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apigeninidin from sorghum

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1 **Effects of processing and storage on the stability of the red biocolorant apigeninidin from**  
2 **sorghum**

3

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14

15 **Abstract**

16 A major drawback to the industrial application of many biocolorants is their instability to  
17 processing conditions, thereby limiting their use to replace artificial colorants. 3-  
18 deoxyanthocyanidins have promising features to ensure colour stability in food processing  
19 conditions. This study evaluated the stability of apigeninidin, the main 3-deoxyanthocyanidin from  
20 sorghum leaf sheaths, to food processing conditions in watery extracts and in a maize porridge.  
21 Apigeninidin was not soluble at pH  $5.04 \pm 0.02$ . However, apigeninidin was soluble and stable at  
22 pH 6-10 with increased colour density and resistance to bleaching at alkaline pH. A heat treatment  
23 of 121 °C / 30 min degraded 61% of the anthocyanins. At 65 °C, degradation rate of apigeninidin  
24 was four times lower at pH  $9.03 \pm 0.04$  than  $6.08 \pm 0.02$ . Storage at room temperature promoted  
25 endothermic degradation reactions. Nevertheless, photodegradation of apigeninidin was not  
26 observed during storage. In the maize porridge, thermal stability of apigeninidin and redness were  
27 similar at pH 4-6 whereas they were higher at pH  $9.03 \pm 0.04$ . In summary, the watery extract of  
28 apigeninidin from sorghum leaf sheaths showed good stability regarding common industrial  
29 processes. Nevertheless, the biocolorant's precipitation at pH  $5.04 \pm 0.02$  and degradation at pH  
30  $6.08 \pm 0.02$  and  $9.03 \pm 0.04$  need further investigation to optimise its industrial applications.

31

32 **Keywords:** Apigeninidin, heat treatment, pH, colour density, biocolorant

33 1. **Introduction**

34 Anthocyanins (ACY) are plant pigments with colours that range from scarlet to blue (Wallace &  
35 Giusti, 2015). In solution, ACY are a mixture of the coloured (i.e. the flavylium cation and  
36 quinoidal base) and colourless forms (i.e. the carbinol pseudobase and the chalcone) (Brouillard,  
37 1982). The proportion of flavylium cation, quinoidal base, carbinol pseudobase and chalcone at  
38 equilibrium in an ACY solution are a function of ACY structures and processing conditions (*viz.*  
39 pH, light exposure and temperature) (Mazza & Brouillard, 1987). In general, an increasing pH  
40 leads to (a) an increasing hydration of the flavylium cation into carbinol pseudobase and (b)  
41 increasing tautomerisation of carbinol pseudobase into chalcone (Brouillard, 1982). Consequently,  
42 the proportion of chalcone would be higher than that of the flavylium cation for pH values above  
43 3 (Brouillard, 1982). However, the pH limit for a dominant proportion of chalcone is higher for  
44 ACY with a methoxylation or a glycosylation (Brouillard, 1982). In addition, a high temperature  
45 during processing or storage increases the rate of endothermic reactions (e.g. hydration of the  
46 flavylium cation and tautomerisation of the carbinol pseudobase) (Brouillard, 1982). Furthermore,  
47 light exposition of ACY leads to photodegradation of flavylium cations into colourless forms (i.e.  
48 the carbinol pseudobase and chalcone) (Dyrby, Westergaard, & Stapelfeldt, 2001).

49 The 3-deoxyanthocyanidins are a particular class of ACY because the deprotonation constant of  
50 its flavylium cation is higher than for the hydration. Consequently, a solution of 3-  
51 deoxyanthocyanidins stays coloured at high pH. The 3-deoxyanthocyanidins have the interest of  
52 the food industry for their resistance to (a) pH changes (Ojwang & Awika, 2008), (b) bleaching  
53 additives (e.g. sulphites) (Ojwang & Awika, 2010), and (c) ring fission during heat treatment  
54 (Yang, Dykes, & Awika, 2014). Moreover, they show a better colouring efficiency than the  
55 majority of the anthocyanins (Awika, Rooney, & Waniska, 2004) and their colour stability

56 improves in the presence of phenolic acids (Awika, 2008). Most experiments on the stability of 3-  
57 deoxyanthocyanidins were with non-food grade solvents such as ethanol, methanol,  
58 hydroalcoholic solutions, water acidified with HCl or 70% aqueous acetone (Awika, 2008; Awika  
59 et al., 2004; Kayodé, Bara, Dalodé-Vieira, Linnemann, & Nout, 2012; Ojwang & Awika, 2008).  
60 Limited data exist on the stability of apigeninidin in watery extracts as commonly applied by  
61 traditional users of sorghum biocolorant in West Africa. This study evaluated (a) the degradation  
62 of sorghum total ACY in relation to heat treatments and storage conditions (light exposure, storage  
63 temperature), (b) the effect of a food acidulant (*viz.* citric acid) and alkaline conditions (NaOH) on  
64 apigeninidin solubility and degradation, (c) the thermal degradation kinetics and the resistance to  
65 bleaching of apigeninidin in relation to the pH of the watery extract and (d) the apigeninidin  
66 degradation and colour properties at different pH values in a food matrix, namely maize porridge.

67

## 68 **2. Material and methods**

### 69 2.1. Materials

70 Dried dye sorghum (*Sorghum bicolor*) leaf sheaths and *kanwu*, an alkaline rock containing  
71 carbonate and bicarbonate salts (Madodé, 2012) used by local users as an extraction aid, were  
72 bought at the market of Dassa-zoumè in Benin and ground into powder using a miller (Coffee  
73 Bean and Spice Mill Grinder Model #843, Moulinex). Maize grains (*Zea mays*) were purchased  
74 from the market of Abomey-Calavi in Benin, cleaned and milled into flour using a miller  
75 (RotorMill Pulvrissette-14, Idar-Oberstein, Germany) equipped with a 0.2 mm sieve as an ingredient  
76 for making maize porridge. Solutions of 1 N of citric acid (Sigma-Aldrich, Netherlands) and 1 N  
77 hydroxide sodium (Merck, Germany) were used as acidic and alkaline solutions, respectively.

