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**Field study on the use of vaccination to control the occurrence of lumpy skin disease in
Ethiopian cattle**

Wassie Molla^{a,b,1}, Klaas Frankena^a, Getachew Gari^c, Mart C.M. de Jong^a

^a Quantitative Veterinary Epidemiology, Wageningen University & Research,
Droevendaalsesteeg 1, 6708 PB Wageningen, The Netherlands

^b Faculty of Veterinary Medicine, University of Gondar, P.O. Box 196, Gondar, Ethiopia

^c National Animal Health Diagnostic and Investigation Centre (NAHDIC), Sebeta, Ethiopia

¹Corresponding author: Tel: +31613169516

E-mail address: wassie.abebe@wur.nl / molla.wassie@yahoo.com

1 **Abstract**

2 The current study was carried out in central and North-western parts of Ethiopia to assess the
3 efficacy of Kenyan sheep pox virus strain vaccine (KS1 O-180) against natural lumpy skin
4 disease (LSD) infection under field conditions by estimating its effect on the transmission and
5 severity of the disease. For this study, an LSD outbreak was defined as the occurrence of at least
6 one LSD case in a specified geographical area. An observational study was conducted on a total
7 of 2053 (1304 vaccinated and 749 unvaccinated) cattle in 339 infected herds located in 10 sub-
8 kebeles and a questionnaire survey was administered to 224 herd owners. Over 60% of the herd
9 owners reported that the vaccine has a low to very low effect in protecting animals against
10 clinical LSD; almost all of them indicated that the vaccine did not induce any adverse reactions.
11 In the unvaccinated group of animals 31.1% were diagnosed with LSD while this was 22.5%
12 in the vaccinated group ($P < 0.001$). Severity of the disease was significantly reduced in
13 vaccinated compared to unvaccinated animals (OR = 0.68, 95% CI: 0.49; 0.96). Unvaccinated
14 infected animals were more likely (predicted fraction=0.89) to develop moderate and severe
15 disease than vaccinated infected animals (predicted fraction=0.84).

16 LSD vaccine efficacy for susceptibility was estimated to be 0.46 (i.e. a susceptibility effect of
17 0.54) while the infectiousness effect of the vaccine was 1.83. In other words, the vaccine
18 reduces the susceptibility by a factor of two and increases infectiousness by approximately the
19 same amount. LSD transmission occurred in both vaccinated and unvaccinated animals, the
20 estimated reproduction ratio (R) was 1.21 in unvaccinated animals compared to 1.19 in
21 vaccinated ones, and not significantly different. In conclusion, KS1 O-180 vaccination, as
22 applied currently in Ethiopia, has poor efficacy in protecting cattle populations against LSD,
23 neither by direct clinical protection nor by reducing transmission, and this signifies the urgent
24 need to either improve the quality of the vaccine or to develop potent alternative vaccines that
25 will confer good protection against LSD.

26 **Key words:** *Capripoxvirus*, Kenyan sheep pox (KS1 O-180) vaccine, Lumpy skin disease,
27 Reproduction ratio, Severity, Vaccine efficacy

28

29 **1. Introduction**

30 Lumpy skin disease (LSD) is a disease of cattle caused by LSD virus, a DNA virus, which
31 belongs to the family *Poxviridea*, subfamily *Chordopoxvirinae* and it is of the genus
32 *Capripoxvirus*. The disease is characterized by fever, nodular lesions on the skin and mucous
33 membranes, inflammatory and oedematous swellings of the limbs and brisket,
34 lymphadenopathy, deterioration of body condition and drop in milk production (Davies, 1991;
35 Quinn et al., 2002; Radostits et al., 2007). It has spread to most African countries, Middle East
36 countries and recently to Europe (Davies, 1991; Tuppurainen and Oura, 2012; Tasioudi et al.,
37 2016; WAHID, 2016; Tuppurainen et al., 2017). LSD is endemic in Ethiopia and is a constant
38 threat to the livestock sector since its first occurrence in 1981 (Mebratu et al., 1984; Gari et al.,
39 2010). LSD outbreaks occur frequently in various regional states of the country, despite
40 intensive vaccination campaigns (APHRD, 2012). It is an economically devastating and
41 therefore a notifiable disease as per OIE disease categorization (Gari et al., 2011; Tuppurainen
42 and Oura, 2012; OIE, 2016).

