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1 **Modelling the effects of ethanol on the**  
2 **solubility of the proteinogenic amino acids**  
3 **with the NRTL, Gude and Jouyban-Acree**  
4 **models**

5 Nathan A. Bowden (1, 2), David Mendez Sevillano (2), Johan P.M. Sanders (1, 3),  
6 Marieke E. Bruins (3)

7 1) Biobased Chemistry and Technology Group, ASFG, Bornse Weilanden 9, 6708WG  
8 Wageningen, Wageningen UR, The Netherlands

9 2) School of Life Sciences, Lovensdijkstraat 61-63, 4818AJ Breda, Avans University of  
10 Applied Science, The Netherlands

11 3) Food and Biobased Research, Bornse Weilanden 9, 6107WG Wageningen, The  
12 Netherlands

13 **Abstract:** *The addition of organic solvents, such as ethanol, to molecules in solution is an*  
14 *effective process for crystallization and is used in industrial settings (i.e. pharmaceutical*  
15 *production, downstream processing, etc.). In this study, we use solubility data of all*  
16 *proteinogenic  $\alpha$ -amino acids in binary ethanol/water systems to model their excess solubility. We*  
17 *use the empirical and regressive models of Gude and NRTL and the predictive Jouyban-Acree*  
18 *model. Based on the results, we hypothesize that amino acids that are spherical and lack a*  
19 *reactive side chain show little or no excess solubility. Being rod-like and/or having a reactive*  
20 *side chain leads to a positive excess solubility in a mixed solvent of ethanol and water. The*  
21 *empirical and regressed models, NRTL and Gude, fit the data well and the predictive Jouyban-*  
22 *Acree model, not originally intended to be used for small molecules, is less accurate but offers*  
23 *insights into the thermodynamic properties of the amino acids.*

24 Keywords: Thermodynamics, aqueous-solutions, equilibria, organic solvents, excess solubility

## 25 **1 Introduction**

26 In the future, products that are currently being produced using non-renewable resources  
27 (e.g. plastics, pharmaceuticals and fine chemicals) could be made from bio-based sources, such  
28 as proteins and  $\alpha$ -amino acids<sup>1-3</sup>. One of the challenges in this line of research, is to find a way to  
29 separate  $\alpha$ -amino acids from industrial residues so that the production of bio-based products can  
30 begin. This research is applicable to the industrial challenges of separating amino acids from  
31 solution.

32 Industrial residues can be used as a feedstock for the extraction of amino acids and other  
33 biomolecules. When amino acids are extracted, they need to be separated from aqueous solution.

34 Currently, the most common method of separating many amino acids from solution is by using  
35 industrial chromatography. An alternative to chromatography could be to crystallize the amino  
36 acids using an anti-solvent, such as ethanol.

37 The structure of every amino acid contains a carboxyl group attached to an  $\alpha$ -carbon.  
38 This  $\alpha$ -carbon is also attached to an amino group. The amino acids studied in this article are  $\alpha$ -  
39 amino acids, which all have side chains also attached to the  $\alpha$ -carbon. The exception is glycine  
40 which does not have a side chain. The side chains of  $\alpha$ -amino acids include aliphatic groups,  
41 aromatic and non-aromatic rings, hydroxyl groups, sulphur and charged groups (e.g. a second  
42 carboxyl group, lysyl group, guanidinium group). The amino and carboxyl groups attached to the  
43  $\alpha$ -carbon will be charged at a pH that is not the isoelectric point. At the isoelectric point, the  
44 amino acid has a neutral charge and is called a zwitterion. All measurements in this manuscript  
45 were taken at the isoelectric point.

46 There has been some research on the solubility of  $\alpha$ -amino acids in mixtures of alcohol  
47 and water<sup>4-7</sup>. Basic solubility measurements were reported and subsequent research focused on  
48 calculating the partition coefficients of the solubility of these  $\alpha$ -amino acids and their phase  
49 behavior<sup>8</sup>. Recently, complete and reliable data has been published on the solubility of  $\alpha$ -amino  
50 acids in ethanol/water systems<sup>9</sup> and mixtures of  $\alpha$ -amino acids<sup>10</sup>.

51 Many models have been proposed to model the solubility of amino acids in aqueous  
52 solution. These models include calculating partition coefficients<sup>11</sup>, using regressed coefficients<sup>12</sup>,  
53 examining non-ideality<sup>13</sup>, measuring and modelling activity coefficients<sup>14-17</sup>, activities<sup>18</sup> and  
54 applying a modification of the Wilson model<sup>19</sup>. Other models have been applied to model the  
55 solubility of amino acids in salt solutions<sup>20-27</sup>. Only a few models have been proposed to describe  
56 the solubility of  $\alpha$ -amino acids in ethanol/water systems, but these manuscripts focus on a single

57 model and only a few  $\alpha$ -amino acids<sup>28-30</sup>. This article will model all proteinogenic  $\alpha$ -amino acids  
58 using solubility data that is available in the literature.

59 We use three models that represent two different modelling approaches. Of these three,  
60 two of the models use regressed parameters. The models that we use that have regressed  
61 parameters are the Gude model and the Non-Random Two Liquid (NRTL) model. While models  
62 that use regressed parameters have in general given excellent results, they do not explain what  
63 thermodynamic properties of the molecules lead to their results. The third model that we use is  
64 the Jouyban-Acree model, which is a predictive model. Predictive solubility models are based on  
65 thermodynamic properties of the molecules that they are modelling. While the thermodynamic  
66 properties of the molecules explain the results of the predictive models, predictive models have  
67 been less accurate than regressed models.

68 Using the different approaches allows conclusions to be made on whether the predictive  
69 model (Jouban-Acree) provides sufficient accuracy to model amino acid solubility or if a  
70 regressed model (Gude or NRTL) should be used. Other solubility models<sup>31-36</sup> were considered  
71 for this article, but due to their complexity were left out in favour of models with fewer  
72 variables.

73 The Gude<sup>12</sup> and NRTL<sup>54</sup> models were chosen in this research for their accuracy in the  
74 literature and the minimum number of parameters they use. Both the NRTL and Gude models  
75 furthermore acknowledge the lattice and therefore entropic nature of liquids, first investigated by  
76 Flory<sup>37</sup> and Huggins<sup>38</sup>. The Gude model has one parameter that is regressed to fit the data and the  
77 NRTL has two parameters that are regressed to fit the data. For this reason, it is expected that the  
78 NRTL model will have a lower error. However, it is preferential to use a regressive model with

79 the least number of regressed parameters. In the case where both models have similar errors, the  
80 Gude model could be used.

81 While the Gude and NRTL models will be accurate, in comparison, the Jouyban-Acree  
82 model is predictive and based on the bonds and forces of the molecules being modelled. The  
83 version of the Jouyban-Acree model that is used in this research has nine regressed constants.  
84 These constants are used in conjunction with Hansen solubility parameters, which are based on  
85 physical chemistry group contribution data. While the Jouyban-Acree model uses more  
86 parameters than the Gude and NRTL models, the parameters are predictive, not regressed. The  
87 Jouyban-Acree model has been shown to perform well with relatively large pharmaceutical  
88 solutes in ternary systems<sup>39</sup>. A version of this model with regressed parameters has been applied  
89 to only a few amino acids in ternary solution, but no  $\alpha$ -amino acids in water and ethanol  
90 mixtures, with the exception of glycine<sup>40</sup>. We use the Jouyban-Acree model without regressed  
91 parameters in this research in order to evaluate the use of group contribution data to amino acid  
92 solubility models. In the future, data from this work could contribute to refining the non-  
93 regressed Jouyban-Acree parameters for amino acids.

## 94 **2 Theory**

### 95 **2.1 Thermodynamic modelling of excess solubility**

96 The addition of organic solvents, e.g. ethanol, to aqueous solutions of amino acids lowers  
97 the solubility of the amino acid solutes. This allows for precipitation and crystallization. The  
98 solubility of the amino acids is often lowered by organic solvents by more than 1000 times its  
99 solubility in water alone<sup>9</sup>. Industrial applications using organic solvents can only be designed

100 when this effect on the solubility is understood. This presents a challenge for chemical engineers  
101 in modelling their solubility.

102 Data is taken from the literature<sup>4-7, 9</sup> and modelled with two empirical and regressive  
103 models and with one predictive model. The two empirical and regressive models are the Gude<sup>12</sup>  
104 and NRTL<sup>41-45</sup> models and the semi-empirical and predictive model is the Jouyban-Acree  
105 model<sup>46-50</sup>.

106 In order to effectively compare the performance of the models, excess solubility has been  
107 chosen as the output of the model. This decision aligns with literature<sup>51-52</sup> in the specific case of  
108 binary solvent mixtures. Excess solubility, represented by the mole fraction  $x_{aa}^E$ , can be  
109 calculated using equation (1).

110

$$111 \ln x_{aa}^E \equiv \ln x_{aa,mix} - \sum_{i=1}^N x'_i \ln x_{aa,i} \quad (1)$$

112

113 in which case  $x_{aa,mix}$  and  $x_{aa,i}$  are the mole fractions of the amino acid solute ( $aa$ ) in a mixed  
114 solvent and pure solvent,  $i$ , respectively. The mole fraction of the solvent  $i$  without the solute is  
115 denoted by  $x'_i$ .

116 When assuming a pure solvent phase as a standard state, such as in this research, at  
117 standard system pressure and temperature, the chemical potential of the solute is not dependent  
118 on the solvent composition. Therefore, the excess solubility can be rewritten as:

119

$$120 \ln x_{aa}^E \equiv -\ln \gamma_{aa,mix} + \sum_{i=1}^N x'_i \ln \gamma_{aa,i} \quad (2)$$

121

122 where the dimensionless activity coefficients of the solute in saturated solutions of the mixed  
123 solvent and pure solvent are represented by  $\gamma_{aa,mix}$  and  $\gamma_{aa,i}$ .

124 Cohn and Edsall<sup>53</sup> noted that the solubility of the solute in these systems is low.

125 Therefore, it can be assumed that the solute is infinitely dilute and approximated as:

126

$$127 \ln x_{aa}^E \equiv -\ln \gamma_{aa,mix}^{\infty} + \sum_{i=1}^N x'_i \ln \gamma_{aa,i}^{\infty} \quad (3)$$

## 128 2.2 Gude Model

129 Gude<sup>6</sup> developed a simplified equation to model the behaviour of amino acids in mixed  
130 solvents. This model uses 2 constants. The constant for the interaction between the solvents,  $A_{j,i}$ ,  
131 was set to 1.55 for ethanol/water in the work of Gude and is applied in this work. The constant  
132 for the interaction between the amino acid and the solvent mixture,  $C_{j,i,aa}$ , is specific to each  
133 amino acid. This interaction parameter,  $C_{j,i,aa}$  ( $\text{mol}\cdot\text{L}^{-1}$ ), is constant for the system and found by  
134 fitting the model to the data. Equation (4) describes the model:

135

$$136 \ln x_{aa}^E \equiv \ln r' - \sum_{j=1}^N x'_j \ln r_j + r_{aa} \left( \frac{1}{r'} - \sum_j \frac{x'_j}{r_j} \right) + \sum_j \sum_i [A_{j,i} x'_j x'_i (1 + C_{j,i,aa})] \quad (4)$$

137

138 where subscripts  $j$  and  $i$  relate to solvents and subscript  $aa$  relates to the solute. The values of the  
139 UNIFAC variable  $r$  were set at 0.92 for water and 2.11 for ethanol and calculated individually for  
140 the amino acids<sup>12</sup>. Values for  $r'$  are the solute free value of  $r$ . The  $C_{j,i,aa}$  are fitted for each amino  
141 acid from Equation (4) and are shown in Table 2.



142

## 2.3 NRTL Model

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$$\ln \gamma_{aa,i} = \frac{\sum_{i=1}^n x'_i \tau_{i,aa} G_{i,aa}}{\sum_{i=1}^n x'_i G_{i,aa}} + \sum_{i=1}^n \frac{x'_i G_{aa,i}}{\sum_{j=1}^n x'_j G_{j,i}} \left( \tau_{aa,i} - \frac{\sum_{j=1}^n x'_j \tau_{j,i} G_{j,i}}{\sum_{j=1}^n x'_j G_{j,i}} \right) \quad (5)$$

150

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153

$$\ln x_{aa}^E = \sum_{i=1}^N (\tau_{i,aa} + \tau_{i,aa} G_{i,aa}) x'_i - \frac{\sum_{i=1}^n x'_i \tau_{i,aa} G_{i,aa}}{\sum_{i=1}^n x'_i G_{i,aa}} -$$

154

$$\sum_{i=1}^n \frac{x'_i G_{aa,i}}{\sum_{j=1}^n x'_j G_{j,i}} \left( \tau_{aa,i} - \frac{\sum_{j=1}^n x'_j \tau_{j,i} G_{j,i}}{\sum_{j=1}^n x'_j G_{j,i}} \right) \quad (6)$$

155

156

157

where  $G_{mn} = \exp(-\alpha_{mn} \tau_{mn})$  and the dimensionless interaction parameters  $\tau_{mn}$ ,  $\tau_{nm}$  and the non-randomness parameter  $\alpha_{nm}$  are represented for each system of two solvents.

158

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The interaction parameters,  $\tau$ , and the non-randomness parameters,  $\alpha$ , for the solvents have previously been published<sup>42</sup>. These are  $\tau_{ethanol,water} = -406.47$  and  $\tau_{water,ethanol} = 1413$  at 298.15K,  $\alpha_{water,ethanol} = 0.1830$  and  $\alpha_{aa,water} = 0.05$  and  $\alpha_{aa,ethanol} = 0.02$ .

Furthermore, in this research we have assumed that the unitless interaction parameters for the

162 system amino acid-solvent,  $\tau_{aa,i}$ , and solvent-amino acid,  $\tau_{i,aa}$ , are the same. The  $\tau_{aa,i}$  for each  
 163 amino acid is calculated by regressing Equation (6) and are shown in Table 2.

## 164 2.4 Jouyban-Acree Model

165 Jouyban and colleagues developed a model for the excess solubility<sup>39</sup> based on the log-  
 166 linear model developed by the group of professor Sadowski<sup>32</sup>. This model uses as input the  
 167 Hansen solubility parameters which can be calculated from group contribution models<sup>55</sup>.

