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1 **On farm observations to increase genetic gain in breeding schemes for village**  
2 **poultry production – A simulation study**

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## 14 **Abstract**

15 To improve genetic gain of breeding programs for village poultry production, breeding schemes  
16 with observations obtained in village production systems using individual (VIO) and group  
17 recording (VGO) were examined under different levels of genotype-by-environment-interactions  
18 (GxE). GxE was modelled by varying the correlation between traits measured in the breeding  
19 station and village environments for bodyweight ( $r_{g\_BW}$ ) and egg production ( $r_{g\_EP}$ ). Relative and  
20 absolute genetic gains obtained from VIO and VGO were used for comparison between the  
21 schemes. Results showed that village observations significantly improved genetic gains compared  
22 to the scheme without birds tested in the village. The improvement was only slightly larger with  
23 individual observations than with group observations. Higher  $r_{g\_BW}$  and  $r_{g\_EP}$  led to lower relative  
24 genetic gain, but higher absolute gain of VIO and VGO. It is recommended to apply a breeding  
25 scheme using group recording of village performance when strong GxE in breeding for village  
26 poultry is expected.

27 *Key words: breeding scheme; GxE; group recording; stochastic simulation; village poultry*

## 28 **Introduction**

29 Introduction of exotic breeds or high yielding hybrids has failed to upgrade the genetic level of the  
30 current chicken populations in Ethiopia due to various reasons, such as farmer preference, lack of  
31 required input and chicken adaptability (Dana et al., 2010; Wondmeneh et al., 2015). In addition,  
32 the application of exotic breeds in an intensive or semi-intensive production system for smallholder  
33 villagers brings in lower economic returns than the use of indigenous chicken under a scavenging  
34 production system (FAO, 2010; Okeno et al., 2013). Moreover, one of the biggest advantages of  
35 indigenous chicken is their disease resistance and adaptability to harsh conditions (Dessie et al.,

36 2000). Therefore, a key approach for delivering a productive and adapted chicken suitable for the  
37 production system and acceptable to the farmers, is to improve the indigenous chicken through  
38 breeding programs.

39 A selective breeding program was initiated in 2008 at the Debre Zeit Agricultural Research Centre  
40 in Ethiopia (Dana et al., 2011). The ultimate objective of the breeding program is an improved  
41 dual-purpose chicken (Horro) for growth and egg production, which also is well-adapted to the  
42 semi-scavenging environment of village poultry production. However, the breeding scheme of the  
43 program has revealed to be suboptimal as it has shown slow genetic progress and signs of losing  
44 adaptability of indigenous chicken after 7 generations of selection (Wondmeneh, 2015). The  
45 differences between the conditions at the research station and villages might cause genotype by  
46 environment interaction (GxE). At the research station, birds are selected under hygienic  
47 conditions, nutritionally adequate diets and well-protected cages, whereas at the villages, birds are  
48 subjected to a combination of low food availability, sub-optimal diet, prevalence of diseases and  
49 other social interaction factors.

50 Significant GxE in poultry has been reported in a number of studies (Bekele et al., 2009; Chen et  
51 al., 2009; Horst, 1985; Kapell et al., 2012; Mathur & Horst, 1994; N'Dri et al., 2007) and reviews  
52 (FAO, 2010; Mathur, 2003). GxE could reduce potential genetic gains of a breeding program.  
53 There are, however, only a few studies (Bijma & Arendonk, 1998; Mulder & Bijma, 2005) on  
54 design or evaluation of breeding schemes in the presence of GxE, and they are mainly designed  
55 for other species than poultry and for commercial production instead of village production. A big  
56 challenge for implementing breeding schemes for village poultry is the need for routine collection  
57 of observations on individual animals. Group mean of full-sibs and half-sibs can be a possible  
58 alternative for village phenotype recording. Studies on pooled data has illustrated that selection

59 based on estimated breeding values (EBV) from pooled observations can be effective, particularly  
60 when group members have close relationships (Biscarini et al., 2008; Nurgiartiningsih et al., 2004;  
61 Olson et al., 2006; Peeters et al., 2013). However, the use of pooled observations in breeding  
62 programs where GxE is present, and where animals with the pooled observations are not candidates  
63 of selection, has not been demonstrated.

64 This paper proposes breeding schemes for village dual-purpose poultry production in the presence  
65 of GxE. Stochastic simulation is applied to compare breeding schemes on genetic gain considering  
66 group and individual recording and to optimize the data recording effort in villages versus stations.  
67 GxE was modelled by varying the correlation between traits measured in station and village  
68 environments.

## 69 **Materials and methods**

### 70 *Breeding schemes*

71 The stochastic simulation program ADAM (Pedersen et al., 2009) was used to simulate 100  
72 replicates for each scenario. The simulation mimicked the situation of the Horro chicken breeding  
73 population at the Debre Zeit Agricultural Research Centre, Ethiopia (Dana et al., 2011). The  
74 schemes were designed for dual-purpose village poultry production, by including body weight  
75 (BW) and egg production (EP) in the breeding goal (Figure 1). The breeding structure consisted  
76 of 30 roosters and 300 hens. In each generation, a hen had 4 offspring that were candidates for  
77 selection and an additional number of offspring for testing. Sex was randomly assigned to offspring  
78 at a 50:50 ratio. The candidates for selection were reared in a research station. Under the station  
79 conditions, the birds had phenotypes defined as “station” traits. Birds for testing were transferred  
80 to village small holders for recording of phenotypes, which were defined as “village” traits. The

81 village tested birds were not considered as selection candidates, but only gave information for  
82 evaluating station selection candidates.

83 In each generation, selection candidates went through two selection rounds. In the first selection  
84 round, 150 of all male candidates were selected after phenotypes for BW were measured both in  
85 station and in village. No selection was applied in the females. This round was to ensure a high  
86 selection response and to reduce costs of keeping all male candidates until EP was recorded. In the  
87 second round, 30 males were selected out of the remaining 150 candidates and 300 females were  
88 selected out of all female candidates. Selection round 2 was performed after phenotypes for EP  
89 were realized. BLUP selection was applied for both the selection rounds, so information about  
90 relatives both in station and in village was used. Selection was simulated for 20 discrete  
91 generations.

