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# Moisture dependent diffusion and shrinkage in yam during drying

E.A. Aamankwah<sup>1,2</sup>, K.A. Dsizi<sup>2</sup>, G. van Straten<sup>1</sup>, A.J.B. van Boxtel<sup>1</sup>

<sup>1</sup> Biobased Chemistry and Technology, Wageningen University Research.  
POBox 17, 6700 AA, Wageningen, the Netherlands

<sup>2</sup> Food Science and Technology and Biochemistry and Biotechnology Departments,  
Kwame Nkrumah University of Science and Technology,  
Kumasi, Ghana

## Abstract

Crank's analytical approximations for Fick's diffusion equation were used to investigate the effect of moisture dependent sample thickness and diffusivity on the drying behavior of yam (*Dioscoreaceae rotundata*) cubicles. Drying and shrinkage experiments were separately conducted at temperatures of 30, 40 and 50°C in a cabinet drier. The comparative study of moisture dependent shrinkage and moisture dependent diffusivity justifies the interdependence of diffusivity and shrinkage due to water loss during drying. The behavior for yam is best explained by a combination of fractal moisture dependent shrinkage and moisture dependent diffusion, describing both the drying and rate curves better with good prediction of the high moisture regions. This assertion was reached as a result of low mean square error, standard error, percentage relative deviation, Akaike's Information Criterion and high coefficient of determination. The results may indicate a varying mobility of water in food matrix of different moisture content in the multilayer and monolayer regimes.

Keywords: Yam (*Dioscoreaceae rotundata*), drying curves, water transport, effective diffusion

26 **1. Introduction**

27 Yam, a delicacy and a major source of food supply for many African, Asian and Latin American  
28 countries, has a moisture content of about 70% when harvested, which make yam perishable [1]  
29 This can be prevented by drying into powders and storage under appropriate conditions. The  
30 powders are incorporated into soups, baby foods or processed into a thick viscous diet called  
31 Amala in Nigeria or Fufu in Ghana. Yam powder is obtained from yam cubicles which are dried  
32 in a traditional way like open sun drying or by using industrial or solar dryers. During drying,  
33 shrinkage occurs. To advance drying technology, it is essential to quantify and analyze the drying  
34 characteristics of yam cubicles, not neglecting the shrinkage factor.

35 Torres et al. [2] report about the drying characteristics of two yam species (*Dioscoreaceae*  
36 *alata*) by using a classical model approach. The use of the Page equation is a semi-empirical  
37 approach and does not reflect the diffusion behavior that occurs in many food products as  
38 formulated by [3, 4, 5] who have shown a linear relationship between moisture and shrinkage.  
39 Sjöholm and Gekas [6] have shown a linear relationship between  $D_{eff}$  and moisture content during  
40 apple drying as a consequence of volume change with moisture content. The change of moisture  
41 content in these products is given by Fick's second law:

$$\frac{dX(t, x)}{dt} = \frac{d}{dx} D \frac{dX(t, x)}{dx} \quad (1)$$

42 with  $X(t, x)$  the moisture content (kg water/kg solids) as a function of time ( $t$ ) and position ( $x$ ) in  
43 the product compared to the center.  $D$  is the effective diffusion coefficient ( $m^2/s$ ).

44 Crank [7] provided analytical solutions of the diffusion equation for standard shaped  
45 products. For a product with an uniform initial moisture concentration ( $X_0$ ), negligible external

46 resistance and time invariant diffusion coefficient the analytical solutions for the average moisture  
 47 content in an infinite sized slab is given as:

$$MR(t) = \frac{X(t) - X_e}{X_o - X_e} = \frac{8}{\pi^2} \sum_{n=0}^{\infty} \frac{1}{(2n+1)^2} \exp\left(-\frac{(2n+1)^2 \pi^2 D}{L^2} t\right) \quad (2)$$

48 With  $MR(t)$  the moisture ratio,  $X(t)$  the actual averaged moisture content,  $X_o$  the initial moisture  
 49 content,  $X_e$  the equilibrium moisture content at the end of drying, all in kg water/kg solids and  
 50  $L$  (m) the thickness of the slab.

51 Equation 2 represents a series of terms and writing the first 3 terms out ( $n = 0, 1$  and  $2$ ) gives

$$MR(t) = \frac{8}{\pi^2} \exp\left(-\frac{\pi^2 D}{L^2} t\right) + \frac{8}{9\pi^2} \exp\left(-\frac{9\pi^2 D}{L^2} t\right) + \frac{8}{25\pi^2} \exp\left(-\frac{25\pi^2 D}{L^2} t\right) + \dots \quad (3)$$

52 The time scales of the successive terms differ strongly, i.e. the time scale of the third term is very  
 53 short, for the second term, longer but still fast and the expression is dominated by the time scale  
 54 of the first term. Together with a decreasing pre-exponential factor for each term, in practice just  
 55 one or two terms suffice ( $n = 0$  and  $1$ ), leading to Eq. 4 as a suitable basis for the interpretation  
 56 of drying curves ( $n = 0$  and  $1$ ).

$$MR(t) = \frac{8}{\pi^2} \exp\left(-\frac{\pi^2 D}{L^2} t\right) + \frac{8}{9\pi^2} \exp\left(-\frac{9\pi^2 D}{L^2} t\right) \quad (4)$$

57 Often only one term is used as reported by [8,9,10,11,5].

58 In our experiments on drying of yam cubicles with a limited size, we observed systematic  
 59 deviations between the data and fitted curves based on Eq. 4. Assuming that moisture transport for

60 yam is diffusion limited, these deviations can be a result of the following issues: (i) the geometry  
61 of the cubicles does not satisfy the conditions for infinite sized slabs, (ii) moisture transport is  
62 affected by shrinkage [12], or (iii) the effective diffusion coefficient is not constant [13].

63 In this work we perform a step-wise analysis to understand the observed deviations  
64 between the data and fits for Eq. 4. To check the role of the geometry and size of the particles an  
65 analysis with computational fluid dynamics is performed. The role of shrinkage is investigated by  
66 using the concepts of volume reduction [6] and the effect of fractal change of thickness [14]. Ruiz-  
67 Lopez and Garcia-Alvarado [13] relate the diffusivity of water in the product matrix to moisture  
68 content. In line with their observations a moisture dependent diffusion coefficient is evaluated in  
69 this work.

70

## 71 **2. Materials and Methods**

### 72 **2.1. Yam species and sample preparation**

73 Yam tubers, *Dioscoreaceae rotundata* cultivar *Dente*, were precisely cut into discs of 10 mm  
74 thickness and subsequently the discs were further cut into square dimensions of 30 mm by 30 mm.  
75 The dimensions of the samples were measured using digital calipers (model: 01407A, NEIKO,  
76 USA) of 0.02 mm accuracy.

### 77 **2.2. Shrinkage and moisture measurements**

78 In separate experiments on shrinkage, ten fresh yam cuts (3x3x1cm) were placed in the drying  
79 chamber and dried at 30, 40 and 50°C. Before and after drying for 2, 4, 6, and 15 hours for all  
80 temperatures, plus 19, 42 and 72 hours for 50, 40 and 30 °C, respectively and, 5 samples

81 (replicates) were randomly selected from the drying chamber. For each sample cubicle, the side  
 82 thickness ( $S_T$ ) and side lengths ( $S_L$ ) were determined with the digital calipers at the four sides of  
 83 the sample, while the center thickness ( $C_T$ ) was measured three times within the neighborhood of  
 84 the center of the sample. The average values each of the  $S_T$ ,  $S_L$  and  $S_T$  ere calculated. After the size  
 85 measurements the corresponding moisture content of the samples were determined.