168 There are several versions of the Jouyban-Acree model. The version that we use here<sup>49</sup>,  
 169 shown in equation (7), uses nine previously regressed constants that can be found in Table 1 to  
 170 calculate the solubility in the mixture of solvents. Once that is calculated, equation (1) can be  
 171 used to calculate the excess solubility and compare the performance with the aforementioned  
 172 models.

$$\begin{aligned}
 174 \quad \log x_{aa,mix} = & f_c \log x_{aa,c} + f_w \log x_{aa,w} + \left(\frac{f_c f_w}{T}\right) \left[ A_0 \delta_{d,aa} (\delta_{d,c} - \delta_{d,w})^2 + \right. \\
 175 \quad & A_1 \delta_{p,aa} (\delta_{p,c} - \delta_{p,w})^2 + A_2 \delta_{hb,aa} (\delta_{hb,c} - \delta_{hb,w})^2 \left. \right] + \left(\frac{f_c f_w (f_c - f_w)}{T}\right) \left[ A_3 \delta_{d,aa} (\delta_{d,c} - \delta_{d,w})^2 + \right. \\
 176 \quad & A_4 \delta_{p,aa} (\delta_{p,c} - \delta_{p,w})^2 + A_5 \delta_{hb,aa} (\delta_{hb,c} - \delta_{hb,w})^2 \left. \right] + \left(\frac{f_c f_w (f_c - f_w)^2}{T}\right) \left[ A_6 \delta_{d,aa} (\delta_{d,c} - \delta_{d,w})^2 + \right. \\
 177 \quad & \left. A_7 \delta_{p,aa} (\delta_{p,c} - \delta_{p,w})^2 + A_8 \delta_{hb,aa} (\delta_{hb,c} - \delta_{hb,w})^2 \right] \quad (7)
 \end{aligned}$$

178  
 179 Where subscripts w, c, p, d and hb stand for water, co-solvent, polar, dispersion and  
 180 hydrogen bonding respectively. Furthermore,  $\delta$  and  $f$  stand for the Hansen solubility parameter,  
 181 in MPa<sup>0.5</sup>, and volume fraction respectively. The Hansen solubility parameters were calculated as  
 182 discussed previously and are shown in Table 2. The solubility parameters are constant and could

183 be included in the A values. The A parameters show the effect of the forces in the solvent system  
184 on the amino acid. In this case, the solvent system in water and ethanol. The solubility  
185 parameters,  $A_0$ - $A_8$ , are shown in Table 1.

186 **Table 1: Jouyban-Acree constants**

Constant	Value
$A_0$	0.0000
$A_1$	0.6060
$A_2$	0.0130
$A_3$	-8.6960
$A_4$	0.3760
$A_5$	0.0130
$A_6$	9.2770
$A_7$	-0.4610
$A_8$	0.0170

### 187 **3 Materials and Methods**

188 Matlab version 9.0.0341360 was used for the regression and calculations. All graphical  
189 objects in Figure 3 were obtained from Wikimedia and have been released to the public domain  
190 worldwide.

191 The data from the literature that is used in all of the models is shown in the  
192 supplementary data. In this table, the solubility of each of the 20 proteinogenic amino acids in  
193 mole fraction is given, along with the ethanol mole fraction in the solvent without the solute, the  
194 standard deviation (labelled “+/-”) and the source of the data. The standard deviation was  
195 calculated by the root of the sum of the square of the difference between each of the  
196 measurements and the average of the measurements, divided by the number of measurements  
197 minus one. All data were measured at the isoelectric point. This means that the amino acids are  
198 present as neutral zwitterions and therefore carry no net charge..

199 The interaction parameters of the NRTL and Gude models are regressed by minimizing  
200 the normalized root-mean-square error (NRMSE). The NRMSE was calculated for all three  
201 models by equation (8), where  $x'_i$  is the mole fraction of ethanol in the solute free solvent,  $\hat{y}_{x'_i}$  is  
202 the predicted excess solubility,  $y_{x'_i}$  is the measured excess solubility and  $y_{max}$  and  $y_{min}$  are the  
203 maximum and minimum excess solubility. Normalizing the root-mean-square-error by dividing  
204 by the range facilitates the comparison between amino acids that are on different scales.

$$205 \quad NRMSE = \frac{\sqrt{\frac{\sum_{i=1}^n (\hat{y}_{x'_i} - y_{x'_i})^2}{n}}}{y_{max} - y_{min}} \quad (8)$$

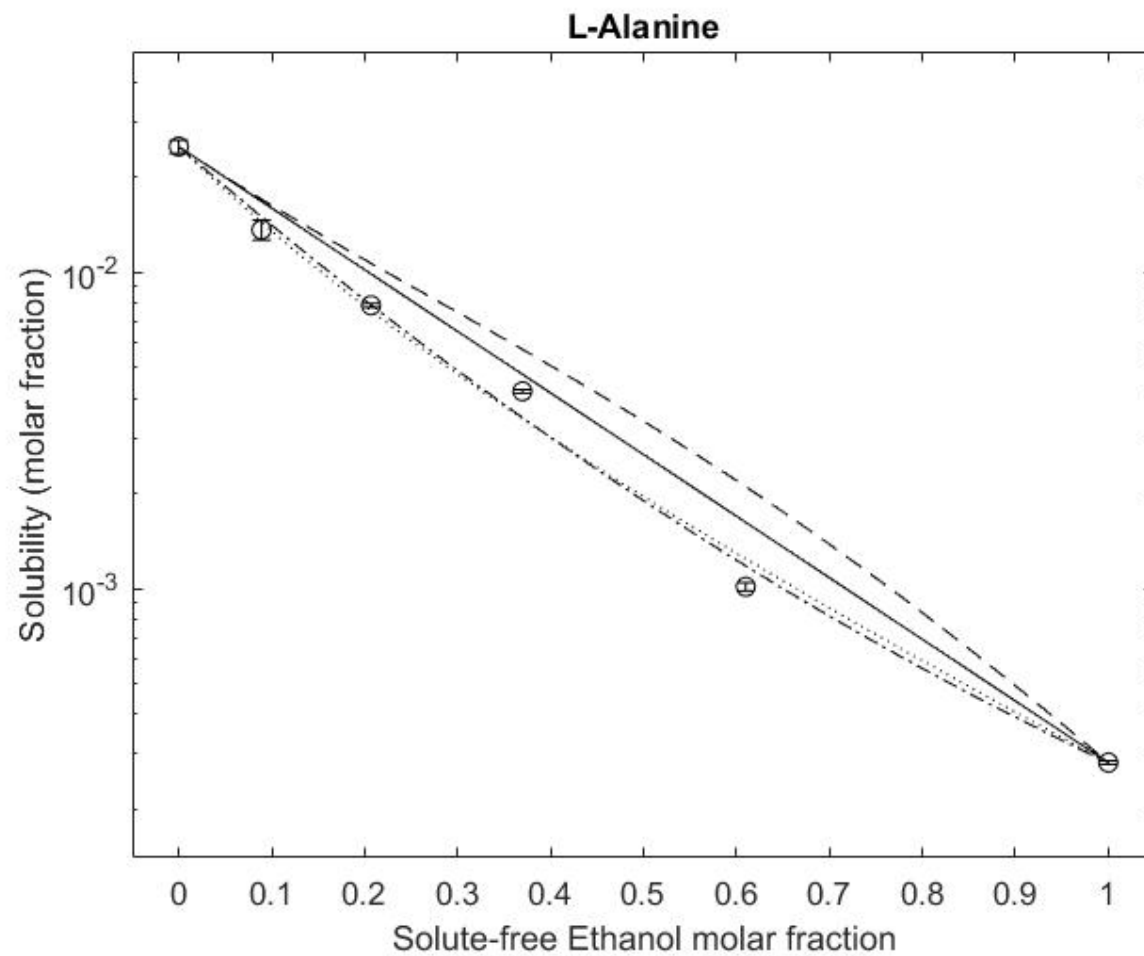
## 206 4 Results and discussion

207 The regression coefficients,  $\tau_{aa,i}$  and  $\tau_{i,aa}$ , of the NRTL model for the interaction  
208 between the amino acid and ethanol and the amino acid and water are shown in Table 2. The  
209 regression coefficients of the Gude model for each amino acid,  $C_{j,i,aa}$ , are also shown in Table 2.  
210 These coefficients were calculated by minimizing the NRMSE of the excess solubility values  
211 that were modelled to the excess solubility measured. The Jouyban-Acree parameters that were  
212 calculated are shown in Table 2.

213 The modelled fits of the Gude and NRTL models and the application of the Jouyban-  
214 Acree model are shown along with the data points in Figure 1-20 for all 20 proteinogenic amino  
215 acids. If the standard deviation of the data was available, this was included in the figures. If  
216 multiple data were available for ethanol mole fractions of 0.000 and 1.000, then preference was  
217 given to the data that has been shown to be more accurate<sup>5</sup>. A fit where the excess solubility was  
218 equal to 0 was added to each of the amino acids in Figures 1-20 to guide the eye.

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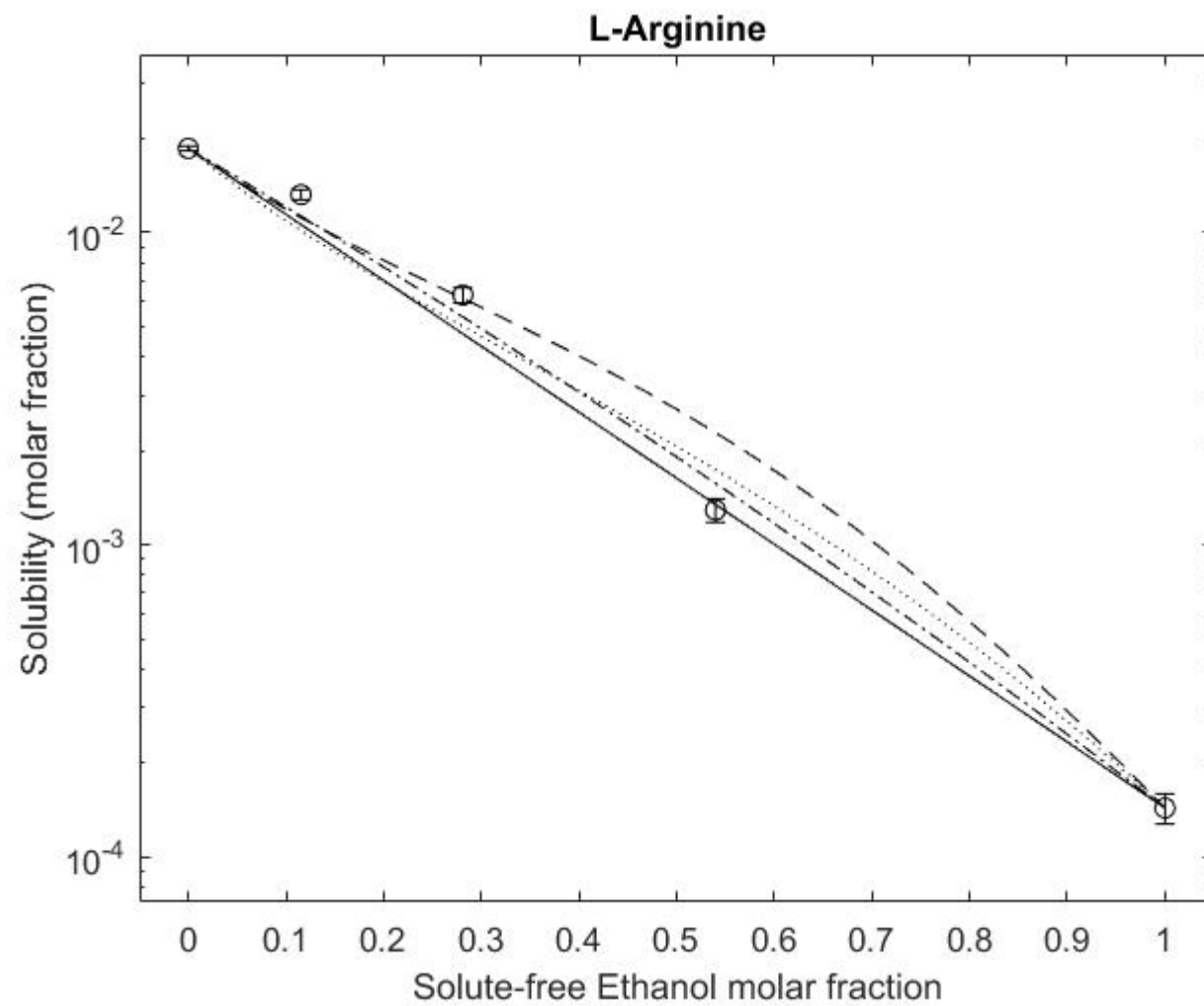


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Figure 1: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).

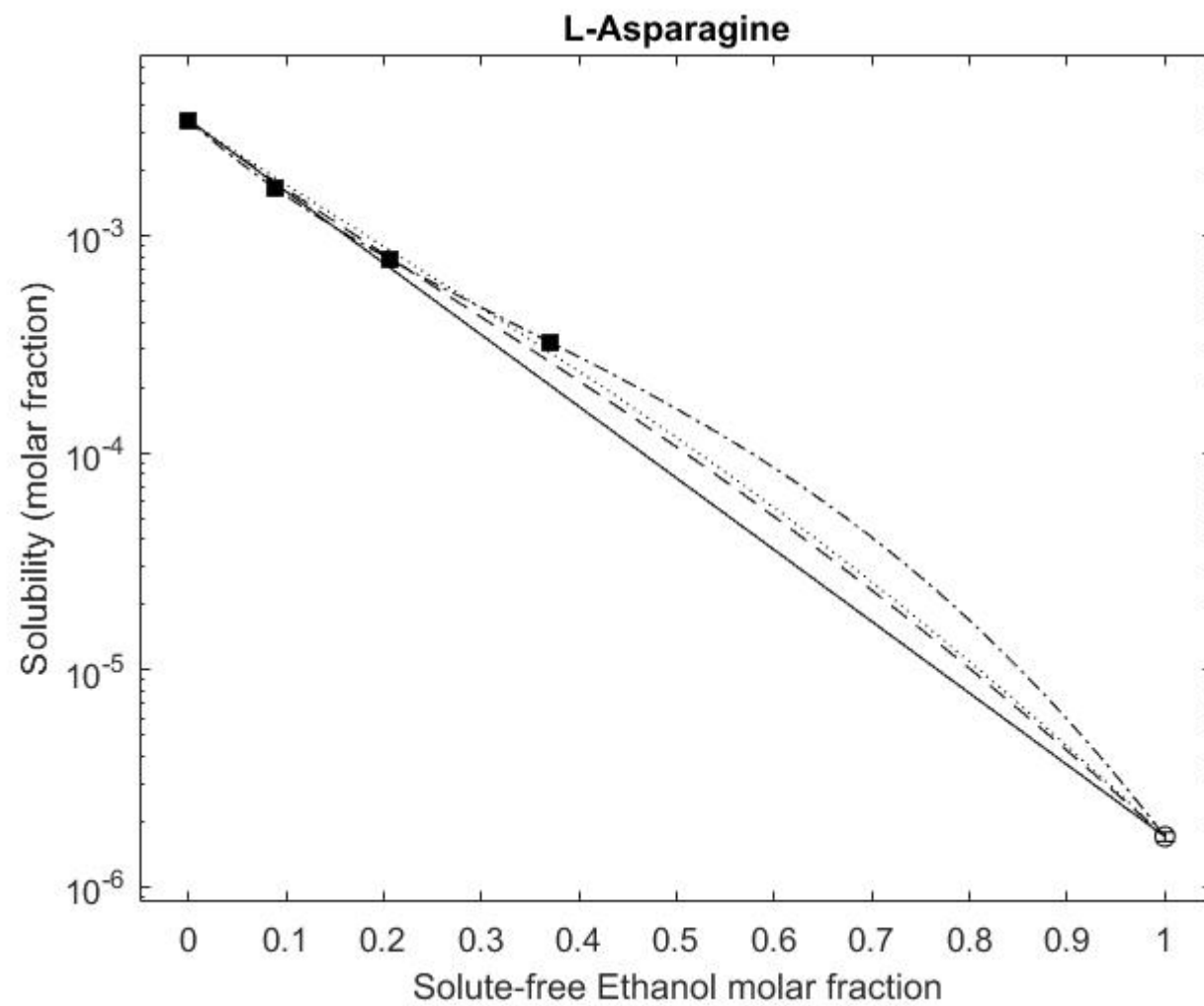


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Figure 2: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).

