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Gaining insight on spray drying behaviour of foods via single droplet drying analyses

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Abstract (100 words)

A continuous challenge for spray drying operations is the optimal control of product quality despite the complex process removal of water and particle formation. In general high product functionality (e.g. in terms of reconstitution behaviour, high enzyme activity or appropriate living probiotic bacteria) is key to the success of spray dried powders. In this paper we review scientific studies that employ single droplet drying approaches to unravel underlying phenomena of spray drying process. Moreover, we identify scientific challenges to advance single droplet drying studies and thus contribute to development of mechanism-based guidelines for spray drying of functional food powders.

Keywords: single droplet drying, spray drying, particle formation, bioactive ingredients, probiotic bacteria, morphology

Introduction

Spray drying technology is well known for its powders with high stability throughout shelf-life, desirable bulk properties and excellent functional properties, such as reconstitution behaviour. Throughout the last decades spray drying operations have been optimised following trial and error approaches, which is usually justified because of the complexity of the underlying physical phenomena. Mechanistic understanding of the spray drying process itself is often lacking, where especially the physical phenomena behind the fast removal of water and particle formation and its relation to final product quality are not well understood. The fast-drying kinetics, the scale of the drying equipment, and the wide range of polydisperse droplets flying in a stream of hot air make it challenging to investigate the complex phenomena at the particle scale [1,2].

In view of the challenges to study underlying mechanisms during actual spray drying, many scientific studies have employed single droplet drying (SDD) experimental approaches. In recent years, these studies have established useful insight on the effect of multiple parameters during droplet drying such as droplet temperature, size and formulation on the drying kinetics, particle morphology, surface composition and activity of bioactive components. Although numerous reviews on single droplet drying and spray drying are available, to the best of our knowledge, no comprehensive review has addressed the relationship between single droplet drying and particle properties that lead to powder functionality.

The objective of this paper is therefore to provide a comprehensive review of the application of single droplet drying approaches in scientific studies to establish better understanding of the relationship between SDD conditions and particle characteristics related to functional powder behaviour. In the introduction we elaborate on the impact of spray drying on powder particle characteristics and desired functional behaviour in terms of physicochemical powder properties

45 and properties of bioactive ingredients in spray dried powders. We review the different SDD

46 methods in relation to establishing relevant insight to advance spray drying operations and

47 resulting powder quality. We discuss how SDD studies are used to investigate the effects of

48 formulation and drying conditions on morphology development and component migration and

49 on inactivation of enzymes and living probiotic bacteria. Finally, we identify scientific

50 challenges to advance SDD methods and complement these with other experimental and

51 modelling approaches to address relevant research questions related to spray drying of foods.

The influence of spray drying on powder functionality

Particle properties and functional powder behaviour

54 The spray drying process ensures removal of water from the product, while influencing the final

55 functional powder properties. These properties are determined by both the properties of

56 individual particles and the bulk powder. Particle properties include size distribution, shape,

57 particle density, (surface) composition and internal structure. Functional properties of the

58 powder are affected by these particle properties and comprise amongst others reconstitution

59 behaviour, flowability, and bulk density.

60 Identification and measurement of the aforementioned particle and functional properties can

61 help to define the quality of the powder and it may give an indication on the behaviour of the

62 powder during storage, handling and processing. Powder flowability, for instance, is often key

63 for manufacturers as it influences the process efficiency, including blending, transfer and

64 storage. Furthermore, it is imperative to take into account the reconstitution behaviour of a

65 powder as most of the food powders are intended for rehydration with water or in an aqueous

66 system after processing [10].

67 According to Valdek et al. particle size and morphology (primarily shape) are the main

68 characteristics of powders as these dictate functional powder properties [11]. Fu et al investigated

the influence of both particle properties for three different lactose powders on their respective flow and bulk characteristics [12]. Two of the lactose powders tested had a different particle size, yet similar shapes, and the third sample had a similar size to one of the other two samples, but differed in shape. The powder flow characteristic measurements performed in this research revealed that differences in particle size and in particular particle shape, significantly affected the flow properties of lactose powder over a wide range of stress conditions. Other studies specifically focussed on the effect of the particle shape on the final powder properties. Takeiti et al. studied the morphology of twelve different commercial maltodextrin powders and concluded that particle morphology influences particle surface area, porosity and bulk density, ultimately influencing the reconstitution behaviour of these powders [13]. Bumiller et al. studied glass spheres, calcium carbonate crystals and plate-shaped talc powders, with particles similar in size, while differing in shape [14]. Also here a correlation between particle shape and powder flowability was demonstrated. Given these studies, controlling particle size and morphology is thus key for establishing functional powder properties.

