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1 **Between all-for-one and each-for-himself: on-farm competition for labour as**
2 **determinant of wetland cropping in two Beninese villages**

3

4 Paresys, Lise^{1,2}, Malézieux, Eric², Huat, Joël^{2,3}, Kropff, Martin J.^{4,5}, Rossing, Walter A.H.¹

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6 ¹ Farming Systems Ecology, Wageningen University, PO Box 430, 6700 AK Wageningen,

7 The Netherlands. lise.paresys@wur.nl. walter.rossing@wur.nl

8 ² CIRAD, UPR HORTSYS, F-34398 Montpellier, France. eric.malezieux@cirad.fr.

9 joel.huat@cirad.fr

10 ³ Africa Rice, 01 B.P. 2031, Cotonou, Benin

11 ⁴ Crop Systems Analysis, Wageningen University, PO Box 430, 6700 AK Wageningen, The

12 Netherlands

13 ⁵ CIMMYT, Apdo. Postal 6-641 06600 México, D.F., México. M.KROPFF@cgiar.org

14

15 **Abstract**

16

17 In sub-Saharan Africa, unexploited land and water resources in wetlands represent
18 an important potential for intensified, sustainable and food-secure farms through rice
19 production and market gardening. The lack of uptake of cropping in wetlands may be related
20 to the ways in which resources are divided between family fields and individual fields. The
21 management system on sub-Saharan African farms comprises a family management unit or
22 a combination of a family management unit and one or more individual management units.
23 The family management unit or the farm head controls production in family fields to satisfy
24 family needs while the individual management units control production in individual fields to
25 satisfy individual needs. Our objective was to investigate the diversity in farm management
26 systems and the resulting uptake of cropping in wetlands for different farm types, as the first
27 step towards suggestions for enhancing rice production and market gardening in wetlands.

28 We studied farms in two case-study villages in Benin: Zonmon in the southern part and
29 Pelebina in the north-western part.

30 Farm typologies were developed based on random samples of 51 out of 134 farms
31 (38%) from Zonmon and 50 out of 146 farms (34%) from Pelebina by combining principal
32 component analysis and Ward's minimum variance clustering. Variables included in the PCA
33 were related to levels of resource endowment (e.g., amounts of land, family labour, cash for
34 purchasing chemical inputs and hiring labour) and to resource-use strategies including
35 resource division between family fields and individual fields, and between uplands and
36 wetlands.

37 We identified 3 farm types in Zonmon and 5 farm types in Pelebina based on
38 differences in resource-use strategies and in resource endowment. We found no trade-off
39 between the existence of individual fields and the area under rice and market garden crops in
40 wetlands. Labour abundance was the main factor driving both the occurrence of individual
41 fields and the expansion of cropping in wetlands. Differences in labour division strategies
42 between family and individual fields among farm types reflected differences in food and cash
43 division strategies. Land use appeared strongly motivated by food self-sufficiency objectives
44 and labour productivity, leading to prioritisation of upland over wetland areas. In wetlands,
45 most farm types opted for cultivating market garden crops during the dry season when labour
46 demand for upland fields was low. Our results indicate that increasing labour productivity in
47 food crops and in rice and market garden crops would enhance the uptake of rice and market
48 garden crops in wetlands. Creating credit facilities would increase the labour resource and
49 allow farmers to hire labour, further contributing to wetland use. We discuss the relevance of
50 a systemic farm analysis that enables distinguishing family and individual fields for
51 understanding farm uptake of rice and market garden crops in wetlands.

52

53 **Keywords**

54

55 Farm typology; Management system; Production system; Wetlands; Labour

56

57 **1. Introduction**

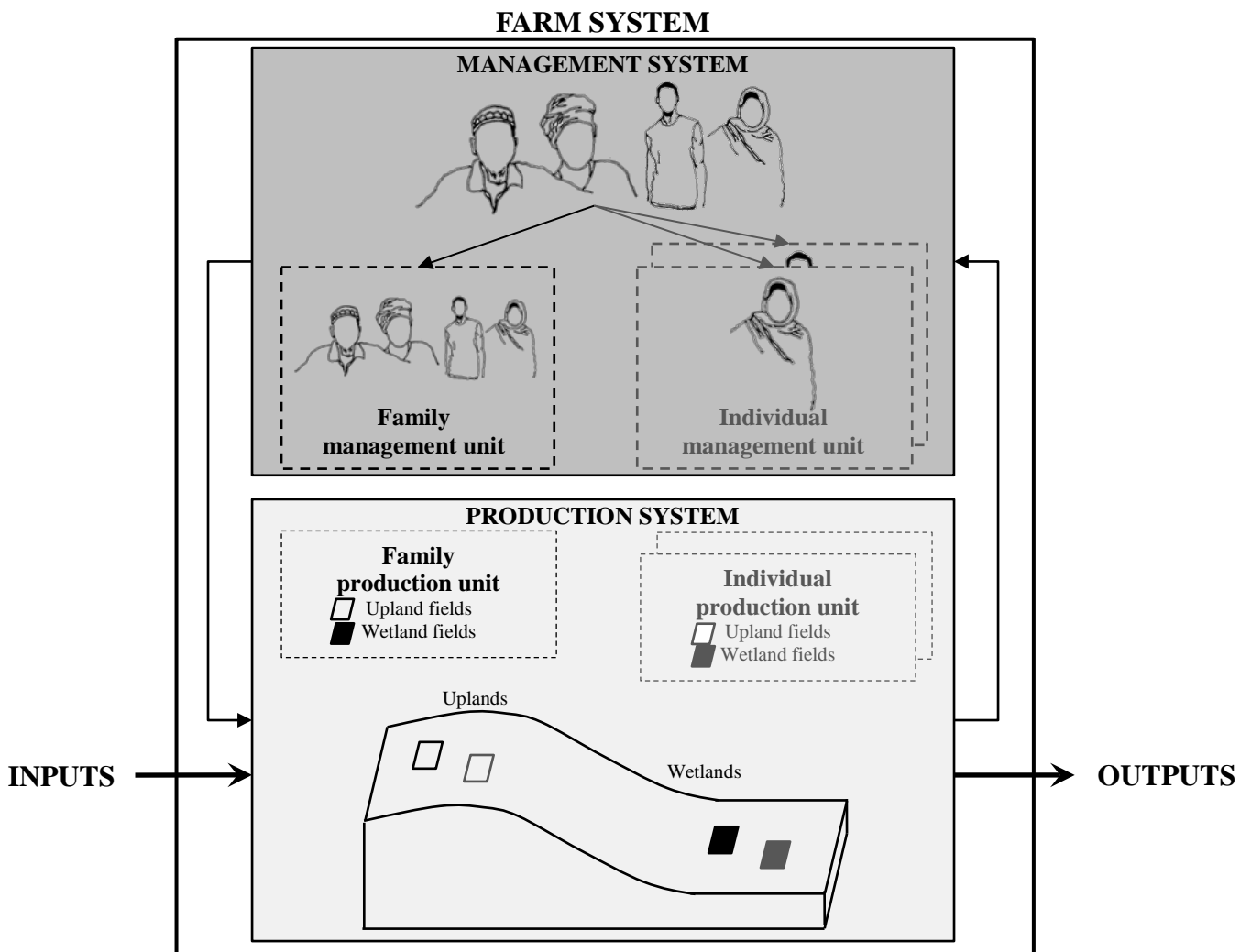
58

59 The Sustainable Development Goals, in particular goal 2, set the ambitious target of
60 achieving global food security by 2030 (UN, 2015). In 2015, 23% of the sub-Saharan African
61 population was estimated to be undernourished (FAO et al., 2015). Long-term food security
62 is impaired by unsustainable land use (Bossio et al., 2010; McIntyre et al., 2009; Mirzabaev
63 et al., 2015): in Africa, 65% of agricultural land was estimated to be affected by some form of
64 degradation for the year 1990 (Oldeman, 1991). At the same time, unexploited land and
65 water resources in wetlands represent an important potential for intensified and sustainable
66 land use (Balasubramanian et al., 2007; Giertz et al., 2012; Rodenburg et al., 2014; Saito et
67 al., 2013; Wakatsuki and Masunaga, 2005; Windmeijer and Andriessse, 1993). Following the
68 2008 food crisis, governments of 19 African countries developed national strategies to exploit
69 wetland resources and ensure rice self-sufficiency (Demont, 2013; Demont and Ndour,
70 2014). In Benin, the government decided to enhance both the rice and the market garden
71 crop sectors (MAEP, 2011a, 2011b), as both may contribute to farm sustainable
72 intensification and food security (Erenstein et al., 2006; Lu et al., 2010; Singbo and Lansink,
73 2010).

74 Farm systems are described as comprising a production system and a management
75 system, the latter controlling production (Dogliotti, 2011; McCown, 2001; Sorensen and
76 Kristensen, 1992). In sub-Saharan African wetland agricultural systems, the production
77 system on farms can include upland fields, wetland fields or a combination of upland and
78 wetland fields (Rebelo et al., 2010; Sakané et al., 2013). In sub-Saharan Africa, most farms
79 are family farms. The management system on these farms comprises a family management
80 unit or a combination of a family management unit and one or more individual management
81 units. The literature provides evidence that 2 types of fields can coexist within family farms:
82 family fields (also denoted as collective fields, common fields, jointly-managed fields or
83 mixed-managed fields) and individual fields (Guirkinger et al., 2015; Kazianga and Wahhaj,

84 2013). Family fields are supervised by the farm head to satisfy family needs. In family fields,
 85 the whole family works as a team and the farm head decides on crops, management
 86 sequences (Sebillotte, 1974) and profit distribution among the farm family members.
 87 Individual fields are granted by the farm head to a family worker for individual use and profit.
 88 As a result, farm systems may reveal a complex combination of family fields in uplands,
 89 individual fields in uplands, family fields in wetlands and individual fields in wetlands
 90 (Figure 1).