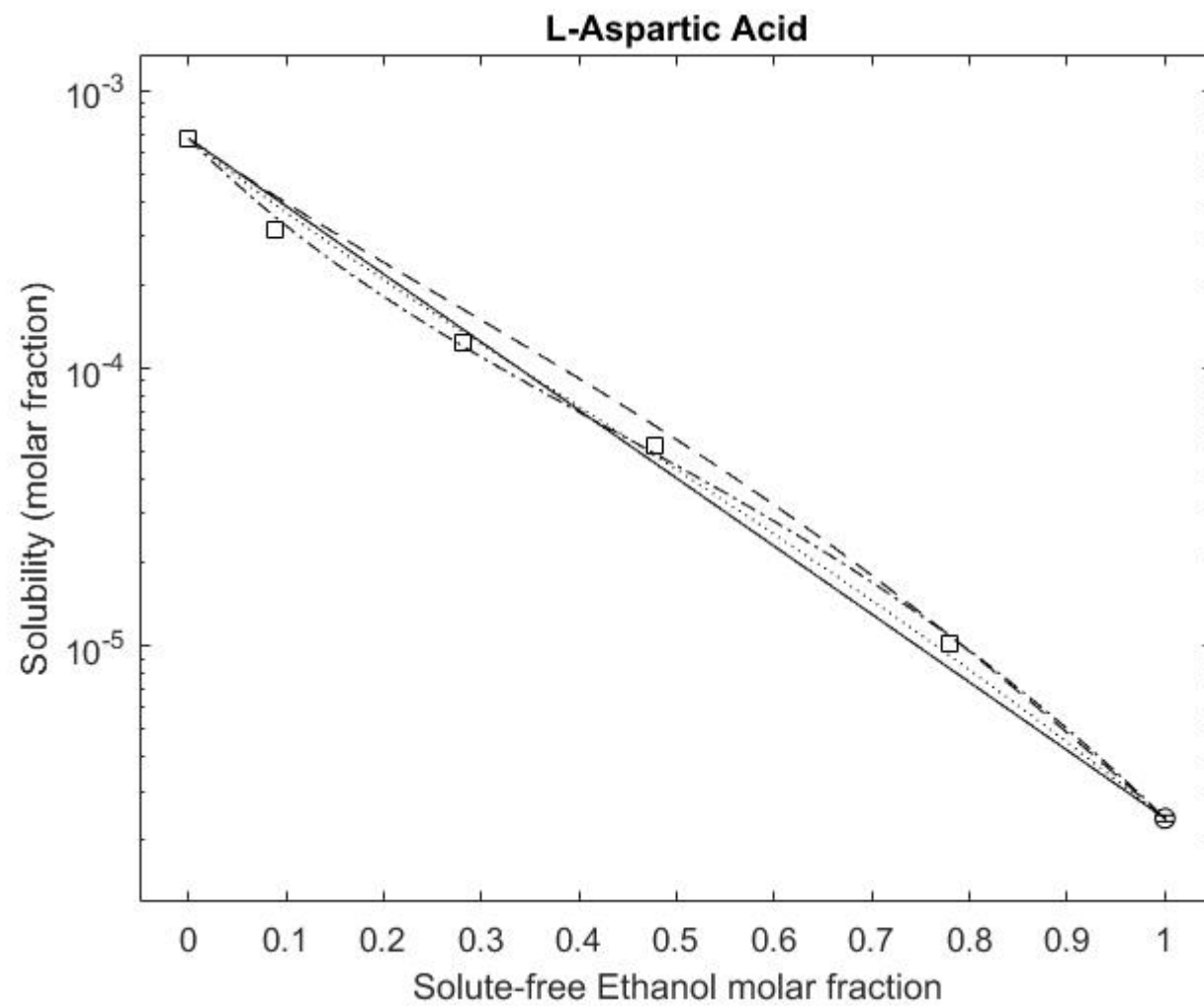


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Figure 3: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).



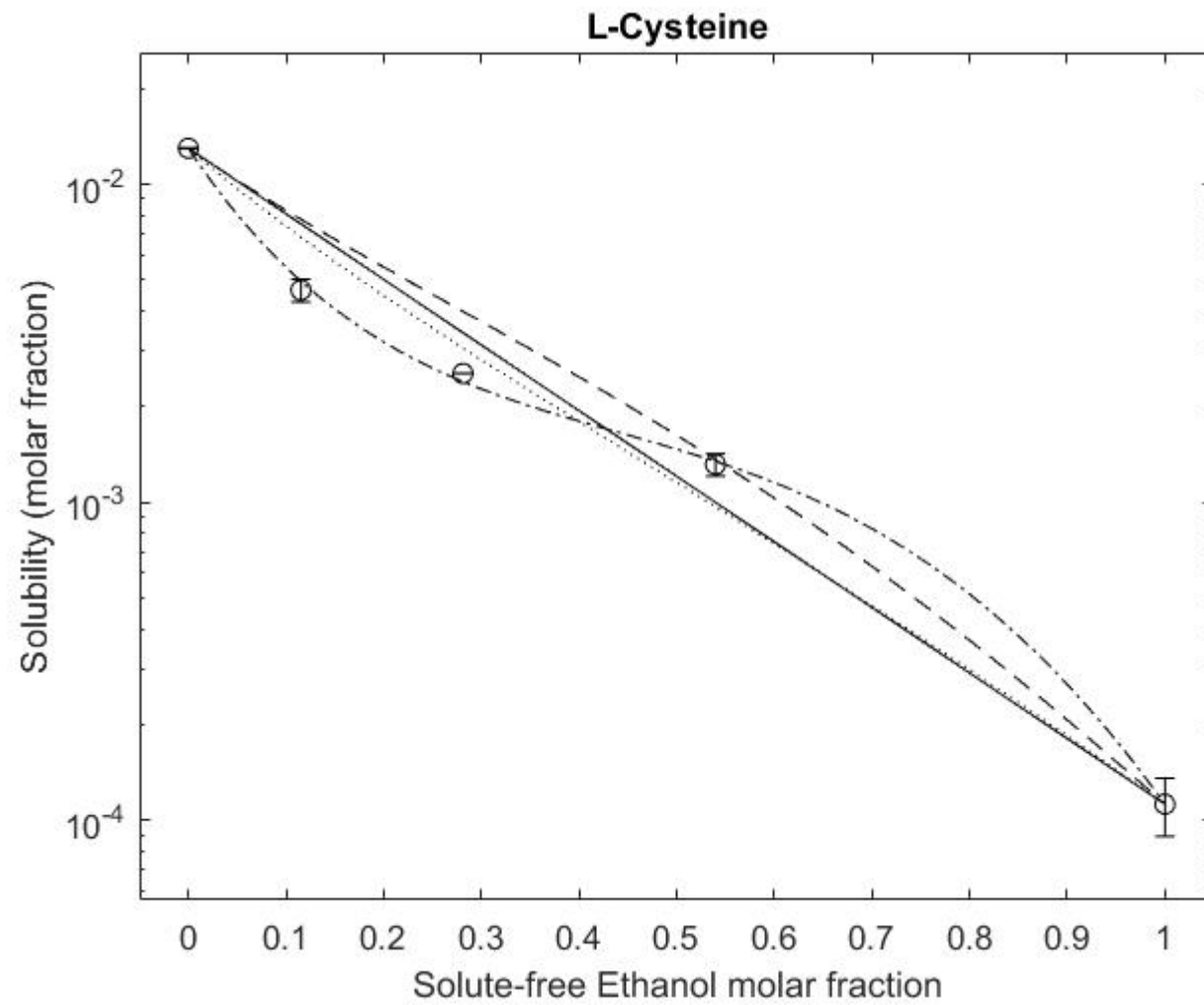
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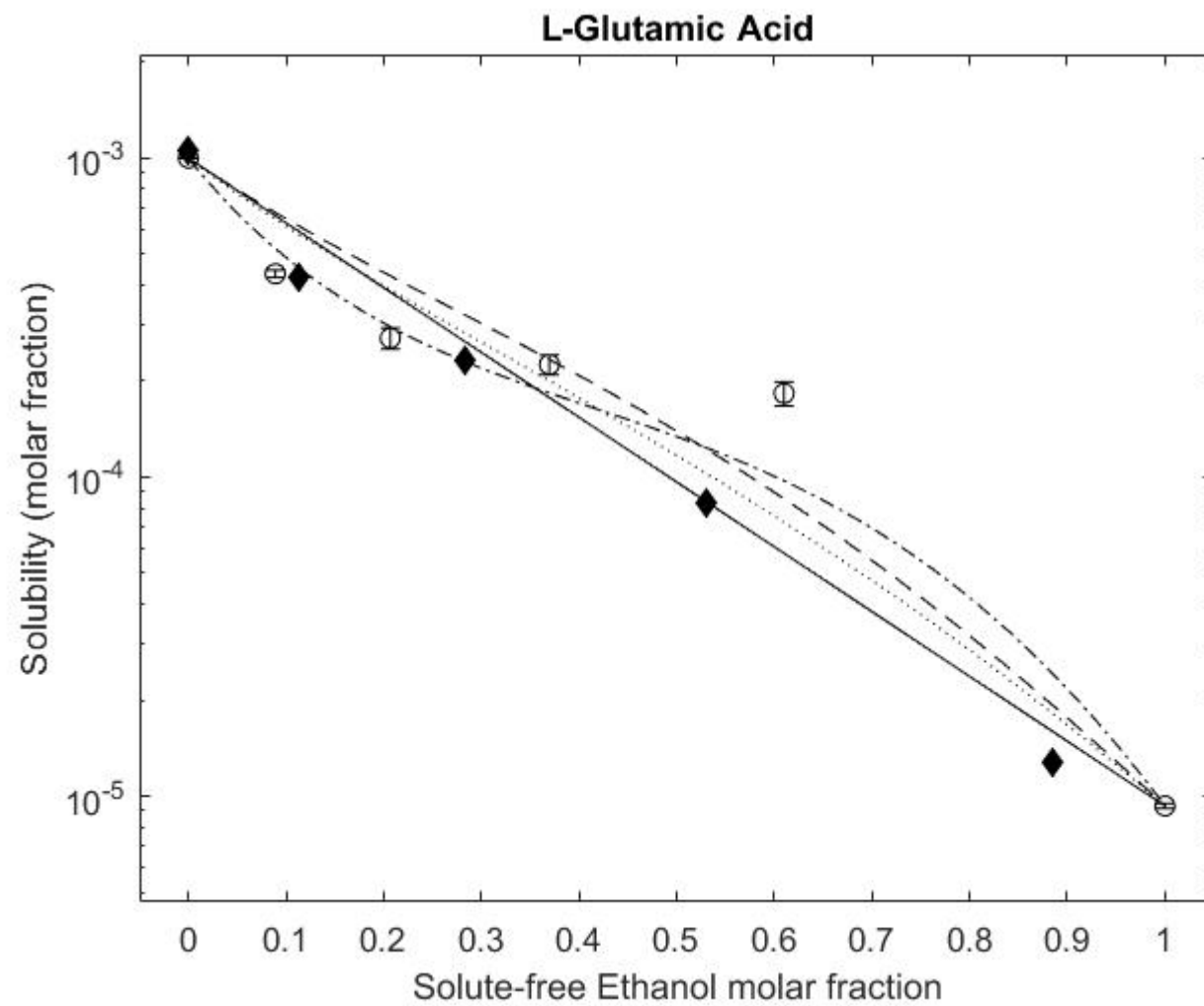
Figure 4: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).





233

234 Figure 5: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 235 Data are from the authors (open circles) or from the literature (closed squares).

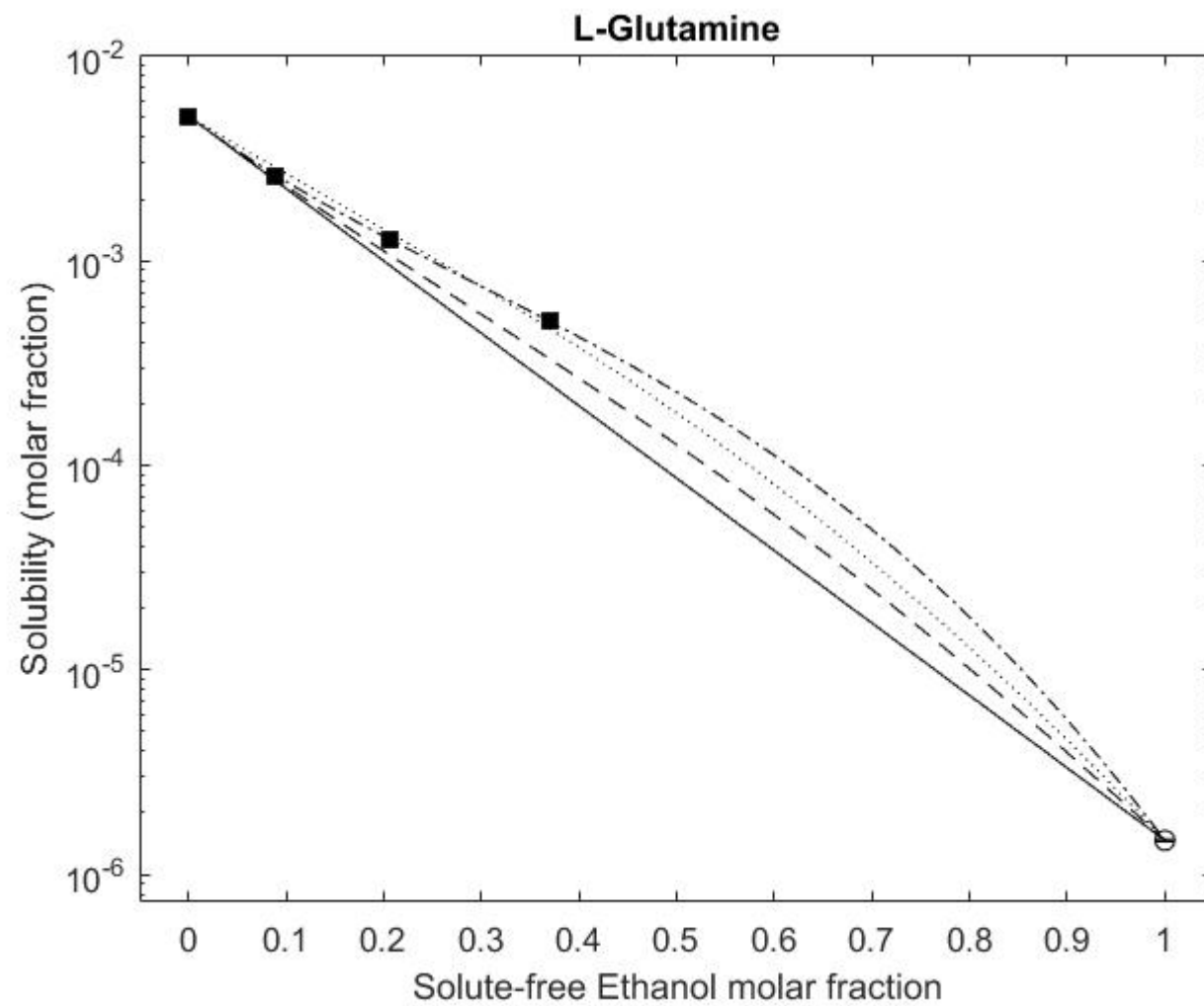


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Figure 6: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).

