



## Plant Immunity: Thinking Outside and Inside the Box

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1 **Plant immunity: thinking outside and inside the box**

2

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7

8 Keywords

9 Plant immunity, extracellular immunogenic pattern, intracellular immunogenic pattern,  
10 danger signal, pattern-triggered immunity, effector-triggered immunity, spatial  
11 immunity model

12

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14

15

## 16 **Abstract**

17 Extensive models describe the co-evolution between plants and microbial attackers.  
18 Such models distinguish between different classes of plant immune responses, based  
19 on the type of danger signal that is recognized or on the strength of the defence  
20 response that the danger signal provokes. However, recent molecular and biochemical  
21 advances have shown that these dichotomies are blurry.

22 With molecular proof in hand, we here propose to abandon the current  
23 classification of plant immune responses, and to define the different forms of plant  
24 immunity solely based on the site of microbe recognition; either extracellular or  
25 intracellular. Using this spatial partition, our 'spatial immunity model' facilitates a  
26 broadly including, but clearly distinguishing, nomenclature to describe immune  
27 signalling in plant-microbe interactions.

28

## 29 **Models to describe plant-microbe interactions**

30 Plants are able to sense attacking micro-organisms using a broad repertoire of  
31 receptors present at the cell surface, as well as inside the cell. At the plasma  
32 membrane (PM), cell surface receptors that are either receptor-like kinases (RLKs) or  
33 receptor-like proteins (RLPs), sense extracellular danger signals [1], to activate  
34 defence responses [2]. Extracellular danger signals comprise pathogen- or microbe-  
35 associated molecular patterns (PAMPs or MAMPs) [3], microbial effectors, and  
36 patterns originating from the host, namely damage-associated molecular patterns  
37 (DAMPs) and phytochemicals [4]. Recognition of intracellular danger signals, which can  
38 be of a similar nature as the extracellular danger signals described above, and  
39 subsequent defence activation, are facilitated by cytoplasmic receptors, mostly  
40 nucleotide-binding leucine-rich repeat receptors (NLRs) [5, 6].

41 In the past 15 years, several models have been introduced to provide a  
42 conceptual framework describing plant-microbe interactions. Here we discuss some of  
43 the models that have been proposed to explain the molecular background of the  
44 constant evolutionary battle that is taking place between plants and pathogens. Many  
45 reports describe the outcome of plant-microbe interactions as a result of the recognition  
46 of two types of danger signals that become exposed during pathogen attack, namely  
47 structural patterns and effectors, by pattern recognition receptors (PRRs) and  
48 resistance (R) proteins, respectively. With new knowledge currently arising, it appears

49 that this dichotomy of danger signals is in fact blurry [7], as well as the nature of their  
50 receptors, and therefore these criteria cannot form a basis for a distinction between  
51 different signalling pathways leading to different types of plant immunity. As recognition  
52 of danger signals takes place either extracellularly via cell surface receptors present at  
53 the PM, or intracellularly via cytoplasmic receptors, we argue that if one aims to classify  
54 the immune responses triggered in plants, this should be based on the location of  
55 recognition.

56

57

### 58 **The spatial partition: extracellular and intracellular immunogenic patterns (ExIPs** 59 **and InIPs)**

60

61 In the history of plant breeding and pathology, scientists have used various ways of  
62 describing resistance in plant-microbe interactions (Box 1). With novel molecular and  
63 biochemical tools becoming available, the processes determining host susceptibility  
64 and resistance in plant-microbe interactions have been more and more unravelled.  
65 Based on this knowledge, several models have been built to aid in describing the  
66 events driving the outcome of such interactions (Box 2). Among these models, the  
67 'zigzag model' is still most commonly used, and it is continuously being refined [3].

68         Based on increased molecular insight, the distinction made in the zigzag model  
69 between patterns (PAMPs, MAMPs, or DAMPs) and effectors, has become blurry [7].  
70 A few years after the introduction of the zigzag model, the term 'danger signal' was  
71 introduced to provide a broad term to describe exogenous immunogenic patterns  
72 derived from 'non-self' and endogenous ones originating from the host 'self', with the  
73 aim to link the fields of plant and mammalian immune signalling [1, 4]. Later, to  
74 accommodate all possible patterns and effectors, the broadly including term 'invasion  
75 pattern (IP)' was proposed for these host-recognised compounds in the so-called  
76 'invasion model' [8]. This very general model states that recognition of IPs by IP  
77 receptors (IPRs) leads to IP-triggered responses (IPTRs). The broad term IP even  
78 includes manipulated plant virulence targets (VTs), double-stranded (ds)RNA from  
79 viruses, and molecular signals from arbuscular mycorrhizal fungi (myc-factors) and  
80 nitrogen-fixing rhizobia (Nod-factors) that initiate symbiosis [8, 9]. IPTR may eventually  
81 lead to successful defence (the end of symbiosis) or to a continued symbiosis with the

82 invading microbe, which can be either beneficial for both plant and microbe or only for  
83 the microbe (disease). Therefore, this model includes both beneficial and pathogenic  
84 plant-microbe interactions. Successful suppression of IPTR by IPs that function as  
85 effectors, allows continued symbiosis for biotrophic pathogens, and may cause  
86 additional IPs to be recognised by newly evolved IPRs. By contrast, necrotrophic  
87 pathogens exploit IPTRs, especially when host cell death is involved, and thereby are  
88 able to continue their symbiosis with the plant [8]. Although we support the broad  
89 concept of this model, invasion is not strictly necessary for recognition by the host, as  
90 mechanical wounding for instance can already lead to production and recognition of  
91 DAMPs. Therefore, we propose to move away from the invasion model and base  
92 ourselves on the danger model, which is widely accepted amongst biologists studying  
93 immunity in plants and metazoans.

94 The commonly used zigzag model (Box 2) provides an appropriate conceptual  
95 framework to describe the molecular arms-race between plants and pathogens, but  
96 distinctions made are too narrow. By contrast, the term danger signal is very broad, as  
97 any molecule that can potentially be recognized by the plant qualifies as such, and  
98 therefore this term does not allow to make any distinction between different types of  
99 plant immune responses.