91



92

93

94

Figure 1: Model of the farm system

(adapted from Dogliotti, 2011; McCown, 2001; Sorensen & Kristensen, 1992)

95

96 Different patterns of family fields and individual fields result from different ways of
97 dividing productive resources (e.g., land, family labour, cash for purchasing chemical inputs
98 and hiring labour) and profit (in the form of food or cash) within farms. This division may be
99 shaped by cooperation and conflict among family farm members (Caretta and Börjeson,
100 2014; Doss, 2013; Himmelweit et al., 2013). In this study we address resource division
101 between family fields and individual fields as one of the factors defining farm resource-use
102 strategies (all-for-one versus each-for-himself resource-use strategies). Understanding the
103 diversity in strategies is expected to help generating and identifying meaningful field and farm
104 level options to increase food crop production and improve farmer livelihoods (Cortez-Arriola
105 et al., 2015; Tiftonell et al., 2010). Targeting of such interventions has thus far not considered
106 resource division between family fields and individual fields. Little is known about the ways in
107 which resources are divided between family fields and individual fields. Much less is known
108 about how this resource division affects the spatio-temporal aspects of the farm production
109 system, in particular the uptake of cropping in wetlands as compared to uplands. In relation
110 to unlocking the potential of wetlands, this lack of knowledge hampers meaningful proposals
111 on alternative farm systems as changing the existing division of resources may conflict with
112 socially embedded allocation patterns.

113 Our objective was to investigate the different ways in which resources are divided
114 between family fields and individual fields and the resulting uptake of cropping in wetlands for
115 different farm types, as the first step towards suggestions for enhancing rice production and
116 market gardening in wetlands. We studied farms in two case-study villages in Benin with
117 contrasting agro-ecological and socio-economic conditions: Zonmon in the southern part and
118 Pelebina in the north-western part. To our knowledge, this is the first report that uses farm
119 typologies to establish the relation between management systems and resulting production
120 systems.

121

122 **2. Materials and methods**

123

124 *2.1. Case-study villages*

125

126 Case-study village choice was subsequent to a rapid regional assessment of the
127 various wetland agro-ecosystems from south to north in Benin. Preliminary zoning was
128 carried out by combining available data sources: a digital map of a number of wetlands in the
129 upper Oueme catchment in north-western Benin (IMPETUS project¹); a digital map of a
130 number of wetlands in the Mono-Couffo region in south-western Benin (RAP project²); and
131 digital maps of the hydrographic network, roads, villages and major urban markets
132 (IMPETUS project¹, SMART-IV project³). To ensure that rice and market garden crops were
133 found in wetlands and to collect additional information on village conditions, pre-identified
134 villages were surveyed. This resulted in selecting two case-study villages that were close to
135 an urban market and situated in markedly different agro-ecological and socio-economic
136 conditions (Table 1 and Figure 2).

137

138

¹ <http://www.impetus.uni-koeln.de/en/project.html>

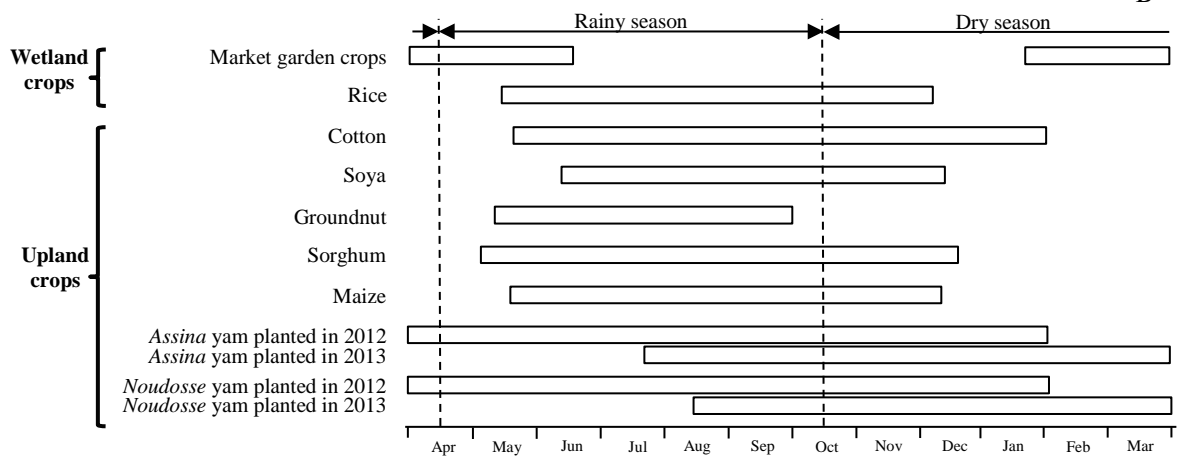
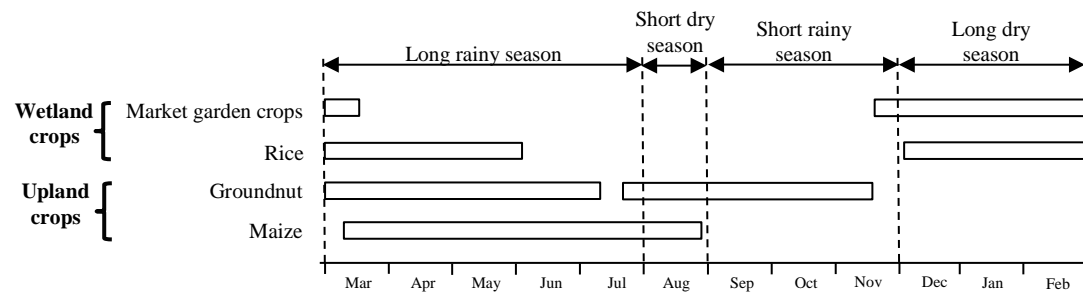
² <http://ongoing-research.cgiar.org/factsheets/realizing-the-agricultural-potential-of-inland-valley-lowlands-in-sub-saharan-africa-while-maintaining-their-environmental-services-rap-project/>

³ <https://smartiv.wordpress.com/about/>

139 **Table 1: Main characteristics of the selected villages. Combination of crops in the same field is**
 140 **symbolized by plus signs.**

	Zonmon	Pelebina
Location	Southern Benin	North-western Benin
Agro-ecological zone	Zone des terres de barre	Zone Ouest Atacora
Rainfall distribution	Bimodal (long and short rainy season)	Unimodal (one rainy season)
Annual rainfall (1961-1990; mm)	1100 - 1200	> 1300
Dominant soil type (FAO)	Acrisol	Luvisol
Major soil types from upstream to downstream in uplands (farmer classification)	<i>Veyssa</i> (sandy soil), <i>kozo holo</i> (loamy soil)	<i>Wawate</i> (red lateritic soil), <i>turr</i> (yellow lateritic soil), <i>burum</i> (sandy soil)
Major soil types from upstream to downstream in wetlands (farmer classification)	<i>Veyssa</i> (sandy soil), <i>kozo holo</i> (loamy soil), <i>kozo dide</i> (heavy clay soil)	<i>Burum</i> (sandy soil), <i>vete</i> (sandy-clay soil), <i>sewer</i> (loamy soil)
Elevation range (m)	10-85	385-450
Wetland type	One lowland with mixed flood regime (rainwater runoff and floodwater of the Oueme river) and three permanent streams	21 lowlands, including seven lowlands in which water is available during the dry season
Water management infrastructure	Damaged irrigation scheme	None
Population at village level (2013)	828	5964
Commune	Zangnanado	Djougou
Population density at commune level (inhabitants/km ² ; 2013)	104	68
Main ethnic groups	Mahi, transhumant Fula	Yom, sedentary Fula
Cropping systems	Fallow systems, continuous systems	Slash-and-burn systems, fallow systems, continuous systems
Major food crops	Maize (<i>Zea mays</i>)	<i>Noudosse</i> yam (early variety; <i>Dioscorea rotundata/cayenensis</i> complex), sorghum (<i>Sorghum bicolor</i>), maize (<i>Zea mays</i>), <i>assina</i> yam (late variety; <i>Dioscorea rotundata/cayenensis</i> complex)
Major cash crops	Groundnut (<i>Arachis hypogaea</i>), rice (<i>Oryza sativa</i>)	Cotton (<i>Gossypium spp.</i>), soya (<i>Glycine max</i>), groundnut (<i>Arachis hypogaea</i>)
Major dry-season market garden crops	Sweet maize+celosia (<i>Zea mays</i> + <i>Celosia argentea</i>), groundnut+sweet maize (<i>Arachis hypogaea</i> + <i>Zea mays</i>), sweet potato (<i>Lopmoea batatas</i>), okra+celosia (<i>Abelmoschus esculentus</i> + <i>Celosia argentea</i>)	Okra (<i>Abelmoschus esculentus</i>)
Major groves	Oil palm trees (<i>Elaeis guineensis</i>)	Cashew trees (<i>Anacardium occidentale</i>)
Livestock system	Transhumant cattle (Fula) and small livestock; free grazing	Sedentary and transhumant cattle (Fula), small livestock; free grazing
Inputs for which credit is available	Seeds, fertilizers and cash to hire labour for rice cultivation	Seeds, fertilizers, herbicides and pesticides for cotton cultivation
Closest major urban market	Bohicon	Djougou
Distance to urban market (km)	36	38
Population of urban market (2013)	171,781	267,812
Distance to tar road (km)	3	0

Sources: (INSAE du Bénin, 2016; Judex and Thamm, 2008; MEPN, 2008; Youssouf and Lawani, 2002)



142
143 **Figure 2: Agricultural calendars for major wetland and upland crops in (A) Zonmon, (B) Pelebina covering**
144 **a year's cropping seasons. Note the rainy season starts earlier in Zonmon.**

145

146 2.2 Farm survey

147

148 Social maps (Rim and Rouse, 2002) were drawn for each village with the help of
149 village authorities to visualize where farm heads were living and to determine the total
150 number of farms in each village. A random sample of 51 out of 134 (38%) farms from
151 Zonmon and 50 out of 146 (34%) farms from Pelebina were surveyed.