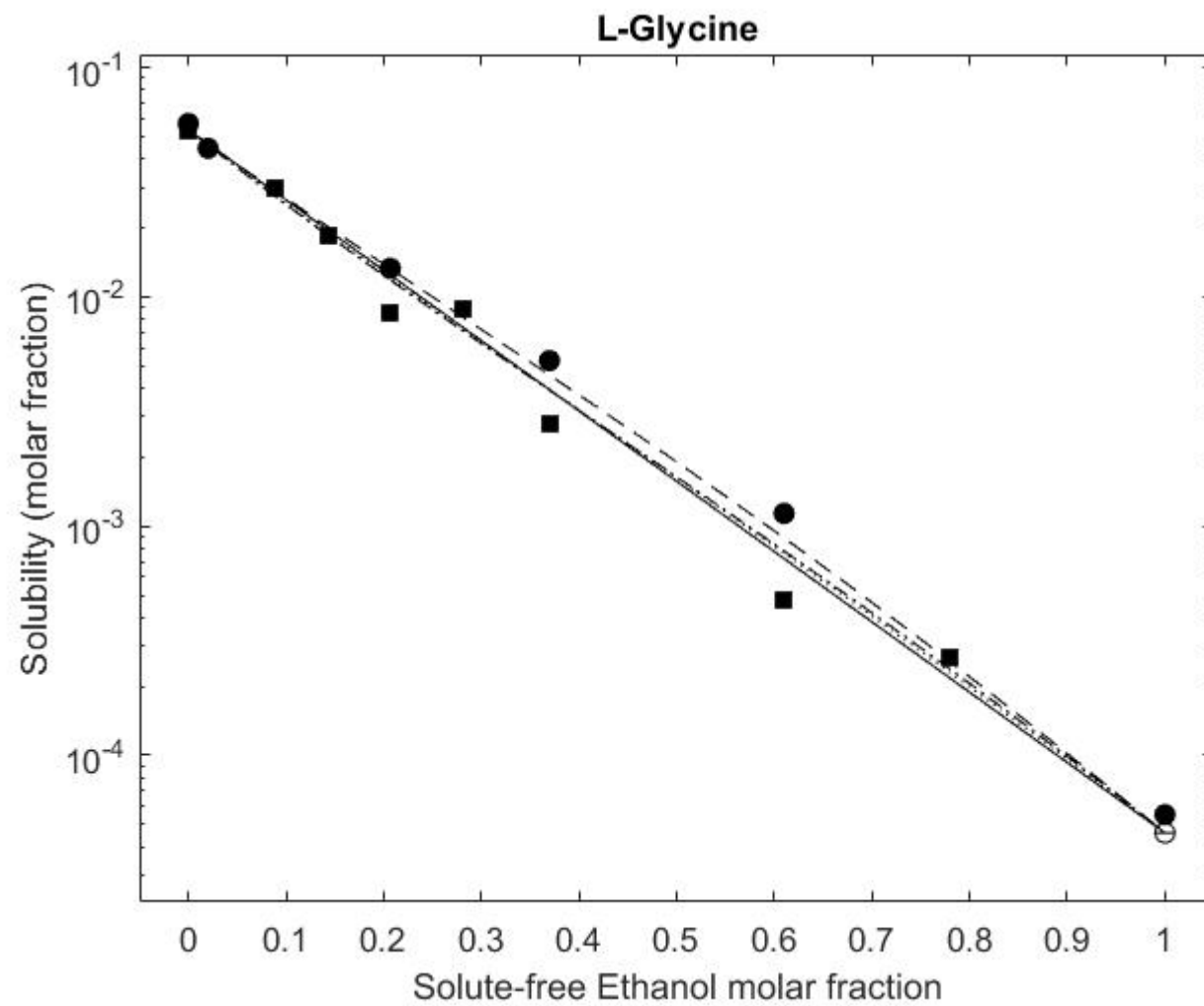


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Figure 7: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).

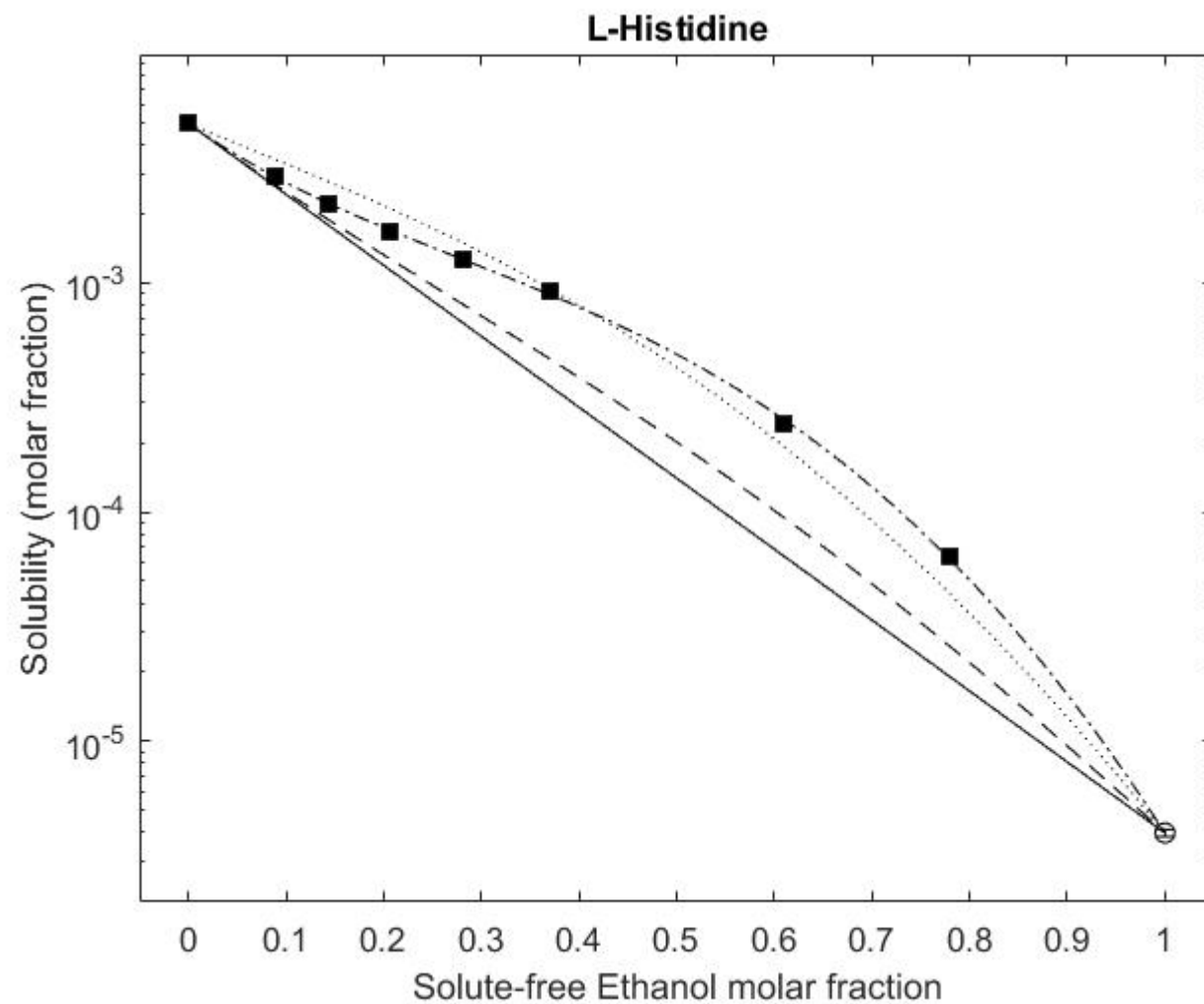


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Figure 8: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).

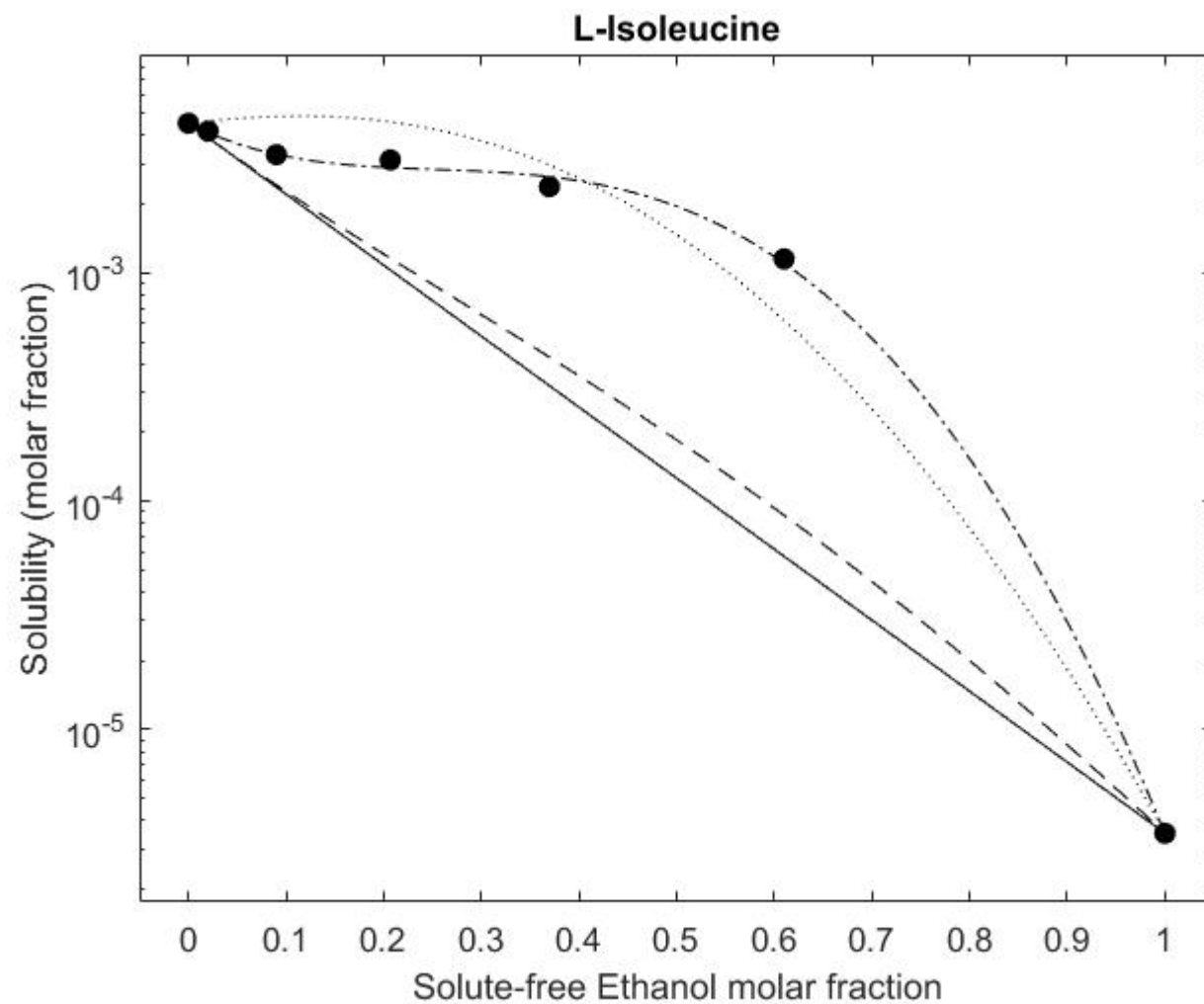


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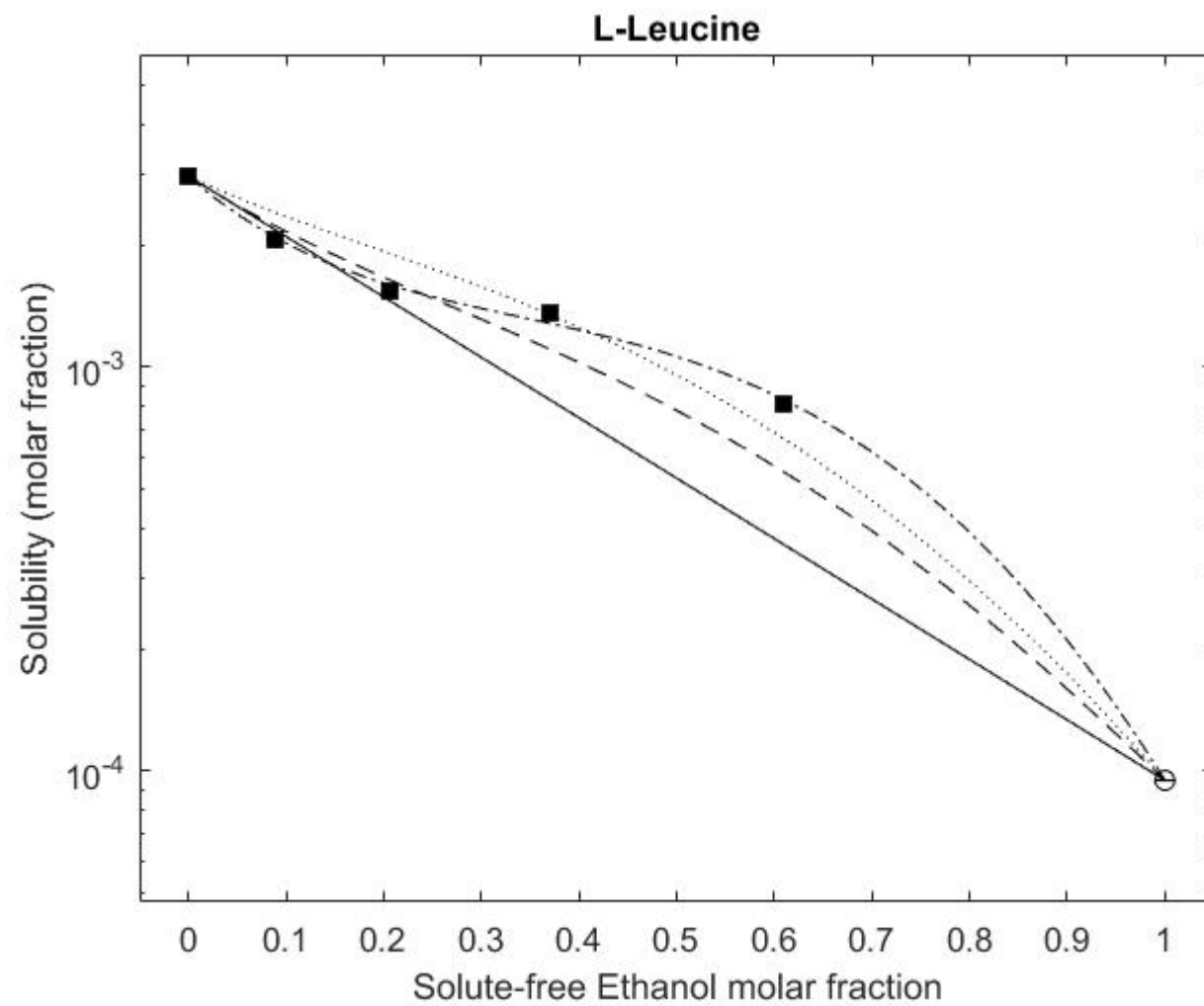
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Figure 9: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids. Data are from the authors (open circles) or from the literature (closed squares).



