

Stability of fat globules in UHT milk during proteolysis by the AprX protease from Pseudomonas fluorescens and by plasmin

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This is a "Post-Print" accepted manuscript, which has been Published in "Journal of Dairy Science"

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Please cite this publication as follows:

Zhang, C., Bijl, E., Muis, K. E., & Hettinga, K. (2019). Stability of fat globules in UHT milk during proteolysis by the AprX protease from Pseudomonas fluorescens and by plasmin. Journal of Dairy Science. https://doi.org/10.3168/jds.2019-17150

You can download the published version at:

https://doi.org/10.3168/jds.2019-17150

1	Stability of fat globules in UHT milk during proteolysis by proteases AprX from
2	Pseudomonas fluorescens and plasmin. By Zhang et al. The shelf life of UHT milk can be
3	shortened due to fat separation. In this study, we showed that enzymatic hydrolysis of fat
4	surface-adsorbed proteins can enhance fat separation in UHT milk. Bacterial proteases and
5	native proteases can lead to sedimentation and creaming of milk fat, respectively. Our results
6	open new perspectives regarding the fat destabilisation mechanism in UHT milk, showing that
7	the activity of both kinds of enzymes in the production chain of UHT milk should be reduced.
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9	ENZYMATIC DESTABLIZATION OF FAT GLOBULES IN UHT MILK
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11	Pseudomonas fluorescens and plasmin
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ABSTRACT

Fat separation is a limiting factor for the shelf life of UHT milk. It may be promoted by the 24 proteolysis of the fat surface-adsorbed proteins (FSAP) by proteases that remain active after 25 UHT treatment. The aim of this research was to explore the relationship between the proteolysis 26 of FSAP and fat destabilization. In this study, we developed a full fat UHT milk-based model 27 system to which either the major bacterial protease AprX from Pseudomonas fluorescens or the 28 major native milk protease plasmin was added at high levels to induce fast destabilisation of 29 30 the milk fat globules. The changes in physical properties, FSAP composition and the structural changes of the fat globules were monitored over 24 hours. Our results show that AprX induced 31 sedimentation due to flocculation of fat globules, while plasmin induced cream to float due to 32 coalescence of fat globules. This study confirmed that AprX and plasmin can indeed both lead 33 to fat destabilization in full fat UHT milk, and provides insights in the underlying mechanisms. 34

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Key Words. fat stability, UHT milk, proteolysis, AprX, plasmin

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INTRODUCTION

The consumption of ultra-high temperature (UHT)-processed milk is increasing worldwide because of its long shelf life and the possibility of long distance transport and storage at room temperature. However, its shelf life can be compromised by unwanted changes like age gelation and sedimentation. For full-fat and semi-skimmed UHT milk, another possible detrimental change during its storage is fat separation; the agglomeration of the fat globules with the formation of a floating fat layer , or a fat-rich protein aggregate that is dense enough to sediment (Chavan et al., 2011; Hardham et al., 2000).

Fat globules in UHT milk are heated and homogenized. Homogenization causes the native milk fat globules to mechanically disrupt into smaller particles, after which the natural milk fat globule membrane material is insufficient to cover the larger fat globule surface area. Hence, the surface of fat globules is covered and stabilized by adsorbed milk proteins, primarily caseins (Cano-Ruiz and Richter, 1997), which we will call fat-surface adsorbed proteins (FSAP). Fat separation during shelf life of UHT milk has been commonly recognized to be caused by insufficient homogenisation (Hardham et al., 2000; Ramsey and Swartzel, 1984). If fat globules do not achieve sufficient homogenization, a small number of fat globules will remain large and represent a significant volume of the total fat; these large globules can rise rapidly and cause a significant cream layer (Wilbey, 2011).

However, it is assumed that nowadays, adequate homogenization is used in most commercial 54 UHT production processes, thus insufficient homogenisation should not be a major issue. In 55 UHT milk that has been adequately homogenized, proteolysis of FSAP could be the main cause 56 of fat instability during storage. Such proteolysis of UHT milk may be due to the residual 57 activity of heat-stable proteases, which are mainly bacterial proteases, represented by AprX, 58 and native milk proteases, represented by the plasmin system. AprX is a caseinolytic 59 extracellular alkaline metalloprotease produced by *Pseudomonas* species (Zhang et al., 2019), 60 with κ -case being most susceptible to its action, followed by β - and α_{s1} -case (Recio et al., 61 2000; Zhang et al., 2018). Plasmin hydrolyses in the order $\beta - \alpha_{s1} - \alpha_{s2}$ -casein, but hardly 62 hydrolyses k-casein (Rauh et al., 2014a; Zhang et al., 2018). In view of the differences in 63 preferences of AprX and plasmin towards caseins, differences in AprX- and plasmin-induced 64 protein destabilization of UHT milk, such as gelation and sedimentation, have been frequently 65 reported (Matéos et al., 2015; Rauh et al., 2014b; Zhang et al., 2018). However, the influence 66 of protease on fat destabilisation has been studied much less. It has been observed that in full-67 fat UHT milk, bacterial proteases lead to the formation of sediment or a custard-like gel 68 69 (Harwalkar, 1992; Visser, 1981), whereas native proteases cause a creamy surface layer (Hardham, 1998; Kohlmann et al., 1991; Rauh et al., 2014b). However, the underlying 70

mechanisms of how these two categories of proteases destabilize fat globules in UHT milk have
not been unequivocally elucidated.