152 In each sampled farm, semi-structured interviews with the farm head were used to
153 gather information on the family structure and labour availability as well as to identify the
154 management units and to locate sets of fields associated to each management unit. Family
155 workers handling individual fields were interviewed to cross-validate farm head's information.
156 A total of 102 family workers (51 farm heads and 51 individual family workers) in Zonmon
157 and 143 family workers (50 farm heads and 93 individual family workers) in Pelebina were
158 interviewed. To cover a year's cropping seasons, each family worker (the farm head or the

159 individual family worker) was interviewed on three occasions in Zonmon: once during the
160 2012 long rainy season, once during the 2012 short rainy season and once during the 2013
161 long dry season, and on two occasions in Pelebina: once during the 2012 rainy season and
162 once during the 2013 dry season (Figure 2).

163 Fields of each farm were mapped with GPS. Information collected on a field-by-field
164 basis included land use; production orientation, i.e., food crop production or cash crop
165 production (a field was considered under food crops when more than a half of its harvest was
166 intended for self-consumption); cash spent on chemical inputs, i.e., herbicides, insecticides
167 and fertilizers in the local currency (FCFA; 655.957 FCFA = 1 €); cash spent on hiring
168 workforce (FCFA); land ownership; and major landscape unit, i.e., upland or wetland. Fields
169 were classified as belonging to wetlands when their manager assessed that they were
170 suitable for wetland rice or dry-season market garden crops.

171 Farm types were ranked based on resource endowment described by land and labour
172 assets; material assets; livestock assets; and cash available for purchasing chemical inputs
173 and hiring labour. Amounts of cash credits provided by extension services for rice and cotton
174 cultivation in Zonmon, and in Pelebina, respectively were not taken into account to bring out
175 a farm's own cash endowment. Type x farms were classified as better endowed than Type y
176 farms when (i) at least one indicator was larger for Type x farms than for Type y farms and
177 (ii) the other indicators were similar for both farm types.

178 Food self-sufficiency was assessed by asking the farm head for the number of
179 months during which farm members could satisfy their food needs from their own production
180 over the study year.

181

182 *2.3 Farm typologies and detailed characterisation*

183

184 A farm typology was developed for each village. Types were identified by combining
185 Principal Component Analysis (PCA) and hierarchical clustering. Data were normalised and
186 standardised. First, 43 candidate variables in Zonmon and 48 candidate variables in

187 Pelebina were defined (Table 2). Variables were related to levels of resource endowment
188 and resource-use strategies. A first PCA was performed to select a subset of variables based
189 on their quality of representation in a two-dimensional space and to reduce dimensionality;
190 variables for which the sum of the squared loadings on the two first principal components
191 was larger than 0.5 were included in a second PCA. Farm scores on PC1 and PC2 were
192 finally used in a Ward's minimum variance cluster analysis. The choice of the number of
193 types was driven by a jump in dissimilarity and our interpretability of types.

194 Supplementary variables were used for detailed characterisation of each farm type.
195 These supplementary variables consisted of variables included in the first PCA but discarded
196 in the second PCA as well as combinations of variables such as the ratio of the area farmed
197 in wetlands to the total area farmed. Given the skewness of the data, the non-parametric
198 Kruskal-Wallis test was used to test for differences among farm types. When significant
199 differences were found, Dunn tests were performed using Bonferroni as p-value adjustment
200 method and a significance probability limit of 0.05. Outlier farms were included in the PCA
201 and the Ward's minimum variance clustering as they account for farm diversity in villages but
202 they were disregarded when testing for differences among farm types.

203

204

Table 2: Candidate variables to be included in the PCA

Zonmon (43 variables)	Pelebina (48 variables)
Age of the farm head	Age of the farm head
Family members supported by the farm	Family members supported by the farm
Family members working in the farm	Family members working in the farm
Management units	Management units
Area owned in uplands (ha)	Area owned in uplands (ha)
Area owned in wetlands (ha)	Area owned in wetlands (ha)
Area borrowed in uplands (ha)	Area borrowed in uplands (ha)
Area borrowed or rented in wetlands (ha)	Area borrowed in wetlands (ha)
Livestock (TLU)	Livestock (TLU)
Family fields in uplands (ha)	Family fields in uplands (ha)
Individual fields in uplands (ha)	Individual fields in uplands (ha)
Family fields in wetlands (ha)	Family fields in wetlands (ha)
Individual fields in wetlands (ha)	Individual fields in wetlands (ha)
Food crops in family fields in uplands (ha)	Food crops in family fields in uplands (ha)
Food crops in individual fields in uplands (ha)	Food crops in individual fields in uplands (ha)
Food crops in family fields in wetlands (ha)	Food crops in family fields in wetlands (ha)
Food crops in individual fields in wetlands (ha)	Food crops in individual fields in wetlands (ha)
Cash crops in family fields in uplands (ha)	Cash crops in family fields in uplands (ha)
Cash crops in individual fields in uplands (ha)	Cash crops in individual fields in uplands (ha)
Cash crops in family fields in wetlands (ha)	Cash crops in family fields in wetlands (ha)
Cash crops in individual fields in wetlands (ha)	Cash crops in individual fields in wetlands (ha)
Maize (ha)	Noudosse yam planted in 2012 (ha)
Rainy-season rice (ha)	Noudosse yam planted in 2013 (ha)
Dry-season rice (ha)	Assina yam planted in 2012 (ha)
Cassava (ha)	Assina yam planted in 2013 (ha)
Sweet potato (ha)	Cassava transplanted in 2011 (ha)
Groundnut (ha)	Cassava transplanted in 2012 (ha)
Cowpea (ha)	Maize (ha)
Bambara nut (ha)	Sorghum (ha)
Geocarpa groundnut (ha)	Millet (ha)
Soya (ha)	Rice (ha)
Rainy-season market garden crops (ha)	Groundnut (ha)
Dry-season market garden crops (ha)	Cowpea (ha)
Oil palm trees (ha)	Bambara nut (ha)
Fallow (ha)	Soya (ha)
	Cotton (ha)
	Rainy-season market garden crops (ha)
	Dry-season market garden crops (ha)
	Groves (ha)
	Fallow (ha)
Chemical inputs in family fields in uplands (FCFA)	Chemical inputs in family fields in uplands (FCFA)
Chemical inputs in individual fields in uplands (FCFA)	Chemical inputs in individual fields in uplands (FCFA)
Chemical inputs in family fields in wetlands (FCFA)	Chemical inputs in family fields in wetlands (FCFA)
Chemical inputs in individual fields in wetlands	Chemical inputs in individual fields in wetlands
Hired workforce in family fields in uplands (FCFA)	Hired workforce in family fields in uplands (FCFA)
Hired workforce in individual fields in uplands (FCFA)	Hired workforce in individual fields in uplands (FCFA)
Hired workforce in family fields in wetlands (FCFA)	Hired workforce in family fields in wetlands (FCFA)
Hired workforce in individual fields in wetlands (FCFA)	Hired workforce in individual fields in wetlands (FCFA)

207 **3. Results**

208

209 *3.1. Farm typologies*

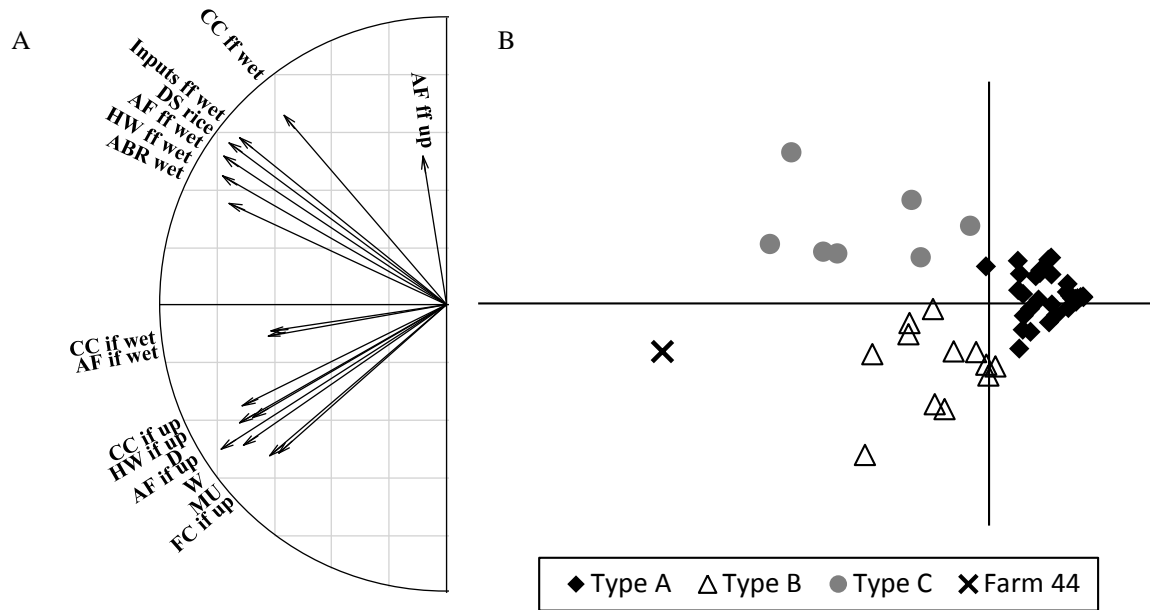
210

211 *3.1.1. Farm typology in Zonmon*

212

213 A subset of 16 key variables was selected from the first PCA and included in the
214 second PCA. Patterns revealed by the second PCA were interpreted in a two-dimensional
215 space as PC1 and PC2 together explained 67% of original variance (Figure 3A). Farms were
216 grouped into three types (the fourth type was disregarded as it only included farm 44; Figure
217 3B). Results of Kruskal Wallis tests indicated that the three farm types differed significantly
218 with regard to the key variables, except for the area farmed in family fields in uplands (Table
219 3).