## 92 *Trait simulation*

93 Phenotypes of BW and EP were simulated and BW was observed for both male and female birds  
94 while EP was observed in females only. In the station environment, BW and EP were denoted as  
95  $BW_s$  and  $EP_s$ , respectively, whereas in the village environment, the phenotypes were denoted as  
96  $BW_v$  and  $EP_v$ , respectively. Observations on  $BW_s$  and  $EP_s$  were realized individually, while  $BW_v$   
97 and  $EP_v$  were recorded as either group mean or individually. Group records were the average of  
98 the simulated phenotypes of 10 paternal-sibs, which were randomly selected from the 40 offspring  
99 of a sire. Therefore, members of a group could have both full-sib and half-sib relationship.

100 The genetic parameters assumed for all traits are shown in Table 1. The parameters of  
101 (co)variances, correlation and heritabilities of  $BW_s$  and  $EP_s$  were based on literature for indigenous  
102 chicken in Africa (Dana et al., 2011; Lwelamira et al., 2009; Niknafs et al., 2012; Oleforuh-Okoleh,

2011). We assumed that the village scavenging system would result in a larger environmental variance and a lower heritability compared to the conditions on station. The heritabilities for village traits was set to half the values for the station traits. Additive genetic variances of  $BW_s$  and  $EP_s$  were assumed equal to those of  $BW_v$  and  $EP_v$ , respectively. Genetic correlation between  $BW_s$  and  $EP_s$  was also equal to that of  $BW_v$  and  $EP_v$ . Genetic correlations between the village and station environments for BW ( $r_{g\_BW}$ ) and EP ( $r_{g\_EP}$ ) were varied to reflect different extent of GxE. To ensure a positive-definite matrix of genetic covariance, the genetic correlation between  $BW_s$  and  $EP_v$  was approximated by multiplying the average of  $r_{g\_BW}$  and  $r_{g\_EP}$  by correlation between  $BW_s$  and  $EP_s$  (Table 1). This approximation came from assuming that the link between  $BW_s$  and  $EP_v$  might be through either one of two paths. One path was through correlation between  $BW_s$  and  $EP_s$  and correlation between  $EP_s$  and  $EP_v$ , and another path was through correlation between  $BW_s$  and  $BW_v$  and correlation between  $BW_v$  and  $EP_v$ . The genetic correlation between  $BW_v$  and  $EP_s$  was approximated in the same way. Environmental correlation between  $BW_v$  and  $EP_v$  was assumed to be equal to that between  $BW_s$  and  $EP_s$ . Other environmental correlations between traits were set to 0 because birds only had records either on the station or in the village environment.

True breeding values of  $BW_s$ ,  $EP_s$ ,  $BW_v$  and  $EP_v$  traits of a bird  $i$  at generation 0 were scaled to achieve an initial genetic covariance matrix by following equation:  $\mathbf{tbv}_i = \mathbf{L}' \times \mathbf{r}$ , where  $\mathbf{tbv}_i$  is a vector of true breeding values of bird  $i$ ;  $\mathbf{L}'$  is the Cholesky decomposition of the initial genetic covariance matrix; and  $\mathbf{r}$  is a vector of random numbers from a standardized normal distribution. Means of the traits were 0. Simulation of environmental values of the traits was similar to simulation of true breeding values, with a Cholesky decomposition of the environmental covariance matrix. Phenotypic observation of a trait for an individual was the sum of true breeding value and environmental value. Environmental (co)variances were kept constant through the

126 simulations whereas genetic (co)variance and heritability decreased due to Bulmer effect of  
127 selection and inbreeding. True breeding value of the descendants was half of true breeding values  
128 of their parents plus Mendelian sampling terms. Mendelian sampling variance of the offspring was  
129 determined based on the inbreeding of the parents.

130 Simulation of group mean observations was done in two steps. The first step was simulation of  
131 individual phenotypic observations as described above. The second step was to compute group  
132 mean observations. All offspring birds of a sire in a village were randomly assigned into groups  
133 of 10 birds. Individual phenotypic observations of those offspring birds were used to calculate  
134 group means. Subsequently, the individual phenotypic observations were replaced by group  
135 means. For  $BW_v$ , 10 paternal-sib of a group had the same group mean observation. For  $EP_v$ ,  
136 phenotypic observations of females of the 10 paternal-sib group were used to calculate the group  
137 mean, and phenotypic observations of these females were replaced by the mean.

### 138 *Simulated scenarios*

139 A reference breeding scheme and 2 alternative breeding schemes were simulated (Table 2). The  
140 reference breeding scheme had 1200 candidates for selection and 600, 1200 or 1800 tested birds.  
141 Both the selection candidates and tested birds provided information of station phenotypes. For the  
142 two alternative schemes, the tested birds did not provide information of station phenotypes but  
143 were transferred to village environment to get village phenotypes. In one of the alternatives  
144 (breeding scheme VIO), the village birds had individual observations. In the other (breeding  
145 scheme VGO), the birds had group mean observation of 10 paternal-sibs. As suggested in Cahaner  
146 et al. (1993), Kapell et al. (2012), Mathur and Horst (1994), Mathur (2003) and Chen et al. (2009),  
147 a stronger GxE interaction was simulated for EP than for BW, and therefore a lower genetic

148 correlation between station and village measures. The lower correlation for EP than BW came  
149 from assumption that traits of reproduction have stronger GxE interaction than traits of production,  
150 and traits with lower heritability generally display higher GxE (Mathur, 2003). The values of  $r_{g\_BW}$   
151 were set at 0.5, 0.7 and 0.9 and  $r_{g\_EP}$  were 0.1, 0.3 and 0.5.

152 As a consequence, there were 4 factors investigated: type of breeding schemes, number of tested  
153 birds,  $r_{g\_BW}$  and  $r_{g\_EP}$ . All three breeding schemes were simulated with all three numbers of tested  
154 birds and all 9 combinations of  $r_{g\_BW}$  and  $r_{g\_EP}$  resulting in a total of 81 simulated scenarios.