78

79 2.2. Apigeninidin extraction procedures

80 Sorghum alkaline watery extract (SAWE) and sorghum hot aqueous extract (SHAE) were  
81 produced by cool alkaline and hot aqueous extraction, respectively (Akogou, Kayodé, den Besten,  
82 & Linnemann, 2017). These two watery extraction methods are the most common traditional  
83 extraction procedures in Benin. Alkaline extraction was conducted by mixing 11.1 g of sorghum  
84 leaf sheath powder, 1.5 g of *kanwu* and 1000 mL of water and stirring for 20 min at room  
85 temperature. For hot aqueous extraction, 11.1 g of sorghum leaf sheath powder and 1000 mL of  
86 water were mixed and heated with a magnetic heating plate (model FB 15010, Fischer Scientific)  
87 from 21.5 °C to 86 °C and then cooled down in an ice bucket. Both watery extracts were filtered  
88 with 2.5 µm filter paper (Whatman, GE Healthcare UK Limited, UK) to remove sorghum residues.  
89 The pH of the SAWE and the SHAE were  $8.67 \pm 0.14$  and  $7.07 \pm 0.04$ , respectively.

90

91 2.3. Thermal treatment and storage of the watery extracts

92 Volumes of 6 mL of SAWE and SHAE were transferred in glass tubes and subjected to various  
93 heat treatments, i.e. 65 °C / 30 min, 95 °C / 30 min and 121 °C / 30 min. A water bath (Memmert  
94 WNE 14, Schwabach, Germany) and an autoclave (Timo, Pbi International, Italy) were used to  
95 apply (a) 65 °C / 30 min and 95 °C / 30 min and (b) 121 °C / 30 min, respectively. The water bath  
96 was preheated to 65 °C and 95 °C before applying the heat treatments. After the heat treatments,  
97 the tubes were cooled down in a cold water bath. Two independent duplicates of SAWE and SHAE  
98 were subjected to heat treatments. Next the stability of ACY to various storage conditions was  
99 evaluated by keeping independent duplicate extracts for 18 days (i) in the dark at room temperature

100 (26-35 °C) (Adinsi et al., 2014), (ii) in the dark under refrigeration at 4 °C, and (iii) in ambient  
101 light at room temperature (26-35 °C) (Adinsi et al., 2014). Two tubes of SAWE and SHAE were  
102 taken (a) before and after treatment and (b) during storage for measurement of the total ACY  
103 content and the total colour density (TCD).

104 The total ACY content was measured using the pH differential method by Cao, Liu, Pan, Lu, and  
105 Xu (2008). The total ACY was measured only in the pH 1 buffer because the main ACY in  
106 sorghum extract (i.e. apigeninidin) is not colourless in a pH 4.5 buffer (Awika et al., 2004).  
107 Wavelengths of 470 and 700 nm were used for apigeninidin and haze correction, respectively. The  
108 total ACY content was calculated using the following Equation (1):

$$\text{Total ACY content (apigeninidin equivalent mg L}^{-1}\text{)} = \frac{A \times 290.69 \times DF \times 10^3}{\varepsilon_a \times l} \quad (1)$$

109 where  $A = (A_{470 \text{ nm}} - A_{700 \text{ nm}})_{pH_{1.0}}$ ; 290.69: molecular weight of apigeninidin chloride ( $\text{g mol}^{-1}$ );  
110 DF: dilution factor;  $10^3$ : conversion factor from g to mg;  $\varepsilon_a$ : molar absorptivity of apigeninidin  
111 chloride ( $\text{L mol}^{-1} \text{ cm}^{-1}$ );  $l$ : path length (which is 1 cm). The molar absorptivity of apigeninidin  
112 chloride was determined by the method of Cao et al. (2008) with an apigeninidin chloride standard  
113 (Extrasynthese, Genay, France).

114 The TCD was determined according to Turfan, Türkyılmaz, Yemiş, and Özkan (2011).  
115 Wavelengths of 420, 470 and 700 nm were used for brown pigments, apigeninidin and haze  
116 correction, respectively.

117 The TCD was calculated using Equation (2):

$$TCD = [(A_{420} - A_{700}) + (A_{470} - A_{700})]_{non-treated\ sample} \times DF \quad (2)$$

118 where DF: dilution factor.

119 The apigeninidin content and the TCD were measured using a Spectrophotometer (SmartSpec Plus  
120 spectrophotometer, Bio Rad, USA).

121

#### 122 2.4. pH adjustment of the watery extract

123 Acidic (citric acid 1 N) and alkaline (hydroxide sodium 1 N) solutions were used to adjust the pH  
124 of independent duplicate samples of SAWE (50 mL, pH 8.67) to 5.04±0.02, 6.08±0.02,  
125 10.03±0.03, 11.04±0.03 and 12.07±0.04. Samples were poured in 50 mL polypropylene tubes  
126 (Cellstar, Greiner Bio-One, Frickenhausen, Germany), kept at room temperature for 30 min and  
127 centrifuged at 3000 rpm at 4 °C for 30 min with a centrifuge (Heraeus Multifuge X3R, Thermo  
128 Fisher Scientific, UK). Next, the supernatant was collected and the pellet was suspended in  
129 methanol. The plain extract was used when no pellet had been formed. The apigeninidin content,  
130 its molar adsorptivity and the formation of phenolic acids as degradation products in the  
131 supernatants, the in methanol suspended pellets and the plain extracts were determined by HPLC.

132 An ultimate 3000 RS High Performance Liquid Chromatography (HPLC) system equipped with a  
133 Diode Array Detector DAD-3000 RS (Thermo Scientific Dionex, Amsterdam, the Netherlands)  
134 and a quaternary pump LPG- 3000 RS (Thermo Scientific Dionex) was used. Standards of  
135 apigeninidin (Extrasynthese, France), 4-hydroxybenzoic acid (Sigma Aldrich, Netherlands) and *p*-  
136 coumaric acid (Sigma Aldrich, Netherlands) were used for identification and quantification.  
137 Compounds were separated with a Polaris C18-A column (150×4.6 mm, Varian, CA, USA) at a  
138 volumetric flow rate of 1 mL min<sup>-1</sup> with two mobile phases, i.e. formic acid (10%) in milli-Q water  
139 (A) and methanol (100%) (B). The elution gradient of B was: 0 to 20 min, from 5% to 60% B; 20  
140 to 25 min, from 60% to 100% B; 25 to 30 min with 100% B; 30 to 31 min from 100% to 5% B;



141 31 to 35 min with 5% B. Apigeninidin, 4-hydroxybenzoic acid and *p*-coumaric acid were measured  
142 at 480, 260 and 280 nm, respectively.