220



AF ff up: family fields in uplands (ha); **CC ff wet:** cash crops in family fields in wetlands (ha); **Inputs ff wet:** chemical inputs in family fields in wetlands (FCFA); **DS rice:** dry-season rice (ha); **AF ff wet:** Family fields in wetlands (ha); **HW ff wet:** hired workforce in family fields in wetlands (FCFA); **ABR wet:** area borrowed or rented in wetlands (ha); **CC if wet:** cash crops in individual fields in wetlands (ha); **AF if wet:** individual fields in wetlands (ha); **CC if up:** cash crops in individual fields in uplands (ha); **HW if up:** hired workforce in individual fields in uplands (FCFA); **D:** family members supported by the farm; **AF if up:** individual fields in uplands (ha); **W:** family members working in the farm; **MU:** management units; **FC if up:** food crops in individual fields in uplands (ha)

221

222 **Figure 3: Results of PCA and hierarchical clustering for Zonmon. (A) Correlation circle. Projection of the**

223 **16 variables in a two-dimensional space. Variables are symbolized by arrows. (B) Individuals factor map.**

224 **Projection of farms in a two-dimensional space and farm types.**

225

226 **Table 3: Characteristics of farm types in Zonmon, based on the subset of the 16 variables included in the**
 227 **final PCA (in bold type) and on the supplementary variables (variables included in the first PCA but**
 228 **discarded in the second PCA and combinations of variables). Values represent medians. Different letters**
 229 **indicate differences in a characteristic among farm types at the 5% level.**

	Type A	Type B	Type C
Number of households	31	12	7
Household distribution (%)	61	24	14
Family members supported by the farm	5.0 a	7.5 b	8.0 b
Family members working in the farm	2.0 a	4.5 b	3.0 b
Management units	1.0 a	3.0 b	2.0 ab
Area borrowed or rented in wetlands (ha)	0.00 a	0.02 a	0.42 b
Area farmed in individual fields (total of upland and wetland fields; ha)	0.00 a	1.04 b	0.70 b
Area farmed in individual fields:total area farmed ratio	0.00 a	0.62 b	0.24 ab
Area farmed in wetlands (total of family and individual fields; ha)	0.12 a	0.28 a	1.09 b
Area farmed in wetlands:total area farmed ratio	0.14 a	0.21 ab	0.53 b
Family fields in uplands (ha)	0.71	0.43	1.04
Individual fields in uplands (ha)	0.00 a	0.95 b	0.50 ab
Family fields in wetlands (ha)	0.05 a	0.00 a	1.00 b
Individual fields in wetlands (ha)	0.00 a	0.15 b	0.04 ab
Food crops in family fields in uplands (ha)	0.43	0.36	0.22
Food crops in individual fields in uplands (ha)	0.00 a	0.41 b	0.05 ab
Food crops in family fields in wetlands (ha)	0.00	0.00	0.02
Food crops in individual fields in wetlands (ha)	0.00	0.00	0.00
Cash crops in family fields in uplands (ha)	0.29	0.00	0.23
Cash crops in individual fields in uplands (ha)	0.00 a	0.23 b	0.15 b
Cash crops in family fields in wetlands (ha)	0.05 a	0.00 a	0.94 b
Cash crops in individual fields in wetlands (ha)	0.00 a	0.14 b	0.04 b
Dry-season market garden crops (ha)	0.03 a	0.12 b	0.05 ab
Dry-season rice (ha)	0.00 a	0.00 a	0.83 b
Oil palm trees (ha)	0.53	1.14	2.10
Chemical inputs in family fields in uplands (FCFA)	0	0	1500
Chemical inputs in individual fields in uplands (FCFA)	0 a	0 ab	0 b
Chemical inputs in family fields in wetlands (FCFA)	0 a	150 a	41,522 b
Chemical inputs in individual fields in wetlands (FCFA)	0 a	0 b	0 ab
Hired workforce in family fields in uplands (FCFA)	36,600	16,800	51,700
Hired workforce in individual fields in uplands (FCFA)	0 a	50,900 b	45,400 ab
Hired workforce in family fields in wetlands (FCFA)	0 a	0 a	245,167 b
Hired workforce in individual fields in wetlands (FCFA)	0 a	5400 b	2000 ab

230
 231 In Zonmon, farms corresponded mostly to nuclear households, i.e., a husband (the
 232 farm head), his wife or wives, and his children. In 20% of farms (10 out of 50 farms), the
 233 parents of the husband or collateral relatives added to the nuclear household. In the 50
 234 farms, 49 individual workers (corresponding to 49 individual management units) were given
 235 at least one field to manage. Individual workers were mostly the farm head's wife or wives
 236 (Table 4).

237
238
239

Table 4: Composition of management systems for 50 farms in Zonmon and 47 farms in Pelebina. Values represent counts. Proportions are indicated between brackets.

	Zonmon	Pelebina
Family management unit	50	47
Male-headed farms	43 (86%)	47 (100%)
Female-headed farms	7 (14%)	0 (0%)
Individual management unit	49	76
Wife	39 (80%)	30 (39%)
Mother	5 (10%)	7 (9%)
Son	5 (10%)	34 (45%)
Brother	0 (0%)	5 (7%)

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Type A farms were the least endowed farms (Table 5). They were self-sufficient for 8-9 months year⁻¹ like Type B and Type C farms. They corresponded mostly to monogamous households or to the female-headed households (Table 4), which were widow-headed households. They were small households with few family members both supported by the farm and working in the farm (Table 3). In most of these farms, family workers worked together in all fields under the farm head's supervision, i.e., there was only the family management unit in the farm. The farm head focused his or her agricultural activities on uplands. The ratio of the area farmed in wetlands to the total area farmed was 0.14. These farms used few chemical inputs irrespective of upland or wetland. Their expenditure on hired workforce in family fields in uplands was similar to other farm types.

253 **Table 5: Resource endowment and food self-sufficiency indicators for farm types in Zonmon. Values**
 254 **represent medians. Different letters indicate differences in an indicator among farm types at the 5% level.**
 255 **Resource endowment increases from Type A to Type C.**

	Type A	Type B	Type C
Number of households	31	12	7
Household distribution (%)	61	24	14
Area owned in uplands (ha)	0.80 a	2.12 b	3.64 b
Area owned in wetlands (ha)	0.13 a	0.28 ab	0.68 b
Family members working in the farm	2.0 a	4.5 b	3.0 b
Bikes	0.0 a	1.0 ab	1.0 b
Motorbikes	0.0	0.5	1.0
Knapsack sprayers	0.0	0.0	0.0
Pirogues	0.0	0.0	1.0
Livestock (TLU)	0.16	0.39	0.41
Chemical inputs (FCFA)*	0	870	9315
Hired workforce (FCFA)*	39,400 a	110,950 ab	194,802 b
Months of food self-sufficiency	8.0	8.5	9.0

* Amounts of cash credits provided by extension services for rice cultivation were not included

256
 257 Type B farms were moderately endowed farms (Table 5). They were polygamous
 258 households: the median number of wives in Type B farms exceeded those in Type A and
 259 Type C farms, i.e., 2 wives compared to 1 ($p < 0.05$). A large number of family members
 260 were both supported by the farm and working in the farm (Table 3). Farm activities were
 261 focused on uplands like in Type A farms. In Type B farms however, at least one individual
 262 management unit was found and in 11 out of 12 Type B farms two to three individual
 263 management units were found. Food crops were produced in uplands both by the farm head
 264 and by individual female workers. Cash crops were produced in uplands and wetlands by
 265 individual female workers only. Major cash crops included groundnut in uplands and market
 266 garden crops in wetlands. Individual female workers spent large amounts of money on hiring
 267 workforce compared to other farm types, both in uplands and wetlands. Finally, individual
 268 female workers contributed substantially to agricultural production and the ratio of the area
 269 farmed in individual fields to the total area farmed was larger than in other farm types.

270 Type C farms were the best endowed farms (Table 5). They were large households
 271 with family members both supported by the farm and working in the farm, similar to Type B
 272 farms (Table 3). Farm activities were spread between uplands and wetlands. The area

273 farmed in wetlands accounted for slightly more than half of the total area farmed. The farm
274 head managed large rice fields in wetlands with high levels of chemical input and external
275 labour. In 6 out of 7 Type C farms, 1 to 2 individual management units were found. Unlike
276 Type B farms, however, food crops were produced in uplands mostly by the farm head and
277 cash crops were produced in uplands and wetlands both by the farm head and by individual
278 female workers. The areas farmed by individual female workers in uplands and in wetlands
279 were intermediate between Type A and Type B farms. As Type C farms also had family fields
280 in wetlands, the ratio of the area farmed in individual fields to the total area farmed was
281 smaller than in Type B farms.