This study thus aims to compare the differences between AprX and plasmin in hydrolysing FSAP and the subsequent consequences for fat stability in UHT milk. To assess this, high levels of AprX or plasmin was added to full-fat UHT milk samples, in order to induce fast destabilisation of milk fat globules without the interference from non-enzymatic physicochemical changes.

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MATERIALS AND METHODS

79 Enzymatic Hydrolysis

80 Commercial full-fat UHT milk was obtained from FrieslandCampina (Venecolaan, Belgium). The type of processing used on the UHT milk was direct sterilization by steam infusion heat 81 treatment according to additional information obtained from the manufacturer. The protein and 82 fat content of the UHT milk was 3.6% and 3.5% (w/v), respectively, as analysed by MilkoScan 83 134A/B (Foss Electric, Hillerød, Denmark). To have a low starting degree of hydrolysis, we 84 85 used milk samples that were not older than 1 month after manufacturing. To prevent spoilage during storage, 0.02% sodium azide was added as preservative. The protease AprX was isolated 86 from Pseudomonas fluorescens migula (DSM 50120, Deutsche Sammlung von 87 Mikroorganismen) and purified as described by Zhang et al. (2018). Plasmin from bovine 88 plasma was purchased from Sigma-Aldrich (Roche 10602370001), which was suspended in 3.2 89 M ammonium sulfate solution. 90

The enzyme concentrations were determined in a preliminary study. Activity of 1 µl plasmin/mL milk and the equivalent activity of AprX were found to be able to induce obvious changes to fat stability in the model systems within 24 hours of incubation at 37 °C. Samples were stored at 37 °C in order to accelerate destabilization. Our previous study has shown that

storage at 37 °C will not change the hydrolysis patterns of milk proteins by AprX and plasmin, but only its rate (Zhang et al., 2018). In the main experiment, crude AprX extract was weighed into full-fat UHT milk samples at a concentrations of 84 μ g/mL, whose activity matched 1 μ l plasmin/mL milk. The activity of plasmin and AprX was determined using the azocasein assay as described by Dufour et al. (2008). Samples were collected at 4 hour intervals over 24 hours of incubation at 37 °C, after which the samples were directly used for physical stability measurement and FSAP analysis.

102 Characterization of Physical Stability During Proteolysis

103 Measuring Fat Content in Different Layers. The samples for fat content measurement were 104 placed in a standing position without disturbance. After incubation, as explained in 2.1, a pipette 105 tip was slowly inserted along the inner wall of the tube until reaching the bottom without stirring 106 the milk.

Then the same volume of the bottom, middle and top layer was collected successively from this 107 vial. Lipid extraction from full-fat UHT milk samples was optimised based on the methods of 108 Lu et al., (2017), using a mixture of hexane and isopropanol (3:2, v/v). The milk sample was 109 mixed with the organic solvent mixture at a ratio of 1:5 (v/v) in a capped Eppendorf tube. The 110 tubes were incubated at 60 °C for 15 min with occasional gentle shaking to aid lipid extraction 111 (Baümler et al., 2010). Then the upper organic phase was collected in a weighed tube, dried 112 under a stream of nitrogen and weighed again, with the increase in weight used to calculate the 113 fat content. 114

Stability Analysis by LUMiFuge. The physical stability of the hydrolysed samples was examined with the LUMiFuge (L.U.M.GmbH, Berlin, Germany). LUMiFuge is an optical stability analyser which accelerates physical destabilization by centrifugal forces and exposes the sample cells to near infrared light while measuring the transmission continuously during the

centrifugation. High concentrations of particles yield low transmission profiles and vice versa. 119 Prior to analysis, but after incubation, the samples were diluted 10 times with simulated milk 120 ultrafiltrate (SMUF, pH 6.8) to reduce the turbidity of full-fat UHT milk and facilitate the 121 optical measurement. SMUF was prepared according to Jenness and Koops (1962), with the 122 following composition: 11.6 mM KH2PO4, 3.70 mM C6H5K3O7·H2O, 6.09 mM 123 C6H5Na3O7·2H2O, 1.03 mM K2SO4, 8.05mM KCl, 8.97mM CaCl2·2H2O, and 3.20 mM 124 MgCl₂·6H₂O. The sample was then agitated by gently shaking from side to side, after which 125 400 µL sample was inserted to LUMiFuge sample cells (LUM 2mm, PC, Rectangular synthetic 126 cell (110-131xx), Berlin, Germany). The LUMiFuge measurements were carried out at $2300 \times$ 127 g for 43 min at room temperature, and transmission was measured for 255 cycles with a duration 128 of 10 s at a near-infrared wavelength of 865 nm. These parameters were set according to Sunds 129 130 (2016).

To quantify the emulsion stability of the samples, the integrated transmission percentage against time was used. This will further be referred to as the "instability index" in this study. The instability index was automatically calculated with the LUMiFuge software SEPView 6.3. Lower instability index values indicate better emulsion stability.

Size Distribution of Milk Particles. Particle size distribution analysis was performed using laser light diffraction (Mastersizer 3000, Malvern Instruments, Ltd, Malvern, UK). Samples diluted 50 times with MilliQ water were injected directly into the dispersion cell under agitation at 1500 rpm. A higher stirring intensity was avoided to prevent disintegration of aggregates. The droplet size was calculated with a refractive index of 1.45 and 1.33 for the droplet and continuous phase (MilliQ water), respectively. The particle absorption index was set as 0.002.

