ILSI International Life Sciences

Application of QMRA to go beyond safe harbors in thermal processes. Part 1: Introduction and Framework

FRANÇOIS BOURDICHON¹, MIEKE UYTTENDAELE², ANNEMIE GEERAERD³, MARCEL ZWIETERING⁴, JEANNE-MARIE MEMBRÉ⁵

¹ DANONE RESEARCH – CENTRE DANIEL CARASSO, FOOD SAFETY CENTRE, FRANCE ² LABORATORY OF FOOD MICROBIOLOGY AND FOOD PRESERVATION, GHENT UNIVERSITY, BELGIUM

 ³ FLEMISH CLUSTER PREDICTIVE MICROBIOLOGY IN FOODS & DIVISION OF MECHATRONICS, BIOSTATISTICS AND SENSORS (MEBIOS/BIOSYST), KATHOLIEKE UNIVERSITEIT LEUVEN
 ⁴ WAGENINGEN UNIVERSITY AND RESEARCH CENTRE, LABORATORY OF FOOD MICROBIOLOGY, THE NETHERLANDS

⁵ UNILEVER, SAFETY & ENVIRONMENTAL ASSURANCE CENTRE, UK











History of heat treatment in the food chain



 Changes induced on food, composition, microbiology, chemistry and physics of tissues

× Better digestible
× Less Toxic
× More durable (Spoilage, Safety)

Discovery of fire





- Mostly anthropomorphic modifications
 - o (Middle Pleistocene)
- Better digestibility of food
- Not really a rationale conservation process
- (up to 18th century : salting)

1795 : Napoleon Bonaparte



- An army "travels on its stomach"
- Prize of 12 000 francs to anyone who could invent a method of preserving food.
- Military prowess and colonial expansion required a method of keeping food unspoiled over distance and time



1810 : Nicolas APPERT

Conservation of food in glass bottles

L'ART DE CONSERVER,

PENDANT PLUSIEURS ANNÉES,

TOUTES LES SUBSTANCES ANIMALES ET VÉGÉTALES;

OUVRAGE soumis au Bureau consultatif des Arts et Manufactures, revêtu de son approbation, et publié sur l'invitation de S. Exc. le Ministre de l'Intérieur.

PAR APPERT,

Propriétaire à Massy, département de Seine et Oise, ancien Confiseur et Distillateur, Élève de la bouche de la Maison ducale de Christian IV.

> « J'ai pensé que votre découverte niéritait » un témoignage particulier de la bienveillance » du Gouvernement. » Lettre de S. Exe, le Ministre de l'Intérieur,

A PARIS,

CHEZ PATRIS ET C¹⁶, IMPAINEURS - LIBRAIRES, QUAL NAFOLÉON, AU COIN DE LA RUE DE LA COLOMBE, N° 4. X8 1 0. LELIVRE

DE TOUS LES MÉNAGES,

O U

L'ART DE CONSERVER,

PENDANT PLUSIEURS ANNÉES, TOUTES LES SUBSTRIGES ANIMALES ET VÉGÉTALES.

Prix 3 fr., et par la poste 3 fr. 50 c.



Peter Durand and the tin can



- Frenchman Pierre Durand (also known by his English name, Peter Durand) was granted a patent from King George III for the idea of preserving food.
- Durand intended to surpass Appert and fashions containers out of tinplate.
 - Made of iron coated with tin to prevent rusting and corrosion
 - Tinplate could be sealed and made airtight and was not breakable like glass
- Scientific assumption at that time : preservation in absence of oxygen

1864 : Pasteur and the germ theory





- Microorganisms are responsible for the spoilage of food
- Patent of heat treatment of wine vs. degradation by *Acetobacter*
 - 1855 : Heat treatment of 55°C
 - First experiments by Vergnette de la Motte



Theoretical developments mainly driven by empirism



- Identification of spoilage and pathogenic bacteria
- From empirical to specific treatment
- Definition of sterilizing value (F Value)
- Thermal destruction
 - 90% destruction : the D value
 - 90% reduction of D value by temperature shift : the z value

Clostridium botulinum & low acid products



• 1897 : Prescott & Underwood

- Scientific rationale of adulteration of canned food: bacterial spores, amongst them *Clostridium botulinum* (*Bacillus botulinus*)
- Esty & Meyer (1922), Townsend (1938), Stumbo (1965)
 - F_0 botulinum cook (12 Log reduction at 121,1°C = 3 minutes)
 - 12D cook : Probability of survival of 1 cell in 1 can out of 10¹²