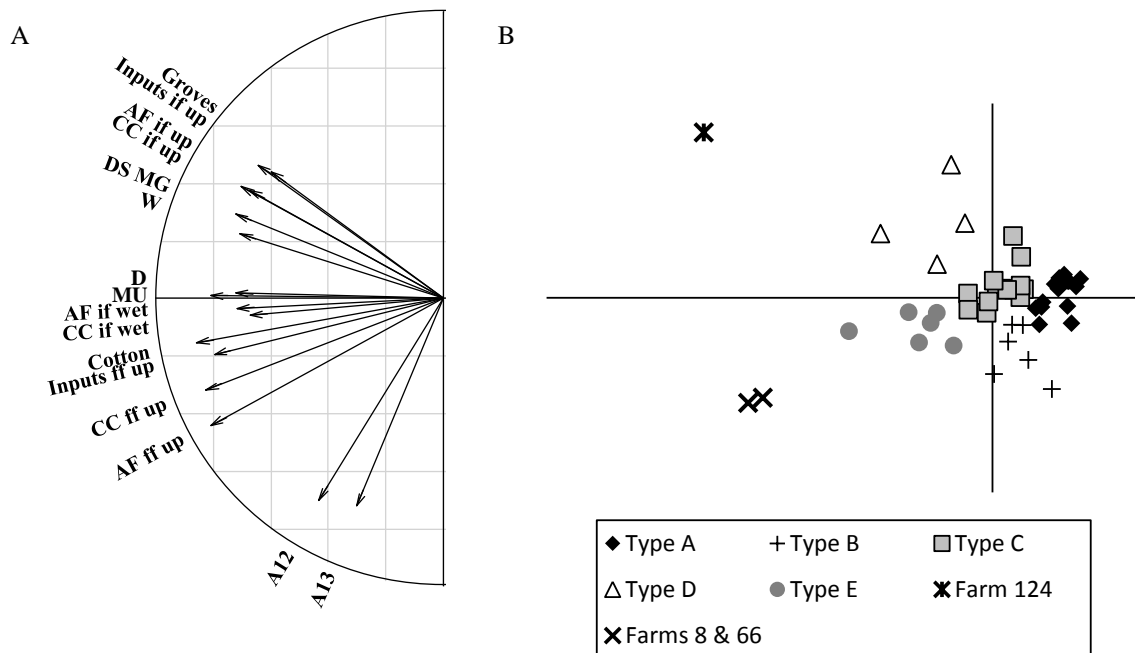
282

283 *3.1.2. Farm typology in Pelebina*

284

285 A subset of 16 key variables was selected from the first PCA and included in the
286 second PCA. Patterns revealed by the second PCA were interpreted in a two-dimensional
287 space as PC1 and PC2 together explained 63% of original variance (Figure 4A). Farms were
288 grouped into five types (the sixth and the seventh type were disregarded as they only
289 included farm 124 and farms 8 and 6, respectively; Figure 4B). Results of Kruskal Wallis
290 tests indicated that the five farm types differed significantly with regard to the key variables
291 (Table 6).

292



Groves: groves (ha); **Inputs if up:** chemical inputs in individual fields in uplands (FCFA); **AF if up:** individual fields in uplands (ha); **CC if up:** cash crops in individual fields in uplands (ha); **DS MG:** dry-season market gardening (ha); **W:** family members working in the farm; **D:** family members supported by the farm; **MU:** management units; **AF if wet:** individual fields in wetlands (ha); **CC if wet:** cash crops in individual fields in wetlands (ha); **Cotton:** cotton (ha); **Inputs ff up:** chemical inputs in family fields in uplands (FCFA); **CC ff up:** cash crops in family fields in uplands (ha); **AF ff up:** family fields in uplands (ha); **A12:** *assina* yam planted in 2012 (ha); **A13:** *assina* yam planted in 2013 (ha)

293

294 **Figure 4: Results of PCA and hierarchical clustering for Pelebina. (A) Correlation circle. Projection of the**
 295 **16 variables in a two-dimensional space. Variables are symbolized by arrows. (B) Individuals factor map.**

296

Projection of farms in a two-dimensional space and farm types.

297

298 **Table 6: Characteristics of farm types in Pelebina, based on the subset of the 16 variables included in the**
 299 **final PCA (in bold type) and on the supplementary variables (variables included in the first PCA but**
 300 **discarded in the second PCA and combinations of variables). Values represent medians. Different letters**
 301 **indicate differences in a characteristic among farm types at the 5% level.**

	Type A	Type B	Type C	Type D	Type E
Number of households	17	6	14	4	6
Household distribution (%)	34	12	28	8	12
Family members supported by the farm	7.0 a	9.0 ab	10.0 ab	12.5 ab	17.5 b
Family members working in the farm	3.0 a	3.0 ab	6.0 b	8.5 b	7.5 b
Management units	1.0 a	2.0 ab	4.0 b	3.5 ab	3.5 b
Area farmed in individual fields (total of upland and wetland fields; ha)	0.01 a	0.21 ab	0.57 b	1.14 b	0.26 ab
Area farmed in individual fields:total area farmed ratio	0.00 a	0.05 ab	0.19 b	0.15 b	0.03 ab
Area farmed in wetlands (total of family and individual fields; ha)	0.03	0.04	0.29	0.57	0.54
Area farmed in wetlands:total area farmed ratio	0.02	0.01	0.08	0.10	0.09
Family fields in uplands (ha)	1.62 a	4.38 bc	2.82 ab	4.29 abc	5.48 c
Individual fields in uplands (ha)	0.01 a	0.19 ab	0.54 b	1.04 b	0.23 ab
Family fields in wetlands (ha)	0.03	0.02	0.19	0.47	0.48
Individual fields in wetlands (ha)	0.00 a	0.00 ab	0.03 bc	0.10 c	0.05 abc
Food crops in family fields in uplands (ha)	1.37 a	3.36 b	1.88 a	2.70 ab	2.70 ab
Food crops in individual fields in uplands (ha)	0.00	0.00	0.01	0.06	0.00
Food crops in family fields in wetlands (ha)	0.00	0.02	0.14	0.17	0.29
Food crops in individual fields in wetlands (ha)	0.00	0.00	0.00	0.00	0.00
Cash crops in family fields in uplands (ha)	0.22 a	0.33 a	0.76 a	1.04 ab	3.40 b
Cash crops in individual fields in uplands (ha)	0.00 a	0.09 ab	0.38 b	0.99 b	0.13 ab
Cash crops in family fields in wetlands (ha)	0.00 a	0.00 ab	0.02 ab	0.15 ab	0.10 b
Cash crops in individual fields in wetlands (ha)	0.00 a	0.00 ab	0.03 bc	0.10 c	0.04 abc
Assina yam planted in 2012 (ha)	0.00 a	0.34 b	0.04 ab	0.00 a	0.24 ab
Assina yam planted in 2013 (ha)	0.00 a	0.35 b	0.03 ab	0.00 a	0.16 ab
Cotton (ha)	0.00 a	0.00 ab	0.76 ab	1.20 ab	3.17 b
Dry-season market garden crops (ha)	0.00 a	0.02 ab	0.05 ab	0.20 b	0.13 ab
Groves (ha)	0.10 a	0.12 ab	0.48 ab	5.31 b	3.03 ab
Chemical inputs in family fields in uplands (FCFA)	7080 a	5010 a	45,750 ab	55,475 ab	238,637 b
Chemical inputs in individual fields in uplands (FCFA)	0 a	0 a	0 a	22,500 b	0 a
Chemical inputs in family fields in wetlands (FCFA)	0	240	0	855	1680
Chemical inputs in individual fields in wetlands (FCFA)	0	0	0	270	875
Hired workforce in family fields in uplands (FCFA)	23,000	16,750	6250	18,750	80,375
Hired workforce in individual fields in uplands (FCFA)	0	3000	3600	5250	3000
Hired workforce in family fields in wetlands (FCFA)	0	0	0	3750	7850
Hired workforce in individual fields in wetlands (FCFA)	0 a	0 ab	0 ab	3350 ab	3750 b

303 In Pelebina, farms corresponded either to nuclear households (57%, or 27 out of 47)
 304 or to extended families (43%, or 20 out of 47). In extended families, farms included a
 305 husband (the farm head), his wife or wives, his children and other collateral relatives (e.g.,
 306 his parents, brothers, in-laws if brothers or sons were married, grandchildren, nephews or
 307 nieces). In the 47 farms, 76 individual family workers (corresponding to 76 individual

308 management units) were given at least one field to manage. Individual workers were mostly
 309 sons or the farm head's wife or wives (Table 4).

310 Type A farms were the least endowed farms (Table 7). They achieved year-round
 311 food self-sufficiency like the other farm types. They were small households with relatively few
 312 family members both supported by the farm and working in the farm (Table 6). In most of
 313 these farms, family workers worked together in all fields under the farm head's supervision,
 314 i.e., there was only the family management unit in the farm. The farm head focused his
 315 agricultural activities on uplands. The ratio of the area farmed in wetlands to the total area
 316 farmed was 0.02 with no differences among farm types. These farms used few chemical
 317 inputs.