100 To address both above shortcomings, we propose as a simple addition to the  
101 danger model, to include the location where the danger signal is recognized. This can  
102 be either extracellularly, therefore introducing the term extracellular immunogenic  
103 pattern (ExIP), or intracellularly, and therefore introducing the term intracellular  
104 immunogenic pattern (InIP) (Figure 1). Introducing this spatial bipartition, allows to  
105 facilitate a better differentiation of the immune signalling events taking place in plants,  
106 based on the location of immunogenic pattern recognition. In this 'spatial immunity  
107 model', recognition of ExIPs by cell surface receptors leads to extracellularly-triggered  
108 responses (ExTRs), which can result in extended symbiosis with the invading microbe  
109 or successful plant defence (the end of symbiosis), leading to extracellularly-triggered  
110 immunity (ExTI). Recognition of InIPs by cytoplasmic receptors, mainly NLRs, leads to  
111 intracellularly-triggered responses (InTR) and subsequent intracellularly-triggered  
112 immunity (InTI).

113

114

115 **Extracellularly-triggered immunity (ExTI) provoked by various ExIPs depends on**  
116 **common mechanisms**

117 Recognition of InIPs involves cytoplasmic receptors, which are mainly NLRs [10],  
118 whereas recognition of ExIPs involves cell surface receptors [2, 11, 12]. The  
119 ectodomain of cell surface receptors can carry different motifs, which determine the  
120 recognition specificity of the receptor. Different ectodomains facilitate the recognition  
121 of various types of ExIPs [2, 11, 12]. Cell surface receptors with an LRR-based  
122 ectodomain mediate the recognition of various extracellular hormones, proteins, and  
123 peptides [2, 11, 13, 14], and can be divided into receptors with and without an intrinsic  
124 kinase domain, referred to as RLKs and RLPs, respectively. In the following section,  
125 we mainly focus on LRR-type RLKs and RLPs, further referred to as RLKs and RLPs.  
126 Being devoid of a kinase domain, RLPs constitutively interact with the RLK  
127 SUPPRESSOR OF BIR1-1/EVERSHED (SOBIR1/EVR, further referred to as  
128 SOBIR1), to form bimolecular RLKs [15, 16]. Interestingly, the identification of several  
129 common downstream defence signalling components and mechanisms, i.e. RLKs from  
130 the SERK family and RLCKs playing a role downstream of cell surface receptors (see  
131 glossary), indicates that the signalling output of all cell surface receptors upon ExIP  
132 recognition can be classified into one category, namely ExTI.

133

134

135 *Co-receptor recruitment by cell surface receptors is a common theme in extracellularly-*  
136 *triggered immunity (ExTI)*

137 A common step after ExIP recognition by cell surface receptors is the formation of  
138 higher order complexes via recruitment of co-receptors. RLKs recruit the co-receptor  
139 BAK1, or other members of the SERK family, upon ExIP recognition [12, 17-20]. For  
140 example, for the well-studied RLK FLAGELLIN-SENSING 2 (FLS2), BAK1 recruitment  
141 was shown upon treatment with the bacterial flagellin-derived immunogenic peptide  
142 flg22 [17, 18]. Overall, BAK1 recruitment leads to transphosphorylation between the  
143 kinase domains of the ExIP-activated RLK and BAK1 that have now formed a stable  
144 complex with their two cytoplasmic kinase domains in close vicinity, and subsequent  
145 initiation of downstream signalling [21-30]. Interestingly, dependency on BAK1 has  
146 been shown for a plethora of RLKs in several plant species (Table 1).

147 Strikingly, also RLP/SOBIR1 bimolecular receptor complexes have recently  
148 been shown to recruit BAK1 and other SERK family members upon ExIP perception  
149 by the RLP that is associated with SOBIR1, suggesting that RLP/SOBIR1 complexes  
150 function as true bimolecular RLKs [31-34]. Additionally, many other RLPs have been  
151 described to depend on BAK1 for their function, although actual BAK1 recruitment has  
152 not yet been demonstrated for all of them (Table 1).

153 Likewise, cell surface receptors with ectodomains other than LRRs, also form  
154 higher order complexes as a result of co-receptor recruitment, suggesting a common  
155 mechanism of defence activation [2, 11]. For instance, the lysin motif (LysM)-  
156 containing CHITIN ELICITOR RECEPTOR KINASE 1 (CERK1) functions as a co-  
157 receptor for several cell surface receptors with LysM ectodomains upon perception of  
158 ExIPs like fungal chitin and bacterial peptidoglycan (PGN) [2]. However, in this review  
159 we restrict our focus to LRR-type cell surface receptors.

160 Remarkably, some RLPs historically classify as typical PRRs (triggering PTI),  
161 whereas others are referred to as resistance (R) proteins (triggering ETI) (Table 1). For  
162 example Cf-4, which is the tomato (*Solanum lycopersicum*) RLP that recognizes the  
163 apoplastic effector Avr4 of the extracellular fungal pathogen *Cladosporium fulvum*,  
164 triggers a strong defence response upon Avr4 recognition, including a hypersensitive  
165 response (HR) (Figure 2, right panel) [35, 36]. The R gene Cf-4 and its matching *C.*  
166 *fulvum* effector gene Avr4 form a classic example of a gene-for-gene couple [37]. By  
167 contrast, RLP23 from arabidopsis (*Arabidopsis thaliana*) triggers only a moderate  
168 defence response, including callose deposition and a swift burst of reactive oxygen  
169 species (ROS), but no HR (Figure 2, middle panel) [3, 31, 38]. RLP23 recognizes an  
170 epitope of NECROSIS and ETHYLENE-INDUCING PROTEIN 1 (NEP1)-LIKE  
171 PROTEINS (NLPs) [31]. NLPs are wide-spread, occurring in bacteria, fungi, and  
172 oomycetes, which classifies them as typical conserved microbial molecular patterns  
173 i.e. MAMPs [38]. Yet, both the activation of the Cf-4/SOBIR1 complex and the  
174 RLP23/SOBIR1 complex upon ExIP perception requires the recruitment of BAK1 [31,  
175 32]. Consequently it can be assumed that, upon ExIP recognition and subsequent  
176 BAK1 recruitment, transphosphorylation events between the kinase domains of  
177 SOBIR1 and BAK1 occur in both complexes to activate downstream cytoplasmic  
178 signalling [39]. Such an event is reminiscent of the transphosphorylation events that  
179 take place between the kinase domains of FLS2 and BAK1 upon flg22 recognition [22,

180 27]. So, BAK1 recruitment is probably a general activation step for all RLK- and  
181 RLP/SOBIR1-containing complexes, regardless of the type of ExIP that is recognised  
182 and whether either strong or moderate ExTI is the outcome (Table 1) [16, 33, 34, 40].