86 According to [14] the relative sample thickness and sample volume are related to each  
 87 other by an exponential relation with fractal dimensional exponent ( $z$ ) as shown in Eq. 5:

$$\frac{L_i}{L_0} = \left[ \frac{V_i}{V_0} \right]^{1/z} \quad (5)$$

88 With  $V_0$  and  $L_0$  respectively the initial sample volume ( $\text{mm}^3$ ) and thickness (mm),  $V_i$  and  $L_i$  the  
 89 volume and thickness of the sample at the sampling moments during drying. The thickness of the  
 90 sample is the average value from the four measured side thicknesses ( $S_T$ ) and the center thickness  
 91 ( $C_T$ ):

$$L_i = \left[ \frac{4S_T + C_T}{5} \right] \quad (6)$$

92 The circular deformation from the sides to the center at the top and bottom surface of the sample  
 93 is considered as a parabolic form. With symmetrical surfaces, the actual volume of the product is  
 94 then the volume of a square product  $V_{sqr}$  minus the volume of the parabolic indentions  $V_{par}$ :

$$V_i = V_{sqr} - 2V_{par} = S_L^2 S_T - 2(0.5\pi r^2 h) \quad (7)$$

95 With  $r = \frac{S_L}{2}$  the radius of parabola basis and  $h = \frac{S_T - C_T}{2}$  the height of the parabola.

96

### 97 **2.3. CFD- calculations**

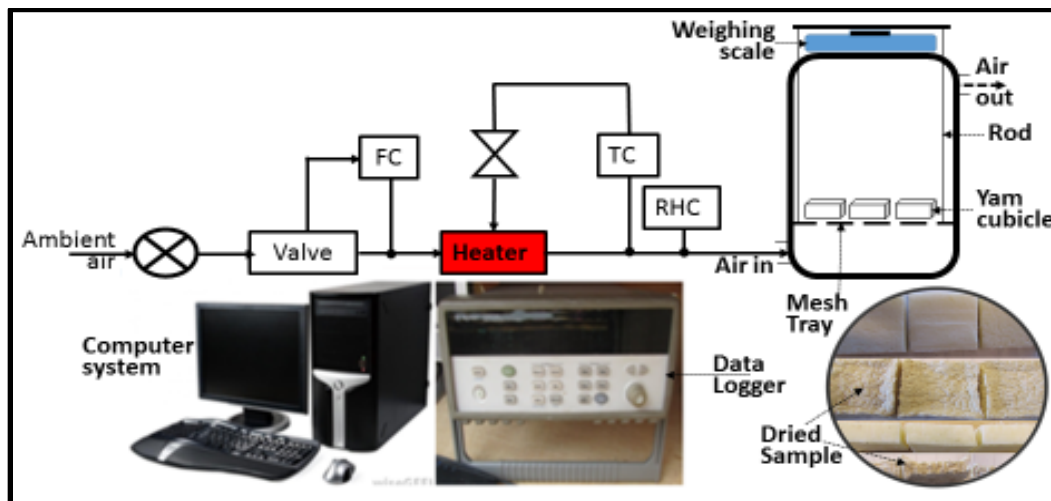
98 In COMSOL two geometries of product cubicles ( $3 \times 3 \times 1$  cm) were defined and Ficks diffusion  
 99 equation was applied to these geometries. Simulations were performed with a diffusion coefficient

100 of  $2.5 \times 10^{-10} \text{ m}^2/\text{s}$ . The initial condition for water content throughout the geometry was set to 1.0  
 101  $\text{kg}/\text{m}^3$  and at the boundaries of the geometry at  $0.0 \text{ kg}/\text{m}^3$ . Drying in the first geometry corresponds  
 102 to an infinite slab by blocking water transport through the side surfaces which results in water  
 103 transport through only the top and bottom surface. The second geometry concerned the actual  
 104 drying behavior by moisture transport through all surfaces. The results were evaluated by fitting  
 105 Eq. 4 to the simulated moisture content as a function of time.

106

107 **2.4. Drying procedure and equipment**

108 The dryer system was made up of a fan, heating element and drying chamber. Ambient air at a  
 109 speed of 2.6 m/s reaches the heating element by a fan (accuracy  $\pm 0.05 \text{ m/s}$ ) through a controlled  
 110 valve. The temperatures of the heated air and in the chamber were measured with K-type  
 111 thermocouples (accuracy  $\pm 0.1^\circ\text{C}$ ). The relative humidity of the inlet air to the dryer was  
 112 determined by a relative humidity sensor of accuracy  $\pm 0.2\% \text{ RH}$ . The inlet air enters the dryer at  
 113 the bottom side and leaves at the top side (See Figure 1).



115 Figure 1 Schematic overview of the drying equipment with flow control (Fl Ctl) and temperature  
 116 control (T Ctl), processor and data logger

117

118 The air flow and air temperature were kept constant through PID controllers. At steady state of  
 119 temperature and air speed, yam cuts (3x3x1 cm) weighing between 170-180g were carefully placed  
 120 on a wire mesh tray in the drying chamber (Figure. 1). The wire mesh tray is connected to a  
 121 weighing scale (Mettler Toledo, PM250, Switzerland) to automatically read the changes in weight  
 122 during drying. An Agilent data logger (model: 34970A, USA) logs and stores the drying air  
 123 temperatures, air speed, relative humidity and changes in the sample weight by using a Labview  
 124 interface. All data were recorded within intervals of 2 seconds each and repeated for drying air  
 125 temperatures of 30, 40 and 50°C.

126

127 **2.5. Statistical analysis of data**

128 Nonlinear regression in Matlab was used for parameter estimation of the models to the  
 129 experimental data. The extent of variation between experimental data and model was determined  
 130 with the statistical performance indicators:

Standard error: 
$$SE = \sqrt{\frac{\sum_{i=1}^{N_e} (Residuals)^2}{N_e - N_p}} \quad (8)$$

Percent average relative deviation: 
$$PRD(\%) = \frac{100}{N_e} \sum_{i=1}^{N_e} \left( \frac{|Residuals|}{X} \right) \quad (9)$$

The mean square error (MSe) 
$$MSe = \frac{\sum_{i=1}^{N_e} (Residuals)^2}{N_e} \quad (10)$$

131 Where the residuals are the differences between the observed and predicted data. and  $X$  is the  
 132 observed moisture content value. In general, a better fit is obtained with more parameters, but the  
 133 improvement must be worth-while. Akaike's Information Criterion (AIC) is especially suitable  
 134 for comparing models with a different number of parameters. The criterion is defined by



$$AIC = 2N_p + N_e \ln(V(\hat{\mathbf{p}})) \quad (11)$$

135 based on the likelihood function, but ignoring the constant term  $-N_e \ln(N_e) - N_e \ln(2\pi) - N_e$ .  
136 The model with the lowest AIC is preferred. Here  $V(\hat{\mathbf{p}})$  is the sum of squares errors,  $N_p$  is the  
137 number of parameters of a particular model, and  $N_e$  is the number of experimental data points. All  
138 data were processed and evaluated using the Matlab software.

### 139 **3. Results and Discussion**

#### 140 **3.1. Shrinkage**

141 Table 1 shows the mean dimensions of the yam cubicles and its corresponding moisture content in  
142 time. Shrinkage is highest in the center of the cubicle and is temperature dependent. The percentage  
143 shrinkage is between 44-64% in the center with highest shrinkage recorded at 50°C From the data  
144 in Table 1 first the thickness and volume were calculated according Eqns. 6 and 7 and next the  
145 results were transformed to the relative thickness ( $L_c = L_i/L_0$ ) and relative volume ( $V_c = V_i/V_0$ )  
146 by dividing with the initial values at start of the experiment. These results are given in Table 2.