318

319 **Table 7: Resource endowment and food self-sufficiency indicators for farm types in Pelebina. Values**
 320 **represent medians. Different letters indicate differences in an indicator among farm types at the 5% level.**

321 **Resource endowment increases from Type A to Type E.**

	Type A	Type B	Type C	Type D	Type E
Number of households	17	6	14	4	6
Household distribution (%)	34	12	28	8	12
Area owned in uplands (ha)	4.58 a	6.88 ab	5.96 ab	10.53 ab	12.53 b
Area owned in wetlands (ha)	0.18	0.34	0.63	0.64	1.97
Family members working in the farm	3.0 a	3.0 ab	6.0 b	8.5 b	7.5 b
Bikes	0.0	0.5	1.0	0.5	1.0
Motorbikes	0.0	1.0	1.0	1.0	1.0
Knapsack sprayers	0.0	0.0	0.5	1.5	1.0
Livestock (TLU)	0.0	0.0	0.0	0.0	0.0
Chemical inputs (FCFA)*	7080 a	8250 ab	29,602 abc	53,345 bc	107,163 c
Hired workforce (FCFA)	27,500	30,250	25,750	21,000	112,500
Months of food self-sufficiency	12	12	12	12	12

* Amounts of cash credits provided by extension services for cotton cultivation were not included

322

323 Type B farms were better endowed than Type A farms but less endowed than Type
 324 C, Type D, and Type E farms (Table 7). They were medium-size households with an
 325 intermediate number of family members both supported by the farm and working in the farm
 326 (Table 6). Like in Type A farms, farm activities were focused on uplands. Upland fields under
 327 food crops included *noudosse* yam fields (an early variety planted on large and high mounds)
 328 like in other farm types but also large *assina* yam fields (a late variety planted on small

329 mounds) compared to other farm types. As a result, the area farmed in family fields in
330 uplands was large and did not differ strongly from that of Type E farms (see below). The
331 number of management units, the area farmed in individual fields as well as the ratio of the
332 area farmed in individual fields to the total area farmed were intermediate compared to other
333 farm types. Individual family workers who were granted fields mainly grew cash crops in
334 uplands. These farms used few chemical inputs.

335 Type C farms were moderately endowed farms (Table 7) consisting of medium-size
336 households (Table 6). The number of family members supported by the farm was similar to
337 Type B farms. The number of family members working in the farm, however, was larger than
338 in Type B farms and similar to Type D and Type E farms. Farm activities were focused on
339 uplands. The number of management units was large and similar to Type E farms. The area
340 farmed in individual fields as well as the ratio of the area farmed in individual fields to the
341 total area farmed were large and similar to Type D farms. Individual family workers mostly
342 grew cash crops in uplands, in particular soya. They also grew cash crops in wetlands, in
343 particular dry-season market garden crops. Chemical inputs were allocated to family fields in
344 uplands and used moderately compared to other farm types.

345 Type D farms were moderately endowed farms (Table 7). They were medium-size
346 households similar to Type C farms, i.e., with an intermediate number of family members
347 supported by the farm and a large number of family members working in the farm (Table 6).
348 Farm activities were focused on uplands. The area of groves, which were owned and
349 managed by the farm head, was larger than in other farm types. The number of management
350 units was intermediate and similar to Type B farms. The area farmed in individual fields as
351 well as the ratio of the area farmed in individual fields to the total area farmed, however, were
352 large and similar to Type C farms. Individual family workers mostly grew cash crops in
353 uplands, in particular cotton or soya. They also grew cash crops in wetlands, in particular
354 dry-season market garden crops. Chemical inputs were used moderately in family fields in
355 uplands compared to other farm types. Larger amounts however, were allocated to individual
356 fields in uplands.

357 Type E farms were the best endowed farms (Table 7). They were large households
358 with a large number of family members both supported by the farm and working in the farm
359 (Table 6). Farm activities were focused on uplands. The areas under cash crops in family
360 fields in uplands and wetlands were larger than in other farm types. Most farm heads
361 managed large cotton fields in uplands and dry-season market garden crops fields in
362 wetlands. Chemical inputs were allocated to family fields in uplands and, as a result of cotton
363 production larger amounts were used than in other farm types. The number of management
364 units was large and similar to Type C farms. The area farmed in individual fields as well as
365 the ratio of the area farmed in individual fields to the total area farmed, however, were
366 intermediate and similar to Type B farms. Individual family workers mainly grew cash crops in
367 uplands.

368

369 *3.2. Land availability and farm expansion to wetlands*

370

371 Results for both villages indicate that land availability did not constrain farm
372 expansion to wetlands. Large proportions of land areas owned by farmers in both uplands
373 and wetlands were left unexploited and could be borrowed by other farmers for cropping.
374 Based on our farm samples, we estimated that areas under fallows of 1 year or more
375 accounted for around 50% of the area owned in uplands in both Zonmon and Pelebina, and
376 for 15 and 64% of the area owned in wetlands in Zonmon and in Pelebina, respectively.
377 Taking the number of crop cycles per year in Zonmon into account (i.e., up to 3 crop cycles
378 depending on the location of the field in the toposequence), farmers left between 40 and 48%
379 of wetland areas unexploited. Moreover, in Zonmon, at the start of the rainy season 2012,
380 village authorities offered a part of wetland areas belonging to the village community to
381 farmers willing to cultivate rice. Type C farms took up the offer: Type A and Type B farms did
382 not extend their wetland use and ownership.

383

384

385 3.3. *Cultivation of traditional upland crops in wetlands*

386

387 In Zonmon, we found the traditional wetland crops, dry-season rice and market
388 garden crops on 85% of the area farmed in wetlands. In Pelebina, however, we found
389 traditional upland food crops on 65% of the area farmed in wetlands. In Pelebina, wetlands
390 were used to extend the time period during which 'upland' food crops could be grown. This
391 particular function was used equally by farm heads of all farm types: no difference was found
392 in the area under food crops in family fields in wetlands among farm types. Major food crops
393 grown in wetlands by farm heads included *noudosse* yam, maize and cassava (44%, 17%
394 and 11% of the area farmed in family fields in wetlands, respectively). These crops were
395 preferably cultivated in uplands (77% of *noudosse* fields, 87% of maize fields and 86% of
396 cassava fields were located in uplands). To maximize the area under food crops and in case
397 of delay in completing farming operations during the rainy season, these crops were also
398 cultivated in wetlands, on the border to uplands so that flooding risks were limited. *Noudosse*
399 yams were planted on large and high mounds and mounding with a hoe required moist soil. If
400 mounding on upland soils was delayed to after the end of the rainy season, soils were too
401 dry and therefore too hard for mounding. Wetland upper fringes then were used by farmers
402 under labour and time pressure. Cassava was transplanted most of the time on *noudosse*
403 yam mounds, just before yam harvest to avoid an additional mounding. Therefore, if
404 *noudosse* yam was planted in wetlands, the following crop in the cropping sequence, i.e.,
405 cassava was transplanted in wetlands. If farmers were not able to sow maize in a timely
406 manner in uplands, it was also sown in wetlands. In that way maize was provided with
407 enough water during its cycle though at the risk of flooding before the harvest.

408

409 3.4. *Labour allocation strategies and the uptake of rice and market garden crops in wetlands*

410

411 We found larger rice and market garden crop areas in wetlands in better-endowed
412 farm types comprising individual management units than in least-endowed farm types

413 comprising only the family management unit. Among the well-endowed farm types, we found
 414 different strategies to divide resources between family fields and individual fields. In some
 415 well-endowed farm types, large family fields coexisted with small individual fields while in
 416 others, small family fields coexisted with large individual fields. Except in Type C farms in
 417 Zonmon, wetland areas were only cultivated with market garden crops during the dry season
 418 when the labour demand on upland fields was low (Figure 2).

419 In Zonmon, the largest areas in wetlands were found in Type C farms, which were the
 420 best endowed in labour (Table 8). In Type A farms, labour resources were all allocated to
 421 family fields. The small number of family workers and the small amount of hired labour were
 422 mainly allocated to upland fields with only small areas of dry-season market garden crops in
 423 wetlands. In Type B farms, labour resources were allocated to both family and individual
 424 fields. The large number of family workers and the intermediate amount of hired labour were
 425 mainly allocated to family and individual fields in uplands with only small areas of dry-season
 426 market garden crops in individual fields in wetlands.

427

428 **Table 8: Summary of labour endowment, upland areas, and resulting areas under rice and market garden**
 429 **crops in wetlands for farm types in Zonmon. Values represent medians. Different letters indicate**
 430 **differences in an indicator among farm types at the 5% level.**

		Type A		Type B		Type C	
Family members working in the farm		2.0	a	4.5	b	3.0	b
Hired workforce (FCFA)*		39,400	a	110,950	ab	194,802	b
Upland areas	Family fields in uplands (ha)	0.71		0.43		1.04	
	Individual fields in uplands (ha)	0.00	a	0.95	b	0.50	ab
Wetland areas	Market garden crops in family fields (ha)	0.00		0.00		0.00	
	Market garden crops in individual fields (ha)	0.00	a	0.11	b	0.04	ab
	Rice in family fields (ha)	0.00	a	0.00	a	0.85	b
	Rice in individual fields (ha)	0.00		0.00		0.00	

* Amounts of cash credits provided by extension services for rice cultivation were not included

431

432 A major strategy distinguishing Type C farms from Type A and Type B farms was the
 433 adoption of rice on family fields in wetlands. In Type C farms, farming operations on rice
 434 fields added to the labour demand for farming operations on maize and legumes family fields
 435 in uplands during the rainy season (Figure 2). The large number of family workers in the

436 Type C farms was not enough to cope with this labour demand and farm heads spent 1.5
 437 times more cash on hiring labour per hectare of rice fields than the credit provided by the
 438 agricultural services for hiring labour (313,251 FCFA ha⁻¹ and 206,000 FCFA ha⁻¹,
 439 respectively). Apparently farm heads were able to produce the extra required cash from their
 440 own resources. Priority given to family fields during the rainy season and fewer hired labour
 441 resources allocated to individual fields led to smaller individual fields in uplands compared to
 442 Type B farms but still allowed cultivating small areas with market garden crops in wetlands
 443 during the dry season. Finally, these small areas under market garden crops in individual
 444 fields added to the large areas under rice in family fields (Table 8).

445 In Pelebina, larger market garden crop areas in wetlands were found in Type C, Type
 446 D, and Type E farms, which were the best endowed in labour (Table 9). In Type A farms,
 447 labour resources were all allocated to family fields in uplands. In Type B farms, the
 448 intermediate number of family workers allowed expanding family fields and individual fields in
 449 uplands, and in particular, cultivating large *assina* yam family fields. Intermediate labour
 450 resources and priority given to *assina* yam family fields during both the rainy and the dry
 451 season (Figure 2) resulted in limited market garden crop areas in family and individual fields
 452 in wetlands.