### 155 *Selection criteria*

156 Breeding was done to optimize production in the village environment and therefore the breeding  
157 goal was as follows:

$$158 \quad H = 0 * BW_s + 0 * EP_s + 0.078 * BW_v + 9.080 * EP_v \quad (1)$$

159 An economic value of 0 was assigned to the station traits of  $BW_s$  and  $EP_s$  with the assumption that  
160 only village performance mattered. Economic values given to  $BW_v$  and  $EP_v$  were from Okeno et  
161 al. (2012). Unit of BW was measured in grammes, and EP was cumulative number of eggs  
162 produced until 40 weeks of age.

163 Breeding values were estimated based on data from VIO and VGO using multivariate best linear  
164 unbiased prediction (BLUP) models. For individual phenotypic observation, the model was:

$$165 \quad \mathbf{y} = \mathbf{Xb} + \mathbf{Za} + \mathbf{e} \quad (2)$$

166 where  $\mathbf{y}$  is a vector of individual phenotypic records of traits of  $BW_s$ ,  $EP_s$ ,  $BW_v$  and  $EP_v$ ;  $\mathbf{b}$  is a  
167 vector of fixed year effects;  $\mathbf{a}$  is a vector of animal breeding values of the traits to be estimated  
168 assumed  $\mathbf{a} \sim MVN[0, \mathbf{A} \otimes \mathbf{G}]$ , where  $MVN$  is the multivariate normal distribution,  $\mathbf{A}$  is the

169 additive genetic relationship matrix among individuals and  $\mathbf{G}$  is the additive genetic (co)variance  
 170 matrix among the traits as a 4x4 matrix;  $\mathbf{X}$  and  $\mathbf{Z}$  are incidence matrices relating fixed effects and  
 171 breeding values to phenotypic observations of birds; and  $\mathbf{e}$  is a vector of residuals of the traits  
 172 assumed  $\mathbf{e} \sim MVN \begin{bmatrix} 0 & \mathbf{I}_s \otimes \mathbf{E}_s & 0 \\ 0' & 0 & \mathbf{I}_v \otimes \mathbf{E}_v \end{bmatrix}$ , where  $\mathbf{I}_s$  and  $\mathbf{I}_v$  are identity matrices of station and  
 173 village observations, respectively,  $\mathbf{E}_s$  and  $\mathbf{E}_v$  are the environmental covariance matrices (2x2) of  
 174 the station traits (BW<sub>s</sub> and EP<sub>s</sub>) and the village traits (BW<sub>v</sub> and EP<sub>v</sub>), respectively.

175 For group observations, the same model as (2) was used, except that group averages of the 10  
 176 paternal-sibs were treated as if they were individual phenotypic records of each of the ten birds.  
 177 This is an approximate approach described in Olson et al. (2006).

178 Selection for the reference breeding scheme was also based on breeding values estimated using  
 179 model (2), except that the model was a bivariate model applied for 2 traits of BW<sub>s</sub> and EP<sub>s</sub> only.  
 180 Selection for the reference scheme was indirect selection, in which selection index was:

$$181 \quad I = 0.078 * BW_s + 9.080 * EP_s \quad (3)$$

182 However, (1) was still used as true breeding goal to assess genetic gain of all scenarios.

183 A combined measure of GxE, which represents the correlation between performances in the two  
 184 environments, were based on the values of  $r_{g\_BW}$  and  $r_{g\_EP}$  with their economic indexes. Genetic  
 185 correlation between (1) and (3) ( $r_{g\_HI}$ ) was calculated as:

$$186 \quad r_{g\_HI} = \frac{Cov(H;I)}{\sqrt{Var(H) \times Var(I)}} \quad (4)$$

187 Where  $Cov(H; I)$  is genetic covariance between  $H$  and  $I$ ;  $Var(H)$  is genetic variance of  $H$ ;  $Var(I)$   
 188 is genetic variance of  $I$ .

189 *Data analysis*

190 For all scenarios, simulated output of total index genetic merit and the inbreeding coefficient from  
191 generation 5 to 20 were used for analyses. The index genetic merit of a scenario was the sum of  
192 true breeding values indexed with their economic values as in (1). The genetic merit of generation  
193  $t$ ,  $G_t$ , was the average of index true breeding values of all new-born individuals at generation  $t$ .  
194 Similarly, the inbreeding coefficient at generation  $t$ ,  $F_t$ , was the average of inbreeding coefficients  
195 of individuals calculated by pedigree information.

196 For each replicate, genetic gain per generation ( $\Delta G$ ) was computed as the difference between  $G_{20}$   
197 and  $G_5$ . The relative genetic gain per generation ( $RG$ ) of VIO and VGO scenarios was calculated  
198 as the differences between their genetic gains and the mean of genetic gain of the corresponding  
199 reference scenarios divided by the mean of genetic gain of the reference scenarios.

$$200 \quad RG = \frac{\Delta G_{\text{alternative scenario}} - \text{Average } \Delta G_{\text{reference scenario}}}{\text{Average } \Delta G_{\text{reference scenario}}} \times 100\%$$

201 Where  $RG$  is relative genetic gain per generation of VIO or VGO scenario over the reference  
202 scenario;  $\Delta G_{\text{alternative scenario}}$  is genetic gain per generation of a replicate of VIO or VGO scenario;  
203  $\text{Average } \Delta G_{\text{reference scenario}}$  is the mean of genetic gain of 100 replicates of the reference scenario  
204 corresponding to the VIO or VGO scheme that had the same number of tested animals, and same  
205  $r_{g\_BW}$  and  $r_{g\_EP}$ .

206 Rate of inbreeding per generation were computed as the negative of the slope of the regression of  
207  $\ln(1-F_t)$  on  $t$  for  $F_5$ - $F_{20}$  (Nirea et al., 2012).

208 Summary statistics for  $RG$  of VIO and VGO scenarios were based on 100 replicates. ANOVA  
209 were used to test direct and interaction effects of various factors on  $RG$ . The differences between

210 scenarios were tested for significance using Tukey's HSD (honest significant difference,  $P < 0.05$ ).  
211 Summary statistics for rate of inbreeding of scenarios of VIO, VGO and reference schemes were  
212 also computed.