143 The molar absorptivity of apigeninidin was calculated using Equation (3) (Locatelli, Carlucci,  
144 Genovese, Curini, & Epifano, 2011):

$$\varepsilon_b = \frac{A \times f \times 255.24}{l \times c \times v \times 10^{-6}} \quad (3)$$

145 where  $\varepsilon_b$ : molar absorptivity ( $\text{L mol}^{-1} \text{ cm}^{-1}$ ); A: peak area recorded by HPLC (AU min); f:  
146 volumetric flow rate ( $\text{L min}^{-1}$ ); 255.24: molecular weight of apigeninidin ( $\text{g mol}^{-1}$ ); l: optical path  
147 length of the flow cell (which is 1 cm); c: concentration of apigeninidin ( $\text{mg L}^{-1}$ );  $10^{-6}$ : conversion  
148 factor from  $\text{mg L}^{-1}$  to  $\text{g mL}^{-1}$ ; v: volume injected (mL).

149

## 150 2.5. Degradation of apigeninidin in watery extracts at different pH

151 Four independent replicates of SAWE were prepared. The pH was adjusted to  $6.08 \pm 0.02$  and  
152  $9.03 \pm 0.04$  as described above, after which 6 mL samples were transferred to glass tubes and stored  
153 at 4 °C overnight (16 hours). Next, the kinetic degradation of the extracts was performed at 65 °C  
154 using a block heater (Labtherm Liebisch, Bielefeld, Germany). Two tubes of SAWE at pH  
155  $6.08 \pm 0.02$  and  $9.03 \pm 0.04$  were withdrawn at time intervals from 0 to 60 min to measure the  
156 apigeninidin content and the TCD as described above. In addition the polymeric colour (PC) and  
157 the % PC were determined on the watery extracts treated with bisulphite, see Equations (4) and  
158 (5) (Turfan et al., 2011). Wavelengths of 420, 470 and 700 nm were used for brown pigments,  
159 apigeninidin and haze correction, respectively. The experiment was performed four times with

160 independent samples. The thermal degradation of apigeninidin was described with the natural  
161 logarithm scale using the Weibull model, see Equation (6).

$$PC = [(A_{420} - A_{700}) + (A_{470} - A_{700})]_{treated\ sample} \times DF \quad (4)$$

$$\%PC = \frac{PC}{TCD} \times 100 \quad (5)$$

$$\ln C_t = \ln C_0 - \left(\frac{t}{\delta}\right)^\beta \quad (6)$$

162 where,  $C_t$ : concentration of degraded apigeninidin ( $\text{mg L}^{-1}$ );  $C_0$ : initial apigeninidin content ( $\text{mg}$   
163  $\text{L}^{-1}$ );  $\delta$ : the inverse of the kinetic rate constant ( $\text{min}^{-1}$ );  $\beta$ : shape constant, which defines the degree  
164 of concavity of the curve and  $t$ : time (min).

165

## 166 2.6. Combined effect of heat treatment and pH adjustment in a food matrix

167 Two independent replicates of SAWE and solution of *kanwu* (SK) ( $1.5 \text{ g L}^{-1}$  in milli-Q water) were  
168 prepared and adjusted to pH  $4.09 \pm 0.19$ ,  $5.04 \pm 0.02$ ,  $6.08 \pm 0.02$  and  $9.03 \pm 0.04$  as described above.

169 Maize flour (81 g) was added to 810 mL of the pH adjusted SAWE and SK. The mix was cooked  
170 using a thermomixer (Vorwerk, Wuppertal, Germany). The samples of maize porridge cooked

171 with pH adjusted SK were used as control. The temperature of the cooking programme was set as  
172 follows: from 23 to 95 °C during the first 10 min and cooking at 95 °C during the next 10 min.

173 Next, the samples were cooled down (i) at room temperature to measure colour and dry matter and

174 (ii) with liquid nitrogen, freeze-dried at  $-55 \text{ °C} / 0.72 \text{ mbar}$  (using an Alpha 1-4 LD plus freeze-  
175 dryer, Marin Christ, Germany) and milled by impact and friction (mixer mill MM400, Retsch,

176 Haan, Germany) for extraction and quantification of apigeninidin. Colour parameters ( $L^*$ ,  $a^*$ ,  $b^*$ )

177 were measured with a HunterLab Colorflex EZ spectrophotometer (Reston, VA, USA) (illuminant  
178 D 65 and 10° observer) and the chroma ( $C^*$ ) and the hue ( $h^\circ$ ) were calculated.

179

## 180 2.8. Statistical analysis

181 Data on the total ACY, apigeninidin and phenolic acids (4-hydroxybenzoic acid and *p*-coumaric  
182 acid), TCD, PC, %PC, colour parameters ( $L^*$ ,  $C^*$ ,  $h^\circ$ ) were analysed with SPSS 23.0 (SPSS Inc,  
183 Chicago, IL, USA). One way variance analysis (ANOVA) on the means (or on the mean ranks  
184 when the normality or homogeneity of variance failed) followed by post hocs (Duncan or Mann-  
185 Whitney pairwise tests) were applied to detect differences between treatments (pH, heat treatment  
186 and time exposure).