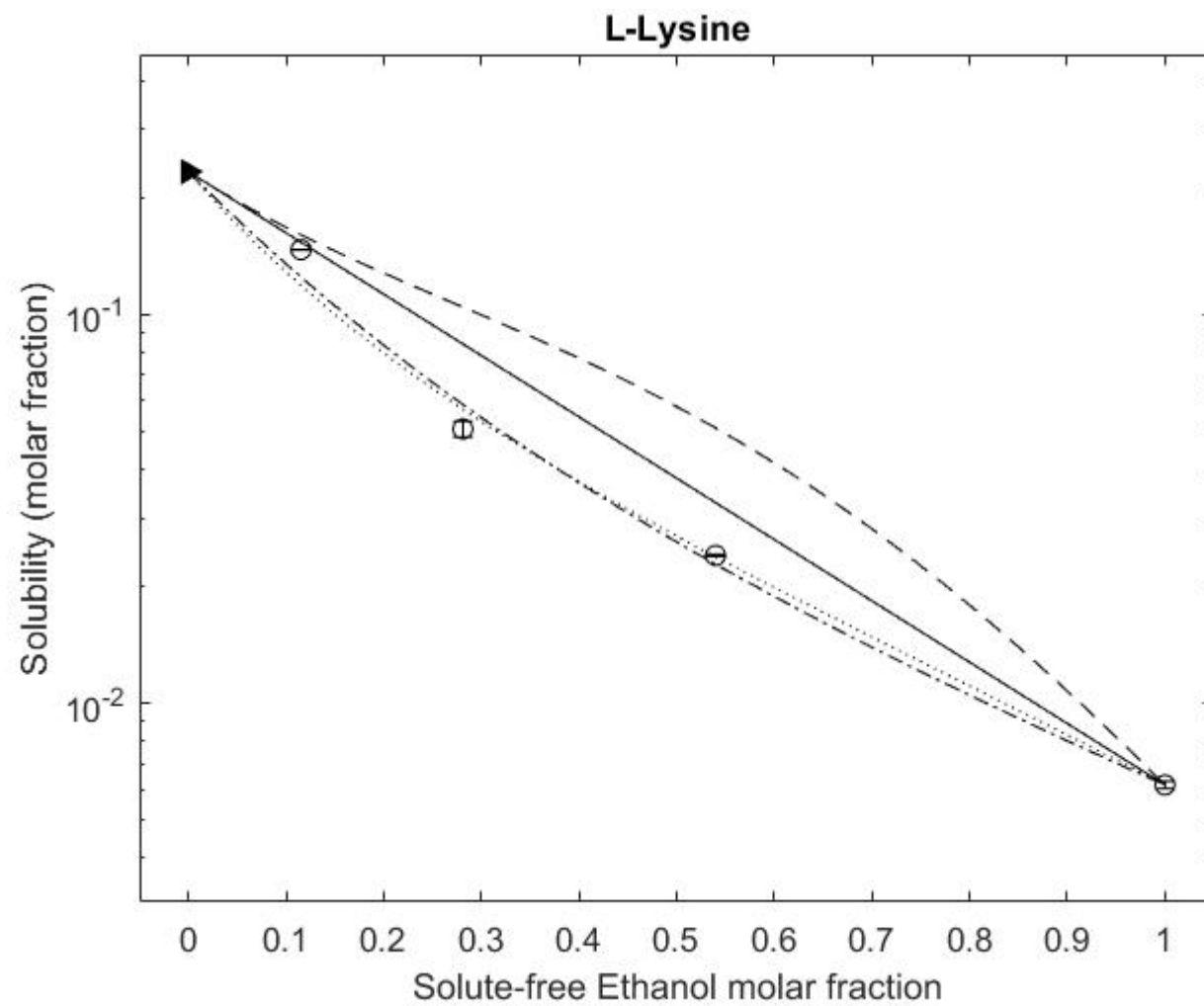
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249 **Figure 10:** Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 250 Data are from the authors (open circles) or from the literature (closed squares).



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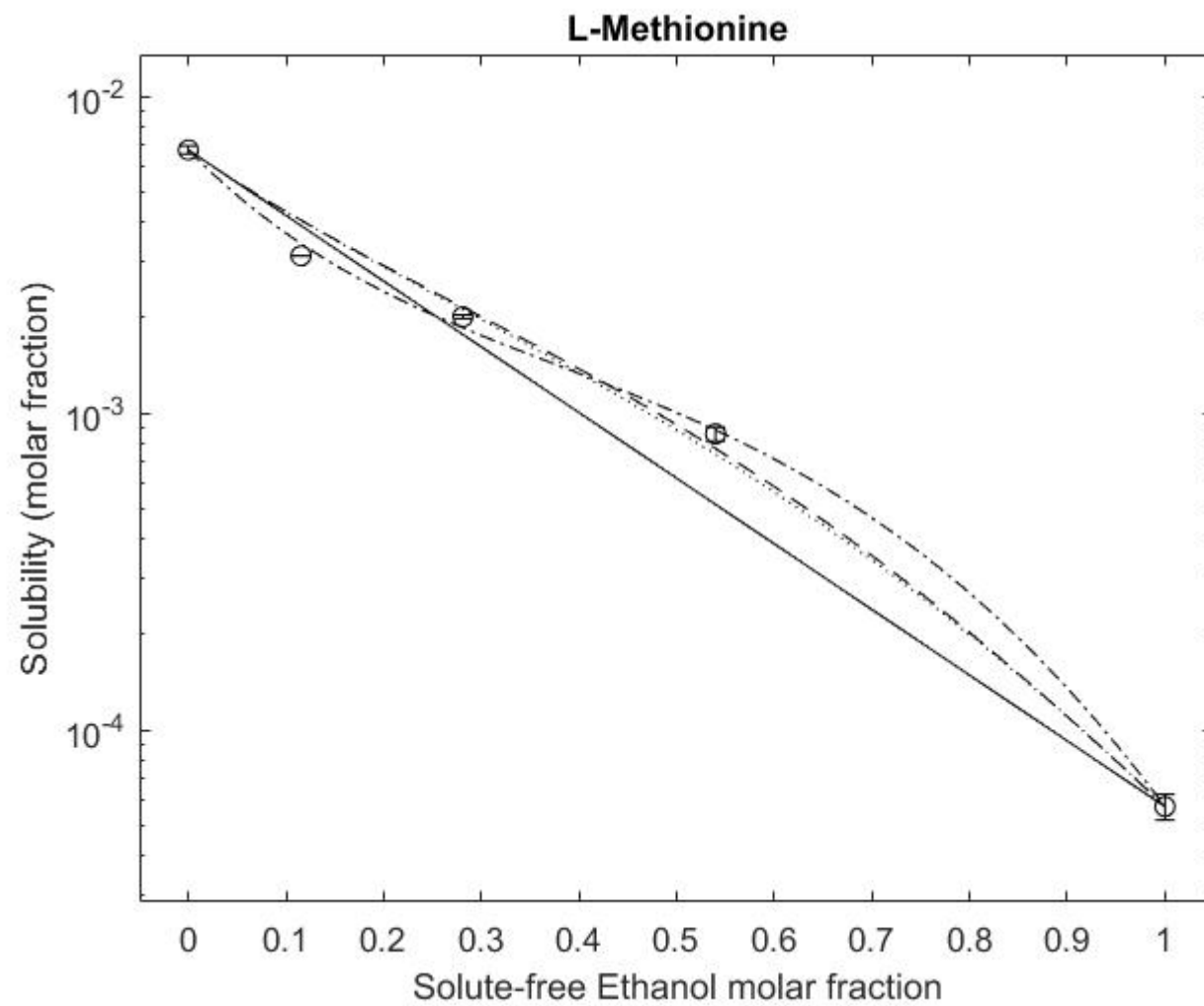
252 **Figure 11:** Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 253 Data are from the authors (open circles) or from the literature (closed squares).



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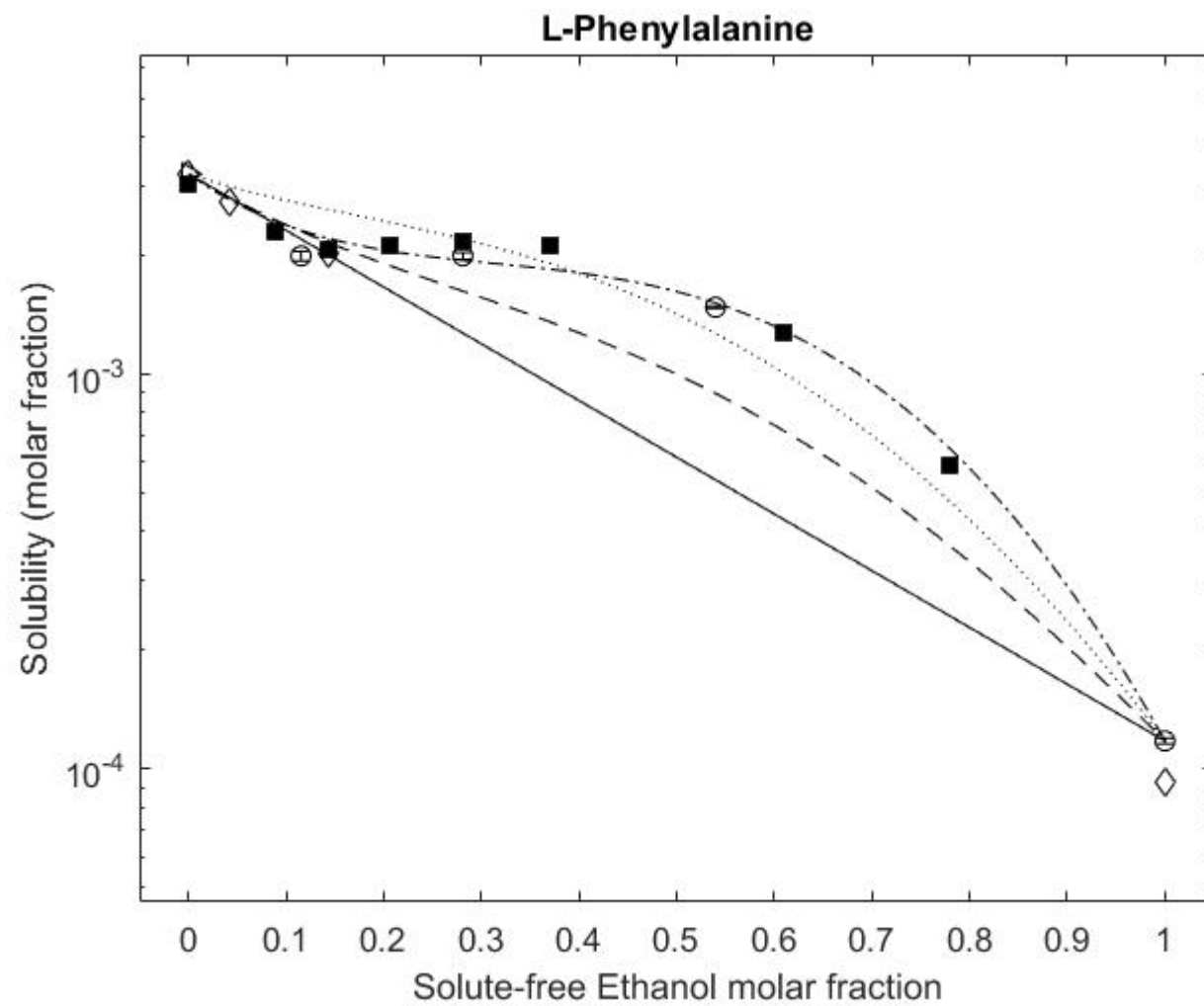
255 Figure 12: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 256 Data are from the authors (open circles) or from the literature (closed squares).





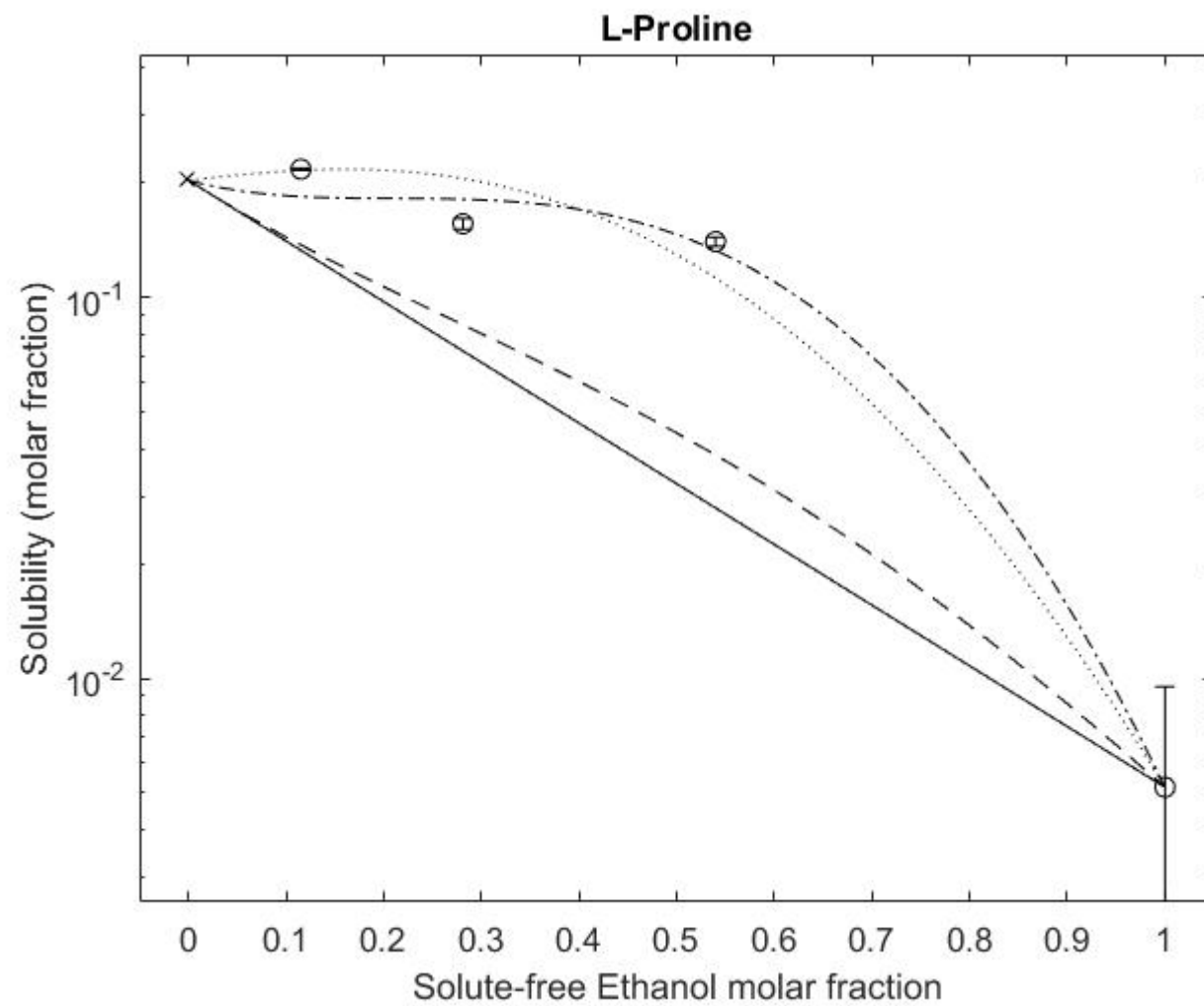
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258 **Figure 13:** Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 259 Data are from the authors (open circles) or from the literature (closed squares).



