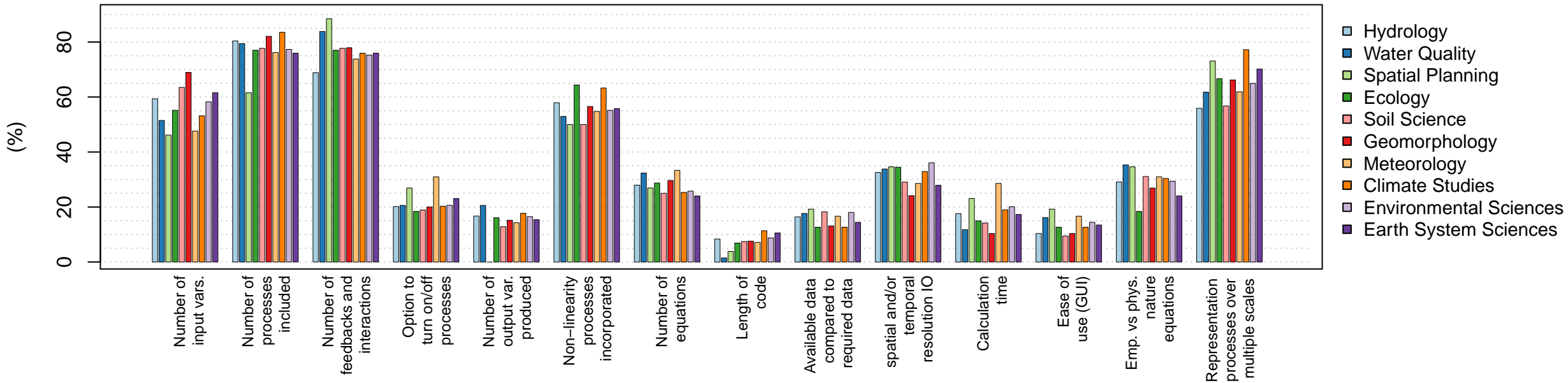
Figure_5

Please indicate the degree of your (dis)agreement with the following statements:



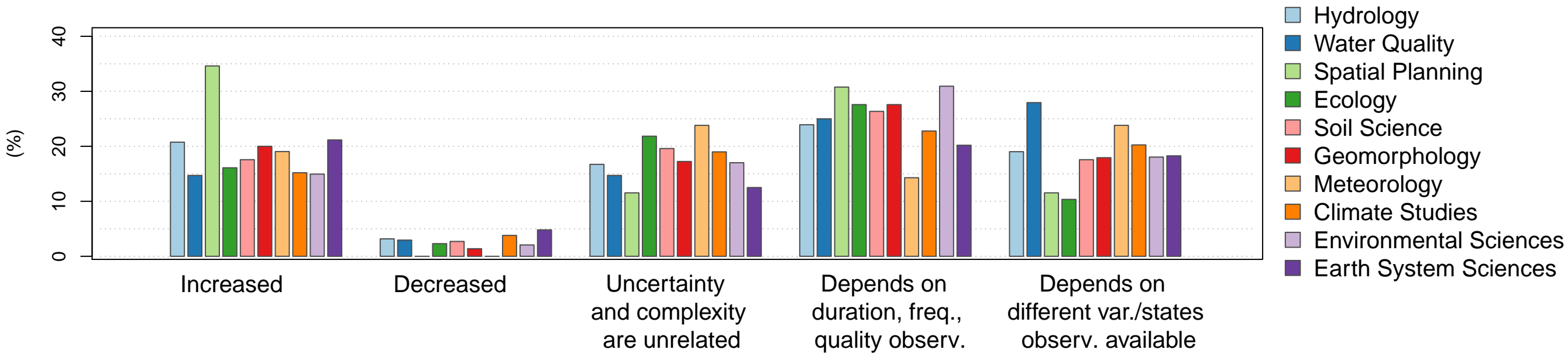
Figure_6

How would you characterise complexity in a model?



Figure_7

In your opinion, does increasing model complexity lead to increased or decreased uncertainty in the model results?



1 **Figure captions**

2

3 Fig 1: Distribution of field of work of respondents. Dark coloured part of bar indicates
4 number of respondents selecting only one field of work. Light coloured bar indicates the
5 total number of times that field of work was selected in combination with another field of
6 work.

7

8 Fig. 2: Respondents replies to statements about models.

9

10 Fig. 3: Respondents' opinion regarding characterization of complexity in a model. Note
11 that the total percentage exceeds 100% because respondents were allowed to select
12 multiple options.

13

14 Fig. 4: Respondents' replies to what most impacts their decision to select / use a simpler
15 or more complex model. Note that the total percentage exceeds 100% because
16 respondents were allowed to select multiple options.

17

18 Fig. 5: Respondents replies to statements about model complexity.

19

20 Fig. 6: Response to the question "How would you characterize model complexity?"
21 divided over the different disciplines. Note that the total of the percentages exceeds 100
22 since respondents were allowed to select multiple answers. Each bar indicates the
23 percentage of that particular discipline that chose a specific option.

24

25 Fig. 7. Response to the question "Does increasing model complexity lead to increased or
26 decreased uncertainty in the model results?" divided over the different disciplines. The
27 bars per discipline add up to 100, since each respondent could only select one answer.

28

Supplementary material for on-line publication only

[Click here to download Supplementary material for on-line publication only: Supplement A_ Questionnaire SurveyMonkey.pdf](#)