43 Vaccination, movement control and slaughter of infected and in-contact animals are
44 considered as options for the control of LSD. However, it is widely agreed that vaccination is
45 the most manageable and realistic approach to control the disease in endemic and resource poor
46 countries (Carn, 1993; Tuppurainen et al., 2014). Live attenuated vaccines based on sheep pox
47 virus (for example, Kenyan sheep pox (KS1 O-180), Romanian sheep pox and Yugoslavian
48 RM 65 sheep pox vaccines), goat pox virus (Gorgan goat pox vaccine), and LSDV (Neethling
49 strain vaccine) have been used for the control of LSD (OIE, 2010; Tuppurainen et al., 2014;
50 Gari et al., 2015).

51 In general vaccination can exert important effects, both at the individual and at the
52 population level. It may help to directly protect vaccinated animals, reduce severity of the
53 disease by reducing all or some of its symptoms or it may reduce transmission of pathogens by

54 lowering susceptibility and/or infectiousness, and thus also indirectly reduce the risk for other
55 vaccinated and unvaccinated individuals to become infected (De Jong, 1994; Halloran et al.,
56 1997; Van der Goot et al., 2007; Aznar et al., 2011; OIE, 2015). The effect of vaccine
57 intervention on the dynamics of infectious diseases, i.e. in the population, can be estimated by
58 the reproduction ratio (R) which is the average number of secondary cases arising from one
59 typically infected animal during its entire infectious period (Diekmann et al., 1990; Heffernan
60 et al., 2005).

61 LSD vaccine failure has been reported in several countries including Ethiopia. During
62 the 2006 outbreak in Israel, 11% (4.2% in dairy and 33.7% in feedlot cattle) of RM65 (Ramayer
63 strain) vaccinated cattle became infected (Brenner et al., 2009). In Jordan, Abutarbush (2014)
64 reported an overall LSD morbidity of 4.7% in cattle populations vaccinated with RM65
65 (Jovivac®) and LSD vaccine of unknown origin. Kumar (2011) reported a continued LSD
66 outbreak in Oman for more than three months after vaccination of cattle herds with Kenyan
67 sheep and goat pox vaccine. In Ethiopia, LSD vaccine failure has been reported since 1993
68 (Carn, 1993). Ayelet et al. (2013) estimated morbidity to be 23.8% in the cattle population of
69 central Ethiopia after vaccination with KS1 O-180 virus strain vaccine. However, a better
70 protection was claimed with Neethling vaccine (1.11% morbidity) and with a 10 times higher
71 dose of the RM65 vaccine (1.85% morbidity) (Ben-Gera et al., 2015). Vaccines in general may
72 give only partial protection (leaky vaccines) or protect only some of the individuals (all-or-
73 nothing) (Smith et al., 1984). In addition, further immunization failure may arise due to
74 insufficient vaccine coverage or factors related to the host, vaccine, or vaccination quality due
75 to handling, reconstitution or administration of the vaccine (Quinn et al., 1999).

76 Ayelet et al. (2013) and Gari et al. (2015) reported that KS1 O-180 vaccine provides
77 incomplete protection in immunized animals. However, the level of protection and its effect on
78 the severity of the disease have not been documented well under field conditions. KS1 O-180

79 vaccine is still applied as the sole means of LSD control in Ethiopia. Hence, the aims of this
80 study was to assess the efficacy of KS1 O-180 virus strain vaccine against natural LSD
81 infections under field conditions by estimating its effect on the transmission and severity of the
82 disease.

83

84 **2. Materials and Methods**

85 **2.1. Study and study area**

86 The study consisted of two parts:

87 (1) A questionnaire survey focusing on herd owners' information regarding several aspects
88 of vaccination which was undertaken in central and North-western parts of Ethiopia
89 (Figure 1). In central Ethiopia, it was undertaken in Ada'a, Sebeta Hawas, Ambo, Dendi,
90 Debrelibanos, Kuyu and Hidabu Abote districts in Oromia National Regional State. In
91 North-western part, the data were collected from Dejen, Gozamen, Hulet Ejju Enessie
92 and Jabitenan districts in Amhara National Regional State. The dominant agricultural
93 production system in the study areas was mixed crop-livestock system. The grazing
94 practice in almost all study areas was open grazing on communal pasture land where
95 animals from a village were herded together.