147

148 Table 1 Measured dimensions of yam cubicles and moisture content for drying at 30, 40 and 50°C

Time (h)	30°C				40°C				50°C			
	$S_L$ (cm)	$S_T$ (cm)	$C_T$ (cm)	X(db)	$S_L$ (cm)	$S_T$ (cm)	$C_T$ (cm)	X(db)	$S_L$ (cm)	$S_T$ (cm)	$C_T$ (cm)	X(db)
0	3.00	1.00	1.00	2.330	3.00	1.00	1.00	2.330	3.00	1.00	1.00	2.330
2	2.93 (0.019)	0.91 (0.037)	0.92 (0.032)	1.758	2.84 (0.030)	0.90 (0.021)	0.89 (0.022)	1.390	2.83 (0.073)	0.86 (0.017)	0.85 (0.012)	1.12
4	2.85 (0.065)	0.88 (0.032)	0.87 (0.043)	1.589	2.76 (0.04)	0.83 (0.024)	0.78 (0.035)	1.104	2.7 (0.053)	0.8 (0.024)	0.64 (0.011)	0.77
6	2.78 (0.049)	0.87 (0.032)	0.79 (0.045)	1.132	2.69 (0.047)	0.79 (0.029)	0.72 (0.031)	1.055	2.6 (0.026)	0.79 (0.039)	0.56 (0.045)	0.52
15	2.74 (0.046)	0.84 (0.031)	0.62 (0.027)	0.625	2.61 (0.057)	0.74 (0.029)	0.56 (0.024)	0.400	2.5 (0.042)	0.7 (0.020)	0.48 (0.028)	0.254
19	-	-	-	-	-	-	-	-	2.48 (0.036)	0.68 (0.019)	0.36 (0.032)	0.045
47	-	-	-	-	2.58 (0.029)	0.70 (0.02)	0.50 (0.035)	0.140	-	-	-	-
72	2.71 (0.025)	0.83 (0.026)	0.56 (0.025)	0.170	-	-	-	-	-	-	-	-
%Shr	10	17	44	-	14	30	50	-	17	32	64	-
Sdv <sub>ave</sub>	0.0408	0.0316	0.0344		0.0406	0.0246	0.029		0.046	0.0238	0.0272	
Stdev (Stdev)	0.0187	0.00391	0.00915		0.01180	0.00427	0.00660		0.01799	0.00887	0.01509	

149 (*)* = standard deviation over 5 replicates, *Shr* = shrinkage, *Sdv<sub>ave</sub>* = average of standard deviation, *Stdev (Stdev)* = Standard deviation of the  
 150 standard deviation  
 151  
 152

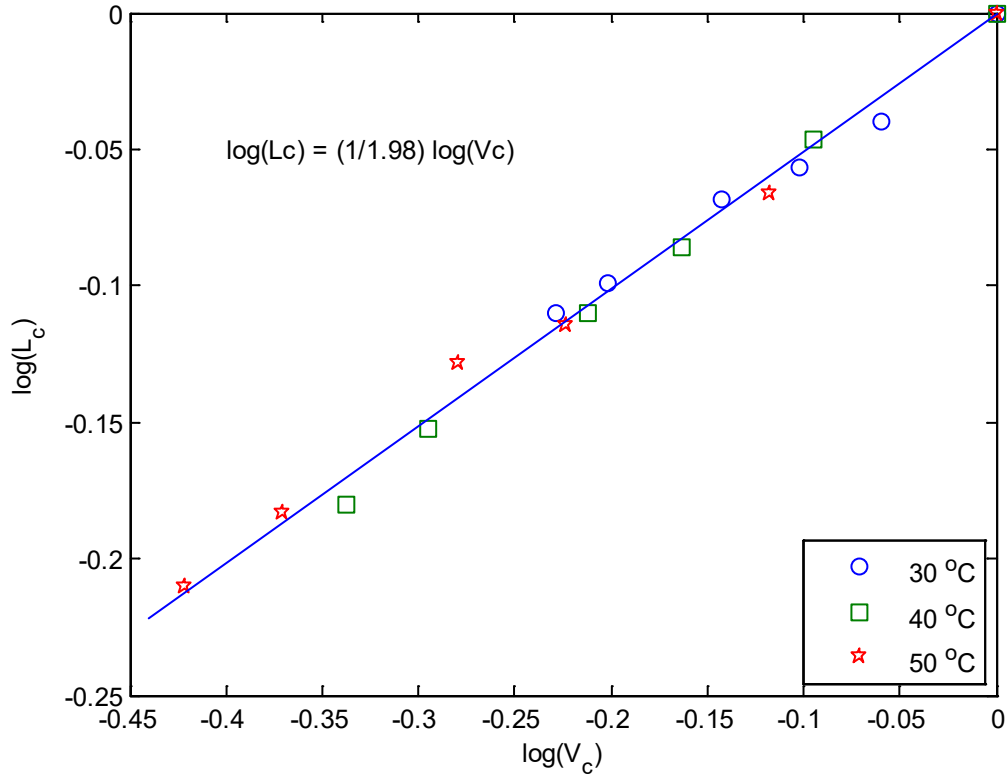
153 Table 2 Relative volume ( $V_c$ ) and thickness ( $L_c$ ) derived from the product dimensions (Table 1)  
 154 and product moisture content ( $X(t)$ ) during drying at temperatures ranging between 30-50 °C

Moisture content X (kg/kg)	Relative thickness $L_c$ (-)	Relative volume $V_c$ (-)
30 °C		
2.33	1.00	1.00
1.76	0.91	0.87
1.59	0.88	0.79
1.13	0.85	0.72
0.63	0.80	0.63
0.17	0.78	0.59
40 °C		
2.33	1.00	1.00
1.39	0.90	0.80
1.10	0.82	0.69
0.66	0.78	0.61
0.40	0.70	0.51
0.14	0.66	0.46
50 °C		
2.33	1.00	1.00
1.12	0.86	0.76
0.77	0.77	0.60
0.52	0.74	0.52
0.25	0.66	0.43
0.05	0.62	0.38

155  
 156 Linear regression of relative thickness ( $L_c$ ) against moisture content ( $X$ ) at temperatures 30, 40 and  
 157 50 °C respectively gave the combined linear equations as:

$$L_c(X, T) = (0.0033T + 0.0105)X + 0.8870 - 0.0054T \quad (12)$$

158  
 159 Equation 5 relates the relative thickness ( $L_c$ ) and relative volume ( $V_c$ ) through the fractal coefficient  
 160 ( $z$ ). Figure 2 presents the double logarithmic plot of relative thickness and volume for all data  
 161 from Table 2, which results in average fractal factor,  $z = 1.98$ . This value is in the upper range  
 162 of the values found by [14] and ( $z=1.4-1.8$ ) and indicates a relative strong contribution of the  
 163 sample thickness to the volume (See Figure 2).



164

165 Figure 3 Logarithm of volume change ( $V_c$ ) against logarithm of thickness change ( $L_c$ ) for  
 166 temperatures ranging between 30-50 °C.

167

168 The yam cubicle dimensional reduction during drying represented by the relative volume from  
 169 Table 2 at temperatures 30-50°C showed non-linear relationships with moisture content ( $X$ ).