183 Interestingly, while ExIP-recognizing RLKs provide their own kinase domain to  
184 facilitate transphosphorylation with BAK1, ExIP-recognizing RLPs in all cases provide  
185 the same SOBIR1 kinase domain. This suggests that the cytoplasmic signalling events  
186 that are induced by different RLP/SOBIR1 complexes in principle are identical, as in  
187 all cases the same SOBIR1/BAK1 kinase domain combination will transphosphorylate  
188 and initiate downstream signalling. The observed differences in overall immune  
189 signalling output, ranging from a strong defence response associated with an HR,  
190 versus a moderate response, might therefore be a consequence of several other  
191 variables, instead of fundamental differences between defence signalling pathways,  
192 as will be discussed below.

193 The striking finding that BAK1 recruitment is required for defence signalling by  
194 all LRR-type cell surface receptors tested thus far, prompts us to argue that the  
195 recognition of any ExIP by any cell surface receptor leads to the activation of similar  
196 immune signalling events. This is in contrast with the previous classification of these  
197 receptors into PRRs and R proteins, and their output according to the zigzag model  
198 into PTI and ETI, respectively.

199

200

### 201 *Other common themes in extracellularly-triggered immunity*

202 When we look further, recruitment of co-receptors like BAK1 is not the only common  
203 phenomenon occurring downstream of activated cell surface receptors. In this respect,  
204 receptor-like cytoplasmic kinases (RLCKs) represent an immediate downstream  
205 signalling component of the cell surface receptors reaching into the cytoplasm [41-43].  
206 RLCKs have been shown to be involved in several signalling pathways downstream of  
207 RLKs, including links to ROS production, to the mitogen-activated protein kinase  
208 (MAPK) cascade [44, 45], and even to transcriptional reprogramming in the nucleus  
209 [46-49]. Recent findings also show roles of RLCKs downstream of RLP/SOBIR1  
210 complexes [50]. Unexpectedly, BIK1, an RLCK from arabidopsis that plays a positive  
211 regulatory role in the defence response initiated by FLS2 and several other RLKs [28,  
212 51], seems to negatively regulate RLP23-mediated responses as the ROS burst

213 triggered by the nlp20 epitope of NLPs is enhanced in a *bik1* knock-out of arabidopsis  
214 [50]. Further research is necessary to clarify whether BIK1 is a true negative regulator  
215 of defence responses initiated by RLPs in general, or that BIK1 plays varying roles  
216 downstream of different RLPs.

217 The production of ROS is a swift general output of activated cell surface  
218 receptors [46, 47, 52, 53], as are the typical Ca<sup>2+</sup> spiking, the activation of MAPK  
219 cascades, and the activation of Ca<sup>2+</sup>-dependent protein kinases (CDPKs) [50, 54-58].  
220 These are all output responses that are common to cell surface receptors when  
221 activated, independent of the types of ectodomains that they contain, the co-receptors  
222 that they recruit, and the defence signalling ultimately leading to an HR or not [54-57,  
223 59, 60]. Furthermore, activation of cell surface receptors in all cases leads to a  
224 substantial, overlapping, transcriptional reprogramming with the aim to support a solid  
225 defence response, including the production of phytohormones and defence-related  
226 proteins, for example through the activation of WRKY transcription factors [61-63].

227 All these commonalities support our proposed spatial immunity model, which  
228 differentiates plant immune responses based on the location where recognition of the  
229 immunogenic pattern, and thereby the attacking microbe, takes place (Figure 1).

230

231

### 232 *ExTI and InTI are widely applicable terms*

233 By introducing the spatial immunity model, we propose to move away from using the  
234 zigzag model to differentiate between PTI and ETI that is provoked by extracellular  
235 patterns and effectors, respectively [3]. The spatial partition will provide the framework  
236 to clearly describe recognition events in plant-microbe interactions. The distinction  
237 between extracellular and intracellular immunogenic patterns will remain true, as it is  
238 a division based on the biology of the interaction and not on an interpretation by  
239 scientists.

240 Although not discussed in detail here, cell surface receptors that do not depend  
241 on BAK1 recruitment for their functionality obviously also fit the spatial immunity model  
242 (Figure 1). Likewise, the different versions of recognition through guards and decoys  
243 are also not elaborated on here [64]. However, these different mechanisms of  
244 recognition are also included in the model, as they can all be regarded as different  
245 ways to recognize either ExIPs or InIPs (Figure 1). As part of the evolutionary arms-

246 race between plants and pathogens, the suppression of immune responses by  
247 effectors [65] is integrated into the spatial immunity model (Figure 1). ExIPs can  
248 suppress ExTR by defensive and offensive mechanisms in the apoplast [66], and the  
249 same holds for InIPs that exert their virulence function in the cytoplasm to suppress  
250 ExTR or InTR [67].