## 213 **Results**

214 The 4-way interaction of breeding scheme,  $r_{g\_BW}$ ,  $r_{g\_EP}$  and number of tested animals were  
215 significant on  $RG$  with  $p < 0.0001$ . As can be seen in Figure 2, all scenarios of VIO and VGO  
216 breeding schemes had genetic gain greater than the scenarios of the corresponding reference  
217 scheme. Relative genetic gains ranged from 21 to 268%.

218 The addition of birds tested in the village condition increased  $RG$ . When number of village tested  
219 birds was 600, 1200 and 1800, on average,  $RG$  was 84, 98 and 112%, respectively.

220 The VIO breeding scheme had higher  $RG$  than VGO breeding scheme. On average,  $RG$  of VIO  
221 was 102% while it was 94% for VGO. With 600, 1200 and 1800 tested birds,  $RG$  of VGO were  
222 81, 94 and 107%, respectively, and  $RG$  of VIO were 87, 102 and 117%, respectively. In all cases  
223 with the same number of village tested birds and the same correlations of  $r_{g\_BW}$  and  $r_{g\_EP}$ ,  $RG$  of  
224 VGO was lower than that of VIO.

225 Lower genetic correlations between traits measured on station and village environments, lead to  
226 higher  $RG$ . With the maximum values for  $r_{g\_EP}$  of 0.5 and  $r_{g\_BW}$  of 0.9, on average,  $RG$  was 28%  
227 whereas  $RG$  was 225% for scenarios with the minimum values for  $r_{g\_EP}$  of 0.1 and  $r_{g\_BW}$  of 0.5. It  
228 seems that the magnitude of increase in  $RG$  was higher with a reduction in  $r_{g\_EP}$  than with the  
229 reduction in  $r_{g\_BW}$ . To have a better explanation of the trend of  $RG$ ,  $r_{g\_HI}$  can be used as an  
230 assessment of indirect selection of selection index  $I$  to the true breeding goal.  $RG$  decreased with  
231 increasing  $r_{g\_HI}$  (Table 3).

232 Genetic gains per generation of VIO and VGO breeding scenarios can be seen in Table 4. Similar  
233 to  $RG$ ,  $\Delta G$  of VIO and VGO scenarios increased with increasing number of village tested animals.  
234 Genetic gains of VIO scenarios were also higher than that of VGO scenarios. However, unlike  $RG$ ,  
235 lower genetic correlations between traits measured in station and village environments ( $r_{g\_EP}$  and  
236  $r_{g\_BW}$ ) resulted in lower  $\Delta G$ . Meanwhile,  $\Delta G$  increases with a higher genetic correlation between  
237 environments ( $r_{g\_HI}$ ), but at a lower relative increase as can be seen in Table 4.

238 The rates of inbreeding decreased as number of tested animals increased. They, on average, were  
239 2.00, 1.99 and 1.97% for scenarios with 600, 900 and 1800 tested animals, respectively. Higher  
240 rates of inbreeding were found in the VGO scenarios than in the VIO scenarios. The rates of  
241 inbreeding, on average, were 1.70% for the reference scenarios, 2.10% for VIO scenarios and  
242 2.15% for VGO scenarios. The rates of inbreeding had a reducing tendency as  $r_{g\_EP}$  and  $r_{g\_BW}$   
243 increased.

## 244 **Discussion**

245 In this study, breeding schemes for village dual-purpose poultry using group and individual  
246 recordings of village and station performances at different levels of GxE interaction were  
247 compared. Results showed that village observations significantly improved genetic gains of VIO  
248 and VGO compared to the reference breeding scheme. The improvement was larger in VIO than  
249 in VGO. Increasing number of village tested birds also increased genetic gain. Higher genetic  
250 correlations between traits measured in station and village environments lead to lower relative  
251 genetic gain, but higher absolute genetic gain.

252 *Effects of village observation on genetic gain*

253 Increasing the number of animals tested in village improved accuracy of selection, and thus genetic  
254 gains of VIO and VGO schemes compared to the reference schemes. The main difference between  
255 the reference breeding scheme and its alternatives was the type of tested information. Village  
256 observations were direct phenotypes while station observations were correlated phenotypes. The  
257 reference scheme had only station performance while its alternatives had both station and village  
258 performances. Selection in the reference scheme is an indirect selection approach, and therefore,  
259 it results in the lowest accuracy of selection compared to its alternatives.

260 The value of village observations increased when the genetic correlation between station and  
261 village traits was lower. The scenarios with  $r_{g\_BW}$  of 0.9 and  $r_{g\_EP}$  of 0.5 gave lower relative genetic  
262 gain than those with  $r_{g\_BW}$  of 0.5 and  $r_{g\_EP}$  of 0.1. Nonetheless, the absolute genetic gain was larger  
263 in scenarios with higher genetic correlations as contribution of both station and village  
264 observations to accuracy of selection increased.

265 It has been suggested that if the genetic correlation between performance in the selection and  
266 production environments is less than 0.8, breeding program with information from the production  
267 environment would be worthwhile to improve genetic gain (Robertson, 1959). Other studies have  
268 also shown that a significantly higher genetic gain can be achieved with performance information  
269 from the production environment (Bijma & Arendonk, 1998; Mulder & Bijma, 2005). However,  
270 when genetic correlation between the performance in selection and production environments is  
271 high, for example 0.9, a large number of animals need to be tested in the production environment  
272 for a significant improvement in genetic gain.

273 In our study, relative genetic gains were positive in all scenarios of VIO and VGO with any number  
274 of birds tested in village,  $r_{g\_BW}$  or  $r_{g\_EP}$ . In other studies, to model GxE, a single trait in two

275 environments is often used (Bijma & Arendonk, 1998; Mulder & Bijma, 2005). To be comparable  
276 to other studies, instead of  $r_{g\_BW}$  and  $r_{g\_EP}$ ,  $r_{g\_HI}$  should be used as a representative of genetic  
277 correlation between station and village environments. It takes into account the variances and  
278 covariances of BW and EP traits measured in the two environments with their economic indexes.  
279 The value of  $r_{g\_HI}$  reflects the magnitude of indirect selection on the selection index I to the true  
280 breeding goal. It describes the extent of GxE when more than one trait is measured in two  
281 environments. In the simulation,  $r_{g\_HI}$  was 0.16-0.56, which might explain the high relative genetic  
282 gains of all VIO and VGO scenarios.