Viscosity. The viscosity of the samples was measured in a stress controlled rheometer (MCR302, Anton Paar), using a double-gap concentric cylinder geometry (MCR 72). A solvent trap was used to prevent evaporation. The samples were stabilized for 2 min. Subsequently, the viscosity was monitored by exponentially increasing the shear rate from 0.01 to 500 s⁻¹. Measurements were performed at 20 °C.

FSAP Isolation. The method was optimized based upon previously described methods by 146 147 McCrae et al., (1994); McPherson et al., (1984) and Lu et al. (2016). The FSAP isolation method was divided into two parts, namely cream separating and washing. Cream was separated 148 from full-fat UHT milk samples by ultracentrifugation at 60,000 × g for 1 hour at 20 °C using 149 an L60 Beckman ultracentrifuge (Beckman, Fullerton, CA, 70 Ti rotor). To aid the separation 150 of fat droplets from milk serum, 0.6 M sucrose was incorporated before centrifugation as 151 density-increasing agent. Pre-experiments showed that after ultracentrifugation with the aid of 152 sucrose, the cream layer of UHT milk samples was as thick as that of raw milk, indicating most 153 milk fat ended up in the cream layer. Immediately after ultracentrifugation, the samples were 154 immersed into an ice bath for 15 min to solidify the cream layer. The cream was then collected 155 into a new tube and washed as described next. To wash away the proteins that are loosely 156 attached or entrapped in the cream layer, the collected cream was washed in three steps: 1) 157 submersion of the cream layer (at 1:10; w/v) in SMUF with added sucrose (35% w/v); 2) 158 centrifugation at 21,000 × g for 10 min at 10 °C; 3) discarding the washing solution, and 159 collection of the cream layer. After repeating the 3-step washing procedure three times, the 160 washed cream fraction was weighed, and the FSAP were analysed with RP-HPLC and SDS-161 PAGE. 162

163 Characterization of Protein Profile in FSAP During Proteolysis

164 *RP-HPLC.* The protein composition was determined by Reversed Phase High Pressure 165 Liquid Chromatography (RP-HPLC, Thermo ScientificTM UltiMate 3000) equipped with an 166 Aeris Widepore 3.6 μm XB-C18 column, 250 × 4.6 mm (Phenomenex, Utrecht, the 167 Netherlands), according to the method described by Zhang et al. (2018). Protein standards (β-168 casein, α_{S1} - casein, α_{S2} - casein, κ - casein, α -lactalbumin and β -lactoglobulin; all with purities 169 of 70–98 %, all from Sigma-Aldrich) were used to validate the elution times of milk proteins. 170 The resulting chromatograms were analyzed by Chromeleon 7.1.2 software. The changes in 171 α_{s2} -casein could not be quantitatively described due to the co-elution of the peaks of α_{s2} -casein 172 with breakdown products of protein hydrolysis, as also explained by Rauh et al. (2014b).

SDS-PAGE. SDS-PAGE was performed under reducing conditions to complement RP-173 HPLC results. The sample buffer, reducing agent, gels, running buffer and antioxidant agent 174 were all purchased from Invitrogen (Carlsbad, USA). The washed cream was diluted 30 times 175 with SMUF, 5 µL diluted cream was mixed with 5 µL 4× concentrated NuPAGE[®] LDS sample 176 buffer, 2 µL 10× concentrated NuPAGE[®] sample reducing agent and 10 µL MilliQ water. Then 177 the mixture was centrifuged at $425 \times g$ for 1 min and heated at 70°C in a heating block 178 (Labtherm Graphit, Liebisch, Germany) for 10 min. Samples were loaded onto NuPAGE® 179 Novex[®] 12% Bis-Tris gels. The BlueRay Prestained Protein Marker 10-180 kDa (Jena, 180 Germany) was applied as a reference. NuPAGE[®]MES buffer with addition of 0.5 mL NuPage[®] 181 antioxidant was used for running the gels. Electrophoresis was performed using an XCell 182 SureLock[™] unit (Invitrogen, Paisley, UK) at constant voltage (120 V). The gels were 183 Coomassie-stained. 184

185 Characterization of Microstructure of Fat Globules

186 *Confocal Laser Scanning Microscopy (CLSM).* The distribution of lipid and protein and 187 their aggregation were observed with a Zeiss LSM 510-META 18 confocal laser scanning 188 microscope (Zeiss, Oberkochen, Germany). The stock solutions of protein-specific stain 189 rhodamine B (Sigma-Aldrich, 10 mg/mL) and lipid-specific stain Bodipy 505/515 (Invitrogen 190 Molecular Probes, Carlsbad, CA, USA, 5 mg/mL in 70% ethanol) were mixed and diluted in 191 SMUF to a final concentration of 1 mg/mL. One μ L of mixed stain was added to 99 μ L milk 192 sample, vortexed and dropwise transferred to a cavity slide.