Bioactive ingredients

In food and pharmaceutical industries spray drying is typically applied to produce high-value bioactive ingredients (e.g. enzymes, living bacteria) in powder form. The usage of spray drying brings advantages such as low production cost and high energy efficiency, making it an economical alternative for freeze drying [15–19]. However, the activity of those bioactive ingredients may get lost during spray drying and subsequent storage of the dry formulations.

Loss of bioactivity during spray drying may occur especially due to the increased temperatures during the process, due to unfolding of proteins at the large liquid-gas interface of the small droplets, and/or due to shear stress during atomisation in the nozzle. Rational design of the spray-dried formulations and optimization of drying conditions are essential to retain the activity of the bio-active ingredients during drying and subsequent storage [20]. With respect to

the formulation often a sugar, polyol or protein is added to stabilize bioactive ingredients. For example, the enzyme activity of lipase from *Cercospora kikuchii* was retained after spray drying under optimal conditions in the presence of maltodextrin DE10 as a protectant [19].

Retention of enzyme activity or survival of living bacteria during spray drying is highly depending on the individual drying trajectory of droplets. The droplet-particle conversion during actual spray drying occurs quasi-instantaneously, therefore it is not possible to trace the drying kinetics of the droplets and the degradation of bioactive components in situ. Hence, representative single droplet drying experiments have been introduced to mimic the highly-complex spray drying process.

Single droplet drying experimentation

Single droplet drying approaches can approximate drying behaviour of droplets during spray drying, if carried out under well-defined and relevant conditions (controlled drying air temperature, air velocity, and humidity). Multiple SDD methodologies exist, commonly divided in levitation methods and free flight drying methods. Levitation methods immobilize a droplet through either contact levitation (droplet suspended on a filament or deposited on a flat surface) or through non-contact levitation (acoustic wave). The SDD methodologies have different pros and cons, which should be considered when designing or performing single droplet drying experiments (Table 1).

Levitation single droplet drying

Amongst the contact levitation methodologies, suspended single droplet drying experiments have been used most frequently [21–25]. In this intrusive method a single droplet is suspended at the tip of a thin filament or a thin thermocouple and subsequently dried by convective air flow. This SDD approach allows for monitoring the droplet diameter, the temperature of the droplet

117   and the mass loss simultaneously, therewith collecting important drying kinetics data [22]. The

118   mass loss can be determined by the different degree of deflection of the filament due to the

119   changing droplet mass[21]. Alternatively, the droplet mass may be monitored via an accurate

120   mass balance, which however poses limitations to the minimum size of the droplet [26]. A more

121   advanced SDD device was developed that suspends a single droplet on the tip of a polyamide

122   wire and employs humidity sensors and optimal imaging to monitor droplet mass and

123   morphology, respectively [27]. Advantage of the latter approach is that the droplet mass

124   measurements do not need any calibration in contrast to the deflection method.

125   In addition to the drying kinetics, droplets suspended from filaments have been used regularly

126   to study the morphology development during drying [25,28–31]. A downside of using this

127   technique is that often relatively large droplets are required (within the millimetre diameter

128   range) [32]. This limitation is set by the difficulty to suspend small droplets onto the filament tip

129   and by the lower contribution of heat input via the filament if the droplet is relatively large ($\geq$1

130   µL).

131   Another contact levitation method is referred to as sessile single droplet drying, in which a

132   single droplet is deposited onto a surface and dried by well-defined drying air [2,33]. The sessile

133   SDD platform employs a (pneumatic) dispenser to deposit droplets onto a hydrophobic target

134   surface that provides retention of the spherical shape. This retention of shape minimizes the

135   difference in drying behaviour between a sessile droplet and a free falling droplet. The

136   stationary drying droplet can be monitored very well by camera as it is always in the focus

137   plane. The approach offers also opportunities for drying multiple droplets simultaneously.