170 Regression analysis of  $\log(V_c)$  as a function of  $X - X_0$  is given as:

$$\log(V_c) = SX - SX_0 \quad (13)$$

171 Where  $S$  is the slope,  $X_0$  is the initial moisture content,  $X$  is the moisture content in time, both  
 172 given as kg water/kg dry matter. The combination gives

$$\log(V_c) = (0.0038T - 0.0012) X - 0.0065T + 0.0738 \quad (14)$$

173 The expression for the final relative thickness is then:

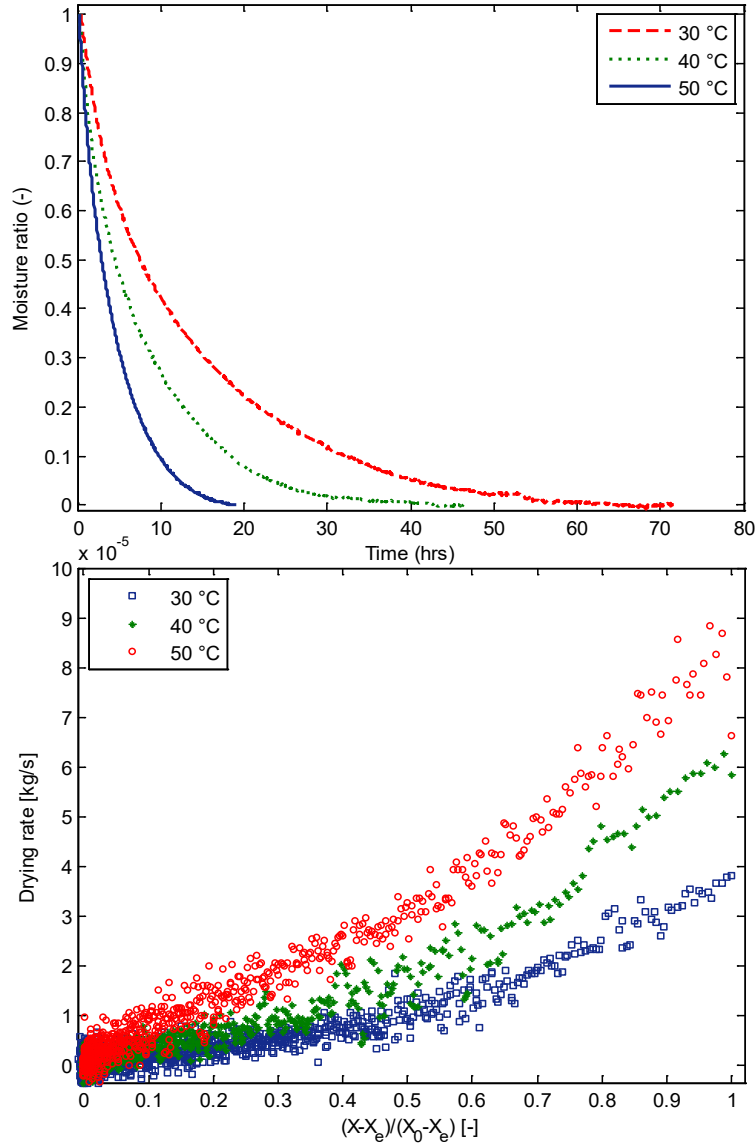
$$\frac{L}{L_0} = L_c = 10^{\frac{[(0.0038T - 0.0012)X - (0.0065T + 0.0738)]}{z}} \quad (15)$$

174 Both equation 12 and 15 give an expression for the relative thickness as a function of moisture  
175 content and temperature. The difference between both equations arises from the applied procedure  
176 to link the relative thickness to the moisture content. Equation 12 is based on direct regression  
177 between thickness and moisture content, while equation 15 is based on regression between product  
178 volume and moisture content.

179

### 180 **3.2. Drying and drying rate curves**

181 Figure 3 (top) shows the drying curves of the observed data of yam cubicles at temperatures of 30,  
182 40 and 50°C. The figure shows the well-known trends for drying curves, with a decreasing  
183 moisture ratio over time and shorter drying times for higher temperatures. From the raw data the  
184 drying rate was derived and expressed as a function of the moisture ratio (Figure 3 (bottom)).  
185 Figure 3 (bottom) shows that the drying rate increases with moisture ratio and with steeper slope  
186 for higher temperatures. The plots show two main phases of rates which can, at first sight, be  
187 approximated by linear functions as: 1) a linear function for the range 0 - 0.5 and a linear function  
188 for the range above 0.5. Jannot et al. [15] reported of 3 phases for banana. In the next part these  
189 phases section are analyzed by Crank's approximation for Fick's second law.



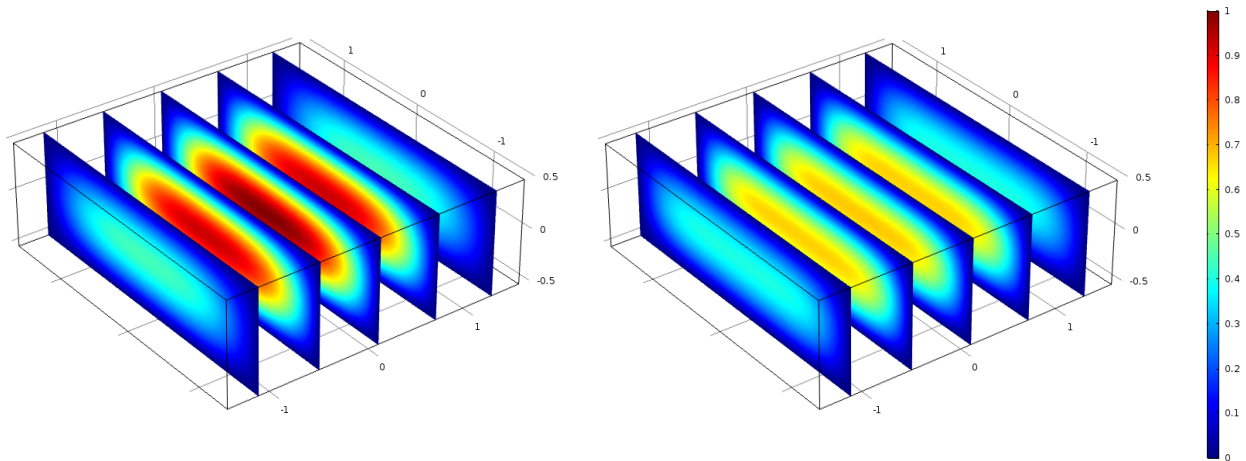
190  
 191 Figure. 3 Experimental data of moisture ratio against time (top) and drying rate against moisture  
 192 (bottom) of yam at different temperatures

193

### 194 3.3.CFD-results

195 Figure 4 represents the distribution for the moisture ratio in product samples with moisture  
 196 transport through all product edges at 20000 and 50000 seconds. The distribution, with a gradual  
 197 decrease of moisture towards the edges of the product, is a characteristic example for diffusional