The CLSM was connected to an inverted microscope (Axiovert 200M) with differential 193 interference contrast (DIC). Bodipy 505/515 was excited with an Argon laser (488 nm) and 194 emission wavelengths between 505 nm and 530 nm were detected. A He-Ne laser operated at a 195 excitation wavelength of 543 nm and emission wavelengths of 560 nm and higher was used to 196 detect rhodamine B. All images were acquired using a Plan-Apochromat 63× oil immersion 197 objective (1.4 NA) with a resolution of 512×512 pixels, and the pinhole of the microscope was 198 set to 84 µm. The samples stained with both dyes were analysed by multi-channel tracking to 199 enable the visibility of the dyes. 200

Cryostation Scanning Electron Microscope (Cryo-SEM). To analyse the morphological 201 changes of fat globules in UHT milk after enzymatic hydrolysis, Cryo-SEM images were taken 202 at distinct times after incubation with proteases. A milk sample of 10 µL was transferred to a 203 copper hollow rivet and quickly plunged into liquid nitrogen for snap-freezing. The sample 204 holder was transferred to a cryopreparation system (MED 020/VCT 100, Leica, Vienna, 205 Austria), in which the samples were fractured and freeze dried to remove water vapor 206 contamination. Then the samples were sputter coated with a layer of 15 nm Tungsten. Samples 207 were cryo-shielded transferred into the field-emission scanning microscope (FEI Magellan 400, 208 FEI, Eindhoven, The Netherlands) equipped with a Leica cold stage for cryo-SEM. The analysis 209 was performed at a working distance of 4.2 mm, with SE detection at an acceleration voltage 210 of 2 kV. The particle size distribution was analyzed by Image J software (1.51f, National 211 Institutes of Health, USA). 212

Statistical analysis. All the measurements were performed in biological triplicates and results were expressed as mean with standard deviations (SD). Data were analysed by one-way repeated measures ANOVA and Tukey as post-hoc test using SPSS version 24 (SPSS Inc., Chicago IL). Statistical significance was set as p < 0.05.

RESULTS AND DISCUSSION

218 Change of Physical Stability

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Change of Fat Content in Different Layers. The fat redistribution was monitored by 219 measuring the fat content in the top, middle and bottom layers of the sample tubes. As shown 220 in Fig. 1a, the samples incubated with AprX, fat in the top layer started to decrease from 12 h 221 onwards (p < 0.05), whereas, the fat content in the middle and bottom layers increased from 12 222 h and 16 h (p<0.001), respectively, indicating fat started to sediment. On the contrary, in 223 224 plasmin-hydrolysed samples the fat content in the bottom layer decreased after 0 h (p<0.05), along with an increase of fat content in the top layer (p<0.05, Fig. 1b), indicating the fat globules 225 floated to the surface. Similar plasmin-induced redistribution of fat in different layers of UHT 226 milk during storage has been reported by Malmgren et al. (2017), who observed an increase in 227 fat content of the top layers and, at the same time, a reduction in the lower layers. 228

229 Stability Test by Lumifuge. Due to the high turbidity, fat separation was difficult to judge visually in full-fat UHT samples until the sample clarified. Therefore, LUMiFuge was used to 230 aid in analysing the particle migration by calculating the instability index according to the 231 transmission profiles under centrifugal forces. As shown in Fig. 2, the instability index 232 increased during incubation in both AprX- and plasmin-containing samples, indicating that 233 sample destabilisation happened in both systems. For the samples incubated with AprX, the 234 instability index rapidly increased between 4 h and 12 h (p<0.001), wherein creaming gradually 235 changed into sedimentation, as shown by the shape of transmission profiles (Supplementary 236 Fig. 1). In plasmin-containing samples, the instability index increased at a slower pace 237 compared to AprX-containing samples, with more creaming and less sedimentation formed, as 238 inferred from the transmission profiles. 239

Size Distributions. The changes in the size distribution of all the colloidal particles in fullfat UHT milk, which include casein micelles, fat globules, and the agglomerates that may form, were monitored during hydrolysis by AprX and plasmin (Fig. 3). The blank full-fat UHT milk at 0 h incubation had a pseudo-monomodal size distribution centred at approximately 0.2 μ m, which are actually two overlapping distributions with the casein micelles being smaller and the fat globules being larger than the average. A similar pseudo-monomodal size distribution of full-fat UHT milk has been reported by Matéos et al. (2015).

For the UHT milk containing AprX (Fig. 3a), the size distribution started to broaden at 8 h. A 247 new peak appeared in the micron size range at 12 h, and shifted to over 10 µm in the subsequent 248 incubation times. Meanwhile, the peak corresponding to regular particles in the sample before 249 incubation gradually diminished, indicating that the small particles were incorporated in the 250 larger aggregates. This observation is in agreement with earlier findings, where large aggregates 251 ranging from 2-100 µm have been reported in full-fat UHT milk (Matéos et al., 2015). However, 252 in skim UHT milk samples incubated with AprX, these aggregates have also been observed 253 (Baglinière et al., 2013; Zhang et al., 2018), indicating that the underlying mechanism for 254 aggregate formation could be similar. It can also be speculated that fat is entrapped in the 255 formed protein aggregate, similar to cheese production. 256

Compared to these AprX-containing samples, the size distribution changed to a lesser extent in 257 the plasmin-containing samples. No new peaks appeared, but the average size slightly increased 258 (p<0.05) and a population of particles with a size larger than 2 µm arose, indicating that larger 259 particles were formed during the hydrolysis by plasmin. Similar changes in the size distribution 260 have also been found in semi-skim UHT milk (1.5% fat, w/v) with residual plasmin activity 261 during storage (Rauh et al., 2014b). But the plasmin-induced changes of particle size 262 distribution in the skim UHT milk (0.07% fat, w/v) was slightly different, where the peak 263 corresponding to native casein micelles shifted, instead of broadening to a larger size (Zhang et 264

al., 2018), which may be due to the fact that in skim milk there are only casein micelles, making
the peak sharper, whereas in non-skim homogenized milk, the peaks of casein micelles and fat
globules overlap.

Viscosity. The flow behavior of milk as determined by a rheometer is closely related to the consumers' perception of viscosity. Fig. 4 displays the apparent viscosity curves for both AprXand plasmin-hydrolysed samples. The viscosity of samples with AprX and plasmin both showed shear thinning behavior, and the viscosity increased with increasing incubation time.