251

252

### 253 **Possible causes of the existence of moderate and strong ExTI**

254 Although all ExTI-related signalling is initiated by cell surface receptors and includes  
255 the recruitment of co-receptors like BAK1, the defence responses that are triggered  
256 have different intensities and characteristics (Figure 2). There are strong responses  
257 that follow the classic ETI principle, like Cf-4-triggered HR, in contrast to moderate  
258 responses that follow the PTI principle, like FLS2- and RLP23-induced defence  
259 responses [3, 36, 38, 68]. However, not only between cell surface receptors classically  
260 referred to as PRRs and R proteins there are differences concerning their output, but  
261 also among PRRs themselves there are significant variations in intensity and timing of  
262 the generated defence outputs [50]. A recent comparative study for example showed  
263 that the ROS burst that is triggered upon treatment with similar amounts of flg22, nlp20,  
264 or chitin differs in magnitude and timing [50]. These differences in intensities of the  
265 immune response might be explained by subtle differences that occur at one or more  
266 levels of the defence pathway employed by ExTI.

267

268

### 269 *The effect of stability and affinity on the strength of the defence responses*

270 The chemical nature of different immunogenic patterns is likely to influence the rate of  
271 diffusion into plant tissues and across the cell wall. For example, ExIPs present in the  
272 apoplast will not all be equally stable. Differences in the speed of ExIP diffusion and  
273 their stability will at least partially determine how many molecules of the compound are  
274 eventually being perceived by cell surface receptors, and thereby how fast and strong  
275 ExTI will be triggered. For instance, instable variants of Avr4, in most cases lacking  
276 one di-sulphide bond, have been shown to allow *C. fulvum* to evade Cf-4-mediated  
277 recognition and resistance, whereas these natural mutants retained their virulence  
278 function on tomato [69, 70]. Additionally, differences in the direct affinity of specific cell

279 surface receptors for particular ExIPs will be a factor also determining the differences  
280 in the intensity of signalling output [12, 71]. Furthermore, BAK1 has been described to  
281 specifically recognise the part of the flg22 peptide that is bound to the LRRs of FLS2  
282 [72, 73]. This indicates that for different ligands, either directly or indirectly bound to  
283 their matching receptors, the probably varying affinity of BAK1 for these receptor-  
284 bound ExIPs contributes to the differences in signalling output.

285 Not only the stability of the ExIP, but also the stability and availability of the  
286 matching cell surface receptor will influence the intensity of ExTI. Cell surface receptor  
287 synthesis, recycling, and degradation have been shown to play an important role in  
288 regulating defence signalling [74-78]. Also the pace by which these processes take  
289 place will differ from one receptor to another [32, 79-82].

290

291

292 *Do the short cytoplasmic tails of RLPs affect the intensity of ExTI?*

293 As mentioned earlier, all RLPs that have been experimentally tested so far  
294 constitutively interact with SOBIR1, and BAK1 recruitment seems to be a common  
295 mechanism to initiate ExTI-related signalling (Table 1). Interestingly, for different  
296 primary ExIP-recognizing RLKs, BAK1 recruitment in each case provides a kinase  
297 domain forming a different couple of cytoplasmic kinases to trigger ExTI. By contrast,  
298 in the case of cell surface complexes consisting of RLPs interacting with SOBIR1,  
299 recruitment of BAK1 to the complex upon danger signal recognition by the RLP  
300 involved, in all cases leads to the formation of the same couple of cytoplasmic kinase  
301 domains; the one of SOBIR1 and the one of BAK1 (or another SERK member). So, in  
302 addition to the factors mentioned above, what could cause the observed differences in  
303 intensity of ExTI triggered by different RLPs?

304 One obvious difference between activated RLP/SOBIR1/BAK1-containing  
305 immune complexes is the short cytoplasmic tail of the particular RLP that is involved  
306 in the complex. These tails usually cover less than 30 amino acids, and apart from the  
307 presence of a conserved Trp and Phe residue, these tails do not seem to have an  
308 obvious common motif [16]. An ER-retention signal, consisting of the dilysine motif  
309 KKRY, is present at the cytoplasmic C-terminal end of both the Cf-4 and the Cf-9  
310 protein [83]. However, this KKRY motif proved not to be essential for Cf-9 function, and  
311 it was suggested that this motif might be masked by Cf-9-interacting proteins, thereby

312 allowing the Cf protein to reach the cell surface [83]. Interestingly, one of these  
313 interactors could be SOBIR1, and in this way, only Cf-4/Cf-9 proteins constitutively  
314 interacting with SOBIR1, and thereby being functional, will not be retained in the ER  
315 and will properly localize at the PM [83]. Swapping of the cytoplasmic tails of RLPs  
316 either signalling for moderate ExTI or strong HR-associated ExTI, so for example  
317 between RLP23 and Cf-4, might provide results pointing to a role of these short  
318 cytoplasmic tails in determining the strength of the defence signalling output.

319

320

321 *The influence of different SOBIR1 and BAK1/SERK proteins present in cell surface*  
322 *receptor complexes on ExTI intensity*

323 *SOBIR1* is only present as a single copy gene in arabidopsis. However, in Solanaceous  
324 plants, there is an additional homologue present, referred to as *SOBIR1-like*, which  
325 seems to have a redundant function next to *SOBIR1* itself [15]. *BAK1* on the other  
326 hand, which is also referred to as *SERK3*, is a member of the *SERK* family consisting  
327 of five homologues in arabidopsis. Also in Solanaceous plants and in for example rice,  
328 several *SERK* homologues have been annotated [40]. Possibly, a differential  
329 preference of various cell surface receptors for (combinations of) certain *SERK*  
330 proteins is a denominator to signal for either moderate or strong ExTI [84, 85]. In Cf-4-  
331 mediated signalling for example, BAK1/SERK3, as well as SERK1, have been shown  
332 to be involved in the activated complex [32, 86]. In RLP23-triggered signalling even  
333 four *SERKs*, namely SERK1, SERK2, BAK1/SERK3, and BKK1/SERK4, have been  
334 shown to play a role [31]. Likewise, the RLK ELONGATION FACTOR-TU RECEPTOR  
335 (EFR) functions together with BAK1 and other *SERKs* as co-receptors, while FLS2  
336 makes preferential use of BAK1 [87]. However, the precise roles and preferences for  
337 the different *SERKs* of various RLK- and RLP-containing complexes, and their possible  
338 effect on the strength of the signalling output, needs to be further elucidated. This is  
339 challenging, as their redundancy makes it difficult to study the individual functions of  
340 the *SERK* family members in the activated cell surface complexes.