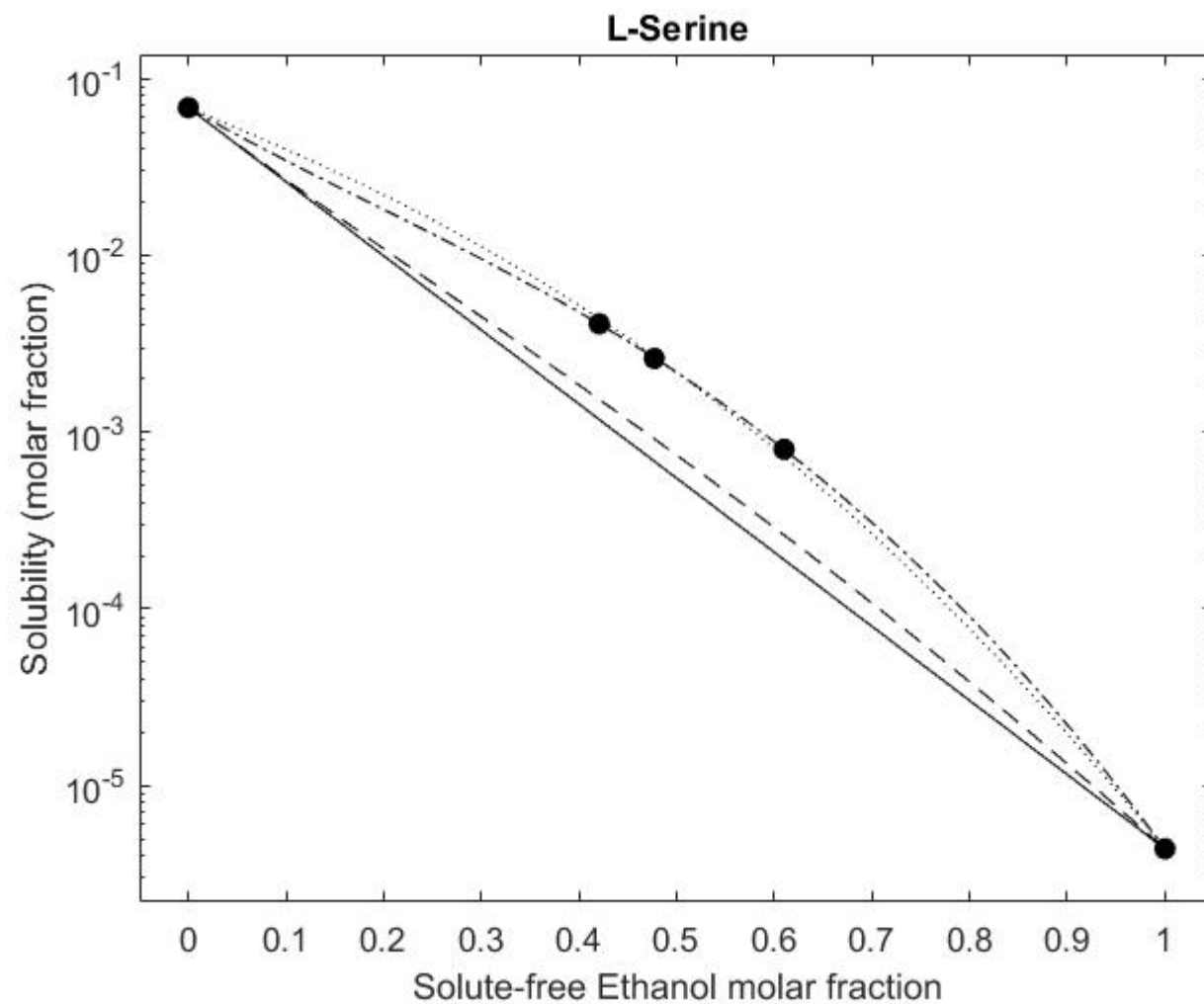
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261 Figure 14: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 262 Data are from the authors (open circles) or from the literature (closed squares).



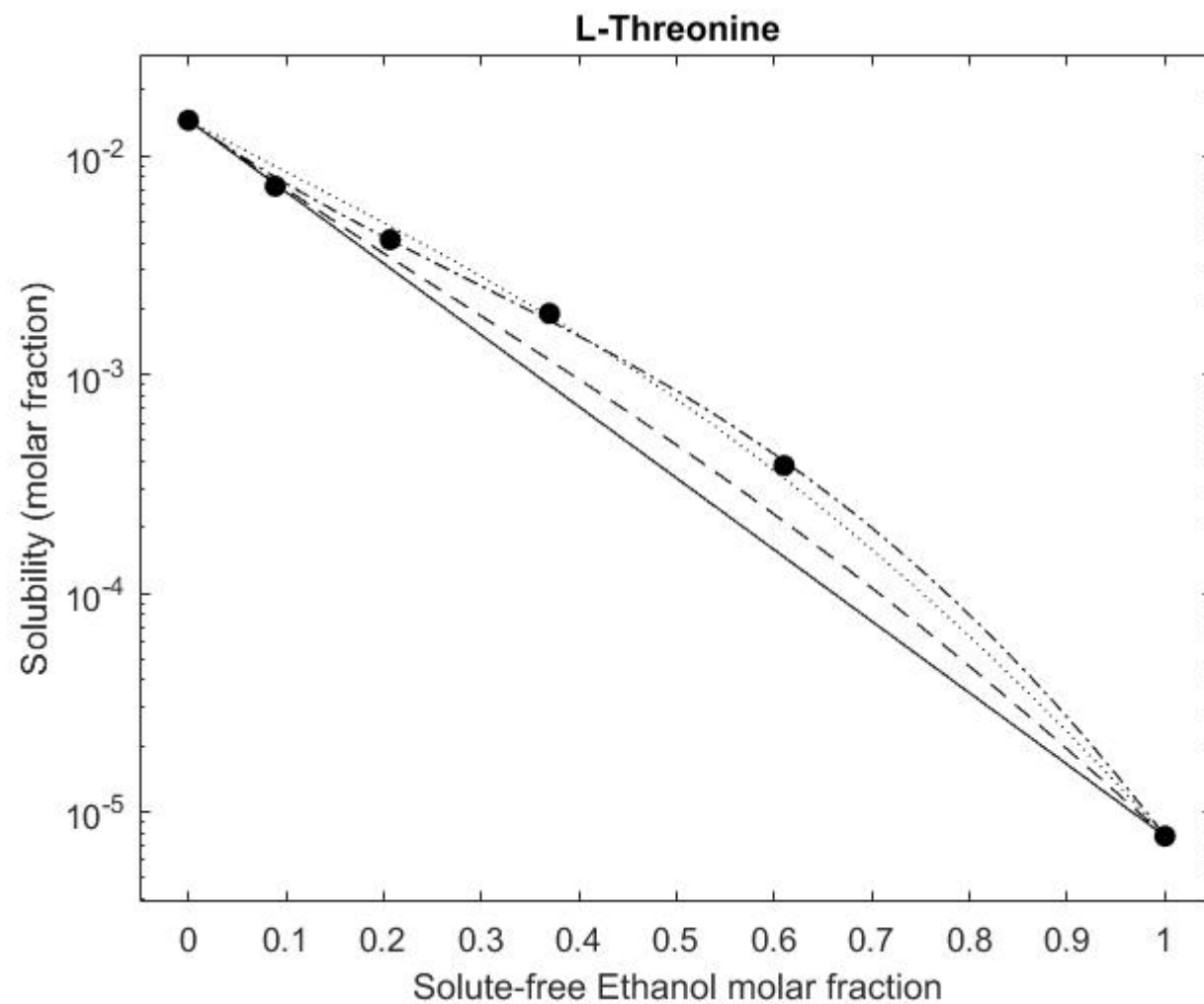
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264 **Figure 15:** Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 265 Data are from the authors (open circles) or from the literature (closed squares).



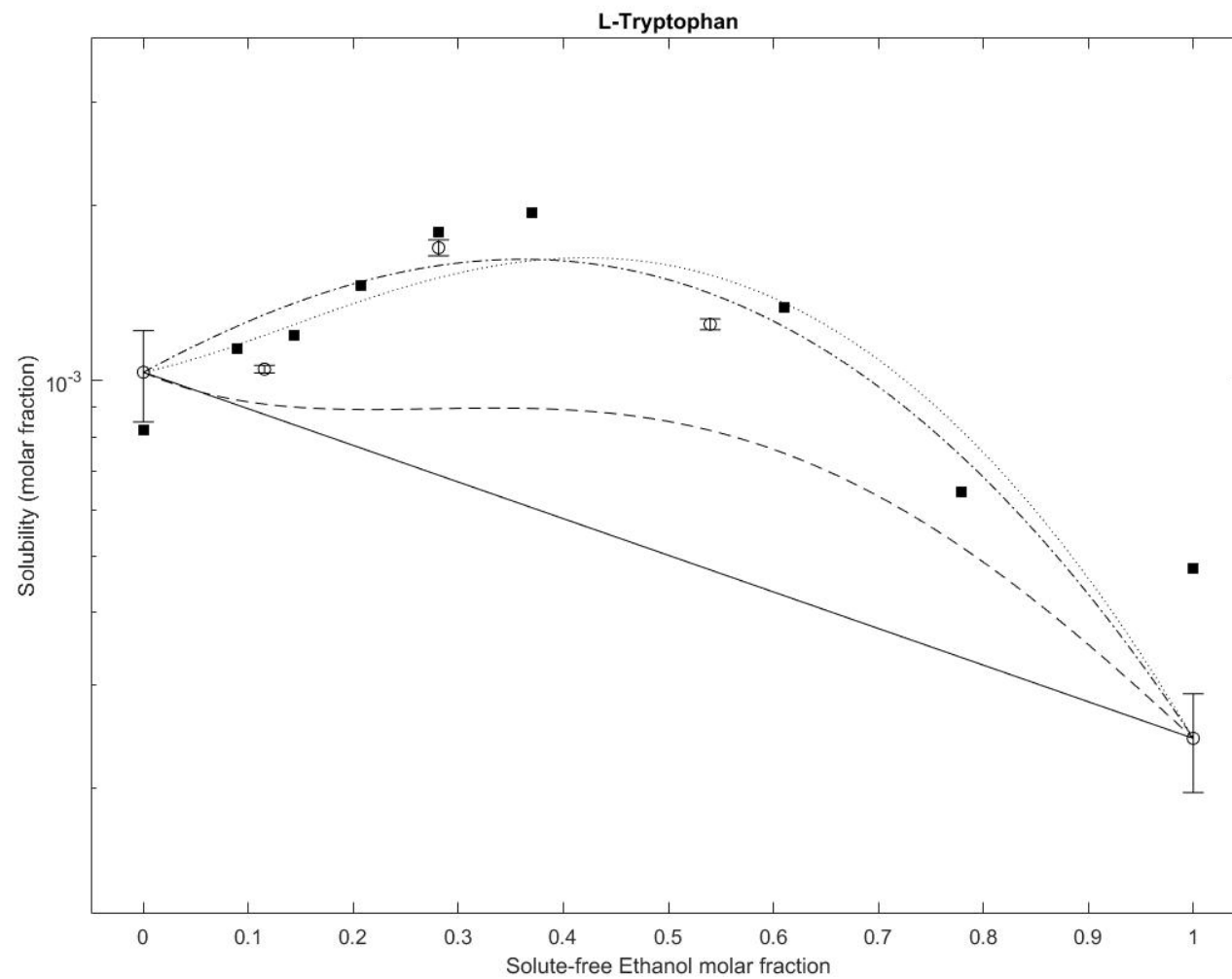
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267 Figure 16: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 268 Data are from the authors (open circles) or from the literature (closed squares).