In AprX-containing samples, after incubation for 8 hours, the viscosity sharply rose to more 272 273 than 10 mPa·s (at 20 °C) (Fig. 4a), which is generally regarded as a manifestation of age gelation in UHT milk (Datta and Deeth, 2001; Deeth and Lewis, 2016). This coincided with the time 274 that the sedimentation started (Fig. 1) and large agglomerates were formed (Fig. 3). Therefore 275 we can conclude that a gel network formed after 8 h. In comparison, the viscosity in plasmin-276 containing samples rose steadily, indicating that the changes in the microstructure were gradual 277 and progressive. In our study, none of the plasmin-containing samples reached a viscosity 278 higher than 10 mPa s (Fig. 4b). But fat floating was detected (Fig. 1), showing that creaming 279 happened earlier than gelation in our plasmin-containing UHT milk. 280

Comparing these two systems, AprX induced a greater increase in viscosity and a less pronounced shear thinning behavior compared to plasmin, which both reflected that AprX induced the formation of stronger network structures than plasmin.

284 Change of FSAP Content and Composition

Change of FSAP Content. To explain how the fat stability may be influenced by the hydrolysis of FSAP, the samples with AprX or plasmin were further studied for their FSAP content and composition. Because the same ratio of milk fat ended up in the cream layer after ultracentrifugation, the differences in the weight of cream layer per unit milk was mainly

attributed to the different content of hydrated FSAP. As shown in Fig. 5, in the milk with AprX, 289 the weight of cream started to increase rapidly after 8 h (p<0.001), and remained constant after 290 20 h. In milk with plasmin, the weight of the cream layer decreased in the first 12 hours 291 (p<0.005), and remained constant afterwards. Similar trends as for weight of cream were seen 292 in both systems when FSAP concentration in the washed cream was quantified using HPLC 293 and expressed as the total HPLC peak area (Fig. 5). Both measurements showed that more 294 protein was trapped in the cream layer along with the hydrolysis by AprX, whereas, part of the 295 FSAP seems to get released to the serum when hydrolysed by plasmin. 296

Change of FSAP Composition. The changes of FSAP composition during incubation of the 297 full-fat UHT milk samples with AprX or plasmin were studied using RP-HPLC and SDS-PAGE 298 (Fig. 6). In AprX-hydrolysed samples, α_{s1} - and β -case ins remained constant in the first 4 hours, 299 but started to increase sharply between 4 and 16 h (p<0.001), after which they declined slightly 300 (p<0.05) (Fig. 6a). Meanwhile, almost all the κ -casein was rapidly hydrolysed within the first 301 4 hours (p<0.001). Besides that, the peak area of whey proteins increased gradually upon 302 hydrolysis (p<0.001). On SDS-PAGE, the band corresponding to κ-casein diminished rapidly 303 (Fig. 6c). It is known that AprX preferably cleaves the peptide bond Phe105-Met106 of κ -casein, 304 like chymosin, generating para-k-casein which remains in casein micelles and 305 glycomacropeptide (GMP) that goes into serum (Zhang et al., 2019). But no band of *para*- κ -306 casein (located at ca. 15 kDa) was visible on the gel, possibly because AprX can further cleave 307 the para-ĸ-casein molecule during storage (Matéos et al., 2015). The numerous bands exhibited 308 after 12 h might correspond to the breakdown products of α - and β -caseins. 309

After the incubation with plasmin, α_{s1} - and β -caseins in FSAP significantly decreased (p<0.005), while κ -casein and whey proteins remained constant. Even though the decrease in α_{s} - and β caseins are not obvious to see from the gel (Fig. 6d), some new bands can be observed after incubation for 8 hours. The bands located at approx. 25 kDa, 15 kDa, and 12 kDa may correspond to the major breakdown products of β -casein by plasmin, which are f29–209 (γ 1-CN), f69–209 (γ 4-CN) and f106–209 (γ 2-CN) & f108–209 (γ 3-CN), respectively (Fig. 6d) (Petrella et al., 2015).

317 Microstructure of Fat Globules with Hydrolysed FSAP

The microstructure of the blank and full-fat UHT milk with AprX or plasmin was visualised by confocal laser scanning microscopy after incubation for 16h (Fig. 7). These samples were selected because the FSAP fraction in both milk samples seemed to not change further from this time onwards (Fig. 5, 6). Protein and lipid were stained red and green, respectively. In the blank full-fat UHT milk, both the casein micelles (Fig. 7a) and the fat globules (Fig. 7b) had uniform sizes and were homogeneously distributed. No aggregation was observed (Fig. 7a-c).

In the AprX-hydrolysed samples, on the other hand, a remarkably different state of the fat and 324 protein was observed. There, a dense gel network was observed in the red protein channel (Fig. 325 7d), while the fat globules, which were shown as green spots, remained as individual droplets 326 (Fig. 7e) that were embedded in the protein gel network (Fig. 7f). Compared to traditional dairy 327 products, the AprX-induced network structure is more compact than the open gel in full-fat 328 yoghurt where colloidal calcium phosphate is dissolved and the κ -case in brush collapses (Torres 329 330 et al., 2012). But the network is similar to chymosin-induced milk gel (Ong et al., 2011), which agrees with the results discussed in size distributions. 331

In plasmin-hydrolysed samples, we observed some red circles in the protein channel (Fig. 7g) and large green spots in lipid channel (Fig. 7h), as indicated by the white arrows. The green spots, corresponding to fat globules, were larger than those in the blank and AprX-hydrolysed samples, which was in line with the increase of the particles in the size distribution results (Fig. 3b), and indicated fat coalescence in these samples. After combining the channels, we found the coalesced fat globules were covered by a thin continuous layer of proteins (Fig. 7i). The ascending or descending of these coalesced fat globules is determined by the protein to fat ratio. In our case, the relative amount of protein associated with the fat globules decreased upon hydrolysis by plasmin (Fig. 5, 6). As a result, the average density of fat globules would drop and the density of fluid would increase. Therefore, according to Stokes' law, the coalesced fat globules would float to the surface, which is in agreement with our findings in Fig. 1b.