187 The parameters of the Weibull model ( $\ln C_0$ ,  $\delta$  and  $\beta$ ) and their standard errors were estimated with  
188 the macro Solver Aid in Excel 2010. The f-value of the model was determined with Equation (7)  
189 (den Besten, Mataragas, Moezelaar, Abee, & Zwietering, 2006). The f-value was compared to the  
190 F-value table for  $\alpha=0.05$  as shown in Equation (8) (den Besten et al., 2006).

$$f - \text{value} = \frac{\text{MSE}_{\text{model}}}{\text{MSE}_{\text{data}}} \quad (7)$$

191 where,  $\text{MSE}_{\text{model}}$ : mean square error of the model;  $\text{MSE}_{\text{data}}$ : mean square error of the data

$$F_{DF_{\text{data}}}^{DF_{\text{model}}} = F_{n-m}^{n-s} \quad (8)$$

192 where  $DF_{\text{model}}$ : degrees of freedom of the model;  $DF_{\text{data}}$ : degrees of freedom of the data; n: number  
193 of data points, m: the number of time points; s: number of parameters of the model.

194

### 195 3. Results and discussion

#### 196 3.1. Stability of total ACY in dye sorghum extract to heat treatment and storage conditions

197 Total ACY losses of 17-18%, 59-66% and 60-61% were measured after 65 °C / 30 min , 95 °C /  
198 30 min and 121 °C / 30 min heat treatments, respectively (Fig. 1). The percentage of total ACY  
199 that degraded due to the heat treatments was comparable for both types of watery extracts, although  
200 the total ACY differed ( $323.5 \pm 11.8 \text{ mg L}^{-1}$  and  $181.0 \pm 42.0 \text{ mg L}^{-1}$  for SAWE and SHAE,  
201 respectively) as well as the pH values ( $8.67 \pm 0.14$  and  $7.07 \pm 0.04$  for SAWE and SHAE,  
202 respectively). According to Brouillard, Iacobucci, and Sweeny (1982), the quinoidal bases are the  
203 dominant coloured forms of 3-deoxyanthocyanidins at pH 6. The pH of the SAWE and SHAE also  
204 suggested the quinoidal bases as the major forms of ACY at high pH (7.07 to 8.67). Apparently,  
205 the initial total ACY content and the shift from neutral to alkaline pH did not affect ( $p=0.4$  for 65  
206 °C / 30 min and  $p=0.1$  for 95 °C / 30 min and 121 °C / 30 min) the stability of ACY in relation to  
207 heat treatment. A previous study showed a loss of 80% of the anthocyanins at 100 °C / 30 min  
208 (Hiemori, Koh, & Mitchell, 2009). In this respect, the ACY from sorghum extract performed much  
209 better at a neutral and alkaline pH. Data showed that sorghum extract can successfully be used in  
210 foods that require sterilisation treatments at pH  $7.07 \pm 0.04$  and  $8.67 \pm 0.14$ , confirming the good  
211 thermal stability of apigeninidin (Yang et al., 2014) and providing additional information on  
212 thermal stability at alkaline pH.

213 Fig. 2 shows the stability of total ACY during storage. A general decrease of the apigeninidin  
214 content was observed during storage under ambient light and in the dark at room temperature (25-  
215 36 °C), as well as in the dark under refrigerated conditions (4 °C). After 18 days of storage, the  
216 decreases of total ACY in SAWE and SHAE were (a) 71.9% and 50.6% under ambient light,  
217 respectively, (b) 71.4% and 48.5% in the dark at room temperature, respectively and (c) 44.8%

218 and 24.9% in the dark under refrigerated conditions, respectively. At room temperature, light  
219 conditions apparently had no influence on the apigeninidin decrease in SAWE or SHAE.  
220 Photodegradation of ACY could occur through the hydration of the flavylum cation into carbinol  
221 pseudobase (Dyrby et al., 2001). On the contrary, the high pH of SAWE and SHAE ( $8.67\pm 0.14$   
222 and  $7.07\pm 0.04$ ) promoted the formation of quinoidal base, which might not be involved in the  
223 photodegradation of ACY. Awika (2008) also reported good stability of flavylum cation of  
224 apigeninidin with only 25% loss after 15 days of storage under fluorescent light at 25 °C. The  
225 absence of increased degradation in extracts exposed to ambient light is advantageous since it  
226 implies that dye sorghum can be applied to foods and non-food products commonly exposed to  
227 light because common anthocyanins (i.e. glycosides of cyanidin and delphinidin) could lose more  
228 than 90% of the initial concentration of colorant after 15 days under light conditions (Baublis,  
229 Spomer, & Berber-Jiménez, 1994). The alkaline extract appeared to be particularly sensitive to  
230 storage at room temperature. Storage at room temperature (26-35 °C) increased the degradation of  
231 ACY due to its higher reactivity at high temperature (Alighourchi & Barzegar, 2009; Kirca &  
232 Cemeroğlu, 2003). Refrigerated storage slowed down the degradation of ACY. The reactions  
233 leading to the formation of the carbinol pseudobase and chalcone are known as endothermic  
234 (Brouillard, 1982). Therefore, storage at refrigeration temperature (4 °C) would retard the  
235 endothermic reactions leading to ACY degradation.

236

### 237 3.2. Effect of pH adjustment

238 The apigeninidin content of dye extracts was constant at pH 6-10 (Fig. 3), whereas its molar  
239 absorptivity did not change ( $p=0.06$ ) at pH 5-12 ( $27629.5\pm 85.7$  L mol<sup>-1</sup> cm<sup>-1</sup>). Nevertheless, data  
240 reported in Table 1 shows that the use of an acidulant resulted in a lower TCD at pH  $6.08\pm 0.02$

241 than at pH  $9.03 \pm 0.04$ , implying that pH might affect the colour density of the watery extract of  
242 apigeninidin. A stable ACY molar absorptivity suggests a stable colour intensity (Torskangerpoll  
243 & Andersen, 2005). However, despite its stable molar absorptivity, the colour density of  
244 apigeninidin extract was not stable over the pH range tested. The phenolic acid content might affect  
245 the colour density of the apigeninidin watery extract as they could enhance the colour, contributing  
246 to a high TCD at high pH (Awika, 2008). Conversely, the oxidation of organic acids (e.g. citric  
247 acid) and their condensation with other phenolic compounds may occur at low pH values,  
248 contributing to a low colour intensity at pH  $6.08 \pm 0.02$  (Kokkaew, Srithanyarat, & Pitirit, 2015).