Thermal reduction by high heat treatment



- F value (Ball, 1927), concept of destruction (minutes) of a microorganism at 121,1°C (250°F)
 - C. botulinum
 - × D = 0,21min @ 121,1°C
 - × 12D = 2,52 min

× 100 spores / container >> 2,94min

F0 value = 3. 10^{-12} spores/ can

- Approach in USA (FDA)
 - × 10 000 spores / container
 - × 12D process

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10<sup>-8</sup> spores/ can
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Two different type of heat treatment



Low heat processing

- Pasteurization and other mild heat treatment (e.g. Thermization)
 - **×** Target : vegetative mesophilic cells
 - × Ineffective against thermophilic cells and thermoresistant spores

High heat processing

- Sterilization : complete destruction of microorganisms
 - Commercial sterilization : microbiologically shelf stable product with low number of dormant bacterial spores (not absence of living organisms)
- o Ultra High Temperature
 - Combination of thermal processing and aseptic packaging



Heating of Milk



- First applied in Denmark, especially to improve flavor and quality of butter
- Used to prevent, or at least delay souring, and avoid market loss
- Improper handling of milk, and first food handler contaminations lead to extensive use of heating
- Tuberculosis of bovine origin

 $140^{\circ}F / 60^{\circ}C - 20min$, or $158^{\circ}F / 70^{\circ}C - 1min$ (New York Board of Health 3 min)

Proposed Time Temperature chart



• 1912 – Kilbourne : Bovine Tuberculosis

158°F	70°C	3 min
155°F	68,3°C	5 min
152°F	66,7°C	10 min
148°F	64,4°C	15 min
145°F	62,8°C	18 min
140°F	60°C	20 min

• 1957 - Enright : *Coxiella burnetii*

161°F	71,7°C	15 s
145°F	62,8°C	30 min

Adaptation of heat treatment in dairy?

Heating the milk leads to thermo coagulation of serum proteins

Economical loss

- 72°C / 15s applied 50 years ago to reach 5D reduction of *C. burnetii* still relevant ? (Cerf & Condron 2006)
- 1983 : *L. monocytogenes* identified as food borne pathogen Pasteurization 8D reduction (Mossel & Struijk, 1991) Environmental contamination mostly Not only initial contamination of raw material
- Proposed alternative : single thermisation (Benard, 1981) 68°C / 15s





- United States Department of Agriculture USDA : Salmonella reduction of 7D in ready to eat (RTE) poultry products and 6,5D in RTE beef products
- United Kingdom Advisory Committee on the Microbial Safety of Food UK ACMSF : 70°C / 2min gives 6D reductions of *E. coli* 0157H7, *Salmonella spp.* and *L. monocytogenes*
- *E. coli* as sole target organism : **70°C / 1,3 min** if 95% confidence of achieving 6D reduction deemed acceptable
- Assumption of initial bacterial load to be updated with improvement of global hygiene in food processing industries



REfrigerated Processed Food with extended durability (REFPEDs)



 ACMSF (1992) : 6D process of non proteolytic *C. botulinum*

• Updated report (2008) :

 guidelines with same level of protection with lower heat treatment and taking into account other suboptimal conditions to establish growth inhibition (Peck, 2006)

Safe Harbors



- Heat treatment in the food industry was not a health public issue to begin with
 - × Longer shelf life
 - × Technological standardization of versatile food products
- The rational of actual heat treatment came a posteriori after they were scientifically characterized and assessed

Balance Safety / Technology



• Risk / Benefit analysis

- Heat Treatment helps reaching the food safety objective (FSO) driven by the acceptable level of protection (ALOP)
- From an industrial point of view, it seems more adequate to consider the principle of as low as reasonable acceptable level of protection (ALARA)



Hurdle concept Safety along the food process





- Objective at the end : fair practices in the food trade and protection of consumer's health
- Heat Treatment necessary but not sufficient
- Hazard analysis critical control point of one food process helps determine the place of the heat treatment for optimization of reduction of risk



Thank You for your attention

ILSI Report "RISK ASSESSMENT APPROACHES TO SETTING THERMAL PROCESSES IN FOOD MANUFACTURE" to be published in 2010