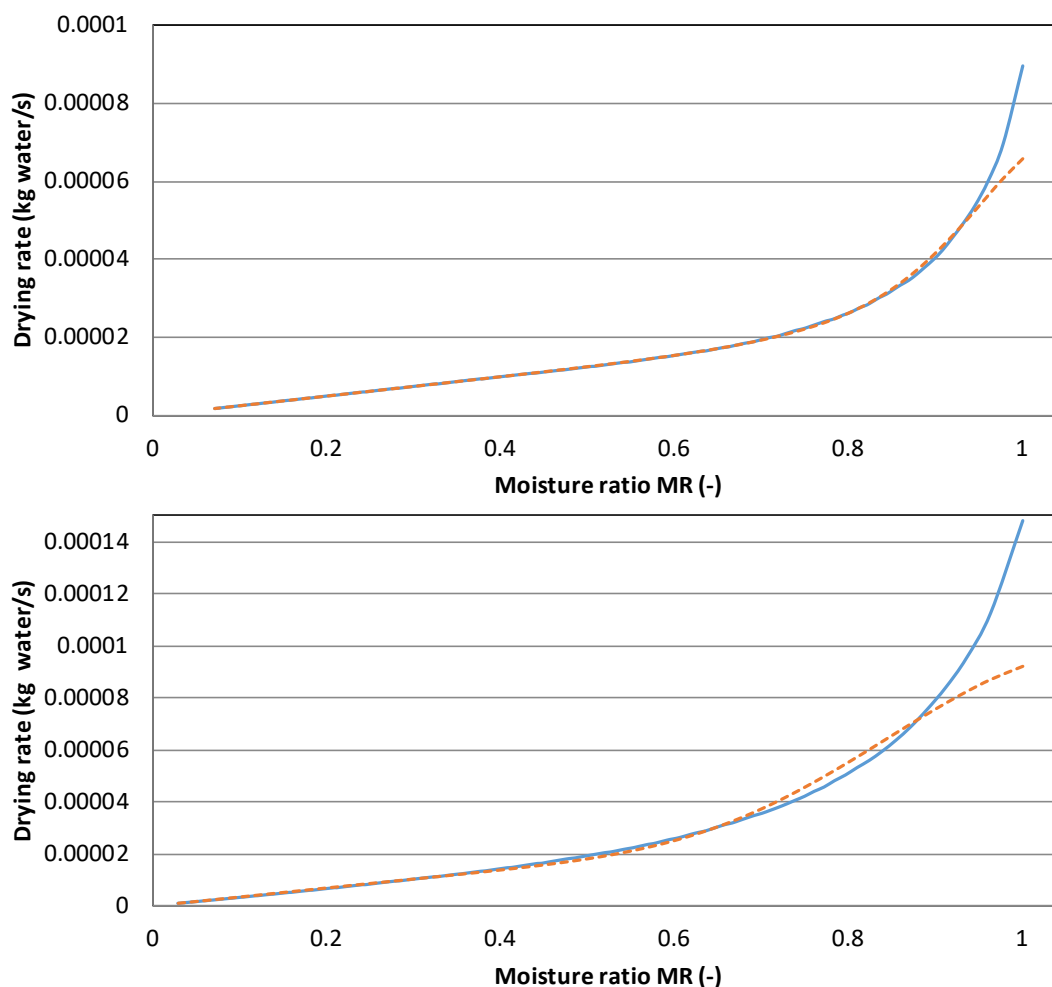
198 mass transport in a sample with limited dimensions. For products that behave as an infinite slab  
199 there is only a gradient towards top and bottom of the sample.



200  
201 Figure 4 Profile of moisture ratio in the product samples with transport through all side planes at  
202 20000 and 50000 seconds of drying.

203  
204 Like in Figure 3, CFD generated data of the drying rate for the two geometries are plotted against  
205 the moisture ratio in Figure 5 (top: transport through only bottom and top and satisfying the  
206 properties of an infinite slab, bottom: moisture transport through all sides). Comparison of the  
207 results shows that the drying rate in the second geometry is above that of the first geometry, which  
208 is evident due to the larger product surface available for drying. Applying the three term model  
209 (Eq. 3) to fit the drying curve  $X(t)$  as a function of time resulted in the dashed lines in both graphs.  
210 Overall, the drying rate from the three term model is in both cases very close to the data, the main  
211 difference is in the region of the high moisture ratio. The performance in the high moisture region  
212 could be slightly improved by adding more terms. The estimated diffusion coefficient for the first  
213 geometry corresponds to that used in the simulations to generate the data. For the second geometry

214 the estimated diffusion coefficient is higher due to the larger drying rates that result from the extra  
215 moisture transferring surfaces. These results show that, with a higher effective diffusion  
216 coefficient, Crank's approximation can also be applied for the considered particles with moisture  
217 transport through the side surfaces. Moreover, the different phases in the drying rate in Figure 3  
218 are not result of the rather small dimensions of the particles used in the experiments.



219  
220 Figure 5. Comparing CFD generated data for a geometry with moisture transport through the top  
221 and bottom surface (top), and a geometry with moisture transport through all sides (bottom).  
222 Drawn line CFD data, dashed line approximation with the Crank's approximation with three terms.  
223



### 224 3.4. Fitting drying curves to data

225 The form of the drying rate curves for the data generated by CFD and the measured data given in  
226 Figure 3 (bottom) have a large similarity and therefore the measured drying curves were fitted with  
227 the Eq. 4 with 2 terms. The noise in the measured data was too high for a statistical meaningful  
228 application of 3 terms (Eq. 3). To compensate partly for the effects of higher terms, the coefficient  
229 of the second term in the right hand side of Eqn. (4) is considered as a parameter (Eq. 16).

230

Non-linear

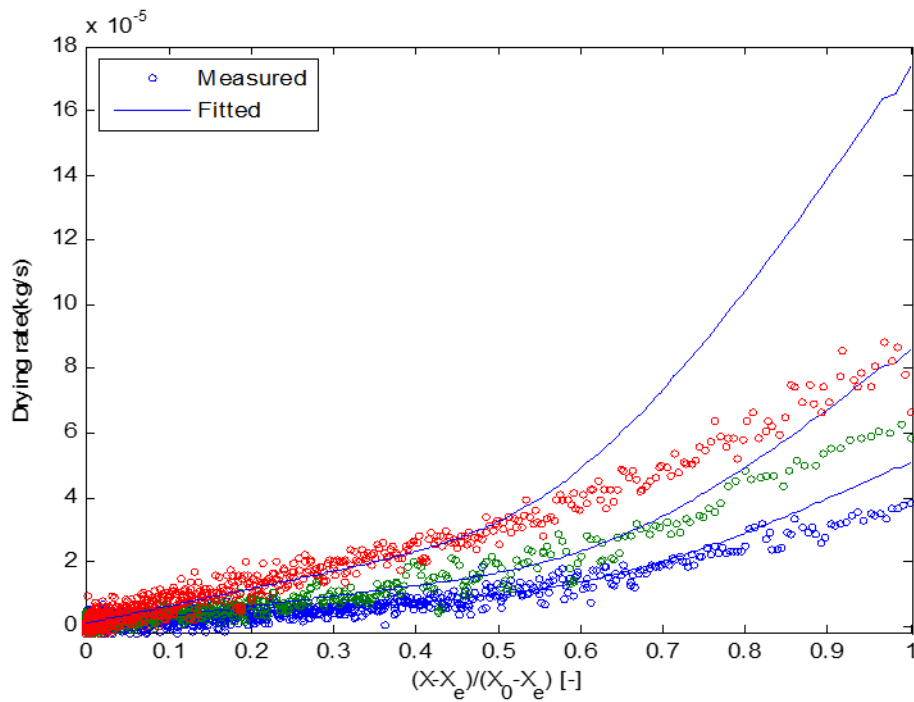
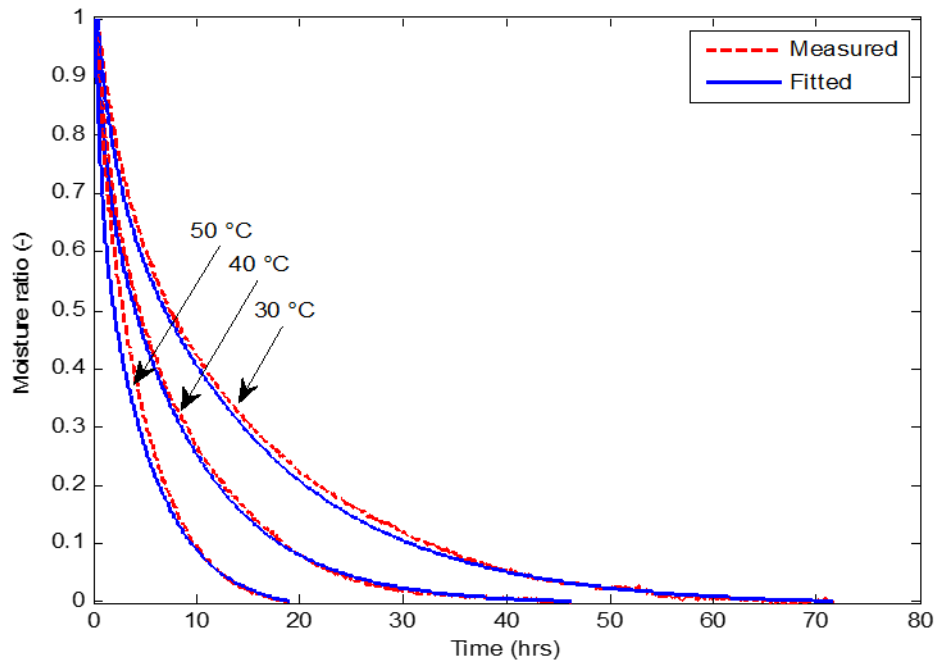
$$MR(t) = a \exp\left(-\frac{\pi^2 D(X)}{L(X)^2} t\right) + p \exp\left(-\frac{9\pi^2 D(X)}{L(X)^2} t\right) \quad (16)$$