Cryo-SEM images were taken to investigate the morphologic changes of the fat globules in 343 UHT milk, and meant as examples to further strengthen our theory. Fat globules could be easily 344 distinguished from casein micelles because casein micelles were smaller than fat globules and 345 present as uniform, not perfectly spherical-shaped particles. The sizes of casein micelles in 346 UHT milk ranged from 50-200 nm, with an average diameter of about 100 nm (Supplementary 347 Fig. 2). Kamigaki et al., (2018) reported a similar distribution of casein micelle sizes based on 348 the observation of casein micelles in raw milk, with an average diameter of about 140 nm. the 349 350 smaller average diameter observed in our samples may be explained by the disruption of the micellar structure during homogenization (Dalgleish et al., 2004). 351

The fat globules, in both the blank and hydrolysed samples, were much rounder and bigger than 352 casein micelles, with the diameter of most fat globules ranging from 200 -500 nm, which is in 353 agreement with Lopez (2005), who suggested that the volume-weighted average diameter of fat 354 355 globules in homogenized milks is in the range 200-500 nm. In the blank full-fat UHT milk, as shown in Fig. 8a, the surface of the fat globules appears to be covered by woolly structures, 356 protruding from the bulk of the fat globule. These structures with a diameter of about 10 and 357 20 nm are assumed to be casein micelle fragments, which originate from casein micelles that 358 have been pulled apart, and have then spread on the fat globules during homogenization 359 (Dalgleish et al., 2004). These woolly structures probably contain many κ -caseins on their 360 surface, because they were absent after incubation with AprX, as shown in Fig. 8b. In addition, 361 in AprX-hydrolysed samples, fat globules appeared to be embedded in an aggregated protein 362 network (Fig. 8b). The two findings for the AprX-hydrolysed samples agree with the 363

interpretation of both the amount of cream layer and its protein content (Fig. 5a), as well as the
CLSM pictures (Fig. 7d-f). The surface conformation of fat globules in plasmin-hydrolysed
samples (Fig. 8c) was more difficult to interpret. Deduced from the FSAP hydrolysis by plasmin
(Fig. 6b), and the "protein shell" observed in the CLSM pictures (Fig. 7g-i), the structure
covering the surface of fat globules might consist of casein micelle fragments.

369 Comparison between Aprx- and Plasmin-induced Fat Destabilization

When comparing the physical and chemical changes in full-fat UHT milk samples 370 hydrolysed by AprX and plasmin, different mechanisms of fat destabilization can be proposed, 371 as schematically illustrated in Fig. 9. Our previous study has showed that the enzyme-induced 372 physical-chemical changes and hydrolysis of milk proteins are independent of protease 373 concentration or temperature (Zhang et al, 2018). Therefore, the finding from the fast 374 destabilisation model systems in this study (storage at 37 °C with the addition of high 375 concentrations of enzymes) should also apply to lower temperatures and biological levels of the 376 enzymes. 377

AprX destabilizes fat globules in full-fat UHT milk by flocculation (Fig. 9). In AprX-containing 378 samples, since AprX specifically cleaved the protruding κ -casein (Fig. 5a, c), casein micelles, 379 380 together with the case micelle fragments absorbed on the surface of fat globules, quickly destabilize. Consequently, a network is formed due to the reduced steric and electrostatic 381 repulsion. Being part of the three-dimensional gel network, even though fat globules remain as 382 individual entities, they are closely embedded in the continuous protein network (Fig. 7d-f, Fig. 383 8b). From the perspective of fat globules, more proteins, which are the micellar casein 384 aggregated with the existing FSAP were absorbed (Fig. 5). As a result, the hydrodynamic 385 diameter increased (Fig. 3a), as well as the viscosity (Fig. 4a). Furthermore, due to the 386 adsorption of additional milk proteins with the fat globules, which increases their density, fat 387 globules tended to sink under gravitational force (Fig. 1a). 388

By contrast, the mechanism responsible for the physical instability of fat globules in full-fat 389 UHT milk induced by plasmin is fat globule partial coalescence (Fig. 9). In plasmin-containing 390 samples, plasmin preferentially hydrolysed α - and β -caseins (Fig. 6b, d), probably causing 391 collapse of the FSAP which mainly consists of casein micelle fragments (Fig. 8c). As a 392 consequence, the fat globules did not longer repel each other, instead, several individual fat 393 globules merged into a larger fat droplet (Fig. 7g-i). These coalesced fat droplets lead to an 394 increase in the particle size (Fig. 3b) and viscosity (Fig. 4b). Because some of FSAPs were 395 cleaved by plasmin, less protein was present on the surface of the fat droplets (Fig. 5), and the 396 fat globules thus have a lower density and cream (Fig. 1b). It should also be noted that a creamy 397 layer on the top is commonly accompanied by a gel on the bottom when plasmin is present 398 (Kohlmann et al., 1991; Stoeckel et al., 2016; Visser, 1981). 399