341 Additionally, not only the presence of different homologues of the *SERKs*, but  
342 also the presence of different amounts of SOBIR1 proteins, in addition to the various  
343 *SERKs* in the activated complexes might play a role. For instance, on western blots  
344 the co-immunopurifying band of SOBIR1 upon immunoprecipitation of Cf-4 is much

345 more intense than the band of SOBIR1 co-purifying with the RLP Ve1, providing  
346 resistance to *Verticillium dahliae* [15]. Furthermore, SOBIR1 has been shown to form  
347 homodimers [39]. These results lead to argue that multiple SOBIR1 (and/or SOBIR1-  
348 like) proteins might form a complex with a single Cf-4 protein in tomato. Possibly,  
349 different amounts of SOBIR1(/SOBIR1-like) and SERKs associating with an RLP direct  
350 the intensity of the defence responses that are triggered.

351

352

### 353 *Regulating the activity of cell surface receptors*

354 Several mechanisms have been shown to regulate the availability and activity of cell  
355 surface receptors. For instance pseudo-kinases, like BAK1-INTERACTING RLK 2  
356 (BIR2), have been shown to negatively regulate the availability of BAK1 for its  
357 recruitment to activated cell surface receptors [88]. Different homologues of the BIR  
358 family, which contains four members in arabidopsis, might differentially regulate the  
359 availability of different pools of BAK1 and additional SERKs, which are present in  
360 various nanodomains [89, 90]. This highly complex regulation, taking place at multiple  
361 levels, could in its turn also contribute to the variety in the intensities of ExTI mediated  
362 by different cell surface receptors.

363 Differential phosphorylation of the kinase domains of cell surface receptors and  
364 their co-receptors is yet another mechanism to accomplish differential ExTI. For  
365 example, recently BAK1 was found to be differentially phosphorylated upon signalling  
366 for either immunity or development [91]. Differential phosphorylation of the cytoplasmic  
367 kinase domains of cell surface complexes upon recognition of various ExIPs possibly  
368 affects ExTI intensity. Although, in contrast to ExTI triggered by RLKs, RLP-triggered  
369 responses are always mediated by the kinase domains of SOBIR1 and BAK1, possibly  
370 minor differences in the overall structure of the activated complex, caused by small  
371 structural variations among the RLPs that are involved, might cause differences in the  
372 transphosphorylation events that take place.

373 One step further downstream, cytoplasmic RLCKs form a signalling hub that  
374 converges signals from cell surface receptors to signalling partners further downstream  
375 [20, 42, 46, 47, 92]. Possible differential phosphorylation of the same RLCK playing a  
376 role downstream of various cell surface receptors, adds to explaining the varying levels  
377 of ExTI that are generated. The RLCK family is extremely large, highly diverse, and

378 redundant, and plays very diverse roles in defence as well as in development [42, 92].  
379 Therefore, downstream of different cell surface receptors, different RLCKs, their  
380 differential phosphorylation, and their intricate homeostasis, might contribute to further  
381 differentiation in the actual shape of the immune responses that are triggered [93].

382 Not only the amounts of the available cell surface receptors to be activated are  
383 regulated, but also the activity of these receptors themselves is strictly controlled [20].  
384 For example, the phosphorylation status of the kinase domain of cell surface receptors,  
385 their co-receptors, and downstream components, like RLCKs, CDPKs, and MAPKs, is  
386 kept in check by various phosphatases [20]. For example, BAK1 and BIK1 are kept  
387 inactive in the resting state by PROTEIN PHOSPHATASE 2A (PP2A) and PP2C38,  
388 respectively [94, 95]. Also for the rice RLK Xa21, which confers resistance to the  
389 bacterial pathogen *Xanthomonas oryzae* pv *oryzae* secreting the matching effector  
390 Ax21, a control mechanism consisting of de-phosphorylation by a PP2C member has  
391 been shown [96]. The affinity of different phosphatases for distinct immuno-complexes,  
392 in combination with their efficiency to de-phosphorylate the various associated  
393 signalling partners, might also play a role in regulating the intensity of ExTI mediated  
394 by different cell surface receptors. If not kept in check, this could even lead to  
395 constitutive immunity, as was proposed for the HR observed when arabidopsis  
396 SOBIR1 is transferred to tobacco or *N. benthamiana* [97, 98].

397

398

### 399 **Concluding remarks**

400 The publication of the zigzag model in 2006 was a revolution in the field of molecular  
401 phytopathology, merging the field of responses triggered by 'general elicitors' and host  
402 resistance that is evoked upon recognition of avirulence proteins (effectors) [3]. Recent  
403 advances in molecular research on plant-microbe interactions have challenged the  
404 zigzag model. Therefore the danger model and invasion model were proposed, of  
405 which the danger model better covers the holistic concept of host immunity [4, 8]. With  
406 the current knowledge, we here propose a refinement of the danger model, which  
407 differentiates between extracellularly- and intracellularly-triggered immunity (ExTI  
408 versus InTI), both leading to resistance of plants to pathogens. This spatial immunity  
409 model will allow scientists, working in the field of molecular phytopathology,  
410 categorizing their findings concerning resistance and susceptibility in a clear way. Still,

411 future research is essential to explore the cause(s) of differences in the strength of the  
412 immune responses triggered by ExIPs when activating their matching cell surface  
413 receptor (see outstanding questions).

414 **Highlights Box**

415

416 Any distinction between the types of immune responses triggered in plants should be  
417 solely based on the location where the immunogenic pattern is perceived.

418

419 The dichotomy between patterns and effectors is blurry, which renders a classification  
420 of plant defence responses based on this dichotomy inappropriate.

421

422 All LRR-type cell surface receptors (both RLPs and RLKs) recruit the regulatory LRR-  
423 RLK BAK1 upon their activation by extracellular immunogenic patterns (ExIPs).