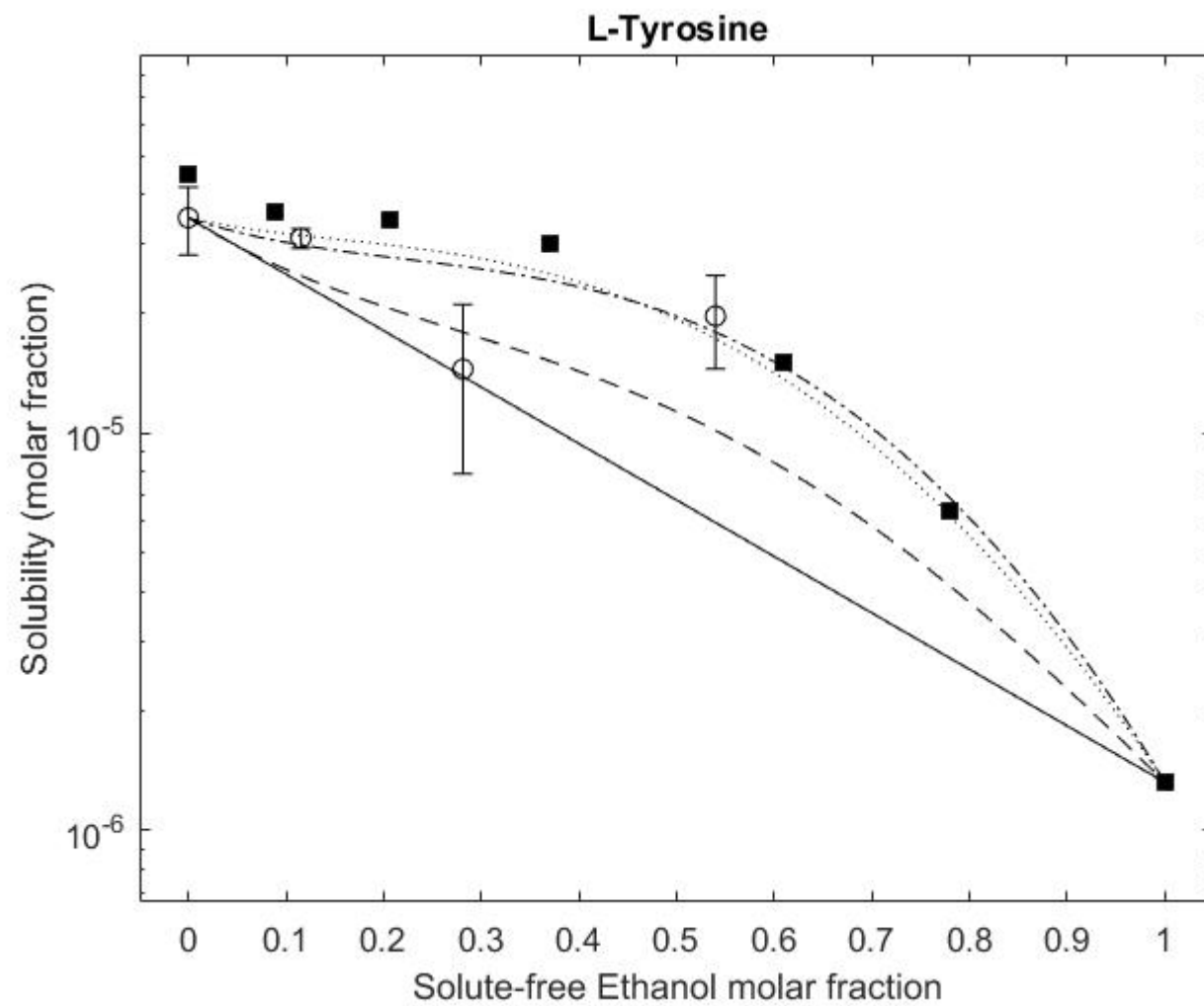
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270 **Figure 17:** Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 271 Data are from the authors (open circles) or from the literature (closed squares).



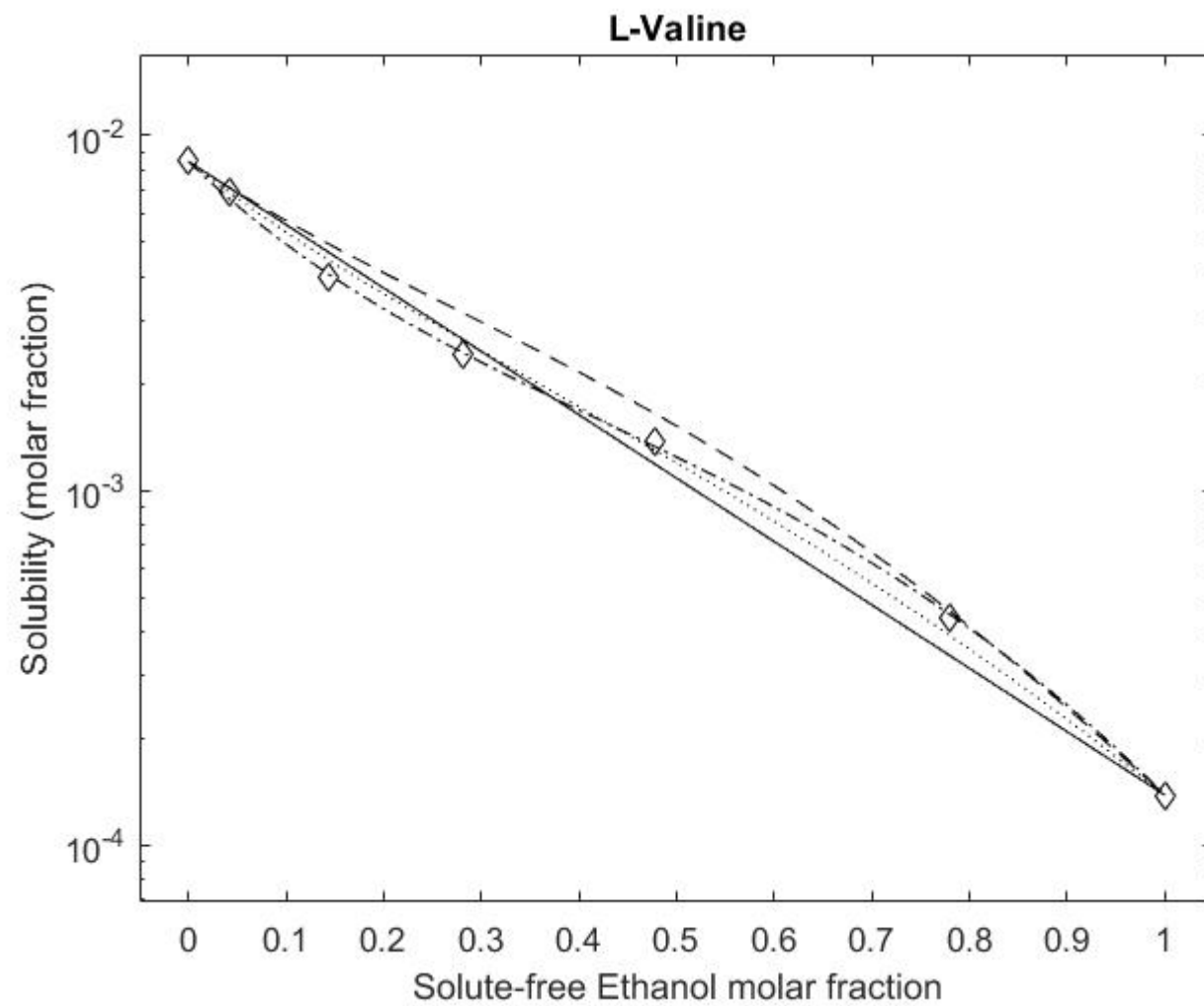
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273 **Figure 18: Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.**  
 274 **Data are from the authors (open circles) or from the literature (closed squares).**



275

276 **Figure 19:** Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 277 Data are from the authors (open circles) or from the literature (closed squares).



278

279 **Figure 20:** Plots of excess solubility = 0 (solid line), Gude model (dotted line), NRTL model (dot-dash line) and Jouyban-Acree model (dashed line) of the proteinogenic amino acids.  
 280 Data are from the authors (open circles) or from the literature (closed squares).



Table 2: Calculated parameters for the Jouyban-Acree model and regressed parameters for the Gude and NRTL models for each amino acid

Model	Jouyban-Acree			Gude	NRTL	
Parameter	$\delta_d$ MPa <sup>0.5</sup>	$\delta_p$ MPa <sup>0.5</sup>	$\delta_{hb}$ MPa <sup>0.5</sup>	$C_{j,i,aa}$ mol·L <sup>-1</sup>	$\tau$ (water, aa) * 10 <sup>6</sup>	$\tau$ (ethanol, aa) * 10 <sup>6</sup>
L-ARGININE	18.2312	8.0426	18.7229	1.5926	1.7003	4.2508
L-CYSTEINE	18.2152	6.2829	16.6663	-0.0542	0.9855	2.4638
Glycine	16.3684	10.0170	14.8238	-0.3007	1.2510	3.1276
L-ALANINE	16.0719	5.1966	12.4649	-0.9696	1.6393	4.0982
L-ASPARAGINE	16.8666	13.1746	17.4297	1.3097	1.0379	2.5947
L-ASPARTIC ACID	16.7254	7.2224	17.7194	0.3348	1.0962	2.7404
L-GLUTAMIC ACID	16.6985	6.9179	17.3075	0.8557	1.0147	2.5369
L-GLUTAMINE	16.8397	12.8701	17.0178	2.3001	1.0566	2.6416
L-HISTIDINE	19.2245	4.8443	14.8368	3.2647	1.0297	2.5743
L-ISOLEUCINE	15.7186	3.8964	11.0699	6.7822	0.9472	2.3681
L-LEUCINE	15.7646	3.8983	11.3848	1.9626	1.0476	2.6190
L-SERINE	16.7016	8.5020	19.1997	3.6126	1.0840	2.7100
L-THREONINE	16.4021	7.8108	18.6285	2.4094	1.0718	2.6796
L-VALINE	15.7915	4.2028	11.7967	0.4935	1.1135	2.7837
L-LYSINE	16.3246	7.5725	18.0542	-0.2720	1.2858	3.2146
L-METHIONINE	17.0776	5.3406	11.4124	1.3551	1.0421	2.6053
L-PHENYLALANINE	17.7072	4.5880	10.6483	3.0520	1.0343	2.5857
L-PROLINE	19.1658	6.1022	13.9127	3.6895	1.0573	2.6430
L-TRYPTOPHAN	20.3128	5.1780	8.4406	4.1462	1.2889	3.2223
L-TYROSINE	17.2033	3.2604	18.1645	3.8473	1.0968	2.7420
Water	15.6	16	42.3	N/A	N/A	N/A
Ethanol	15.8	8.8	19.4	N/A	N/A	N/A

## Comparing regressed to predictive models of excess solubility

The NRMSE values and the number of measurements,  $n$ , for all of the models for each amino acid are shown in Table 3. The model with the lowest NRMSE value is the most accurate. For some amino acids, the number of data points were low, with only 5 or 6 data points. Some of these amino acids with only 5 or 6 data points show the highest NRMSE values and therefore the most error. However, other amino acids with 5 data points (e.g. L-serine, L-methionine) had low error values. It is possible to compare the accuracy of the models for each amino acid since all models used the same data points. However, since the number of data points for some amino acids is limited, we cannot draw conclusions on the amino acids by comparing the NRMSE values.

294 For all amino acids, the NRTL model had the lowest error and is therefore the most  
 295 accurate. The second most accurate for all amino acids, except for L-methionine, was the  
 296 Gude model. The predictive Jouyban-Acree model was more accurate than the Gude model  
 297 for L -methionine. Both the NRTL and Gude models had lower error values for all (in the case  
 298 of NRTL) or most (in the case of Gude) amino acids. The predictive Jouyban-Acree model  
 299 had a higher error value for all amino acids when compared to the NRTL model. The  
 300 Jouyban-Acree model had a higher error value for all amino acids except L-methionine when  
 301 compared to the Gude model.

302 The NRTL model described the empirical data well for all of the amino acids. All  
 303 error values for the NRTL model were below 0.500, except for L-arginine, which had only 5  
 304 data points.

305 **Table 3: NRMSE values for each amino acid for the Gude, NRTL and Jouyban-Acree models**

<b>Amino Acid</b>	<b>n</b>	<b>Gude</b>	<b>NRTL</b>	<b>Jouyban-Acree</b>
l-Arginine	5	0.816	0.531	1.060
l-Cysteine	5	0.401	0.070	0.522
Glycine	15	0.286	0.285	0.310
l-Alanine	6	0.423	0.379	1.270
l-Asparagine	5	0.210	0.009	0.255
l-Aspartic Acid	6	0.284	0.161	0.476
l-Glutamic Acid	11	0.257	0.217	0.264
l-Glutamine	5	0.125	0.003	0.413
l-Histidine	9	0.182	0.016	0.483
l-Isoleucine	7	0.131	0.020	0.499
l-Leucine	6	0.191	0.042	0.260
l-Serine	5	0.360	0.021	5.470
l-Threonine	6	0.147	0.067	0.402
l-Valine	7	0.217	0.069	0.436
l-Lysine	5	0.304	0.280	1.320
l-Methionine	5	0.237	0.098	0.227
l-Phenylalanine	17	0.134	0.073	0.214
l-Proline	5	0.181	0.118	0.773
l-Tryptophan	14	0.174	0.170	0.354
l-Tyrosine	11	0.222	0.215	0.407

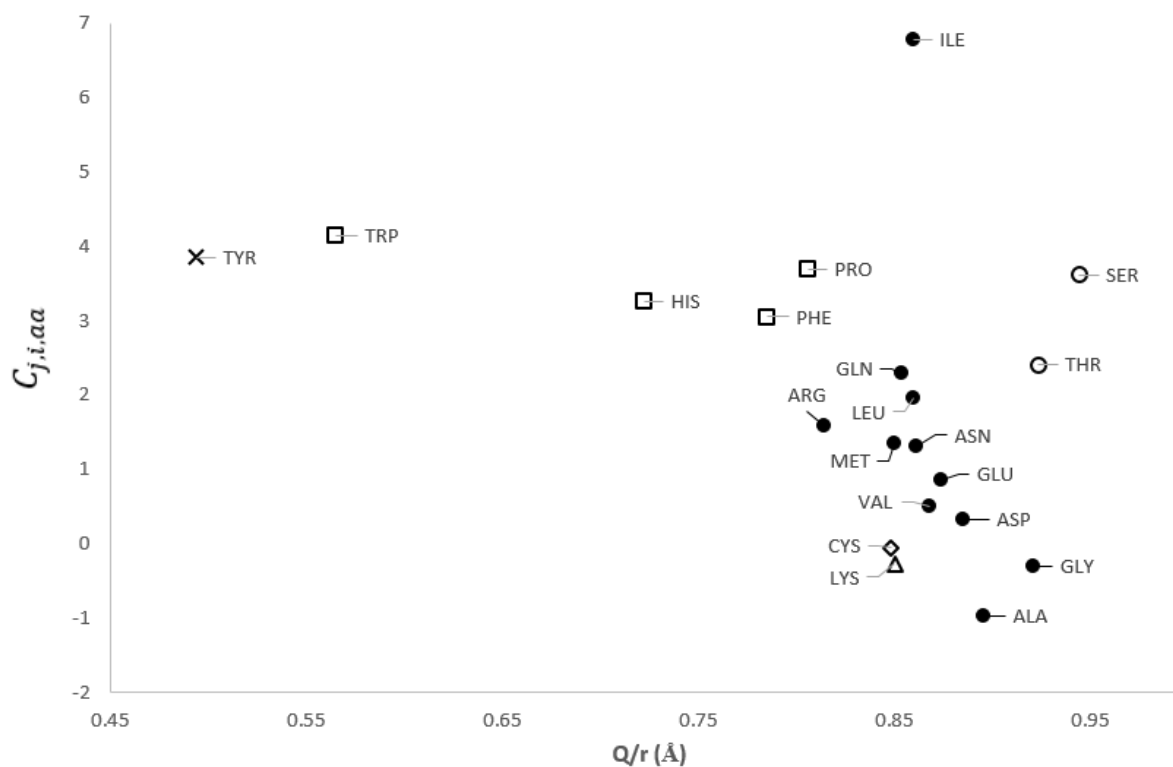
306  
 307 While the Gude model fits had higher NRMSE values than the NRTL model, the  
 308 values of the error of the Gude model were under 0.500 for 19 of the 20 proteinogenic amino

309 acids. The exception is L-arginine (NRMSE = 0.816). Since the errors are low, the Gude  
310 model could be used for drawing conclusions as we do in the next section. However, when  
311 more accurate calculations are needed, e.g. when designing an industrial process, we advise  
312 using the NRTL model.