453

454 **Table 9: Summary of labour endowment, *assina* yam and cotton areas, and resulting areas under rice and**
 455 **market garden crops in wetlands for farm types in Pelebina. Values represent medians. Different letters**
 456 **indicate differences in an indicator among farm types at the 5% level.**

		Type A		Type B		Type C		Type D		Type E	
Family members working in the farm		3.0	a	3.0	ab	6.0	b	8.5	b	7.5	b
Hired workforce (FCFA)		27,500		30,250		25,750		21,000		112,500	
Upland areas	Assina yam planted in 2012 (ha)	0.00	a	0.34	b	0.04	ab	0.00	a	0.24	ab
	Assina yam planted in 2013 (ha)	0.00	a	0.35	b	0.03	ab	0.00	a	0.16	ab
	Cotton (ha)	0.00	a	0.00	ab	0.76	ab	1.20	ab	3.17	b
Wetland areas	Market garden crops in family fields (ha)	0.00	a	0.00	a	0.00	ab	0.09	ab	0.07	b
	Market garden crops in individual fields (ha)	0.00	a	0.00	ab	0.03	b	0.10	c	0.04	bc
	Rice in family fields (ha)	0.00		0.00		0.00		0.00		0.00	
	Rice in individual fields (ha)	0.00		0.00		0.00		0.00		0.00	

457

458 In Type C and Type D farms, the large number of family workers allowed expanding
459 family fields and individual fields in uplands. Labour division between family fields and
460 individual fields resulted in limited market garden crop areas in family fields in wetlands and
461 large market garden crop areas in individual fields in wetlands. The absence of *assina* yam
462 family fields in Type D farms allowed cultivating larger market garden crop areas in individual
463 fields in wetlands compared to Type C farms. Finally, in Type E farms, the large number of
464 family workers allowed expanding family fields and individual fields in uplands, and in
465 particular, cultivating large cotton and medium-size *assina* yam family fields. Labour division
466 between family fields and individual fields resulted in relatively large market garden crop
467 areas in family fields in wetlands and limited market garden crop areas in individual fields in
468 wetlands.

469

470 **4. Discussion**

471

472 We investigated the different ways in which resources are divided between family
473 fields and individual fields in uplands and wetlands among farm types to understand
474 differences in the uptake of rice and market garden crops in wetlands. We found larger rice
475 and market garden crop areas in wetlands in better-endowed farm types than in least-
476 endowed farm types. Among the well-endowed farm types, we found different strategies to
477 divide resources between family fields and individual fields. In most farm types, farm heads
478 and individual family workers gave priority to upland areas and opted for cultivating market
479 garden crops in wetlands during the dry season when labour demand for upland fields was
480 low. In order to provide suggestions to enhance farm expansion to wetlands for rice
481 production and market gardening, we discuss the different strategies to divide labour
482 between family fields and individual fields on the one hand, and between upland and wetland
483 areas on the other hand. We end by some considerations on the methods we used for
484 understanding farm uptake of rice and market garden crops in wetlands.

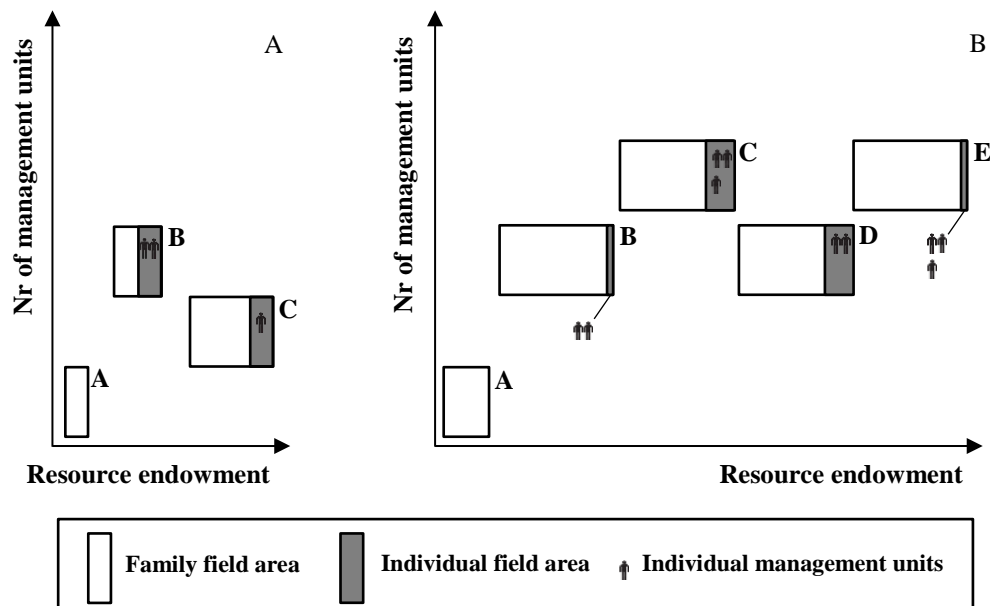
485

486 4.1. Balancing labour between family fields and individual fields

487

488 We found several management units and greater numbers of family workers in the
 489 well-endowed farm types (Figure 5). Drawing on publications on family farms but also on
 490 cooperatives and feudal-like farms, Guirkinger et al. (2015) and Guirkinger & Platteau (2015,
 491 2014) indicated that the awarding of individual fields within family farms is a strategy to avoid
 492 potential conflicts among family members and therefore to enhance commitment to family
 493 fields. These authors argued that contrary to individual production on individual fields,
 494 collective production on family fields is plagued by free-riding, which increases with the size
 495 of the workforce. They thus considered larger size of the workforce a key determinant of the
 496 existence of individual fields within farms. Individual fields allow workers to be rewarded in
 497 proportion to their labour (in terms of working hours and efficiency) contrary to family fields
 498 on which proportional rewards would be socially and operationally not likely (Guirkinger et al.,
 499 2015).

500



501

502 **Figure 5: Schematic representation of differences in indicators of labour division between family fields**
 503 **and individual fields among farm types in Zonmon (A) and Pelebina (B). Differences in an indicator are**
 504 **symbolized by differences in the size of rectangles or in the number of individuals. Farm types are**
 505 **indicated by the letter at the upper right corner of rectangles.**

506

507 In our context where land was not limiting, a larger number of family workers allowed
508 increasing the total area farmed. At the same time, a larger number of family workers was
509 associated with a larger number of management units. The increase in the total area farmed,
510 however, was not shared equally between family fields and individual fields. We found
511 different strategies to divide labour: in some farm types, large family fields coexisted with
512 small individual fields while in the others, small family fields coexisted with large individual
513 fields. These different labour division strategies between family fields and individual fields
514 reflected different food and cash division strategies.

515 Large ratios of the area farmed in individual fields to the total area farmed were found
516 in Type B farms in Zonmon and in Types C and Type D farms in Pelebina (Figure 5). Type B
517 farms in Zonmon were polygamous households, unlike Type C farms. This more complex
518 composition of the workforce may have increased the probability of conflicts compared to
519 Type C farms. According to Guirkingner & Platteau (2014), in farms including several married
520 couples, in-laws with more children may feel discriminated and in-laws with fewer children
521 may feel exploited. We argue that the same reasoning holds for polygamous households.
522 This is shown by Type B farms in Zonmon, where cash crops were produced by wives in
523 individual fields only, and food crops were produced both by the farm head in family fields
524 and by each of his wives in individual fields. In Type C and Type D farms in Pelebina, no
525 such complex compositions of the workforce were found. In all farm types food crop
526 production was ensured by the farm head in family fields, so that labour division strategies
527 reflected cash division strategies. Larger ratios in the area farmed in individual fields to the
528 total area farmed in Type C and Type D farms compared to Type B and Type E farms may
529 be explained by (i) conflicting choices for cash crop or cash division between the farm head
530 and individual workers (Foster and Rosenzweig, 2002); and/or (ii) differences in the balance
531 between workers and non-workers. High proportions of workers in Type C and Type D farms
532 may have allowed increasing individual profit relatively to family profit without being
533 detrimental to the rest of the family needs for cash.

534

535 *4.2. Labour allocation in upland areas versus labour allocation in wetland areas*

536

537 Wetlands have been described as highly valuable for agricultural production (Giertz et
538 al., 2012; Rodenburg et al., 2014; Schuyt, 2005; Wakatsuki and Masunaga, 2005;
539 Windmeijer and Andriessse, 1993) and of growing interest for Sub-Saharan African farms
540 (Saito et al., 2014; Sakané et al., 2011). In our case-study villages, median values of the ratio
541 of the area farmed in wetlands to the total area farmed ranged from 14 and 21% for Types A
542 and Type B farms to 53% for Type C farms in Zonmon and was 6% across the farm sample
543 in Pelebina, with no difference among farm types (Tables 3 and 6). We suggest two reasons
544 for the importance given to upland areas by farm heads and individual family workers: food
545 self-sufficiency objectives and labour productivity.

546 Farm food self-sufficiency relied on maize and cassava in Zonmon, and on yam,
547 maize and sorghum in Pelebina, all upland crops. Wetland rice and market garden crops
548 were grown as cash crops to meet the local urban demand as suggested for West African
549 countries (Bricas et al., 2016; Erenstein et al., 2006). Food self-sufficiency was independent
550 of resource endowment in both villages: it existed for 8-9 months year⁻¹ for all farm types in
551 Zonmon and was achieved year-round for all farm types in Pelebina (Table 5 and 7). After
552 achieving these levels of food self-sufficiency, remaining land, family labour and capital
553 resources could be invested in cash crop areas, among which market garden crops and rice
554 areas in wetlands. In Pelebina, wetlands were mainly used to grow traditional upland food
555 crops. Thus, land use appeared strongly motivated by food self-sufficiency objectives, and
556 led to prioritisation of upland over wetland areas.