231

232 At first, Eq. 16 is fitted to the data with fixed values for the diffusion coefficient  $D$  and sample  
233 thickness  $L$ . Figure 6 shows measured and model curves of the non-linear approximation for the  
234 diffusion equation (where  $a = \frac{8}{\pi^2}$ ). The obtained parameters and the statistics of the fit are given  
235 in Table 2.

236 Figure 6 (top) represents moisture ratio as a function of time while the bottom figure represents  
237 the drying rate as a function of moisture ratio at constant slab thickness and diffusion coefficient.  
238 The figures show systematic errors in the models, while the drying rate curves reflect a drastic  
239 deviation of the models from the observed data. However, it is able to produce the two phases as  
240 observed in Figure 4 (bottom). The deviation of the drying rate curve (Figure 6, bottom) can  
241 possibly be a result from 1) product shrinkage, 2) a moisture dependent diffusion coefficient, or 3)  
242 a combination of these two.

243



244  
 245 Fig 6. Results for the two term diffusion equation approximation. Moisture ratio as a function of  
 246 time (top), drying rate as a function of moisture ratio (bottom) at constant slab thickness and  
 247 diffusion coefficient.

248

249 Table 2 Estimated parameters with coefficient of variation in brackets (%) and statistical results  
 250 for two-term at constant sample thickness and diffusion coefficient.

	Temperature °C	30	40	50
	$D \times 10^{-10} \text{ m}^2/\text{s}$	1.833 (0.22)	3.143 (0.28)	5.472 (0.93)
	$p$	0.301 (1.59)	0.300 (2.013)	0.434 (5.26)
Two term equation at constant slab thickness and diffusion coefficient	$MSe \times 10^{-4}$	0.577	0.553	0.694
	SE	0.007	0.007	0.0263
	PRD	2.987	3.306	15.707
	AIC	-3336.810	-2559.481	-508.501
	$R^2$	0.999	0.999	0.998

251

252 Because of the systematic deviations in both the drying curve and drying rate curve the effect of  
 253 the dependency of  $L$  and  $D$  on  $X$ , is studied by considering four options. The results are given in  
 254 Figure 7 and Table 3.

255

256 Option one: left graphs in Figure 7, concern a variable slab thickness, linearly related to the  
 257 moisture content ( $L(X) = c_1X(t) + c_2$ , and based on Eq. (12), and a constant diffusion coefficient  
 258 ( $D$ ). The fits for the moisture ratio over time in Figure 7a and the drying rate in Figure 7b deviate  
 259 significantly from the data, especially the drying curve.

260

261 Option two: Figure 7c,d, middle graphs, gives the results for an effective diffusion coefficient,  
 262 linearly related to the moisture content according to  $D(X) = D_0 + bX(t)$ , in combination with a  
 263 constant slab thickness. Compared to option 1, the drying curve with moisture ratio over time fits  
 264 better to the data, which is also reflected by a lower mean squared error and standard error etc.

265 (see Table 3). Moreover, the drying rate model fits better to the data. However, the coefficient  $b$   
266 in the expression  $D(X) = D_0 + bX(t)$  is negative. This implies that the diffusion coefficient  
267 decreases with moisture content. In other words diffusive moisture transport becomes easier  
268 towards the end of drying, which is contradictory to the general experience from the literature [15].

269

270 Option three: Both sample thickness and diffusion coefficient are linearly related to the moisture  
271 content as presented in the previous options. The results are presented in Figure 7e,f (right graphs).  
272 In these graphs, the model results for the drying and drying rate curves are the closest to the data.  
273 The coefficient  $b$  in the expression  $D(X) = D_0 + bX(t)$  is now positive which indicates a  
274 decreasing diffusion coefficient with decreasing moisture content. This result corresponds to a  
275 decline of water mobility during drying which corresponds to the general experience and which is  
276 amongst others explained by the free volume theory [16]. Compared with option 2, option 3  
277 confirms the assertion by [17] that the diffusion coefficient varies during drying together with  
278 thickness. However, this option fails to predict well the observed data at high moisture content due  
279 to the accuracy level of the predictability of the initial relative length ( $L_c = 1.0$ ).