96 (2) A vaccine efficacy follow-up study under field conditions was undertaken in the North-
97 western part of Ethiopia in Mota town and the surrounding four rural kebeles (the lowest
98 administrative structure in Ethiopia, in which at least 500 households (3,500 to 4,000
99 persons) live and cover on average about 53 km² and 3 km² land area in rural and urban
100 places, respectively) of Hulet Ejju Enessie district, East Gojjam Administrative Zone,
101 Amhara National Regional State (Figure 2). The rural kebeles were Hibre Selam, Debre
102 Gubae, Beza Bizuhan, and Ayen Berhan. Cattle populations of ten sub-kebeles were

103 enrolled in the study namely Mota (from Mota town), Akobe, Semo, and Shewaber from
104 Hibre Selam, Atetanat and Yerez from Beza Bizuhan, Webmariam from Ayen Berhan,
105 and Kesmender, Komma and Zenabach from Debre Gubae kebele.

106 **2.2. Questionnaire survey**

107 The study population for the questionnaire survey was about 13,200 cattle herd owners living
108 in 33 selected kebeles of 11 districts. These owners were smallholder farmers with the main
109 purpose of subsistence farming, that is: draft power for crop production, milk for consumption,
110 manure for soil fertility and fuel, and cash income. Animals were kept in an extensive
111 management system and most of the herds were composed of local Zebu breed cattle. Animals
112 in this system share communal grazing and watering resources. The term “herd” in this study
113 designates an aggregate of animals kept together day and night and owned by a household.

114

115 **2.2.1. Study design and data collection**

116 Eleven districts located in the central and north-western parts of Ethiopia were identified for a
117 cross-sectional questionnaire survey. The districts were selected based on the recent LSD
118 outbreak occurrence, location and accessibility. For this study, an LSD outbreak was
119 considered, if at least one case of LSD occurred in a specified geographical area (usually
120 kebele). Three kebeles were randomly selected from each district. From each kebele, five to
121 eight herd owners willing to participate were interviewed. The survey data were collected from
122 a total of 224 herd owners from January 2015 to May 2015.

123 The data were collected by face to face interview using the local language. After getting an
124 informed consent from the herd owners, the interviewer asked questions about the vaccination
125 status, vaccination frequency, the vaccination service provider, fee of the vaccination, the
126 vaccination date and when the animals become infected if there was any infected animal in his
127 herd. Furthermore, the herd owners were requested to express their opinion on the effectiveness

128 of the vaccine in protecting cattle against LSD and the adverse reactions to the vaccine. The
129 vaccine is considered to be protective from day 21 to one year post vaccination. All responses
130 were recorded in a predesigned response sheet.

131

132 2.2.2. Data management and analysis

133 Descriptive statistics were used to summarise the data on vaccination coverage at herd level,
134 frequency of vaccination, and owner's opinion about the effectiveness and adverse reactions of
135 the LSD vaccine.

136

137 **2.3. Follow-up study**

138 A follow-up study was carried out after the index case of LSD appeared in Beza Bizuhan kebele
139 at the specific village called Chech on 29 April 2014. The disease stayed restricted in the village
140 for a reasonable period of time but after that it spread to other villages and surrounding kebeles.
141 The selected area for follow-up was Mota town and its surrounding area, representing 10 sub-
142 kebeles. In the area, animals were owned by smallholder farmers with the main purpose of
143 subsistence farming except for six dairy farms which kept cattle for commercial purposes. Most
144 of the herds were composed of local Zebu breed cattle and managed under extensive
145 management. The six dairy herds consisted mainly of Holstein-Zebu cross and were managed
146 under semi-intensive or intensive conditions.

147 The study population included 7464 heads of cattle grouped in 1203 herds. The cattle
148 population in each sub-kebele (considered as ten separate populations as they were herded on
149 common pasture land within a sub-kebele) was vaccinated partially. This partial coverage was
150 not purposive but due to the failure of the owner to get their animals vaccinated. The vaccination
151 campaign was undertaken at least one month before the entrance of the disease into a specific

152 sub-kebele. The vaccination was provided by the public veterinary service of the Hulet Ejju
153 Enessie district following the index case appearance in the area.