249 The use of an acidulant at pH  $5.04 \pm 0.02$  resulted in an apigeninidin precipitation with the  
250 formation of two phases: (i) a supernatant containing only 5% of the initial apigeninidin and (ii) a  
251 pellet rich in apigeninidin. HPLC analysis showed that the pellet contained apigeninidin with a  
252 purity of 100%. In addition, phenolic acids (viz., 4-hydroxybenzoic and *p*-coumaric acid) were  
253 absent at a detection limit of  $1.95 \mu\text{g mL}^{-1}$ . Instead of the bleaching of apigeninidin in the presence  
254 of acidulants like acid ascorbic at pH 5 reported by Ojwang and Awika (2008), a loss of net charge  
255 leading to an apigeninidin precipitation in watery extract at pH 5 provided additional information  
256 on the effect of acid pH on apigeninidin extract. Precipitation of apigeninidin at pH  $5.04 \pm 0.02$   
257 might limit its application in acidic drinks ( $\text{pH} \leq 5$ ). On the contrary, an alkaline pH resulted in the  
258 degradation of apigeninidin. At pH  $11.04 \pm 0.03$  and  $12.07 \pm 0.04$ , the apigeninidin concentration  
259 decreased by 44.1% and 81.4%, respectively, compared with the extract at pH  $6.08 \pm 0.02$  (Fig. 3).

260 According to literature, apigeninidin could be converted into phenolic acids at alkaline pH (Yang  
261 et al., 2014). Therefore alkaline extracts were analysed by HPLC. Parallel to the decrease of  
262 apigeninidin, an increase in the phenolic acid content of the extract was found. From pH  $6.08 \pm 0.02$   
263 to  $12.07 \pm 0.04$ , the concentrations of 4-hydroxybenzoic acid and *p*-coumaric acid increased 3.7 and

264 1.7 fold, respectively. Nevertheless, only 3.9 and 0.53% of the degraded apigeninidin were  
265 converted in 4-hydroxybenzoic acid and *p*-coumaric acid, respectively. This suggests that other  
266 phenolic acids might have been formed too.

267 The stability of apigeninidin and colour to heat treatment in a semisolid food matrix (i.e. a maize  
268 porridge) at different pH is reported in Table 2. The apigeninidin content of the porridge did not  
269 differ at pH 4-6 ( $p=0.2$ ), whereas it was higher at pH  $9.03\pm 0.04$ . Furthermore, the colour of the  
270 porridge was comparable at pH 4-6 whereas hue ( $h^\circ$ ) and lightness ( $L^*$ ) were smaller at pH  
271  $9.03\pm 0.04$ . In other words, the porridge looked more red at pH  $9.03\pm 0.04$  than at pH 4-6.  
272 Consequently, the increased redness of the porridge and the higher concentration of apigeninidin  
273 at high pH might have resulted from (a) the higher TCD of the quinoidal forms of apigeninidin  
274 and (b) its higher resistance to heat treatment, respectively.

275

### 276 3.3. Kinetic degradation of sorghum biocolorant as related to pH

277 Fig. 5 shows the kinetic degradation of sorghum biocolorant at 65 °C and the fitted data. The  
278 degradation is lower at pH  $9.03\pm 0.04$  than at pH  $6.08\pm 0.02$ . Table 3 summarizes the model  
279 parameters and their fitting performance (f-value). An f-value lower than the F-value table of the  
280 degrees of freedom of the model and the data support the proper fitting performance of the model.  
281 The stability of apigeninidin could adequately be described by three parameters, i.e. the natural  
282 logarithm of the initial concentration ( $\ln C_0$ ), the inverse of the kinetic rate constant ( $\delta$ ) and the  
283 shape parameter ( $\beta$ ). The natural logarithm of the initial concentration ( $\ln C_0$ ) is higher at pH  
284  $6.08\pm 0.02$  (5.12) than at pH  $9.03\pm 0.04$  (4.96). Moreover, the inverse of the kinetic rate constant  
285 ( $\delta$ ) is lower at pH  $6.08\pm 0.02$  (41.2) than at pH  $9.03\pm 0.04$  (164.2). Nevertheless, the shape

286 parameter ( $\beta$ ) was not different at pH  $6.08\pm 0.02$  (0.62) and pH  $9.03\pm 0.04$  (0.63). The pH change  
287 and the heat treatment affected the initial concentration and the kinetic rate constant. Although  
288 apigeninidin is stable at pH 6-9, its stability can apparently be affected by the time of exposure at  
289 alkaline pH. Indeed, storage at 4 °C overnight (i.e. for 18h) affected  $\ln C_0$  at pH  $9.03\pm 0.04$ . The  
290 lower degradation rate of apigeninidin at pH  $9.03\pm 0.04$  is in contrast to the degradation of most  
291 anthocyanins during heat treatment (Matsufuji et al., 2007). Few aglycone anthocyanins like  
292 apigeninidin showed such stability at high pH (Matsufuji et al., 2007).

293 Table 1 shows the TCD, PC and %PC stability of the watery extract of sorghum biocolorant during  
294 a heat treatment (65 °C) at pH  $6.08\pm 0.02$  and  $9.03\pm 0.04$ . The higher TCD and PC of quinoidal  
295 apigeninidin at pH  $9.03\pm 0.04$  compared to pH  $6.08\pm 0.02$  suggest (i) a higher colour intensity and  
296 (ii) a better resistance to bleaching, respectively. The higher colour density of the SAWE is used  
297 by processors to efficiently colour the surface of *wagashi*, a soft cheese of West Africa, at room  
298 temperature. During the heat treatment, the TCD was stable at pH  $6.08\pm 0.02$  whereas it decreased  
299 at pH  $9.03\pm 0.04$ . In addition, the PC and the %PC of the extracts (at pH 6.08 and 9.03) increased  
300 during heating. Therefore, heat treatment (i) affected the density of the colour at pH  $9.03\pm 0.04$  and  
301 (ii) increased the amount of compounds resistant to bleaching at pH  $6.08\pm 0.02$  and  $9.03\pm 0.04$ . The  
302 increased PC suggests the formation of new compounds reacting with sulphite. In addition, the  
303 chemical oxidation in heat treated polyphenol-containing foods could enhance the antioxidant  
304 activity (Nicoli, Anese, & Parpinel, 1999). Consequently, the increase of newly formed antioxidant  
305 reactive fragments might protect apigeninidin from the oxidizing activity of sulphite, leading to  
306 the increasing PC. More research is needed to identify the reactive fragments formed during heat  
307 treatment of apigeninidin extracts and how they enhance the resistance to bleaching.