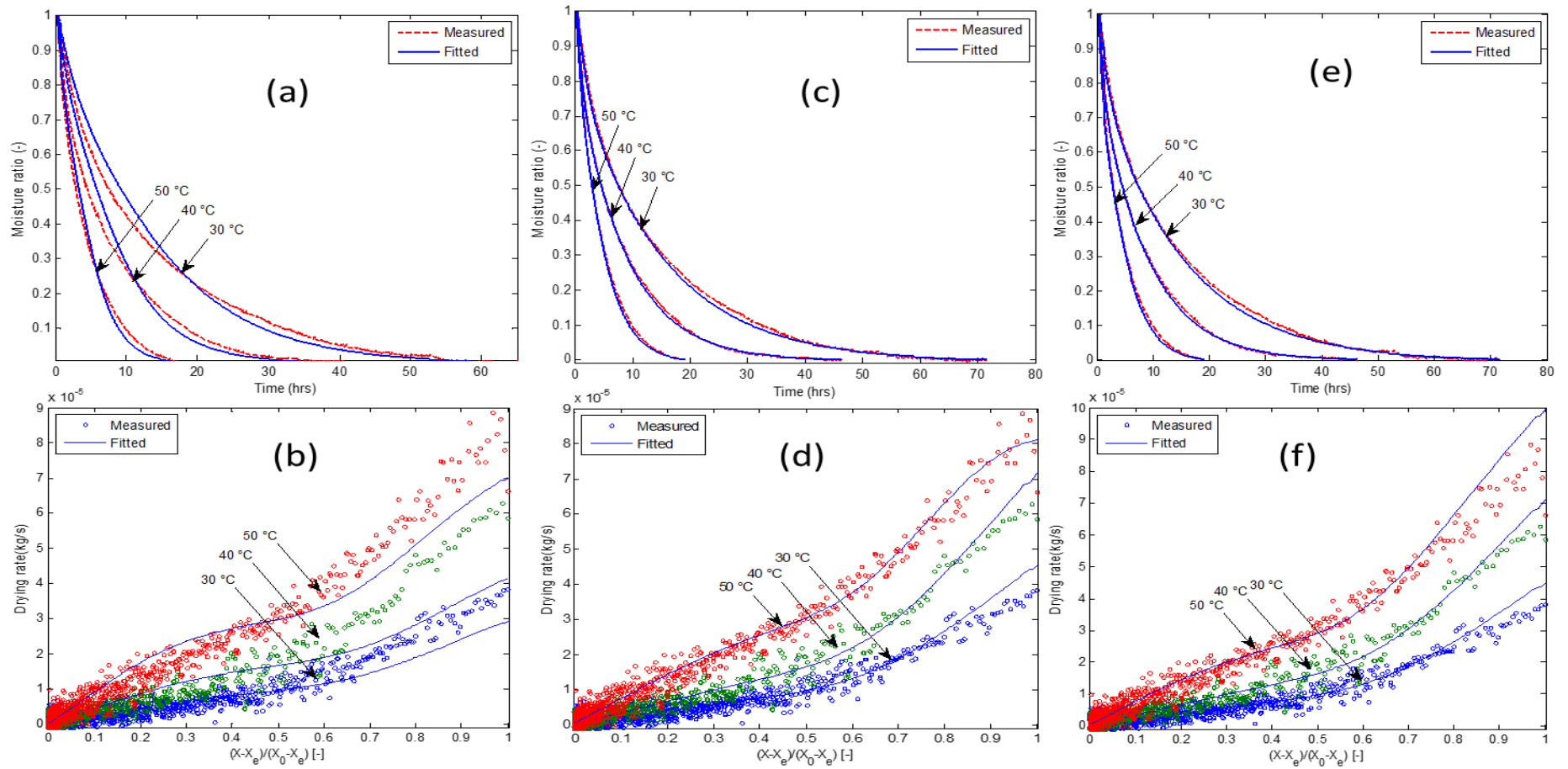
280

281 Option four: Instead of Eq. 12, the fractal thickness of the sample as a function of moisture content  
282 from Eq. 15 is applied in combination of the effective diffusion coefficient, linearly related to the  
283 moisture content (see Figure 8). The parameters and fitting results are summarized in Table 3.  
284 The drying and the drying rate curves show similar fit with that of the third option but now with  
285 good prediction of the high initial moisture content.

286

287 Statistically, for the various temperatures, options 2, 3 and 4 are close and give the lowest MSe  
288 (10 fold or more lower), SE, PRD, AIC and higher  $R^2$  compared to options 1. However option 2 is  
289 rejected for the fact that the coefficient of  $b$  is negative while option 4 is preferred over option 3  
290 due to the good prediction of the high moisture region.

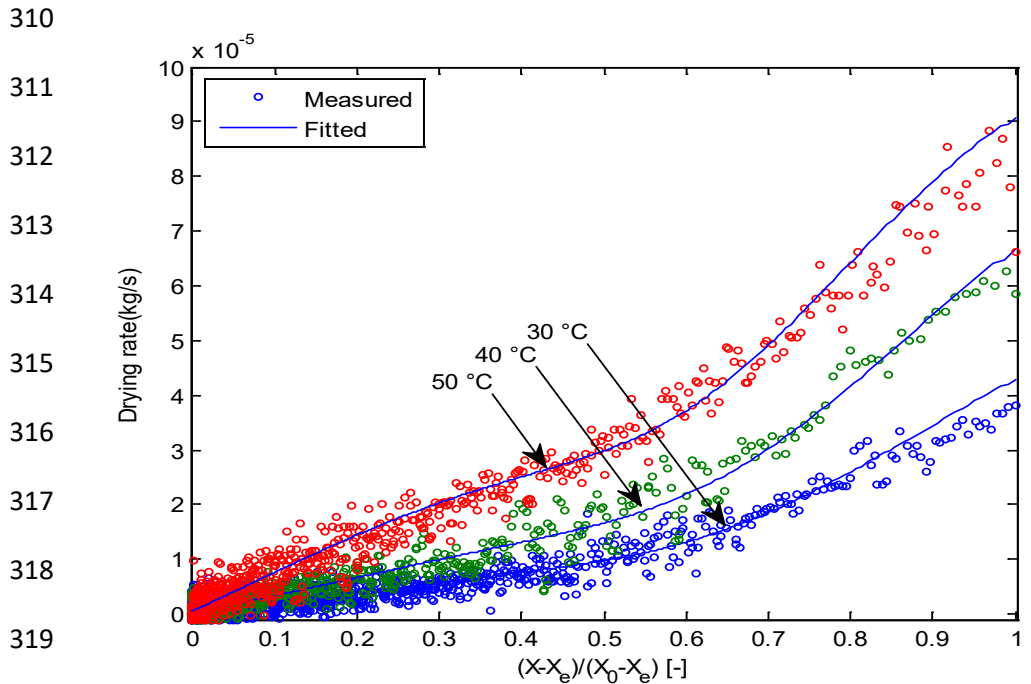
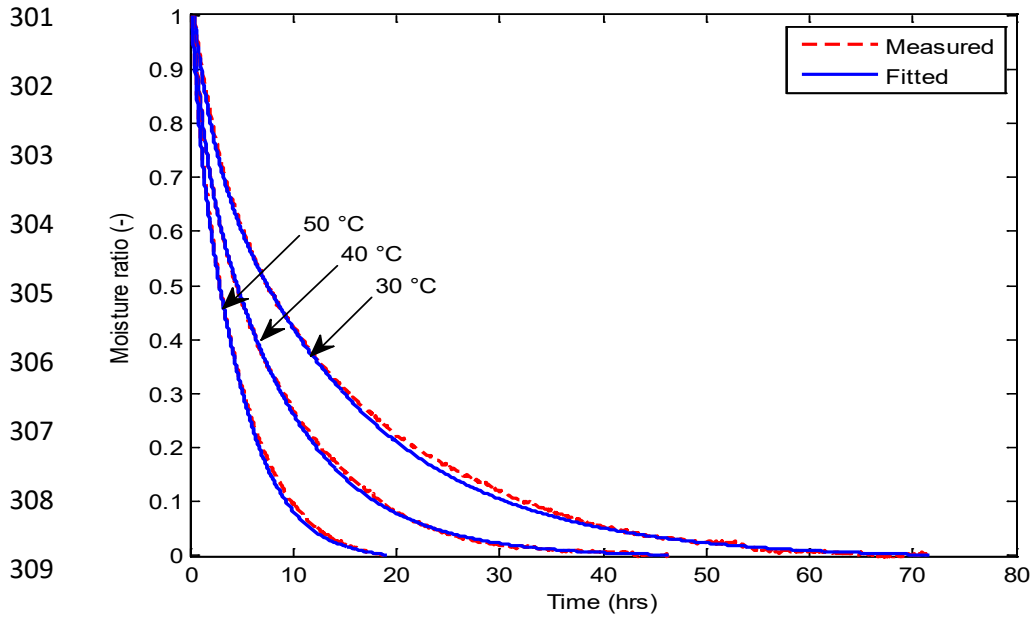
291 Actually, options three and four are very close and differ only in the way the thickness of the  
292 sample is related to the product moisture content. In option three, the relation was direct derived  
293 from the thickness data, while in option four the expression was based on the product volume. The  
294 last approach proved to be a more suitable method when dealing with non-infinite slabs and gives  
295 a better data smoothing result.



296

297

298 Figure 7 Results for the two term diffusion equation approximation (Eq. 16). Shrinkage as linear function of moisture content and  
 299 constant diffusion coefficient (a,b). No shrinkage and diffusion coefficient as a linear function of moisture content (c,d). Combined  
 300 effect of shrinkage and diffusion coefficient both linearly related with moisture content (e,f).



320 Figure 8 Results for the two term diffusion equation approximation (Eq. 16). Fractal thickness  
 321 shrinkage (Eq.13) as a linear function of moisture content and diffusion coefficient linearly related  
 322 to moisture content. Top: Moisture as a function of time; Bottom: Drying rate as function of  
 323 moisture content.

Table 2. Estimated parameters with coefficient of variation in brackets (%) and statistical results for the four model options.