313 Of the 20 amino acids, 14 of the amino acids modelled by the Jouyban-Acree model were  
314 under 0.500 except for L-arginine, L-cysteine, L-alanine, L-serine, L-lysine and L-proline.  
315 These 6 amino acids had only 5 or 6 data points each and were some of the most soluble  
316 amino acids. Furthermore, 5 of these 6 amino acids with NRMSE values above 0.500 in the  
317 Jouyban-Acree model had low NRMSE values using one or both of the other models. Even  
318 without using regressed parameters, the Jouyban-Acree model predicts the amino acid  
319 solubility for most of the amino acids well, but not as well as the Gude and NRTL models.  
320 The Jouyban-Acree model could be used when there are no or few solubility data available.

### 321 **Effect of molecular shape on excess solubility of amino acids**

322 As discussed earlier, the work of Flory-Huggins shows that liquids, similar to solids,  
323 have an entropic and lattice structure. Due to this entropy, Prausnitz *et al*<sup>56</sup> showed that the  
324 shape of a solute has an effect on the solubility of the solute. In their work, they used the  
325 relative van der Waals variables  $Q$ , surface area, and  $r$ , radius of the molecule, to describe the  
326 shape of the molecule and therefore how it influences this entropic and lattice structure. The  
327 shape of spherical solutes ( $Q/r = 1.00$ ) showed no effects on the excess solubility of a solute.  
328 Straight-chain solutes ( $Q/r = 0.788$ ) showed strong effects on the excess solubility of the  
329 solute, while rod-like solutes ( $Q/r = 0.394$ ) showed an even greater effect on the excess  
330 solubility of the solute.



331

332 **Figure 21: Regressed Gude model solubility parameter,  $C_{j,i,aa}$  in relation to UNIFAC surface and radius parameters,**  
 333  **$Q/r$  showing non-reactive polar and aliphatic side chains (solid circles), hydroxyl side chains (open circles), lysyl side**  
 334 **chain (open triangle), ringed side chains (open square), sulphur (open diamond) and hydroxyl ringed side chains**  
 335 **(cross)**

336 In Figure 2, the UNIFAC variables  $Q/r$  for each  $\alpha$ -amino acid are plotted against the  
 337 regressed constant in the Gude model,  $C_{j,i,aa}$ . A  $Q/r$  ratio close to unity means that the  
 338 molecule is spherical and a lower ratio means that the molecule is rod-like. The  $C_{j,i,aa}$  denotes  
 339 the degree of excess solubility. A  $C_{j,i,aa}$  close to 0 means that there is no excess solubility. A  
 340 positive  $C_{j,i,aa}$  means there is positive excess solubility and negative means there is negative  
 341 excess solubility.

342 Spherical  $\alpha$ -amino acids, like glycine, L-alanine and L-aspartic acid, with  $Q/R$  ratios  
 343 from 0.89 to 0.92, react with less molecules of solvent. The spherical amino acids are  
 344 surrounded by less water molecules than the rod-like amino acids, as their local concentration  
 345 of ethanol is close to the concentration of the whole solution. As an organic anti-solvent is

346 added, the lattice structure of these amino acids in solution is disrupted. This leads to little or  
347 no excess solubility.

348 Some rod-like  $\alpha$ -amino acids show slightly positive excess solubility. The  $\alpha$ -amino  
349 acids L-arginine, L-glycine, L-leucine, L-methionine and L-asparagine have Q/r ratios ranging  
350 from 0.81 to 0.85 and positive excess solubilities. The evidence supports the conclusion that  
351 they have a lower concentration of ethanol molecules around them locally than in the solution  
352 in general because of their shape. This would lead to their higher solubility than expected.

353 Even more pronounced rod-like amino acids, L-tyrosine, L-tryptophan, L-histidine, L-  
354 phenylalanine and L-proline, with Q/r ratios between 0.49 and 0.81, could react with even  
355 more molecules of solvent, due to their shape.

356 However, the shape of the amino acid molecules and therefore their effect on the  
357 entropic and lattice structure is only a part of the effect that the side chain of the amino acid  
358 has on its excess solubility. In Figure 2 there are exceptions to the general trend of the Q/r  
359 ratio of the amino acid and its excess solubility. These exceptions are the amino acids with  
360 reactive side chains. Therefore, in the next two sections we will examine the effect of the  
361 reactivity of the side chain to the excess solubility.

## 362 **Amino Acids with non-reactive side chains**

363 Eleven amino acids were identified as having non-reactive side chains. Non-reactive  
364 side chains are defined here as side chains that are either aliphatic or as measured at their  
365 isoelectric point, such as the data in this article, do not have a charge. These are shown in  
366 Figure 3 as black circles.

367 Glycine shows no excess solubility. Glycine has no side chain and has only an amino  
368 group and a carboxyl group. This supports the conclusion that lacking a reactive side chain,  
369 glycine follows the solubility predicted by the mole fraction of the solubility of both solvents.  
370 All other amino acids can be classified as glycine and a side chain. Glycine is therefore the

371 null amino acid from which the change in excess solubility, not explained by its shape, due to  
372 the side chain can be discussed.

373 L-Glutamine, L-asparagine and L-arginine show little excess solubility. The first two  
374 amino acids have an amide in the side chain, while the last one has a guanidinium group in its  
375 side chain. At maximum solubility, the solution is at the isoelectric point, meaning that the  
376 side chains would not have a charge. Building on the evidence of glycine, the addition of an  
377 amide group or an amine group also has little effect on the excess solubility. Their slight  
378 increase in excess solubility could be explained by their shape alone as shown by the Q/r  
379 ratio.

380 L-Aspartic acid and L-glutamic acid are negatively charged amino acids. However, as  
381 discussed previously with L-arginine, since by definition, maximum solubility is measured at  
382 the isoelectric point, L-aspartic acid and L-glutamic acid would not be charged. This could  
383 mean that having no charge and being mostly spherical with a non-reactive side chain has no  
384 effect on the excess solubility in a two-solvent system. Similar to the previous amino acids,  
385 any small increase in excess solubility could possibly be explained by their slightly rod-like  
386 shape.

387 L-Alanine, L-valine, L-methionine, L-leucine and L-isoleucine are aliphatic amino  
388 acids. L-alanine has only one methylene group, L-valine and L-methionine have three and L-  
389 leucine and L-isoleucine have four. L-Methionine is slightly longer than L-valine because of a  
390 sulphur atom in between the second and third methylene. These amino acids show increasing  
391 excess solubility in order of their decreasing Q/r ratios. This means that as they become more  
392 rod-like, their excess solubility has been shown to increase. However, this does not explain  
393 why L-isoleucine has an even higher increased solubility than L-leucine. Further research  
394 should be focused on the effect of the position of the branching on the side-chain to  
395 understand its effects on excess solubility.

## 396 **Amino acids with reactive side chains**

397           Nine amino acids have reactive side chains. These amino acids therefore would not  
398 follow the trend of higher Q/r ratios leading to lower excess solubility.

399           The only amino acid to show a large negative excess solubility is L-lysine. L-Lysine  
400 has a lysyl group in its side chain. This negative excess solubility is most pronounced around  
401 equal mole fractions of ethanol and water. The lysyl group is less attractive to the solvents as  
402 the water and ethanol are to each other, leading to lower solubility than expected.

403           All five amino acids with rings on their side chain have high positive excess  
404 solubilities. These amino acids include all three phenylic amino acids: L-phenylalanine, L-  
405 tryptophan and L-tyrosine. L-Histidine, which has imidazole on its side chain, shows positive  
406 excess solubility as well as L-proline, which has pyrrolidine as a side chain. It is possible that  
407 the two solvents act as affinity molecules, bringing these amino acids further into solution.  
408 However, it is also possible that their rod-like shape is causing this effect.

409           The three amino acids with a hydroxylic side chain show positive excess solubility.  
410 These include L-tyrosine, which is also has a phenyl group, L-serine and L-threonine. A side  
411 chain with a hydroxyl group leads to a preferential reaction to the solvents ethanol and water  
412 than ethanol to water. This cannot be explained by the shape of the amino acids, since both L-  
413 serine and L-threonine are spherical. Therefore, it may be concluded that an addition of a  
414 hydroxyl group leads to a marked increase in excess solubility.

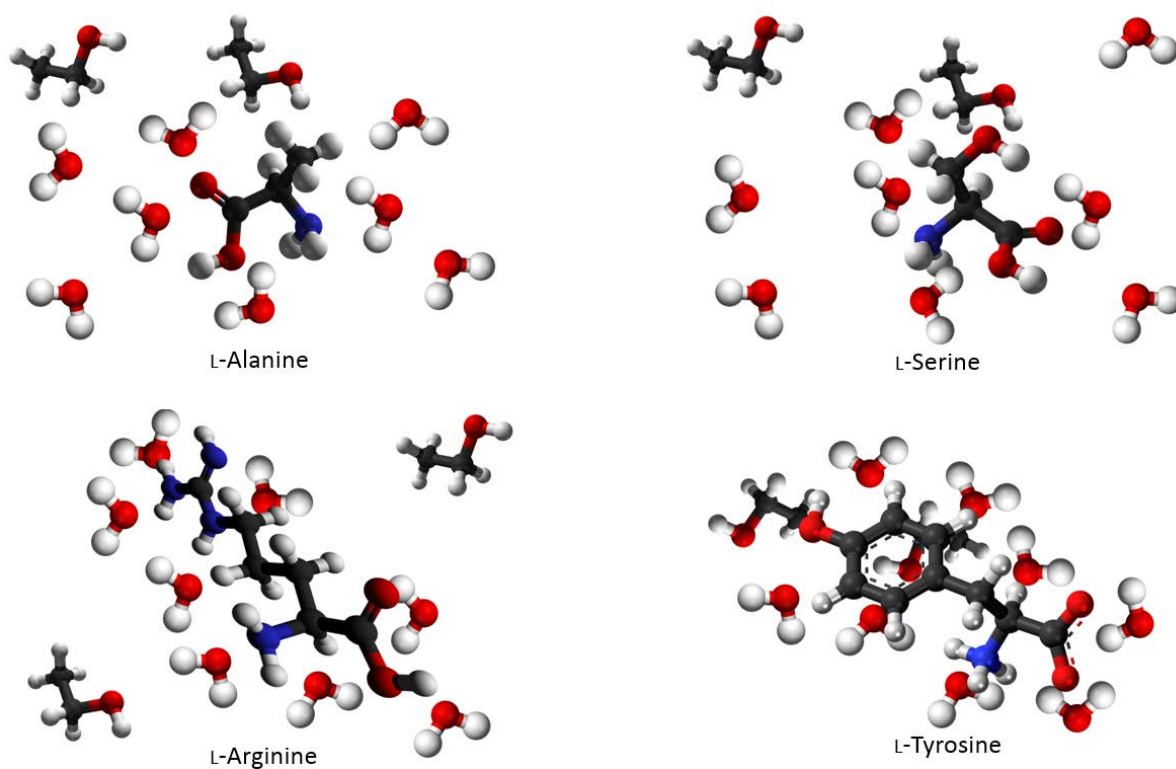
## 415 **5 Conclusion**

416           The results support a hypothesis that both the shape of an amino acid and the activity  
417 of the side chain of an amino acid influence the solubility of the amino acid in mixed solvent  
418 solutions. Results support the conclusion that if the amino acid is spherical and does not have  
419 a reactive side chain, then there will be no change in the excess solubility as expected from

420 the solvent mole fraction of ethanol and water. Spherical amino acids with reactive side  
421 chains, like L-serine and L-threonine, will have positive excess solubilities. Rod-like amino  
422 acids with either a long side chain or a reactive side chain, such as the presence of a phenyl  
423 group and/or hydroxyl group, react preferentially to water and ethanol than water and ethanol  
424 do to each other and will have the greatest positive excess solubilities.

425 This hypothesis is artistically rendered in Figure 3 for four amino acids. In all four  
426 amino acids, the mole fraction of ethanol is 0.2. In the top left, L-alanine, a spherical amino  
427 acid ( $Q/r = 0.90$ ;  $C_{j,i,aa} = -0.97$ ) with a non-reactive side chain, is shown. Here the ethanol  
428 disrupts the water molecule lattice and there is a slight decrease in excess solubility. In the top  
429 right, L-serine, a spherical amino acid ( $Q/r = 0.94$ ;  $C_{j,i,aa} = 3.61$ ) with a reactive hydroxyl  
430 group on its side chain, is shown. The ethanol does not disrupt the lattice, rather it joins the  
431 lattice, being attracted to the hydroxyl group. Given small to medium molar concentrations of  
432 ethanol, there is marked positive excess solubility. In the bottom left, L-arginine, a rod-like  
433 amino acid ( $Q/r = 0.81$ ;  $C_{j,i,aa} = 1.59$ ) with a non-reactive side chain, is shown. Here, the  
434 lattice of water molecules is not disrupted, because it has contact with many water molecules.  
435 Given small molar concentrations of ethanol, there is a small amount of excess solubility. In  
436 the bottom right, L-tyrosine, a rod-like amino acid ( $Q/r = 0.49$ ;  $C_{j,i,aa} = 3.85$ ) with a reactive  
437 ring and hydroxyl groups on its side chain, is shown. Here, the ethanol and the water form a  
438 tight lattice around the molecule. In this case, even at medium concentrations of ethanol, there  
439 will be great excess solubility. At low concentrations of ethanol, the relative solubility has  
440 even been shown to increase.





441

442 **Figure 22: A depiction of the effects of amino acid shape and side chain composition in solution. Top left, L-alanine,**  
 443 **spherical and non-reactive. Top right, L-serine, spherical and reactive. Bottom left, L-arginine, rod-like and non-**  
 444 **reactive. Bottom right, L-tyrosine, rod-like and reactive.**

445            Regressed models describe the solubility of the amino acids well. The NRTL model is  
 446 better than the Gude model in this regard. However, since the Gude model has only one  
 447 regressed parameter, it may be preferential to use it. The predictive Jouyban-Acree model  
 448 performs well for some amino acids but not as well as both the Gude and NRTL models.  
 449 Future research on group contribution in amino acid side chains is encouraged, in order to  
 450 improve the accuracy of predictive models. The model that the end-user should use depends  
 451 on the accuracy that is required. If the highest accuracy is required and solubility data is  
 452 abundant, then a regressed model could be used. If the highest accuracy is not required, and  
 453 there is no or few data, then a predictive model could be used.

454            The effect of the charge of an amino acid on the solubility of the amino acid has not  
 455 been studied in this research. All the solubility data were taken at the isoelectric point,

456 meaning that the amino acid was not charged. Further work on the effect of ethanol on a  
457 charged amino acid is encouraged.

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