138   A drawback of the technique is that the presence of the surface affects the air temperature and

139   flow pattern of the drying air close the droplet. Heat conduction via the contact area between

140   droplet and surface has been found to contribute only about 5% to the total amount of heat

141   transferred [34].

During acoustic levitation, a single droplet is fixated in air during drying due to a counterbalancing acoustic force. Acoustic levitation uses a quasi-steady sound-pressure distribution in a confined space enabling suspended droplets to be levitated by the balance between the body force of the droplet and the acoustic radiation force on its surface [35,36]. Standing sound waves are generated by the levitator that consists of (1) a transducer that is attached to a piezo-electric crystal that vibrates at an ultrasonic frequency, and (2) a reflector [37]. Cameras are used to monitor the evolution in morphology [38]. The drying rate can be derived from the particle diameter and the vertical positioning of the droplet in the field [35] or by continuously measuring the moisture content by means of a dew point hygrometer [39]. The initial positioning of liquid droplets in the acoustic field requires some exercise. Furthermore, the acoustic field has some effect on the shape of the droplet and the heat and mass transfer rates, where the transfer coefficients are larger compared to those of free falling droplets [40].

Free flight single droplet drying

Free flight drying methods consist of a single droplet or a stream of uniform droplets generated at the top of a column dryer by means of a monodisperse (piezoelectric) nozzle, micro-syringe, pulsed-orifice or an electrostatic drop generator [2,7,26,41]. This methodology most closely resembles the drying conditions in an industrial spray dryer. The droplet formation often relies on induced Rayleigh instability causing the periodic breakup of a liquid jet [42]. The generated droplets fall freely through the drying column as a consequence of gravitational force and they will eventually experience the same drying history. The technique imposes difficulties for observing and recording the morphology evolution and monitoring the drying kinetics. During free flight droplet drying the drying rate of the droplets is indirectly measured. For example Vehring et al. determined this by monitoring the droplet diameter at different distances from the point of injection by means of light scattering [32]. Furthermore, during free flight droplet drying there is no option to directly monitor the temperature changes or mass loss of individual

167 droplets [26]. Morphology development of particles may be studied by sampling at different

168 points in the dryer as done in the work of El-Sayed et al. [43].

Single droplet drying related to physical particle properties

170 **Morphology development**

171 Single droplet drying has been frequently used to assess drying kinetics of drying droplets,

172 which has been extensively reviewed before [2,22]. More recently studies address the

173 development of particle morphology (Table 2). Understanding the particle morphology

174 development during drying creates prospects to control particle morphology, and with that the

175 properties of a powder. Different stages during droplet drying in relation to morphology can be

176 distinguished: 1) the constant drying rate period, where phase separation of components might

177 occur, 2) the locking point which is the moment of first visual skin formation, and 3) the

178 development of the final particle morphology. For example, the effect of droplet composition

179 on the morphology development was studied following this approach (Figure 1), where droplets

180 of whey protein form a smooth surface with a large vacuole and maltodextrin droplets form a

181 wrinkled surface [1].

182 Besides droplet composition other process parameters can be varied to understand particle

183 morphology development, for example the feed initial solids content, the air temperature and

184 the air humidity (Table 2). Generally speaking, during slower drying, e.g. at lower initial dry

185 matter content, lower air temperatures, or higher air humidity, droplets are more likely to be

186 wrinkled/buckled, whereas the opposite will lead to particles with a large vacuole and a smooth

187 surface.