	Temperature °C	30	40	50
Moisture content related slab thickness $L(X) = c_1X(t) + c_2$ , Eq 12.	$D \times 10^{-10} \text{ m}^2/\text{s}$	1.117 (0.55)	1.850 (0.76)	2.914 (0.55)
	$p$	0.189 (4.30)	0.161 (6.87)	0.210 (3.72)
	$MSe \times 10^{-4}$	3.297	4.691	3.459
	SE	0.018	0.022	0.018
	PRD	3.224	4.186	5.326
	AIC	-1102.039	-780.727	-982.535
	$R^2$	0.999	0.998	0.999
Moisture content related diffusion coefficient $D(X) = D_0 + bX(t)$	$D_0 \times 10^{-10} \text{ m}^2/\text{s}$	1.896 (0.40)	3.308 (0.36)	6.481 (0.38)
	$b \times 10^{-11}$	-0.899 (-9.72)	-2.461 (-5.86)	-15.069 (-1.87)
	$p$	0.276 (1.72)	0.261 (1.64)	0.245 (1.93)
	$MSe \times 10^{-4}$	0.447	0.224	0.404
	SE	0.007	0.005	0.006
	PRD	2.552	2.153	5.847
	AIC	-3669.424	-3251.979	-2443.452
Combination of moisture related diffusion coefficient and slab thickness $L(X) = c_1X(t) + c_2$ , Eq 12. $D(X) = D_0 + bX(t)$	$D_0 \times 10^{-10} \text{ m}^2/\text{s}$	0.999 (0.47)	1.494 (0.45)	2.567 (0.45)
	$b \times 10^{-11}$	2.574 (2.48)	5.280 (1.81)	5.203 (3.04)
	$p$	0.272 (1.63)	0.257 (1.54)	0.279 (1.49)
	$MSe \times 10^{-4}$	0.436	0.231	0.297
	SE	0.007	0.005	0.005
	PRD	2.501	2.052	4.446
	AIC	-3693.266	-3283.395	-2652.928
Combination of moisture related diffusion coefficient and fractal slab thickness $L(X)$ : Eq 15. $D(X) = D_0 + bX(t)$	$D_0 \times 10^{-10} \text{ m}^2/\text{s}$	1.008 (0.48)	1.506 (0.48)	2.607 (0.45)
	$b \times 10^{-11}$	2.490 (2.63)	4.953 (2.08)	4.250 (3.80)
	$p$	0.264 (1.69)	0.246 (1.71)	0.259 (1.57)
	$MSe \times 10^{-4}$	0.458	0.274	0.316
	SE	0.007	0.005	0.006
	PRD	2.593	2.271	5.044
	AIC	-3631.399	-3142.735	-2611.829
		0.999	0.999	0.999



### 3.5. Discussion

Mulet [12] emphasizes the role of shrinkage and varying diffusivity for the interpretation of drying curves. Ruiz-Lopez and Garcia-Alvarado[13] reported that a better estimation of effective diffusion coefficient can be achieved when both shrinkage and diffusivity as functions of moisture are factored in such models. In this work an additional analysis was made by examining curves of the drying rate as a function of moisture ratio. These curves show that the two-term approximation of the diffusion equation (equation 4) fit for the yam cubicles. The drying rate showed different stages during drying. Hassini et al. [5] modelled the stages by determining different values for the diffusion coefficient in each stage. In contrast to the work [5] in the current work the variation in diffusive transport is modelled by the two mechanisms: shrinkage and moisture dependent diffusion behavior. The moisture dependent diffusion behavior is attributed to the mobility of water in the product matrix, which is governed by the cell structure in yam and different water adsorption properties in mono and multilayers. Verma et al. [18] mentioned also starch gelatinization as possible reason for the variable diffusion behavior for product for temperatures beyond 65 °C, but this level of temperature was not reached in this work.

The models with moisture dependent diffusion have one additional parameter (*b*). According to the lower AIC (Table 3) for those models, the addition of this extra parameter is justified. The two equations (12) and (15) do not differ much. However, in the interest of accurate prediction of the high moisture region preference is given to inclusion of the fractal shrinkage in the model.

The analytical expression for the diffusion in a slab as given by Crank explains the two apparent stages in the drying rate as a function of moisture ratio. These stages appear in a similar

way for large infinite slabs as the smaller cubicles. The trend in these phases is strongly supported by the introduction of a sample moisture dependent thickness and diffusion coefficient in the model.

The mathematical form of the two-term approximation for Fick's diffusion equation as given in Eq. 16 corresponds to models used in semi-empirical expressions for the drying rate [18,19,20]. In those models the exponential terms are only estimated parameters. It is common practice to reject or to modify the mentioned models if the model does not adequately fit to the data. Examples of modifications are discussed in the review of [21]. Instead of modifying or seeking for another model, in this work the parameters were linked to moisture dependent diffusion behavior and shrinkage, which leads more to the fundamentals of moisture transport.

This work was focused on the mass transport by diffusion. From the dynamics for heat transfer a time constant around 30 seconds was derived. Therefore, the role of variations in temperature on the very slow mechanism of moisture transport can be neglected. The product samples remained close to the dryer inlet air temperature. Product quality degradation, like vitamin C and color were not the focus of this work. Vitamin C degradation which can already occur at the applied drying temperatures in the high moisture content region [22] needs attention in further investigation.

#### **4. Conclusion**

Crank's analytical solution of Fick's diffusion equation for slabs has been used to describe the drying behavior of yam (*Dioscoreaceae rotundata* cultivar *Dente*) in terms of moisture dependency of shrinkage and diffusivity. The analytical expression for the diffusion in a slab is

also valid for the smaller cubicles, but results in a higher effective diffusion coefficient, and shows two stages in the drying rate as a function of moisture ratio.

The comparative study of moisture dependent shrinkage and moisture dependent diffusivity justifies the interdependence of diffusivity and shrinkage due to water loss during drying. This study shows that this behavior for yam is best explained by a combination of fractal moisture dependent shrinkage and moisture dependent diffusion. The moisture dependent diffusion behavior can be attributed to mobility of water from the food matrix due to different moisture content in the multilayer and monolayer regimes. The results from this study challenges to investigate the drying behavior of other food products.

## Nomenclature

Symbols used for drying models

$a, b, c_1, c_2, n$	Constants
$C_T, C_{T0}$	Centre thickness of sample during drying and initial centre thickness (cm)
$D$	Effective diffusion coefficient ( $m^2/s$ )
$D_0$	Reference value for effective diffusion coefficient ( $m^2/s$ )
$h$	Height of parabolic inclination at top and bottom of sample (m)
$L, L(X)$	Thickness of yam samples and thickness as a function of moisture content (m)
$L_i, L_0$	Measured sample thickness during drying, and initial sample thickness (m)
$L_c$	Relative thickness (-)
$MR(t)$	Moisture ratio (-)
$p$	Second term pre-exponential coefficient of the two term diffusion equation

$r$	Radius of parabolic inclination at top and bottom of sample (m)
$S_T, S_{T0}$	Side thickness of sample and initial side thickness (cm)
$S_L, S_{L0}$	Side length of a sample and initial side length (cm)
$t$	Time (s)
$V_i, V_0$	Measured sample volume during drying, and initial sample volume (m <sup>3</sup> )
$V_c$	Relative volume (-)
$V_{sqr}, V_{par}$	Volume of rectangular part of sample, volume of parabolic inclination at top and bottom of sample (m)
$x$	Position in the product compared to the center (m)
$X(t)$	Moisture content during drying (kg water/kg dry matter)
$X_0, X_e$	Initial and equilibrium moisture content (kg water/kg dry matter)
$z$	Fractal coefficient (-)

#### Symbols used for statistics

$AIC$	Aikaike information criterion
$MSe$	Mean squared error
$N_e$	Number of data points
$N_p$	Number of parameters
$PRD$	Percentage relative deviation
<i>Residuals</i>	Not yet given
$SE$	Standard error
$V(\hat{p})$	Sum of squared errors
$\bar{X}$	Mean value

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