557 A second reason to prioritise labour allocation in upland over wetland areas is the
558 reward for labour. The biophysical characteristics of wetlands (availability of water,
559 availability of soil moisture, soil fertility) imply large yields but also large labour requirements
560 for soil preparation, intensive weeding, application of fertilizers, and water control
561 (Balasubramanian et al., 2007; Guirkingner et al., 2015; Selim, 2012). In case-studies from

562 Nigeria, Sudan, Burkina Faso and Zimbabwe carried out 25 years ago (Scoones, 1991), land
563 productivity was larger in wetlands than in uplands but labour productivity was higher in
564 uplands. In the case-study context of labour scarcity rather than land scarcity, farmers may
565 have tended to maximize labour productivity and hence gave priority to upland areas.

566

567 *4.3. Suggestions to enhance farm expansion to wetlands for rice production and market* 568 *gardening*

569

570 In many studies, authors associate the expansion of agricultural production to
571 wetlands in Sub-Saharan African family farms to growing land scarcity (Dixon and Wood,
572 2003; Jogo and Hassan, 2010; Kangalawe and Liwenga, 2005; Sakane et al., 2014;
573 Turyahabwe et al., 2013) or growing fertile land scarcity (Giertz et al., 2012), following a
574 Boserupian view. Our results indicate that a lack of upland areas is not a necessary condition
575 for the expansion to wetlands. The proximity to urban markets may be a necessary condition
576 (Erenstein et al., 2006), but not a sufficient condition for farms to expand rice and market
577 garden crop areas. We showed that in our case-study context where land was not limiting
578 both in uplands and in wetlands and urban markets were relatively close, farms expanded to
579 wetlands provided they were better-endowed in labour, including family and hired labour.
580 More specifically, the extent of rice and market garden crop areas was constrained by the
581 amount of labour available after the requirements for family food crops had been met.

582 Increasing the amount of labour resources allocated to cash crops would require
583 increasing the labour productivity of food crops. Increasing the labour productivity of food
584 crops could be achieved (i) by focusing on yield-increasing alternatives that do not demand
585 more labour, which would allow reducing the area under food crops and thus the total labour
586 demand for food crop production; (ii) by focusing on labour-saving alternatives that do not
587 decrease yield, which would allow reducing the total labour demand for food crop production
588 while keeping the area under food crops constant; or (iii) by integrating both yield-increasing
589 and labour-saving alternatives.

590 Increasing areas under rice and market garden crops in wetlands would require
591 increasing current labour productivity to reach levels of at least that of upland cash crops,
592 i.e., groundnut in Zonmon and cotton, soya, and groundnut in Pelebina. Feasible yield-
593 increasing and labour-saving alternatives may be found among best local management
594 practices, research knowledge on agronomic management, and/or affordable technologies
595 (Ragasa et al., 2013; Rodenburg and Johnson, 2009, 2013; Tiftonell and Giller, 2013).

596 Another approach would consist of improving farm labour endowment, which could be
597 achieved by (i) developing off-farm opportunities allowing a positive balance between losses
598 of family labour allocated to agricultural production and gains in cash for hiring labour
599 (Babatunde and Qaim, 2010); (ii) developing or adjusting existing credits for hiring labour. In
600 Zonmon, the implementation of credits for hiring labour on rice fields during our study
601 appeared to be successful to increase rice areas at least for the best-endowed Type C
602 farms. Rice areas have tripled since the period 2010-2012 to reach around 30 ha during the
603 study period, allowing the best-endowed Type C farms to diversify their cash crops and cope
604 with climatic uncertainty (Totin et al., 2015). Differences in labour endowment between Type
605 B and Type C farms were related to differences in cash available for hiring labour on rice
606 family fields. Therefore, increasing the amount of credit for hiring labour to cover the
607 expenses of Type C farms on their rice family fields may allow wives in Type B farms to
608 adopt rice in their individual fields. Assuming that all Type B farms would have cash available
609 for hiring labour similar to Type C farms and would increase their rice areas to the average
610 level of Type C farms, the additional rice area in the village would be 24 ha (+80%).
611 Triggering rice adoption in Type A farms would require compensating for the difference in
612 family labour endowment with Type B and Type C farms.

613 Development policies could draw on successful examples and integrate labour
614 productivity-increasing and farm endowment-improving approaches. In Mali, credits for small-
615 scale mechanisation and chemical inputs through cotton cultivation revolutionised agrarian
616 and farm systems by improving both the labour productivity of maize, i.e., a major food crop,
617 and that of cotton, i.e., the targeted cash crop (Dufumier and Bainville, 2006).

618

619 4.4. Methodological considerations

620

621 We adopted a systemic view of the farm, distinguishing both family and individual
622 fields to understand differences in the uptake of cropping in wetlands among farm types.
623 Considering family fields and individual fields as independent systems would have been
624 misleading as family labour resources were shared between family and individual fields and
625 food crops were usually produced in family fields.

626 Our method implied a prolonged period of residence in the rural communities.
627 Resource allocation to family fields and individual fields may reflect underlying hierarchical
628 conflicts (Foster and Rosenzweig, 2002; Guirkinger et al., 2015; Guirkinger and Platteau,
629 2014, 2015): it is a sensitive topic which requires trust from the farm members to obtain
630 credible answers during interviews. Management units and the associated fields were
631 identified by interviewing farm heads and all family workers that had individual fields.
632 Interviews were held during each cropping season and combined with our own field
633 observations, enabling triangulation of data. We question the feasibility of speeding up the
634 gathering of such information through, e.g., a rapid rural appraisal or on-farm group
635 discussions, which may limit the understanding of community and within-group complexities
636 (Simpson et al., 2016; Townsley, 1996).

637 The purpose of our research was to gain in-depth understanding about the different
638 ways in which resources are divided between family and individual fields and the resulting
639 uptake of cropping in wetlands for different farm types. Our research was exploratory in that
640 it focused on two case-study villages. Such case-study approach (Yin, 2014) was appropriate
641 as there had been very little research on farm level constraints to cropping in wetlands in
642 sub-Saharan Africa. In agreement with the case-study approach, the villages were selected
643 to be contrasting in terms of agro-ecological and socio-economic conditions in search of
644 consequences for wetland use. Results showed that farm labour abundance for cash crop
645 production was a common factor driving the expansion to wetlands. This indicates that

646 unless farm labour resource use is taken into account, agro-ecological and socio-economic
647 conditions are not sufficient to explain the lack of uptake of rice and market garden crops in
648 wetlands.

649 We identified options for developing rice production and market gardening in wetlands
650 based on current farm resource endowment, food self-sufficiency objectives and on-farm
651 resource allocation strategies. Our study focused on the farm system and depended on a
652 single year snapshot. In, e.g., Type C farms in Zonmon, current agricultural activities to
653 generate cash did not provide an explanation for the cash mobilized for hiring labour on rice
654 fields: farm types did not differ in cash crop area in family fields in uplands, the area under oil
655 palm trees (Table 3) or livestock assets at the time of the survey (Table 6). Cash generated
656 from past agricultural activities and/or off-farm activities may have been redirected to rice
657 cultivation. Moreover, during the study dry season, Type C farms used 19% less fertilizers on
658 wetland fields than the amount of credit they received, which suggests fertilizers were
659 diverted to upland fields the following year. Investigating resource flows over time and
660 between on-farm and off-farm activities would be needed to reveal such allocation patterns,
661 important for developing rice production and market gardening in wetlands.

662

663 **5. Conclusion**

664

665 The common farm typology approach was extended with an analysis of resource
666 allocation to family fields and individual fields. The approach was based on the assumption
667 that different patterns in resource division between family fields and individual fields may also
668 affect resource division between uplands and wetlands, and thus the uptake of rice and
669 market garden crops in wetlands.

670 We found no trade-off between the existence of individual fields and the area under
671 rice and market garden crops in wetlands. We found, however, that labour abundance was
672 the main factor driving both the occurrence of individual fields and the expansion of cropping
673 in wetlands.

674 Family fields as well as individual fields for the polygamous Type B farms in Zonmon
675 provided food shared by the family. When objectives of food self-sufficiency were achieved,
676 remaining family and hired labour was allocated to cash crop production. The number of
677 family workers was positively associated with the number of management units. Land was
678 not a constraint in the case studies, and the choice of farm heads or individual family workers
679 between upland crops and wetland crops was most likely driven by their comparative labour
680 productivity. In wetlands, the choice between rice and market garden crops was apparently
681 driven by the competition for labour needed for upland crops. Most farm types opted for
682 cultivating market garden crops in wetlands during the dry season when labour demand for
683 upland fields was low.

684 The results indicate that farm resource use is a critical and often missing factor to
685 explain the lack of uptake of rice and market garden crops in wetlands. Labour shortages in
686 our case studies kept farms from exploiting wetland resources for rice production and market
687 gardening. Unlocking the potential of the wetlands can proceed by increasing labour
688 productivity as well as by increasing labour availability. Increasing labour productivity in food
689 crops and in rice and market garden crops would result in more wetland use. Options to do
690 so include improving crop agronomy as well as reducing labour input by affordable
691 technology. Options locally available at field level will be addressed in a next paper. Creating
692 credit facilities would allow farmers to hire labour. Finally, options to increase wetland use for
693 rice production and market gardening should target farm heads in conjunction with individual
694 workers to be responsive to socially embedded resource allocation patterns.

695

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707

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