188 **Component migration and phase separation**

189 The surface composition of a powdered particle is often not similar to its bulk composition,

190 which can drastically alter the rehydration properties [44]. Components diffuse from the surface

towards the centre because of the development of a concentration gradient due to evaporation of water from the surface. Components with a higher molecular weight will have a lower relative diffusivity and are therefore more likely to have an increased concentration at the surface [45]. Furthermore, it was shown that the atomisation process could induce phase separation in model whole milk, where directly after dispensing the surface of the droplets contained more than 90% fat, whereas the bulk composition contained 44% fat [46]. Lastly, surface active components such as proteins in food products may also migrate to the surface, as these have a preference to be at the air/water interface [47]. For example, in drying model skim milk droplets there was, besides fat enrichment, protein enrichment at the surface: from 50% protein at the surface after dispensing to 70% at the surface of the dried particle [46]. The surface composition of these powders was measured by X-ray Photoelectron Spectroscopy (XPS), which is a method that measures the elemental composition of the surface.

Ideally, internal composition of the drying particles can be characterised as well. An interesting method to measure this is Confocal Raman microscopy (CRM), which has a penetration depth of ~25μm, whereas this is only ~10nm for XPS. CRM can visualise the internal structure of a dried particle without the necessity of staining or cutting of the sample [48]. The working principle of CRM relies on the photon response upon laser illumination of a sample. A laser beam is focussed on the sample by a microscopic lens, and the Raman scattered photons are collected. In this way a picture can be reconstructed of the chemical composition or the physical properties of the sample. Using this technique the phase segregation in dried droplets of lactose-biopolymer mixtures was visualised, with the studied biopolymers being BSA, HPMC and poloxamer [49]. In agreement with previous XPS measurements, an enrichment of the biopolymers at the surface was found. Additionally, it was shown that the zone below the top layer was depleted from the biopolymer (Figure 2). The bulk matrix below this depletion zone appeared to be either macroscopically mixed (Figure 2a), or phase separated into

macromolecule enriched zones in a lactose matrix (Figure 2b) The occurrence of phase separation could be influenced by the component ratio and drying time [49]. Similar observations were done for mixtures of two biopolymers [50]. Furthermore, using CRM, it was shown that phase segregation is related to particle morphology formation [1]. Droplets containing maltodextrin DE12 and whey protein (95:5 on a dry matter basis), showed different morphology depending on the drying temperature. Particles with more phase segregation of maltodextrin DE12 and whey protein, showed that the morphology will be dominated by the whey protein. Therefore, mapping the internal structure of dried droplets can improve the knowledge of morphology development.

Single droplet drying of bioactive ingredients

Conditions during single droplet drying such as initial droplet size, the drying air temperature, the initial water content, and the formulation are known to have profound effect on the inactivation behaviour of bioactive ingredients. Single droplet drying studies have characterised inactivation kinetics of enzymes and living bacteria to better control retention of enzyme activity or bacterial viability during spray drying processes.

Inactivation of enzymes

As an example figure 3 illustrates the temperature and moisture history during SDD and its influence on enzyme inactivation. Initially, the droplet temperature approaches the wet-bulb temperature (period A) after which the temperature and the drying rate remain constant (period B). In the constant rate period only slight inactivation of enzyme occurs. After a critical moisture content is reached, the drying rate decreases due to internal diffusion limitation. As a consequence of the reduced drying rate, the droplet temperature increases to the dry-bulb temperature (period C). During the falling rate period the droplet temperature may be assumed

239 homogeneous inside small droplets, while an internal moisture gradient develops with a relative

240 wet core and a nearly dry surface [51].

241 The residual activity of enzyme after single droplet drying depends on the applied drying

242 conditions (i.e., drying temperature, air humidity, initial droplet size) and formulation.

243 Yamamoto & Sano investigated retention of activity of three enzymes (i.e., β-galactosidase,

244 glucose oxidase and alkaline phosphatase) during glass filament SDD [52]. Residual enzyme

245 activity was increased when lowering drying air temperature, reducing the droplet size and/or

246 using sugar carriers with lower molecular weight. Similarly, residual activity of alkaline

247 phosphatase during droplet drying is increased when decreasing air temperature and droplet

248 size [53]. Usually, first-order kinetics are assumed to describe the dependence of the inactivation

249 rate constant on temperature and moisture content and this inactivation rate constant decreases

250 with decreasing moisture content at a specified temperature [54,55]. Sessile droplet drying of β-

251 galactosidase at temperatures of 80-110 ℃ indicated that the enzyme activity is better retained

252 near the surface of the particle due to the lower moisture content in that region [34]. In another

253 study, during levitated single droplet drying rapid inactivation of the L-Glutamate

254 dehydrogenase (GDH) was observed after the critical moisture content was reached, which was

255 explained by the increasing droplet temperature in this falling rate period [56].