283 It was expected that both the increases of relative genetic gain and decreases in absolute genetic  
284 gain would correspond to increases of  $r_{g\_HI}$ . However, an increasing tendency of absolute genetic  
285 gain did not correspond to the increase of  $r_{g\_HI}$  (Table 4). Possible explanations may include the  
286 two-stage selection for BW in males and that EP is a sex limited trait (50% fewer records for EP  
287 than for BW), thus a change of  $r_{g\_EP}$  has a different impact on absolute genetic gain than a change  
288 of  $r_{g\_BW}$ .

#### 289 *Group versus individual observation*

290 VGO breeding scheme was similar to VIO, except that recordings of village performance were in  
291 groups of 10 paternal-sibs. Our findings showed that VGO had lower *RG* than VIO, which is due  
292 to a lower accuracy of prediction of breeding values using group recording. Pooling birds in groups  
293 reduced the amount of information that was provided for each individual. Nonetheless, VGO had  
294 substantially increased genetic gains compared to the reference breeding scheme and reduction of  
295 the absolute genetic gain in comparison to the corresponding VIO scenario was at most 6% (Table  
296 4.).

297 Other studies have analysed pooled data, in which pooled observations were groups of random  
298 animals, full-sibs, half-sibs and descendants of maternal grand sire (Biscarini et al., 2008;  
299 Nurgartiningih et al., 2004; Olson et al., 2006; Peeters et al., 2013). From these studies, it can be  
300 concluded that estimation of breeding values from pooled data is theoretically and practically  
301 feasible for selection, particularly when the pooled observations are groups of closely related  
302 animals.

303 Biscarini et al. (2008) illustrated that correlations between EBV based on individual observation  
304 and the pooled observation of 4 half-sib animals were 0.703-0.748 for EBV of the own animals,  
305 0.814-0.891 for EBV of their sires with more than 10 offspring and 0.847-0.880 for EBV of their  
306 dams with more than 4 offspring. Nurgartiningih et al. (2004) also demonstrated high correlations  
307 between EBV based on individual and group observations which were, on average, 0.844 for EBV  
308 of the animals and 0.943 for EBV of their sires. Olson et al. (2006) studied accuracies of predicting  
309 breeding values from individual and group observation using simulation. They found that in the  
310 absence of pen effects, accuracies of EBV of animals themselves or their sires would be improved  
311 when animals allocated in a group were more related and when size of each group was smaller  
312 given the same total number of animals.

313 In our study, to estimate EBV, selection candidates of VGO scenarios could have indirect  
314 information from individual observations of the correlated traits ( $BW_s$  and  $EP_s$ ) of their own and  
315 parents' performance and direct information from pooled observations of the desired village traits  
316 ( $BW_v$  and  $EP_v$ ) of their sibs. The pooled observations were groups of birds that had half-sib and  
317 full-sib relationship to the selection candidates. By averaging observations of the sib mixture,  
318 effects of dams mated to a sire on their offspring cannot be distinguished. The pooled observations  
319 can be only approximated as average of half-sibs. Meanwhile, effects of dams, full-sib and half-

320 sib relationships can be taken into account in predicting EBV of selection candidates in VIO  
321 scenarios, which resulted in a higher genetic gain in VIO than in VGO scenarios.

322 Nonetheless, the differences between accuracy of selection of VIO and VGO were not substantial.  
323 With  $r_{g\_BW}$  of 0.5 and  $r_{g\_EP}$  of 0.1, accuracy of EBVs of selection candidates was 0.863, 0.892 and  
324 0.917 for VGO with 600, 1200 and 1800 village tested birds, respectively, while the accuracy of  
325 EBVs was 0.868, 0.908 and 0.925 for VIO with 600, 1200 and 1800 village tested birds,  
326 respectively. With  $r_{g\_BW}$  of 0.9 and  $r_{g\_EP}$  of 0.5, the accuracy of EBVs was 0.917, 0.927 and 0.935  
327 for VGO with 600, 1200 and 1800 village tested birds, respectively; and 0.920, 0.934 and 0.940  
328 for VIO with 600, 1200 and 1800 village tested birds, respectively.

### 329 *Methodology*

330 In our study, high relative genetic gains were achieved for VIO and VGO scenarios, and none of  
331 their replicates had negative relative genetic gains. This is due to 3 important assumptions  
332 including strong GxE, unchanged number of selection candidates and no common maternal effects.

333 GxE was modelled for BW at  $r_{g\_BW}$  of 0.5-0.9 and EP at  $r_{g\_EP}$  of 0.1-0.5, which represents quite  
334 strong interactions. Conventional breeding programs are usually carried out under conditions most  
335 favourable for the expression of genotypes. One of the important reasons for this is that GxE is  
336 often small, especially for commercial breeds where production animals are reared in enclosed,  
337 highly controlled conditions, similar to the station situation. However, the differences between  
338 village and breeding station are likely to be more substantial. Therefore, if birds are selected under  
339 station conditions of sufficient and balanced diets, absence of infectious diseases and minimum of  
340 stress, strong GxE will be expected.

341 Number of selection candidates was assumed to be unchanged, even for the reference breeding  
342 scheme in which tested birds were assumed to have station observations. This assumption is not  
343 reasonable in practice, but it was included to quantify benefit of village observations. In theory, as  
344 long as genetic correlation between traits measured in station and village environments is less than  
345 1, village observations would provide additional genetic gains for VIO and VGO. Alternatively, if  
346 the combined number of birds for selection and village testing was constant, the use of birds for  
347 village testing in VIO schemes would not be beneficial for genetic gain with  $r_{g\_HI}$  above 0.8 due  
348 to reduced selection intensity (Chu et al., 2018; Mulder & Bijma, 2005; Robertson, 1959). The use  
349 of birds for village testing in VGO schemes would only become beneficial when  $r_{g\_HI}$  was even  
350 lower than the  $r_{g\_HI}$  of VIO schemes.