154

155 2.3.1. Study design and herd selection

156 This study was designed as a prospective cohort study. At the beginning of the study, ten cattle
157 populations (i.e. all cattle in a sub-kebele excluding calves less than 6 month old) with partial
158 vaccination coverage and LSD free status were selected. All herds in the selected populations
159 were inspected on a weekly basis for clinical signs of LSD. The herd owners were also asked
160 to report any suspicion of the disease. The sub-kebeles were selected based on their partial
161 vaccination status. We selected populations with different vaccination coverage because that is
162 a pre-requisite to estimate both vaccine efficacy for susceptibility and infectiousness (Longini
163 et al., 1998; Aznar et al., 2011). The vaccination coverage level in the selected 10 sub-kebele
164 cattle populations ranged from 3-95%. Since the vaccine coverage was strictly inferior to 100%,
165 a number of infections within the vaccinated group was expected to occur. The animals, whether
166 vaccinated or not, were followed starting from August 1, 2014 to November 31, 2014, i.e. from
167 the day the first case was detected in the sub-kebele until no more new cases were recorded. If
168 an animal in a herd was diagnosed with LSD and the owner volunteered to participate, the herd
169 was enrolled in the study. Therefore, the main inclusion criteria for a herd were the infection
170 status of the herd and the willingness of the owner to participate. A herd was considered positive
171 if at least one animal showed LSD-characteristic nodular lesions. In total, 448 herds were
172 recorded as being affected and of these, 339 farmers (75.7%) were willing to participate and all
173 their bovines (n=2053) enrolled in the study.

174

175 2.3.2. Data collection

176 In the ten sub-kebeles, infected herds were visited twice a week by animal health professionals
177 and by the first author, and clinical signs were recorded. The severity of LSD was assessed at
178 three levels: mild, moderate and severe. Mild LSD was defined as only few nodular lesions (<5)
179 in some part of the body, mild fever (39-39.5⁰C) and quick recovery (within a week); the
180 moderate level was assigned if fever, inappetence, many nodular lesions/swelling on the limb
181 or brisket, and weakness was present; severe LSD was scored if high fever (>40⁰C), extensive
182 nodular lesions/swellings, anorexia, weakness, emaciation or death was observed.

183 Animal data including breed, sex, age and records like vaccination status, vaccination
184 date and type of vaccine used were compiled for all animals at the first herd visit. Type of herd
185 and sub-kebele were also recorded. The first visit was made by the district veterinary team and
186 the first author. The animal health professionals who collected the data from infected animals
187 were blind for the vaccination status of the affected animals.

188 Biopsy samples of skin nodules were collected from a sample of the affected animals in
189 each sub-kebele and analysed by conventional and Snapback Real-time PCR (polymerase chain
190 reaction) techniques following the method described by Tuppurainen et al. (2005) and Gelaye
191 et al. (2013) to confirm that the clinically observed disease truly was LSD. A total of 34 skin
192 samples were collected for LSD confirmation.

193

194 2.3.3. Vaccine used for control and prevention of LSD

195 The live attenuated vaccine of KS1-O180 produced by National Veterinary Institute (NVI),
196 Ethiopia was the only vaccine used for prevention and control of LSD in Ethiopia. It recently
197 has been reported that the virus used for the production of KS1-O180 is not a sheep pox virus
198 but was found to be LSDV (Gelaye et al., 2015). The vaccine was prepared in 20 ml vials
199 containing 100 doses and reconstituted by 100 ml of cool and sterile saline water; 10^{3.5} TCID₅₀

200 was administered per animal as recommended by the manufacturer. A suspension of 1 ml
201 vaccine was injected subcutaneously at the neck side (NVI, 2010).

202

203 2.3.4. Data management and analysis

204 Descriptive statistics were used to describe the morbidity and mortality in cattle populations
205 with different vaccination coverage.

206 To analyse the association between the occurrence of LSD infections in animals (i.e. the
207 cases, which are assumed to be binomial distributed) and independent variables (vaccination
208 status, breed, age, sex, herdtype, and location), multivariable logistic regression was performed
209 (STATA version 14). Vaccination status was the main effect of interest while location, breed,
210 age, sex and herdtype were added as additional explanatory variables. All factors were fitted in
211 a multivariable regression model and the final model was obtained by a backward stepwise
212 elimination procedure while checking for confounding. For that purpose confounding was
213 defined as a change of at least 25% in any of the regression coefficients after removing a non-
214 significant ($p > 0.05$) variable from the model. Interactions were tested for all combinations of
215 the significant main effects. Generalised estimating equations (GEE, population averaged
216 model) was run using herd as random effect. An exchangeable correlation structure was
217 specified for the random effect and results were expressed as Odds ratio (OR) and its 95%
218 confidence interval (CI).

219 To estimate the effect of vaccination on the severity (mild, moderate or severe) of LSD,
220 first a univariable and then multivariable (backwards elimination process) ordered logistic
221 regression analysis was run by incorporating breed, age, sex, herdtype, and kebele as potential
222 factor and retaining it in the model as confounder when necessary. The probability of a
223 vaccinated or unvaccinated infected animal falling in either of the severity categories was
224 computed using estimated coefficients and the associated cut points of the ordered logistic

225 regression analysis. Proportionality of odds across response categories was tested using the
226 approximate likelihood-ratio test (omodel logit command in STATA version 14).