256 A commonly applied strategy to preserve enzyme activity during drying is to add a carrier, e.g.

257 sugars/polyols, where the stabilization mechanism has been explained by two hypotheses [57].

258 The vitrification hypothesis assumes that the carriers increase the free energy barrier for enzyme

259 unfolding by providing a rigid, inert solid matrix with low molecular mobility in the glassy

260 state. The water replacement hypothesis assumes that the hydroxyl groups in the carrier matrix

261 interact via hydrogen-bonds to the surface of the proteins and thus 'replaces' the hydrogen

262 bonding interaction with water [58]. For example, both addition of trehalose and sorbitol

263 stabilised the enzyme GHD during levitated SDD. Given that the anhydrous glass transition

264   temperature of sorbitol (T_g -7 ℃) is much lower than that of trehalose (T_g 115 ℃) the results

265   were explained via the water replacement hypothesis [59]. In a spray drying study, the enzyme

266   alkaline phosphatase was incorporated into inulin or trehalose [60]. Here, it was discussed that

267   enzyme stabilization may be explained via the vitrification hypothesis when the T_g is below the

268   storage temperature and via the water replacement hypothesis when the T_g is higher than the

269   storage temperature of the powder.

Survival of living bacteria

271   During spray drying both dehydration and thermal stresses can lead to inactivation of living

272   bacteria. Via single droplet drying experimentation viability loss could be quantitatively

273   described by the sum of dehydration and thermal inactivation [61]. Perdana et al. also found that

274   at drying temperatures below 45 ℃ inactivation of *L. plantarum* WCFS1 was mainly due to

275   dehydration [61]. At temperatures above 45 ℃ thermal inactivation was the main influencing

276   factor affecting the survival of *L. plantarum* WCFS1. Similar results were found by Ghandi et

277   al. who showed that at temperatures below 55 ℃ dehydration stresses primarily affected the

278   survival of *Lactococcus lactis* spp. *cremonis*, while at temperatures of 65 ℃ and higher

279   inactivation was caused by the sum of thermal and dehydration stresses [62]. Similarly, X. Fu et

280   al. observed at temperatures above 50-65 ℃ that the inactivation rate of *Lactococcus cremonis*

281   increased rapidly and temperature was the main factor influencing the inactivation rate [63].

282   Conclusions in this study were drawn from analysing the morphology of the dried cells, where

283   cells dried at higher temperatures (90-110 ℃) had more holes in the cell wall than cells dried

284   at lower drying temperature (70 ℃).

285   Spray drying studies, and thus also single droplet drying experiments, are often carried out to

286   evaluate the effect of different drying matrices on the survival of bacteria. The advantage of

287   single droplet drying is that using well–defined drying conditions can generate more in depth

288   insight in the mechanisms of protection by the different matrices. As discussed before, there

are two types of stresses; thermal and dehydration stresses. Single droplet drying at a low temperature (25 ℃) was used to investigate how carbohydrates or proteins protect *L. plantarum* WCFS1 against the combination of dehydration and thermal stresses [64]. Here, survival after drying decreased with increasing molecular weight of the carbohydrates, while the effect of the T_g was limited. This may be explained by the water replacement hypothesis where small carbohydrates interact more closely with phospholipids in the bacterial membrane compared to large molecules. For proteins or amino acids there was no relation between molecular weight and survival or T_g and survival. In the same study additional laboratory spray drying experiments were carried out to study the effect of formulation on thermal inactivation only. During fast drying of small (~10 µm) droplets that are too challenging to study during single droplet drying, the inactivation was explained due to thermal stresses only as the bacteria are rapidly fixated in a glassy matrix [61].