351 Common maternal effects were not included in our simulation. The inclusion of the common  
352 maternal effects would have relatively slight effects on genetic gain of VIO if birds from different  
353 families are randomly distributed to smallholders. In contrast, it would reduce considerable genetic  
354 gains of VGO as members of the group with pooled observations were paternal-sibs. However, the  
355 common maternal effects are negligible for the traits of selection in breeding program for village  
356 poultry. The traits for selection are often at relatively old age, for example, BW at 16 or 20 weeks  
357 of age and EP at 40 or 44 weeks of age. At these ages, common maternal effects for BW and EP  
358 would be insignificant. Common maternal effects for BW reduce as birds age (Begli et al., 2016;  
359 Dana et al., 2011; Prado-Gonzalez et al., 2003). The dam effects of BW disappeared at 8 weeks of  
360 age (Prado-Gonzalez et al., 2003) and 12 weeks of age (Dana et al., 2011). Common dam effects  
361 are usually not included in the model for EP traits as they are expressed late in bird life.

362 *Application of breeding schemes for village poultry production*

363 Poultry breeding for village production by poor and nutritionally insecure people in the rural and  
364 peri-urban regions of the Sub-Saharan Africa must accept the reality that people prefer dual-  
365 purpose chicken in a scavenging or semi-scavenging system (Dana et al., 2010). High investment  
366 for commercial housing shed, supplementation of feed and expanded flock size can lead to  
367 unsteady net returns. Such a risky investment was one of the main reasons that village farmers  
368 were reluctant to spend on the inputs (Wondmeneh, 2015). It is shown that the use of the  
369 scavenging production system for smallholders brings in higher economic returns than the use of  
370 the semi-intensive or intensive system (FAO, 2010). Therefore, to improve the livelihood of the  
371 targeted people, a proper breeding program for village poultry production is required.

372 Using village observations, breeding schemes VIO and VGO would be appropriate for improving  
373 genetic gain of a breeding program and possibly maintaining adaptability traits which are major  
374 advantages of indigenous chicken in village production. However, implementation of VIO requires  
375 individual records of pedigree and measurement of phenotypes under village conditions. Routine  
376 recording phenotypes for individual birds is most likely not possible in village production systems.  
377 Measurement of individual phenotypes by smallholder farmers often has low accuracy  
378 (Lwelamira, 2012). Implementation of VGO is simpler in practice compared to VIO. Although  
379 lower genetic gain is predicted for VGO, the increased accuracy of data recording in VGO may  
380 make up for this. Group recording in the VGO breeding scheme reduces the complexity of tracing  
381 and recording process. Therefore, the recommended breeding scheme for village poultry  
382 production is VGO. Testing 600 birds in the village environment results in significant genetic gain  
383 for the program, compared to testing them on station.

## 384 **Conclusions**

385 Village observations significantly increased genetic gain compared to station observations. The  
386 improvement was only slightly larger with individual observations (VIO) than with group  
387 observations (VGO). Higher genetic correlations between traits measured in station and village  
388 environments led to higher genetic gain, but lower relative genetic gain in VIO and VGO scenarios.  
389 In assessing relative genetic gains from village observations for a breeding program in presence of  
390 GxE,  $r_{g_{HI}}$ , the genetic correlation between station and village breeding objective, should be used  
391 to model GxE as it explained better the magnitude of GxE than  $r_{g_{BW}}$  or  $r_{g_{EP}}$  alone. Breeding  
392 schemes that use village group recording are applicable for breeding indigenous dual-purpose  
393 poultry where a strong GxE is expected.

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#### 398 **Conflict of interests**

399 The authors declare that they have no conflict of interests.

#### 400 **Authors' contributions**

401 TTC, EN and PB designed and coordinated the study. TTC, PB and JB designed breeding schemes.  
402 TTC and PB conducted all simulations. TTC drafted the manuscript, and all authors read and  
403 approved the final manuscript.

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 498 exotic chicken breeds by rural poultry keepers in Ethiopia. *Acta Agriculturae*  
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500 **Tables**

501 **Table 1:** Genetic parameters assumed for simulating body weight (BW) and egg number (EP) in  
 502 station (s) and village (v) environments: phenotypic variance, heritability (along the diagonal),  
 503 genetic correlations (above diagonal), and environmental correlations (below diagonal)

	$\sigma_p^2$	BW <sub>s</sub>	EP <sub>s</sub>	BW <sub>v</sub>	EP <sub>v</sub>
BW <sub>s</sub>	291751	0.41	-0.12	$r_{g\_BW}$	$-0.12 (r_{g\_BW} + r_{g\_EP})/2$
EP <sub>s</sub>	130.65	0.02	0.28	$-0.12 (r_{g\_BW} + r_{g\_EP})/2$	$r_{g\_EP}$
BW <sub>v</sub>	569610	0	0	0.21	-0.12
EP <sub>v</sub>	261.29	0	0	0.02	0.14

504 Note:  $r_{g\_BW}$  and  $r_{g\_EP}$ , genetic correlation between traits of station and village environments, are variable factors.

505 **Table 2:** Breeding schemes and parameters of genetic correlations between station and village  
 506 traits

Alternative breeding scheme
-----------------------------

Variables	Reference breeding scheme	Individual observation (VIO)	Group observation (VGO)
Number of tested birds	600, 1200, 1800	600, 1200, 1800	600, 1200, 1800
Type of observations on tested birds	Station	Village	Village
Recording method	Individual	Individual	Group
Genetic correlation between station and village bodyweight ( $r_{g\_BW}$ )	0.5, 0.7, 0.9	0.5, 0.7, 0.9	0.5, 0.7, 0.9
Genetic correlation between station and village egg production ( $r_{g\_EP}$ )	0.1, 0.3, 0.5	0.1, 0.3, 0.5	0.1, 0.3, 0.5

507 **Table 3:** Mean of relative genetic gain ( $RG$  %) of breeding scenarios with different genetic  
508 correlations between station and village bodyweight traits ( $r_{g\_BW}$ ) and egg production traits ( $r_{g\_EP}$ )  
509 corresponding to genetic correlations between breeding goal H and index I ( $r_{g\_HI}$ ). S.E.M. was 1%.