308



### 309 3.4. Perspectives for the food industry

310 The alkaline treatment of sorghum leaf sheaths increased the release of the apigeninidin from the  
311 raw material and could thus be considered as an alternative and selective extraction method for 3-  
312 deoxyanthocyanidins (Akogou et al., 2017). The effect of the high pH on the apigeninidin  
313 concentration confirms extraction at pH around 8.7-9 to be the optimum to extract apigeninidin  
314 without the risk of degradation into phenolic acids. Considering the increased loss of apigeninidin  
315 at a decreasing pH using a heat treatment for food processing (Geera, Ojwang, & Awika, 2012),  
316 severe heat treatments should be applied in the pH range 7-9 to minimise loss of apigeninidin. The  
317 SAWE was more resistant to nucleophilic attack due to blanching.

318

### 319 4. **Conclusion**

320 Watery extracts from dye sorghum leaf sheaths demonstrated good stability (i) to processing  
321 conditions, including severe heat treatments, (ii) at pH 6-10 and (iii) to light exposure. Storage  
322 temperature affects stability; refrigerated conditions are to be preferred. Furthermore, the quinoidal  
323 base of apigeninidin (at pH 9) had a higher colour intensity and a better resistance to heat treatment.  
324 The loss of the net charge caused loss of solubility, leading to apigeninidin precipitation.  
325 Controlled acidification of alkaline extracts can be used to precipitate apigeninidin from watery  
326 extracts. Further research is needed to investigate (a) the control of the loss of net charge in acidic  
327 watery extracts of apigeninidin, (b) the identification of new antioxidant reactive fragments from  
328 apigeninidin degradation.

329

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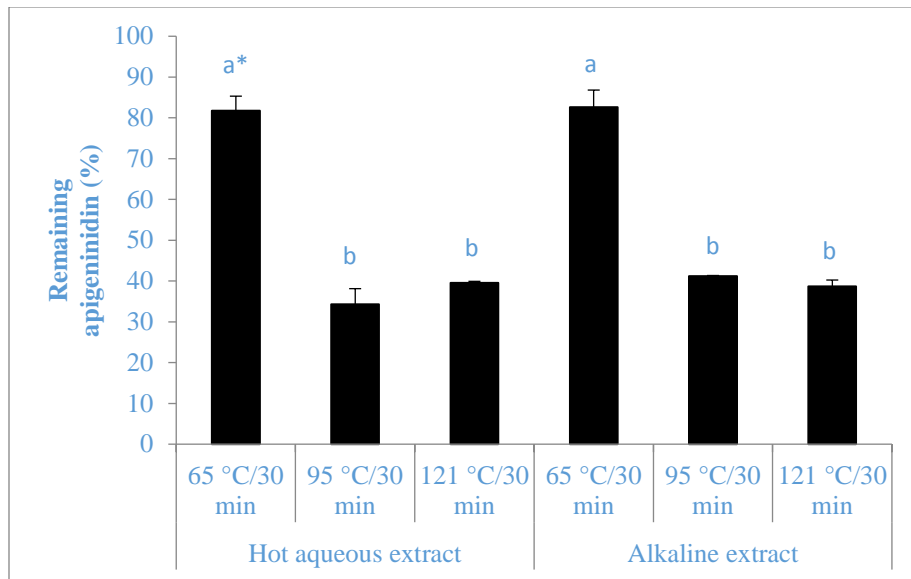
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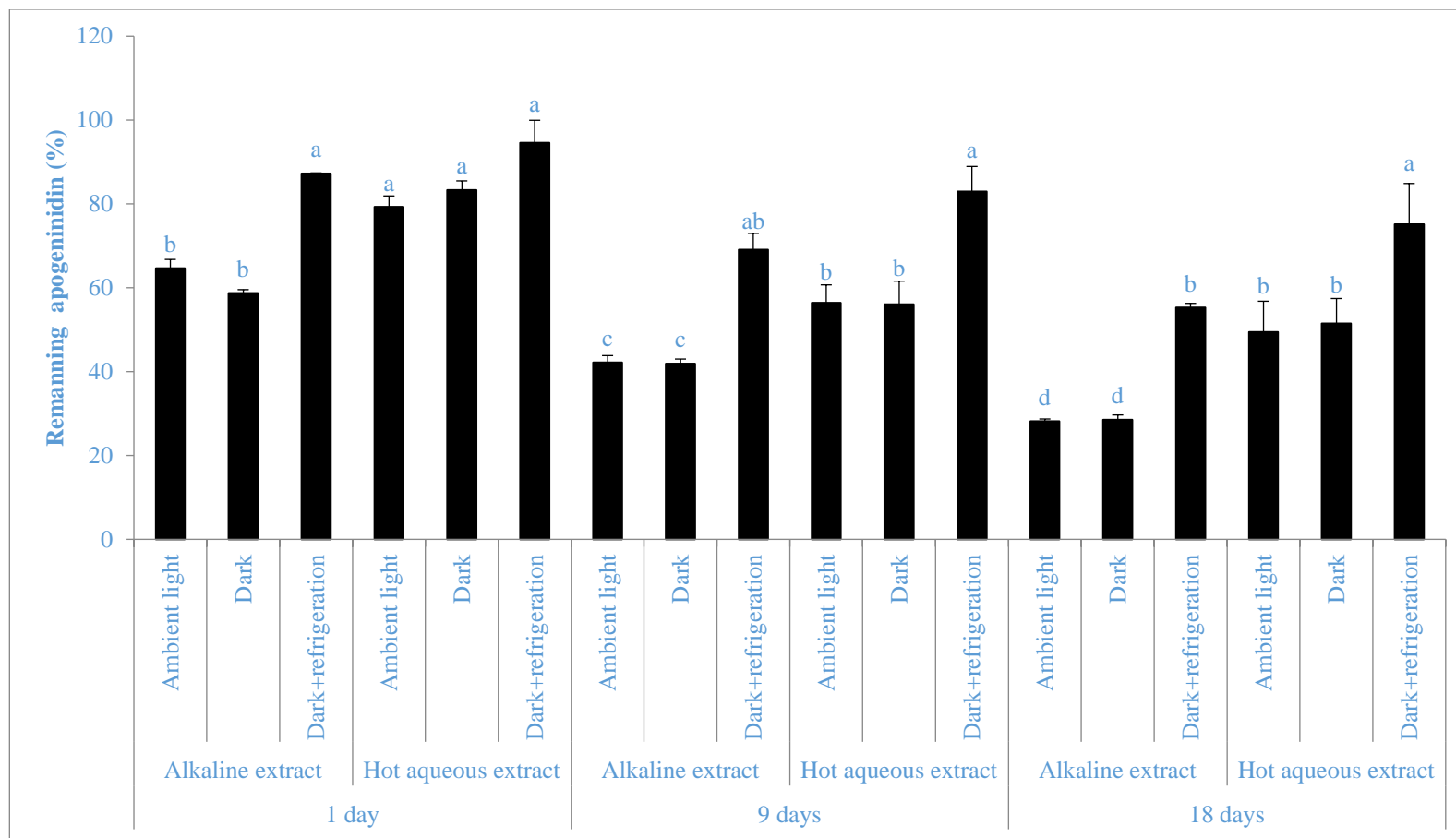