Besides the composition of the drying matrix, survival has also been correlated to the evolving morphology of a drying droplet. Wang et al. for example demonstrated that by calcium-induced thermal protein aggregated milk formulations led to increased survival of *L. rhamnosus* compared to bacteria in regular skim milk [65]. This was explained by the more porous particle structure and thus faster drying kinetics for the calcium-aggregated milk. A study by Khem et al. reported higher survival of *L. plantarum* A17 during single droplet drying of whey protein solutions in which early skin formation was observed [66]. Due to skin formation the droplet temperature increased earlier but more gradual to the bulk air temperature compared to droplets with lactose and trehalose for which a later but sudden rise in temperature was observed. The sudden increase in temperature was hypothesized to explain the higher inactivation for the non-skin forming formulations. Similarly, in a study of Zheng et al. single droplet drying studies with reconstituted skim milk showed increased survival for *L. rhamnosus* GG and *L. cremonis* compared to lactose and growth medium as carriers [67]. It was also hypothesized that calcium

ions and whey protein play a crucial role in the survival. In contrary, for reconstituted whole milk no enhancement of survival was monitored despite the slow gradual increase in droplet temperature. Possibly, other factors such as the presence of fat could play a role here.

Overall the main benefit of using single droplet drying experiments for studying survival of probiotics is the ability of doing accurate measurements during the transformation from droplet to particle. In this way it is possible to link the survival to temperature, moisture levels and morphology during different stages of drying. Furthermore, this is very helpful in unravelling protective effects of different types of drying matrices.

Scientific challenges

Although new insights are gained via single droplet drying studies, still challenges are ahead to develop mechanism-based guidelines for spray drying of functional food powders. Below we formulate four main scientific challenges for SDD studies:

1) SDD methods have restrictions with respect to handling of realistically-sized droplets and high solids feed solutions. Because both droplet size and initial solids have profound effect on the drying rate and morphology development, development of droplet-on-demand dispensers that can make smaller droplets of high viscous liquids is desired. Alternatively, SDD experiments may be complemented with other experiments (e.g. drying of ultrathin films) that facilitate measurements on complex system with similar length scale.

2) SDD studies on morphology development require more in-depth analyses of skin formation. It is extremely difficult to assess mechanical properties of the droplet skin in situ. Therefore, rheological characterization of bulk materials could for example be combined with numerical modelling approaches to connect heat and mass transfer to skin formation and thus morphology development.

3) Mapping of intra particle component distribution is crucial for validation of numerical models and challenging hypotheses. One may use XPS and CRM as discussed, but also other analytical techniques could be explored in combination with SDD. Methods such as Laser Speckle Imaging [68] or NMR/MRI [69] have been applied to monitor internal dynamics or water distribution during drying of paint and vegetables, respectively. Application of such analytical methods to small and fast drying droplets is however only feasible if the spatial and temporal resolution of these techniques are sufficient.

4) Recent SDD studies suggest that stabilisation of bioactive ingredients is function of both chemical composition and drying kinetics as influenced via the particle structure [65]. Future research should elucidate the contribution and mechanism of both factors in the stabilisation in a systematic way.

Conclusions

Multiple single droplet drying methods have been developed throughout the years that approach the conditions of drying droplets in spray dryers. Although single droplet approaches have their disadvantages, still SDD studies provided valuable insight into the complex spray drying process by especially addressing how heat and mass transfer and formulation affect the conversion of a droplet into dried powder particles. Especially, it is found that the rate of the conversion processes greatly influences the physical properties of powder particles such as morphology and component distribution via phase separation and diffusive transport phenomena. Understanding on how formulation and drying conditions influence primary particle properties will support development of powders with for instance improved flowability and reconstitution behaviour. Other studies revealed better insight on how the drying trajectory influences the retention of specific bioactivity, i.e. residual enzyme activity or viable bacteria and supported the development of protective formulations and kinetics models to describe inactivation behaviour of enzymes and living bacteria during drying of droplets. Having said

this, although single droplet drying approaches are a powerful tool to study the drying process, different scientific challenges are ahead to improve SDD methods and/or to combine these with advanced analytical techniques or modelling approaches. Finally, to make use of the knowledge gained from SDD methods, validation of hypotheses and optimization of drying conditions using lab-scale or pilot-scale spray dryers are pivotal.