424

425 All LRR-RLPs studied appear to constitutively interact with SOBIR1 and to recruit  
426 BAK1 upon ExIP perception, thereby all providing a set of identical cytoplasmic kinase  
427 domains for downstream signalling.

428

429 LRR-RLPs trigger a plethora of defence responses, with intensities ranging from  
430 moderate immunity to a strong HR.

431

432 **Outstanding questions Box**

433

434 What causes RLP/SOBIR1/BAK1 complexes, harbouring different RLPs but employing  
435 identical SOBIR1 and BAK1 kinase domains for cytoplasmic signalling, to initiate ExTI  
436 with different strengths upon activation by their matching ExIPs?

437

438 Are the cytoplasmic kinase domains of SOBIR1 and BAK1, associated with different  
439 RLPs, differentially phosphorylated upon signalling for ExTI triggered by various  
440 ExIPs?

441

442 Which RLCKs are involved in positively and negatively regulating ExTI that is activated  
443 upon ExIP recognition by different cell surface receptor complexes?

444

445 Are ExTR and InTR linked, and if so, where do the responses that are triggered  
446 converge?

447

448 **Box 1. The plant breeding point-of-view on plant-pathogen interactions**

449 Plant breeding has been a human practice for thousands of years [99, 100]. Breeding  
450 focusses on crop qualities like higher yield, better tasting fruits, and increased drought  
451 and disease resistance. Throughout this history, several terms have been coined and  
452 models developed describing plant susceptibility and resistance. Recent insights in the  
453 molecular background of plant-pathogen interactions have rendered some of the  
454 established breeding terminology confusing or even obsolete.

455 Most plants are resistant to most pathogens. If all members of a plant species  
456 are resistant to all variants of a given pathogen, this type of resistance is referred to as  
457 'non-host resistance' (NHR) [101]. Several molecular mechanisms have been shown  
458 to underlie NHR [102, 103], therefore this umbrella-term should be used as a general  
459 phenomenon, rather than to explain one particular mechanism.

460 The gene-for-gene model describes the evolutionary battle between plants and  
461 pathogens from a plant breeding point-of-view [104, 105]. In this molecular battle,  
462 during a continuous co-evolution between initially susceptible plants and virulent  
463 pathogens, the plant starts to recognise compounds from the pathogen, leading to host  
464 resistance and pathogen avirulence. Therefore, the gene of the pathogen that codes  
465 for the recognized compound is referred to as an *Avirulence (Avr)* gene. Recognition  
466 of a secreted Avr protein by the plant is based on the presence of a *resistance (R)*  
467 gene, and for each functional *R* gene present in the plant, there is a matching *Avr* gene  
468 in the pathogen. Loss, or mutation of the Avr protein by the pathogen, again results in  
469 host susceptibility and pathogen virulence. A plant-pathogen interaction in which  
470 matching *R* and *Avr* genes are present is referred to as 'incompatible'. When either a  
471 particular strain of the pathogen does not carry the matching *Avr* gene, and/or a certain  
472 plant genotype does not carry the matching *R* gene, the pathogen can infect the plant.  
473 This situation is called a 'compatible' interaction [37]. Obviously, for the pathogen it  
474 has no benefit to be recognized by the host plant, so the intrinsic function of Avr  
475 proteins for pathogens cannot be the triggering of their recognition by plants. Indeed,  
476 many Avr proteins have a function in pathogen virulence, and they promote  
477 colonisation of susceptible plants, thereby benefiting the pathogen [67, 106]. For this  
478 reason, Avr proteins are also referred to as virulence (*Vir*) proteins. At the time the  
479 gene-for-gene model was introduced, this nomenclature was a logical part of the  
480 model. However, current advances in the research on plant-pathogen interactions

481 have shed light on the intrinsic virulence function of the various proteins secreted by  
482 pathogens during host colonisation. As a consequence, the term 'Avr' has become  
483 very confusing and the term 'effector' has been introduced [107] (see Box 2).  
484

485 **Box 2. A brief history of the mechanistic point-of-view on plant-pathogen**  
486 **interactions**

487 In recent years, the molecular mechanisms that underlie pathogen recognition and  
488 disease resistance in plants have started to be unravelled. This has led to the  
489 development of the 'zigzag model', which describes the evolutionary battle between  
490 plants and pathogens from a molecular point-of-view, and proposes the presence of  
491 two layers in the plant's immune system [3].

492 The first layer of plant defence, according to the zigzag model, involves the  
493 recognition of conserved structural molecular patterns of the pathogen (PAMPs or  
494 MAMPs)[3]. As an addition to this first layer of immunity, recognition of patterns of the  
495 host itself, which are generated upon damage caused by a pathogen or resulting from  
496 modified 'self' (so-called DAMPs), was introduced[4]. These patterns are recognized  
497 in the apoplast by cell surface-localized pattern recognition receptors (PRRs).  
498 Recognition of a PAMP leads to PAMP-triggered immunity (PTI), also referred to as  
499 MAMP-triggered immunity (MTI), and recently redefined as pattern-triggered immunity  
500 (also abbreviated as PTI) [20, 108]. To combat PTI, successful specialised pathogens  
501 have evolved effector proteins to interfere with PTI, thereby providing effector-triggered  
502 susceptibility (ETS).

503 The second layer of recognition is provided by resistance (R) proteins that are  
504 able to recognise these defence-suppressing effectors, allowing the plant to mount  
505 effector-triggered immunity (ETI). These R proteins can be PM-localized receptors,  
506 similar to PRRs, or cytoplasmic nucleotide-binding leucine-rich repeat (NLR) proteins.  
507 R proteins can either recognize effectors directly, or indirectly by guarding the host  
508 virulence target (VT) of the effector [64]. ETI generally is a stronger response than PTI,  
509 and often culminates in the hypersensitive response (HR) [3].