$r_{g\_BW}$	$r_{g\_EP}$	$r_{g\_HI}$	Mean of RG
0.5	0.1	0.16	222
0.7	0.1	0.20	170
0.9	0.1	0.23	138
0.5	0.3	0.33	91
0.7	0.3	0.36	78
0.9	0.3	0.40	67
0.5	0.5	0.50	47
0.7	0.5	0.53	37

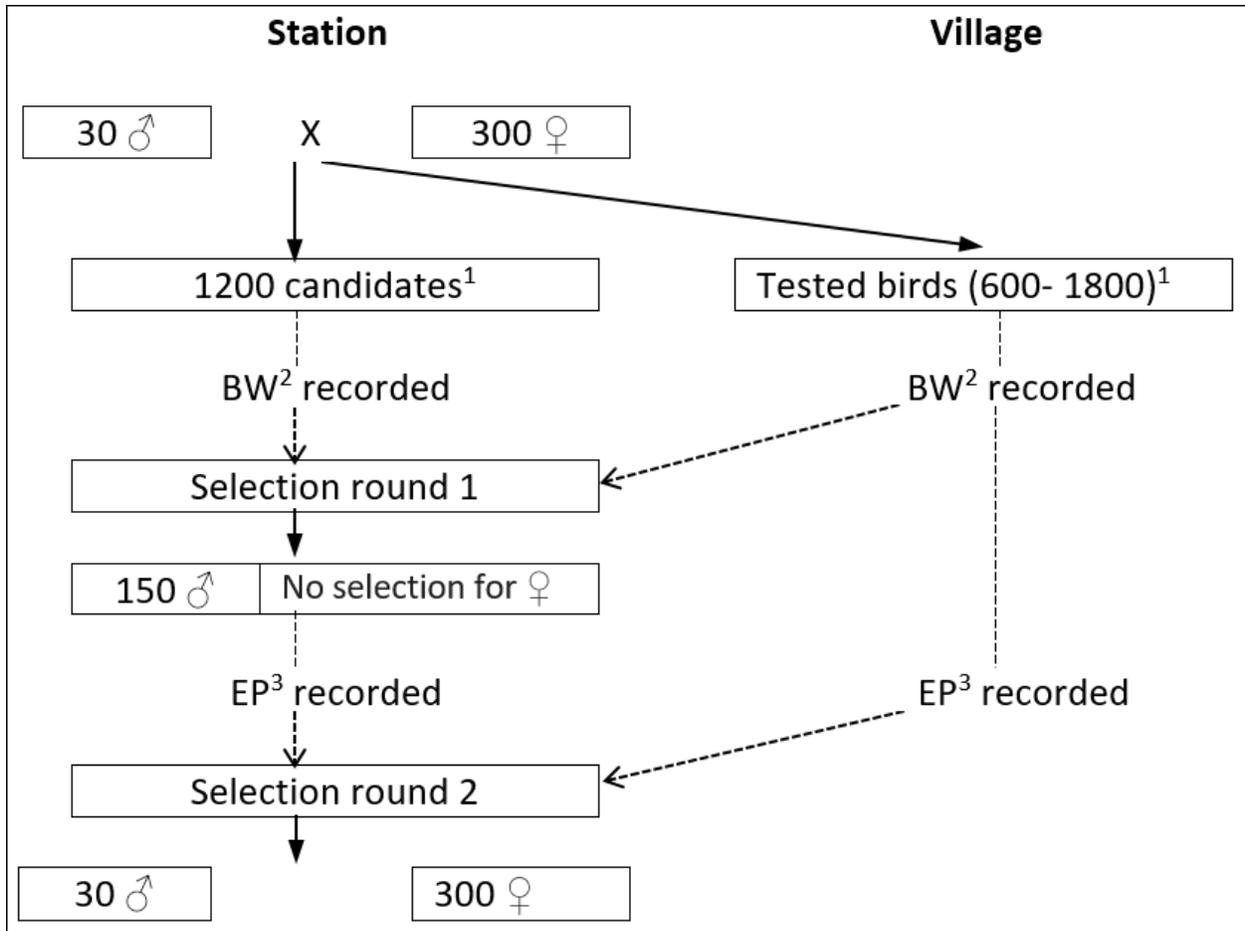
0.9      0.5      0.56      31

510 **Table 4:** Mean of genetic gains per generation ( $\Delta G$ ) ( $\pm$  SD) of breeding scenarios with different  
 511 genetic correlations between station and village bodyweight traits ( $r_{g\_BW}$ ) and egg production traits  
 512 ( $r_{g\_EP}$ ) using either individual (VIO) or group recording (VGO) of village observations of 600,  
 513 1200 and 1800 birds.