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552

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Figure captions

Figure 1. Morphology development in time for three droplets with different composition; A) 0:100, B) 50:50, C) 90:10 (Maltodextrin DE12:Whey protein isolate). Droplets with an initial radius of 500μm were dried in a sessile single droplet dryer at 70°C. The air flow enters from the right side as indicated by an arrow (Adapted from Both et al. [1]).

Figure 2. Schematic representation of two possible scenarios for phase separation of a lactose-macromolecule mixture during drying, with yellow: lactose, red: macromolecule (BSA, HPMC or poloxamer), and orange: mixture of both. For both scenarios the surface consists of the macromolecule with a depletion zone below. In A) the bulk matrix is homogeneous, while in B) the bulk of the particle is phase separated into macromolecule enriched zones in a lactose matrix. The image was adapted from Nuzzo et al. [50].

Figure 3. Schematic drawing of the temperature and moisture content profiles during single droplet drying and the corresponding inactivation of an enzyme: A) heating-up period; B) constant rate period; and C) falling rate period (adapted from Perdana et al., & Sloth et al. [54,55]).

Table captions

Table 1. Comparison between different single droplet drying set-ups

Table 2. An overview of research on morphology development during single droplet drying

574
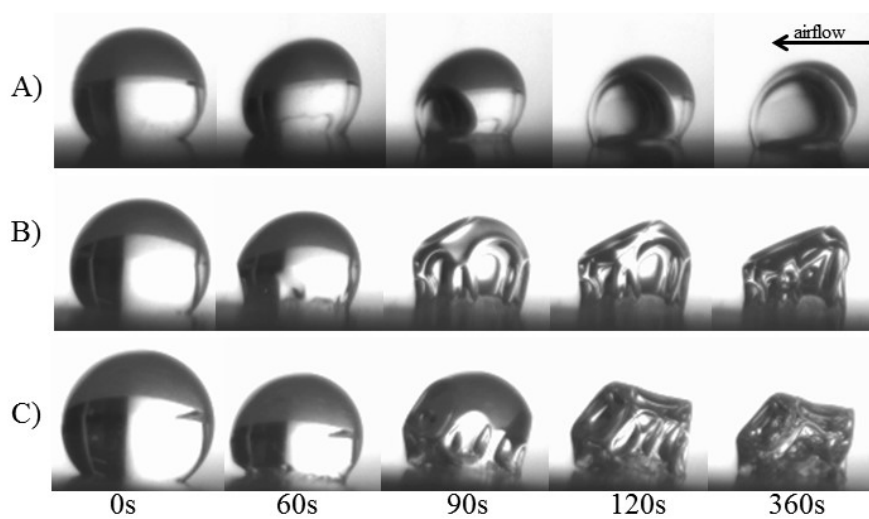
575

Figure 1. Morphology development in time for three droplets with different composition; A) 0:100, B) 50:50, C) 90:10 (Maltodextrin DE12:Whey protein isolate). Droplets with an initial radius of 500µm were dried in a sessile single droplet dryer at 70°C. The air flow enters from the right side as indicated by an arrow (Adapted from Both et al. [1]).

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581

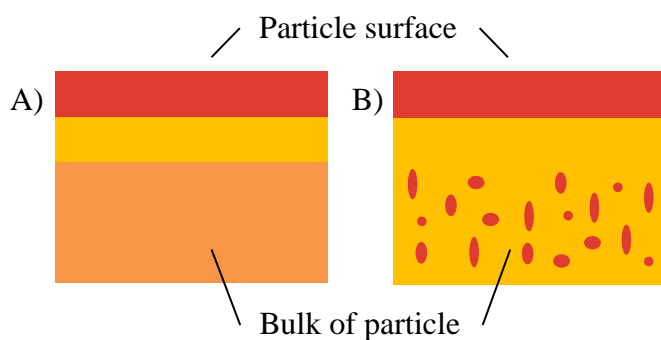Particle surface

A)

B)