510 New insights in the mode of action of plant receptors, and the structure and  
511 occurrence of microbial patterns and effectors, has blurred the dichotomy between PTI  
512 and ETI [1, 4, 7, 109]. The continuum that is present between MAMPs and effectors,  
513 and in fact also between PRRs and R proteins, prompted Thomma and co-authors to  
514 introduce the term MAMP-triggered susceptibility (MTS), to stress the fact that also  
515 MAMPs can be involved in provoking susceptibility in plant-microbe interactions [7]. In  
516 2014, the term effector-triggered defence (ETD) was proposed as another addition to  
517 the zigzag model [110]. ETD describes the defence responses triggered upon

518 recognition of apoplastic effectors by RLPs, which constitutively associate with the RLK  
519 SOBIR1 [15]. However, with the discovery that not all RLP/SOBIR1-complexes trigger  
520 a similar response upon their activation, this subdivision does not hold [15, 31].

521 Also the term ‘apoplastic immunity’ (AI) does not provide a satisfactory  
522 improvement of our understanding of plant defence mechanisms [111]. The term AI  
523 implies that immunity is established in the apoplast. However, for a successful immune  
524 response, after pathogen recognition in the apoplast, downstream signalling over the  
525 PM, into the cytoplasm is essential [111]. Therefore, this type of immunity is not strictly  
526 apoplastic, and the term AI is not appropriate.

527

## 528 **Glossary**

529

530 **BAK1-INTERACTING RLKs (BIRs):** a family of RLKs, mostly with a cytoplasmic  
531 pseudo-kinase domain, that negatively regulates defence responses by interacting  
532 with various cell surface receptors, especially BAK1.

533

534 **BOTRYTIS-INDUCED KINASE 1 (BIK1):** a cytoplasmic kinase that is anchored to the  
535 PM, and released into the cytoplasm upon its phosphorylation. As a member of the  
536 RLCK family, it mediates responses downstream of cell surface receptors.

537

538 **BRI1-ASSOCIATED KINASE 1/SOMATIC EMBRYOGENESIS RECEPTOR KINASE**  
539 **3 (BAK1/SERK3):** an LRR-RLK, from the SERK family, that acts as a co-receptor for  
540 LRR-RLKs and LRR-RLP/SOBIR1 bimolecular complexes.

541

542 **Danger signal:** either an exogenous immunogenic signal being ‘non-self’ or an  
543 endogenous signal derived from the host ‘self’ (modified ‘self’), that can be sensed by  
544 the host to initiate immune responses.

545

546 **Effector:** usually a small, stable, cysteine-rich protein, secreted by a pathogen into the  
547 apoplast or the cytoplasm of the host, upon attack, with the aim to prevent or  
548 circumvent plant defence and thereby promote disease. Typically, the expression of  
549 genes encoding effector proteins is highly induced *in planta*.

550

551 **Extracellular immunogenic pattern (ExIP):** any extracellular danger signal either  
552 externally encoded or representing a modified-self ligand, which betrays plant attack  
553 by cell surface receptors.

554

555 **Intracellular immunogenic pattern (InIP):** any intracellular danger signal either  
556 externally encoded or representing a modified-self ligand, which betrays plant attack  
557 by being recognized by intracellular receptors.

558

559

560 **Pattern:** a structurally conserved molecule derived from a (pathogenic) microbe  
561 (pathogen- or microbe-associated molecular pattern (PAMP or MAMP)), or from the  
562 host (damage-associated molecular pattern (DAMP)), which is released by an  
563 attacking microbe or exposed upon plant damage.

564

565 **SUPPRESSOR OF BIR1-1/EVERSHED (SOBIR1/EVR):** a regulatory LRR-RLK that  
566 constitutively interacts with RLPs, which lack a kinase domain themselves, to provide  
567 them with a kinase domain enabling downstream signalling.

568

569 **Cell surface receptors:** receptors that are localized at the plasma membrane to  
570 survey the apoplast for the presence of ExIPs, and as a result of ExIP perception  
571 initiate ExTI. They include RLPs and RLKs with various ectodomains.

572 **Figure legends and tables**

573

574 **Figure 1; Schematic overview of the ‘spatial immunity model’.** Extracellular immunogenic patterns (ExIPs), which  
575 accumulate upon attack of the plant by microbes or as a result of cellular damage, are sensed by cell surface receptors  
576 that are present on the PM. ExIPs are so-called danger signals, which can be pathogen-derived patterns and effectors, or  
577 host-derived DAMPs (all shown as grey structures outside the cell) and effector-modified host derived virulence targets  
578 (VTs) (brown structure). Intracellular IPs (InIPs) are danger signals that are sensed by cytoplasmic receptors, mostly NLRs.  
579 InIPs can be pathogen-derived molecules (shown as grey structures inside the cell), or modified VTs (light brown  
580 structure). Both ExIP and InIP recognition leads to the activation of host defence responses, referred to as extracellularly-  
581 and intracellularly-triggered immunity (ExTI and InTI), respectively. ExIPs can act as effectors, and by their action in the  
582 extracellular space, they can suppress or circumvent the activation of ExTI (dotted line from the apoplast to the cytoplasm).  
583 InIPs can also act as effectors, with the potential to suppress immunity triggered by cell surface, as well as cytoplasmic  
584 receptors (dotted lines in the cytoplasm). Picture inspired by Dodds & Rathjen (2010) [65]. PM, plasma membrane; TTSS,  
585 type three secretion system; NLR, nucleotide binding leucine-rich repeat.

586

587

588 **Figure 2; Immune signalling upon recognition of extracellular immunogenic patterns (ExIPs) should be referred**  
589 **to as extracellularly-triggered immunity (ExTI).** (A) Numerous cell surface receptors are present at the PM that monitor  
590 the apoplast for the presence of extracellular immunogenic patterns (ExIPs). (B) Upon perception of ExIPs by cell surface  
591 receptors with an ectodomain consisting of leucine-rich repeats (LRRs), the co-receptor BAK1 is recruited, leading to the  
592 activation of defence responses. These ExIPs have originally been divided into so-called patterns  
593 (MAMPs/PAMPs/DAMPs) and effectors, and the responses that are initiated upon detection of these patterns and effectors  
594 have been classified as PTI and ETI, respectively. (C) The recent molecular proof of BAK1 recruitment by both LRR-RLKs  
595 (left) and LRR-RLPs (right) upon ExIP elicitation as shown in (B) now prompts to abandon this classic distinction between  
596 PTI and ETI, and to adopt the ‘spatial immunity model’. In this model, we propose to use the general term ExIP for all  
597 different extracellular danger signals being either patterns or effectors, and perception of which leads to extracellularly-  
598 triggered immunity (ExTI).