400

CONCLUSIONS

401 This study elucidated the mechanisms of fat destabilization in UHT milk as a consequence of proteolytic degradation by bacterial protease AprX and native protease plasmin. Our results 402 have shown that AprX induces sedimentation of milk fat, being embedded in a protein gel 403 network, caused by the fast hydrolysis of κ -case in leading to flocculation of case in micelles and 404 fat globules. Plasmin, on the other hand, can induce creaming in full-fat UHT milk, because the 405 hydrolysis of α - and β -case ins disrupts the case in micelle fragments on the milk fat globule 406 surface, causing the fat globules to coalesce and ascend. The knowledge gained from this study 407 may be used for determining the protease responsible for the full-fat UHT milk destabilisation 408 during storage. Overall, both enzymes can bring about destabilization in full-fat UHT milk, thus 409 actions should be taken to decrease the activity of both enzymes in the production chain. 410

ACKNOWLEDGEMENTS

This research was funded by the Sino Dutch Dairy Development Center, which aims at improving dairy production, safety and quality levels throughout the entire dairy chain in China (www.sdddc.org). We acknowledge, Dr. Marcel Giesbers (Wageningen Electron Microscopy Centre) for the assistance in performing the Cryo-SEM experiments, and Dr. Norbert C.A. de Ruijter (Wageningen Light Microscopy Center) for the assistance in performing the CLSM experiments. The authors report no conflict of interest in this paper.

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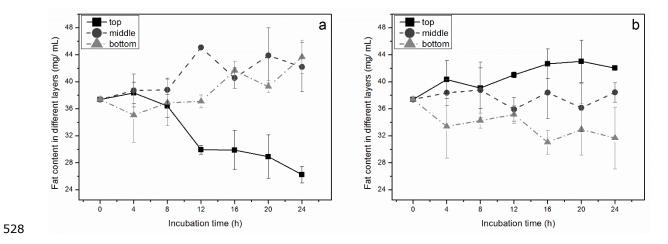
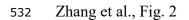


Fig. 1. Fat content in the top, middle and bottom layer of full-fat UHT milk samples incubated
with AprX (a) and plasmin (b). Data are presented as mean ± SD of triplicates.



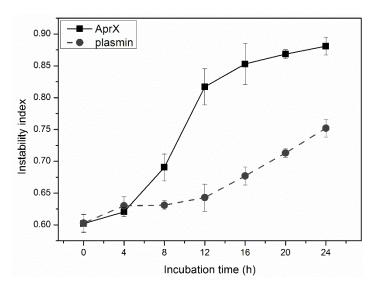
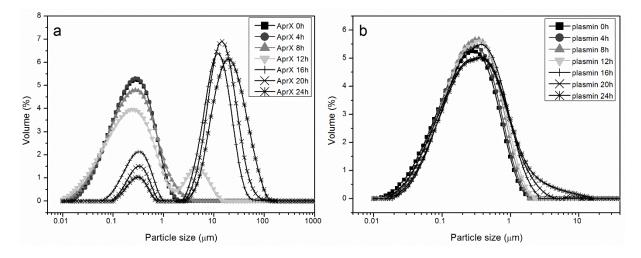


Fig. 2. Instability index obtained by LUMiFuge of full-fat UHT milk samples incubated with AprX (a) and plasmin (b). All the samples were 10-fold diluted to facilitate the optical

measurement. Data are presented as mean \pm SD of triplicates.

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540 Fig. 3. Volume based particle size distribution in blank full-fat UHT milk samples incubated

541 with AprX (a) and plasmin (b).

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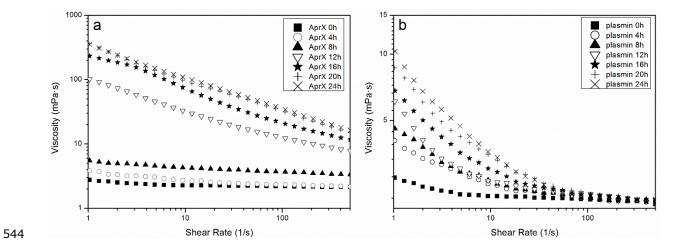
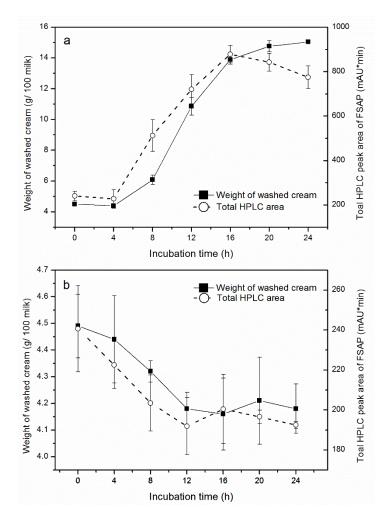
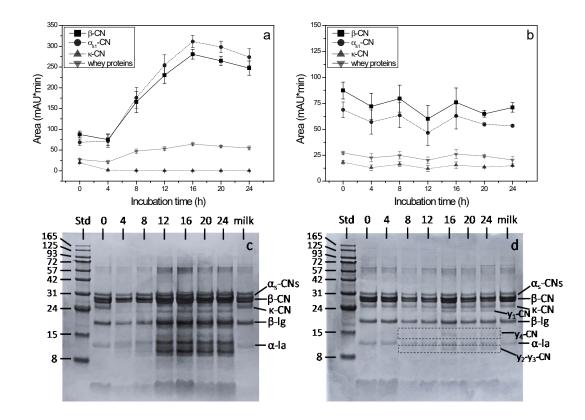


Fig. 4. Viscosity of full-fat UHT milk samples incubated with AprX (a) and plasmin (b) as a function of shear rate.