Bulk of particle

582

583　Figure 2.　Schematic representation of two possible scenarios for phase separation of a
584　lactose-macromolecule mixture during drying, with yellow: lactose, red: macromolecule
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589
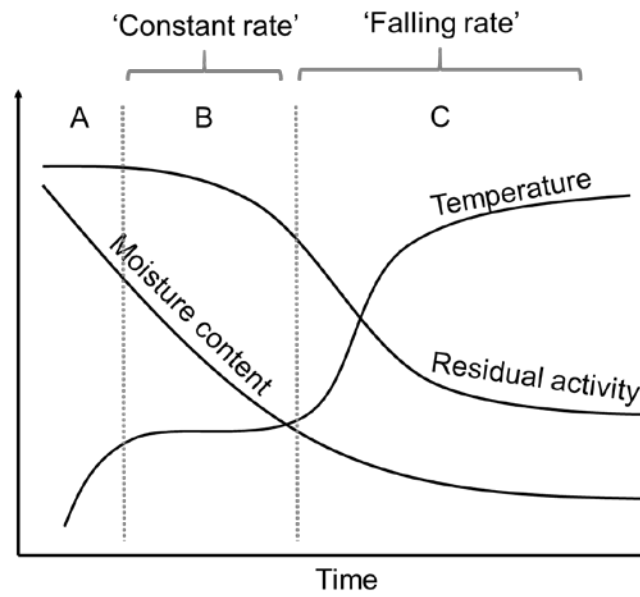
'Constant rate'   'Falling rate'

Figure 3. Schematic drawing of the temperature and moisture content profiles during single droplet drying and the corresponding inactivation of an enzyme: A) heating-up period; B) constant rate period; and C) falling rate period (adapted from Perdana et al., & Sloth et al. [54,55]).

596   Table 3. Comparison between different single droplet drying set-ups

	Pending droplet	*Sessile droplet*	*Acoustic levitation*	*Free falling*
Methodology	Droplet suspended on a (glass) filament	Sessile droplet on hydrophobic surface	Droplet levitated in an acoustic field	Droplets falling through a column
Pros	-allows monitoring of droplet mass & morphology	-allows monitoring of droplet morphology -facilitates high-throughput experimentation	-free suspended droplet in air - allows monitoring of the droplet mass & morphology	-closely resembles the drying conditions in a spray dryer -allows for collection of a larger sample
Cons	-the presence of the wire has small effect on the heat transfer and morphology	- the mass of the droplet cannot be monitored -the surface influences the air temperature and flow pattern near the droplet	-acoustic waves affect heat transfer and shape of the droplet	-impossible to continuously track the dynamics of the drying droplet

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Table 2. An overview of research on morphology development during single droplet drying

Influencing factor	Author	Studied component	Set-up	Parameter range	Effect on morphology
Composition	Both et al. [1]	Whey protein and maltodextrin	Sessile droplet	Different component ratios	More whey protein: vacuole, more MD: wrinkled
	Sadek et al. [3]	Micellar casein and whey protein	Sessile pendant droplet	Different protein ratios	Casein: wrinkled, whey: vacuole
	Tran et al. [4]	Lactose, whey protein, skim milk	Suspended droplet	Different protein / lactose ratios	More rigid crust with high protein
Initial dry matter content (DM)	Bouman et al. [5]	Whey protein	Sessile droplet	5 to 30 % (w/w)	Lower DM wrinkled, higher DM vacuole
	Wu et al. [6]	Skim milk	Free-flying droplet	33 to 54 % (w/w)	Lower DM wrinkled, higher DM vacuole
	Rogers et al. [7]	Skim milk	Free-flying droplet	4% to 40%	More extensive buckling at low DM
Air temperature	Bouman et al. [5]	Whey protein	Sessile droplet	20°C, 40°C, 60°C, and 80°C	No effect on morphology
	Rogers et al. [7]	Fresh skim milk	Free-flying droplet	120 to 140°C	Low T wrinkled, high T vacuole
	Tran et al. [4]	Lactose	Suspended droplet	60 to 180 °C	Low T, shrivelled with small cavities High T, larger single cavity
Air humidity	Sadek et al. [8]	Micellar casein	Sessile pendant droplet	2% and 40%	No effect on morphology
	Griesing et al. [9]	Mannitol	Acoustic levitation	1%, 5%, 10% and 15%	Increasing air humidity led to a decrease in porosity