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\*Bars with the same letters are not significantly different at 5%

411 **Fig. 1.** Stability of sorghum extracts to the most common heat treatments.

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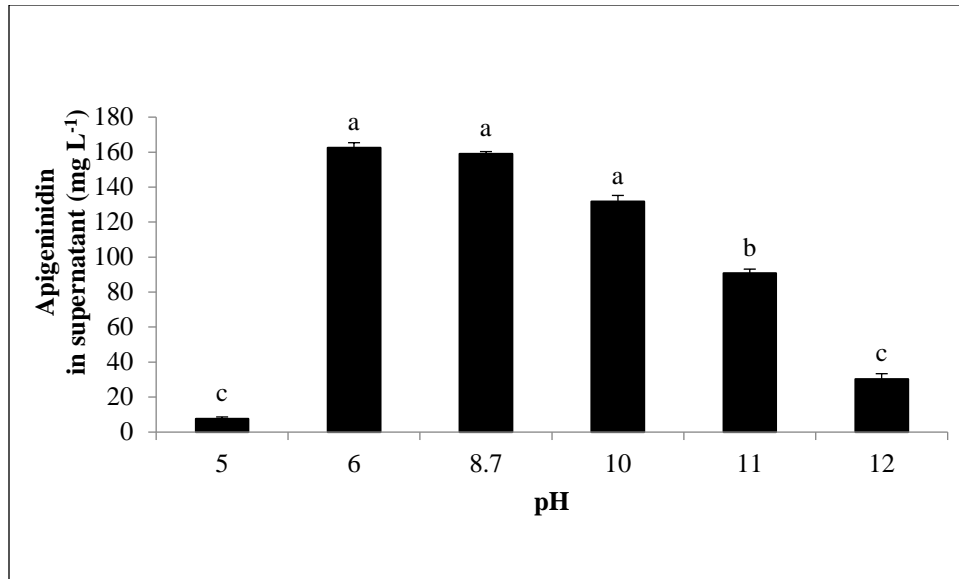
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**Fig. 2.** Stability of pasteurised extracts to light and temperature during storage.

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\*Bars with the same letters are not significantly different at 5%

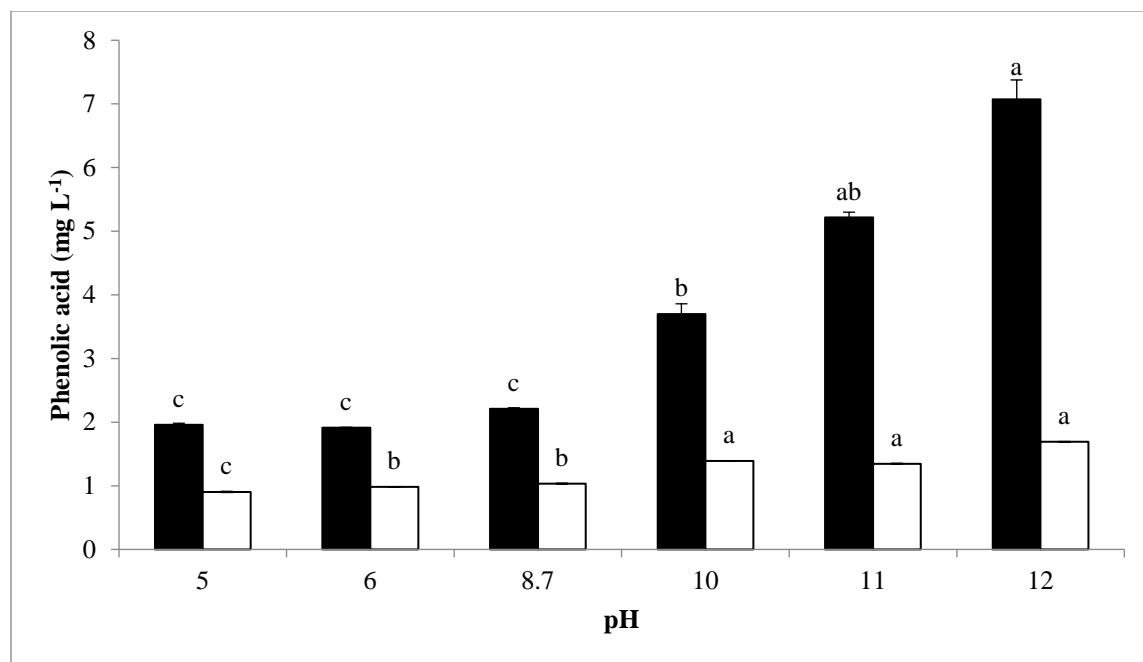
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**Fig. 3.** Effect of the pH adjustment on apigeninidin measured in solution at room temperature.