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Fig. 5. The weight of washed cream and the total HPLC peak area of fat surface-adsorbed proteins (FSAP) after integration of UV absorbance at 214 nm of full-fat milk samples incubated with AprX (a) and plasmin (b). Data are presented as mean \pm SD of triplicates.



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Fig. 6. Changes of α_{s1} -casein, β -casein, κ -casein and total whey proteins in the FSAP fraction in full-fat UHT milk samples incubated with AprX (a) and plasmin (b), expressed as the total peak area of UV absorption at 214 nm using RP-HPLC, data are presented as mean \pm SD of triplicates; SDS-PAGE patterns of full-fat UHT milk samples incubated with AprX (c) and plasmin (d). For (c) and (d): Std: molecular mass standards; milk: full-fat UHT milk without addition of protease; α_s -CNs: α_{s1} + α_{s2} casein; the numbers above the gel indicate the incubation time with protease in hours.

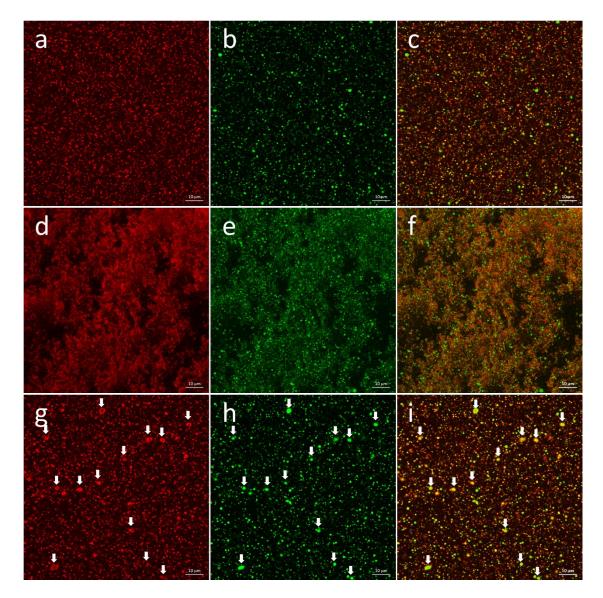


Fig. 7. Confocal micrographs showing the microstructure of blank full-fat UHT milk (a-c), fullfat UHT milk samples incubated with AprX (d-f) or plasmin (g-i) at 37 °C for 16 hours. Protein and lipid were stained with rhodamine B (color red, in Fig 7. a, d, g) and BODIPY 505/515 (color green, in Fig 7. b, e, h), respectively. Column 3 (Fig 7. c, f, i) are merged channels of lipid and protein. White arrows indicate coalesced fat globules. The scale bars are 10 μ m in length.

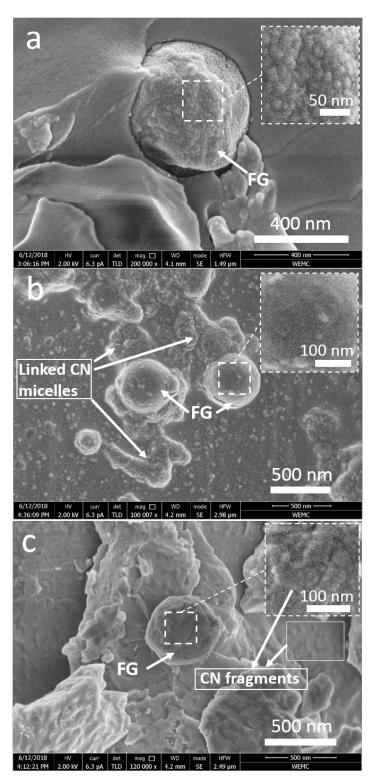
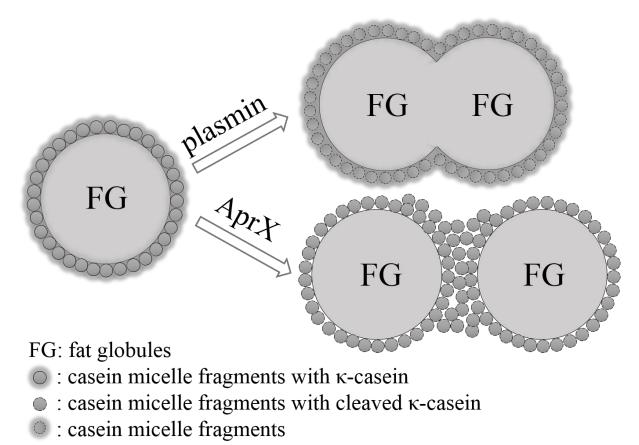




Fig. 8. Cryo scanning electron microscopy images of fat globule(s) in blank full-fat UHT milk
(a), full- fat UHT milk samples incubated with AprX (b) and plasmin (c) at 37 °C for 16 hours.
The inserts show the enlargement of the fat globule surface. The scale bars are shown in the
images. FG: fat globules. The linked casein micelles and casein micelle fragments as discussed
in the text are pointed out in (b) and (c), respectively.



- 582 Fig. 9. Simplified scheme describing the different mechanisms of fat destabilization in full-fat
- 583 UHT milk induced by AprX and plasmin.