$r_{g\_BW}$	$r_{g\_EP}$	$r_{g\_HI}$	600		1200		1800	
			VIO	VGO	VIO	VGO	VIO	VGO
0.5	0.1	0.16	20.4 $\pm$ 2.4	20.1 $\pm$ 2.7	23.7 $\pm$ 2.1	22.2 $\pm$ 2.5	25.9 $\pm$ 1.8	24.5 $\pm$ 2.0
0.7	0.1	0.20	21.9 $\pm$ 2.1	21.2 $\pm$ 2.2	25.1 $\pm$ 2.3	23.7 $\pm$ 2.1	26.6 $\pm$ 2.0	25.2 $\pm$ 2.2
0.9	0.1	0.23	23.7 $\pm$ 2.3	23.0 $\pm$ 2.1	26.5 $\pm$ 2.1	25.3 $\pm$ 2.0	28.0 $\pm$ 2.0	26.9 $\pm$ 2.3
0.5	0.3	0.33	21.1 $\pm$ 2.2	20.5 $\pm$ 2.2	24.0 $\pm$ 2.1	23.4 $\pm$ 2.3	25.9 $\pm$ 2.2	24.7 $\pm$ 2.3
0.7	0.3	0.36	22.8 $\pm$ 2.3	21.5 $\pm$ 2.3	25.3 $\pm$ 2.2	24.6 $\pm$ 2.2	27.3 $\pm$ 2.1	25.9 $\pm$ 2.0
0.9	0.3	0.40	24.7 $\pm$ 2.3	24.0 $\pm$ 2.3	26.6 $\pm$ 2.0	26.2 $\pm$ 1.6	28.2 $\pm$ 1.8	27.1 $\pm$ 1.9
0.5	0.5	0.50	23.0 $\pm$ 2.1	22.0 $\pm$ 2.4	25.7 $\pm$ 2.2	24.7 $\pm$ 2.5	27.4 $\pm$ 2.1	26.0 $\pm$ 2.1
0.7	0.5	0.53	24.0 $\pm$ 2.4	23.4 $\pm$ 2.3	26.7 $\pm$ 2.2	25.7 $\pm$ 2.1	28.1 $\pm$ 2.5	26.6 $\pm$ 2.1
0.9	0.5	0.56	25.8 $\pm$ 2.1	25.1 $\pm$ 2.2	27.7 $\pm$ 2.0	26.5 $\pm$ 1.7	28.9 $\pm$ 1.9	28.0 $\pm$ 2.1

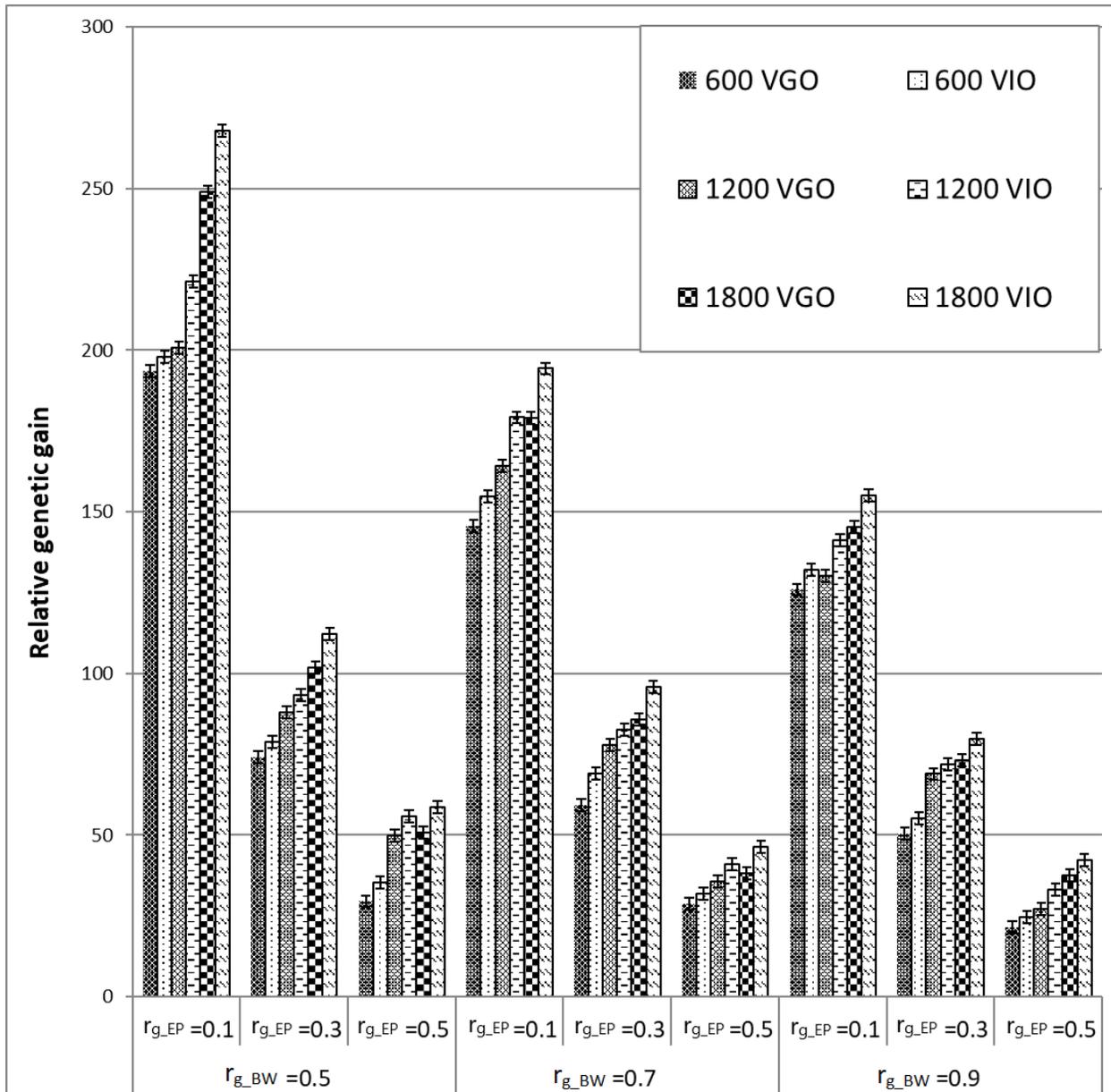
514

515 **Figure**



516

517 **Figure 1: Breeding cycle of a generation.** <sup>1</sup> Sex ratio of 1♂:1♀; <sup>2</sup> Bodyweight observed in both  
 518 ♂ and ♀; <sup>3</sup> Egg production observed in ♀; → Birds reproduced/ selected, --- Observations  
 519 realized, ---→ Information for selection



520

521 **Figure 2:** Means of relative genetic gains (%) ( $\pm$  SEM of 2%) of breeding scenarios with  
 522 different genetic correlations between station and village bodyweight traits ( $r_{g\_BW}$ ) and egg  
 523 production traits ( $r_{g\_EP}$ ) using either individual (VIO) or group recording (VGO) of 600, 1200  
 524 and 1800 village tested birds.