599 **Table 1. LRR-RLKs and LRR-RLPs involved in immunity, their matching ExIPs, and the involvement of SERKs and SOBIR1 in their signalling.**

600

Receptor		Extracellular immunogenic pattern (ExIP)		Complex formation				Response	Refs.
RLKs	Plant origin	Name	Origin	Role of SOBIR1		Role of BAK1/SERKs		Previously classified as	
				Interaction (biochemical)	Dependence (genetic)	Interaction (biochemical)	Dependence (genetic)		
FLS3	Tomato	flgII-28 (MAMP)	Bacteria	n.a.	n.a.	Recruitment	Yes	PTI	Hind <i>et al.</i> , 2016
CORE	Tomato and <i>N. benthamiana</i> (Solanaceae)	csp22 (MAMP)	Bacteria	n.a.	n.a.	Recruitment	-	PTI	Wang <i>et al.</i> , 2016
Xa21	Rice	RaxX/Ax21 (MAMP)	Bacteria	n.a.	n.a.	Constitutive (recruitment not tested)	Yes	PTI	Chen <i>et al.</i> , 2014; Holton <i>et al.</i> , 2015; Pruitt <i>et al.</i> , 2015;
FLS2	Arabidopsis (very widespread)	flg22 (MAMP)	Bacteria	n.a.	n.a.	Recruitment	Yes	PTI	Gómez-Gómez & Boller, 2000; Chinchilla <i>et al.</i> , 2007; Heese <i>et al.</i> , 2007; Schulze <i>et al.</i> , 2010
EFR	Arabidopsis (Brassicaceae)	elf18 (MAMP)	Bacteria	n.a.	n.a.	Recruitment	Yes	PTI	Zipfel <i>et al.</i> , 2006; Chinchilla <i>et al.</i> , 2007; Schulze <i>et al.</i> , 2010; Macho <i>et al.</i> , 2014
PEPR1/PEPR2	Arabidopsis	Pep1 (DAMP)	Plants	n.a.	n.a.	Recruitment	-	PTI	Huffaker <i>et al.</i> , 2006; Schulze <i>et al.</i> , 2010; Postel <i>et al.</i> , 2010; Liu 2013; Tang <i>et al.</i> , 2015
<b>RLPs</b>									
Cf-4	Tomato	Avr4 (effector)	Fungus, biotrophic	Constitutive	Yes	Recruitment	Yes	ETI	Liebrand <i>et al.</i> , 2013; Postma <i>et al.</i> , 2016
Cf-9	Tomato	Avr9 (effector)	Fungus, biotrophic	Constitutive	-	-	-	ETI	Liebrand <i>et al.</i> , 2013
Ve1	Tomato	Ave1 (effector)	Fungus, hemi-biotrophic	Constitutive	Yes	-	Yes	ETI	Fradin <i>et al.</i> , 2009; Liebrand <i>et al.</i> , 2013
LeEIX2/leEIX1	Tomato	EIX (MAMP)	Fungi	Constitutive	-	Constitutive (recruitment not tested)	Yes	Not classifiable	Ron <i>et al.</i> , 2004; Bar <i>et al.</i> ,

									2010; Liebrand <i>et al.</i> , 2013
Cure	Tomato	<i>Cuscuta</i> factor (effector)	Parasitic plant	Constitutive	-	-	-	Not classifiable	Hegenauer <i>et al.</i> , 2016
I	Tomato	Avr1 (effector)	Fungi, hemibiotrophic	Constitutive	-	-	-	ETI	Catanzariti <i>et al.</i> , 2017
ELR	<i>S. microdontum</i> (potato)	Elicitins (MAMP?)	Oomycetes	Constitutive	Yes	Recruitment	Yes	Not classifiable	Chaparro-Garcia <i>et al.</i> , 2011; Du <i>et al.</i> , 2015; Domazakis <i>et al.</i> , 2018
NbCSPR1	<i>N. benthamiana</i>	csp22 (MAMP)	Bacteria	Constitutive	No	Recruitment	Yes	PTI	Saur <i>et al.</i> , 2016; Wang <i>et al.</i> , 2016
NbRXEG1	<i>N. benthamiana</i>	XEG1 (MAMP)	Oomycete	Constitutive	Yes	Recruitment	Yes	Not classifiable	Wang <i>et al.</i> , 2018
BnLEPR3	<i>Brassica napus</i>	AvrLm1 (effector)	Fungus, hemibiotrophic	Constitutive	Yes	-	Yes	ETI	Ma and Borhan, 2015
BnRLM2	<i>B. napus</i>	AvrLm2 (effector)	Fungus, hemibiotrophic	Constitutive	-	-	-	ETI	Larkan <i>et al.</i> , 2015
ReMAX/AtRLP1	Arabidopsis	eMAX (MAMP)	Bacteria	-	Yes	-	-	PTI	Jehle <i>et al.</i> , 2013a and 2013b
RLP23	Arabidopsis	NLPs, nlp20 (MAMP)	Bacteria, fungi, oomycetes	Constitutive	Yes	Recruitment	Yes	PTI	Bi <i>et al.</i> , 2014; Albert <i>et al.</i> , 2015
RLP30	Arabidopsis	SCFE1 (MAMP)	Fungi, necrotrophic	-	Yes	-	Yes	PTI	Zhang <i>et al.</i> , 2013
RLP42/RBPG1	Arabidopsis	Polygalacturonases (PGs) (MAMP)	Fungi	Constitutive	Yes	Does not interact or recruit	No	Not classifiable	Zhang <i>et al.</i> , 2014

601

602 -, no data available; n.a., not applicable.

603

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612

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