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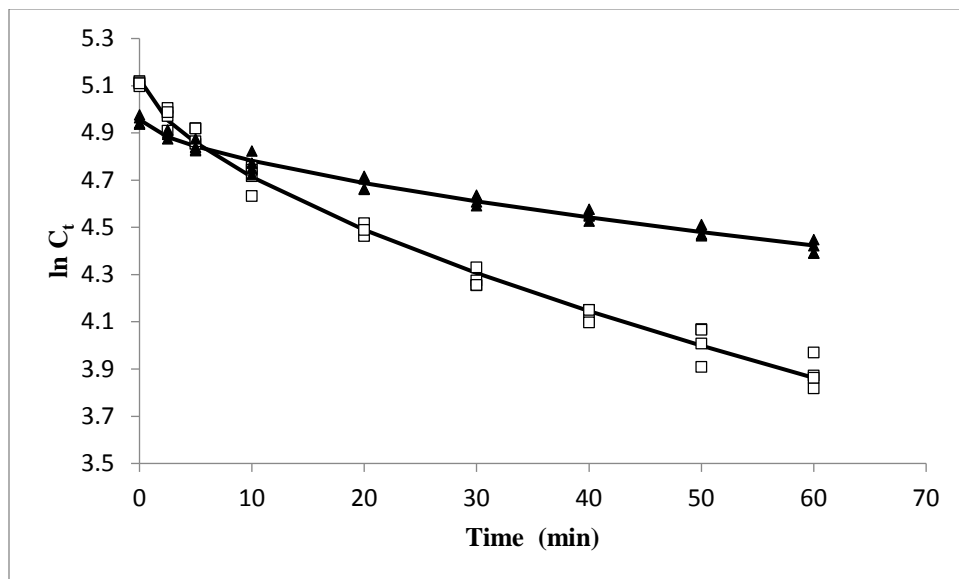
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424 \*Bars with the same colour and the same letters are not significantly different at 5%

425 **Fig. 4.** Effect of pH on the concentration of 4-hydroxybenzoic acid (■) and *p*-coumaric acid (□)

426 in sorghum colorant.

427



428

429 **Fig. 5.** Kinetic degradation at 65 °C of apigeninidin in dye sorghum extract at pH 6.08 (□) and

430 9.03 (▲) and the fitted data with using the Weibull model.

431 **Table 1: Effect of heat treatment (65 °C) on total colour density and polymeric colour of**  
 432 **sorghum biocolorant at pH 6.08 and 9.03**

Time (min)	pH 6.08			pH 9.03		
	TCD*	PC	%PC	TCD	PC	%PC
<b>0</b>	12.5±1.6 a	3.9±0.5 a	31.0±0.2 a	45.4±1.7 a	7.7±0.4 a	17.0±0.5 a
<b>2.5</b>	13.0±1.7 a	4.2±0.7 ab	32.4±1.6 ab	44.8±1.3 ab	7.9±0.5 a	17.7±0.7 ab
<b>5</b>	13.1±2.2 a	4.2±0.7 ab	31.8±1.6 ab	44.5±1.0 ab	8.1±0.5 ab	18.2±0.8 ab
<b>10</b>	12.9±1.9 a	4.3±0.6 ab	33.0±0.9 b	44.2±1.5 ab	8.2±0.5 abc	18.5±0.8 bc
<b>20</b>	13.6±1.3 a	4.5±0.5 ab	32.8±1.1 b	43.0±1.5 bc	8.4±0.5 abc	19.6±0.8 cd
<b>30</b>	12.9±1.1 a	4.5±0.3 ab	34.6±0.8 c	42.6±1.3 bc	8.5±0.6 abc	20.0±0.9 d
<b>40</b>	13.4±1.6 a	4.7±0.5 ab	34.9±0.5 c	42.4±1.6 bc	8.7±0.7 bc	20.4±1.0 de
<b>50</b>	12.6±1.2 a	4.6±0.4 ab	36.9±0.1 d	42.0±1.5 c	8.8±0.6 abc	21.0±0.9 de
<b>60</b>	12.6±1.1 a	4.9±0.4 b	38.7±0.9 e	41.5±1.7 c	9.0±0.6 c	21.7±0.9 e

433 \*mean±standard deviation; values with the same value in the same column are not significantly different at 5%.

434 TCD, total colour density; PC, polymeric colour; %PC, percentage of polymeric colour

435 **Table 2: Apigeninidin content and colour in cooked maize porridge**

<b>pH</b>	<b>Apigeninidin content (mg/g DM)</b>	<b><i>L</i>*</b>	<b><i>C</i>*</b>	<b><i>h</i><sup>•</sup></b>
<b>4.09 (n=2)</b>	1.3±0.0 a	23.7±0.3 b	33.3±0.5 a	38.0±0.8 b
<b>5.04 (n=2)</b>	0.8±0.0 a	24.2±0.1 b	30.5±0.1 a	34.8±0.0 b
<b>6.08 (n=2)</b>	0.8±0.0 a	23±0.3 b	28.8±0.1 a	33.7±0.3 b
<b>9.03 (n=2)</b>	1.9±0.2 b	13.6±0.6 a	30.6±0.3 a	27.9±0.3 a

436 \*mean±standard deviation; values with the same value in the column are not significantly different at 5%.

437

438 **Table 3: Values of parameters estimated with the Weibull model**

<b>Extract</b>	<b>Estimated</b>	<b>Mean</b>	<b>Standard</b>	<b>f-value of</b>
<b>pH</b>	<b>parameters</b>		<b>error</b>	<b>the</b>
				<b>model*</b>
<b>6.08</b>	$\ln C_0$	5.12	0.02	1.0**
	$\delta$	41.19	1.60	
	$\beta$	0.62	0.03	
<b>9.03</b>	$\ln C_0$	4.96	0.01	0.9**
	$\delta$	164.2	10.02	
	$\beta$	0.63	0.04	

439 \*f-value=  $MSE_{model}/MSE_{data}$

440 \*\*f-value < F-value table of the degrees of freedom of the model and the data (1.87) means Weibull model described the observed values well