227 Multivariable regression analysis using a generalized linear model (GLM) was
228 performed to assess the effect of vaccination on the transmission of LSD by setting LSD
229 infection of animals as binomial (yes/no) dependent variable and vaccination status (yes/no)
230 and fraction of vaccinated among the infected (FracVaccI) as independent variables. The model
231 was fit using the complementary loglog (cloglog) link function and log (number of infected
232 animals/total number of animals per sub-kebele) as offset (Velthuis et al., 2003) using STATA
233 version 14. The susceptibility and infectiousness coefficients obtained from the analysis were
234 used to calculate the transmission parameters by inserting them into the formulae described in
235 Table 1. Note that in this case we observed the total outbreak in the sub-kebele and thus the
236 regression coefficient estimates pertain to the final size of the outbreak and thus we directly
237 estimate the reproduction ratio R rather than the transmission rate parameter β .

238 Vaccine efficacy for susceptibility (VEs) and infectiousness (VEi) were estimated using
239 formula 1 and 2 as described by Halloran et al. (2010) and Aznar et al. (2011) and for this the
240 four transmission parameters with their expression were defined (Table 1).

$$241 \quad VEs = 1 - \left(\frac{Ruv}{Ruu} \right) = 1 - \left(\frac{Rvv}{Rvu} \right) \quad (1)$$

$$242 \quad VEi = 1 - \left(\frac{Rvu}{Ruu} \right) = 1 - \left(\frac{Rvv}{Ruv} \right) \quad (2)$$

243 A vaccine with an efficacy of 0 was considered as not effective whereas a value of 1
244 was considered fully efficacious. Values of vaccine efficacies above 0.7 are considered ‘good’,
245 whereas vaccine efficacies in the range of 0.3 to 0.7 are generally considered ‘reasonable’
246 (Halloran et al., 2010; Lu. et al., 2013). However, this interpretation of vaccine efficacy does
247 not correspond to whether vaccination will reduce R so that $R < 1$, because whether $R < 1$ also
248 depends on the R in the absence of vaccination.

249 The reproduction ratio in vaccinated animals was calculated by multiplying the effects
250 of vaccination on susceptibility ($\exp(\text{coefficient of the independent variable Vaccination})$), and
251 on infectiousness ($\exp(\text{coefficient of the fraction of vaccinated among the infected})$) and the
252 intercept of the regression model. Whereas R for unvaccinated was calculated from the
253 exponent of the intercept only.

254

255 **3. Results**

256 **3.1. Questionnaire survey**

257 Based on the herd owner's response, the vaccination coverage at herd level was estimated to be
258 56.3%. The public veterinary service vaccinated the majority (88.9%) of the herds and more
259 than 95% of the herds did not get routine prophylactic vaccination against LSD but were
260 vaccinated just after the LSD index case was reported in a neighbouring kebele. More than 60%
261 of the herd owners deemed the vaccine to be of low to very low efficacy in protecting against
262 clinical LSD, however, almost all of them responded that the vaccine did not induce any adverse
263 reaction after vaccination (Table 2).

264

265 **3.2. Follow-up study**

266 3.2.1. Description of LSD occurrence and vaccination

267 The follow-up study was undertaken in 10 sub-kebeles with 339 infected herds comprising a
268 total of 2053 cattle of which 1304 (63.5%) were vaccinated (Table 3). Herd size varied from 1
269 (n=6) to 37 (n=1) with an average of 6 and a median of 6 animals. About 95% of the herds had
270 10 or less animals. The study population consisted of 346 (16.8%) calves, 263 (12.8%) heifers,
271 227 (11.1%) bulls, 490 (23.9%) cows and 727 (35.4%) oxen. Of the 2053 animals, 526 (25.6%)
272 were diagnosed with LSD, 233 (31.1%) in the unvaccinated group and 293 (22.5%) in the

273 vaccinated group (Chi-square test: $p < 0.001$). The PCR results confirmed the LSD infection in
274 all ten sub-kebeles.

275 The multivariable population averaged model showed that herd did not contribute
276 significantly to the total variance. Therefore multivariable logistic regression without random
277 effects was performed which showed that the estimates and their significance were very similar
278 to the random effects model. All variables remained significant in the multivariable analysis
279 except herdtype but this variable confounded the estimates of location. Results show that
280 vaccination significantly decreased the risk of LSD (OR= 0.49, 95% CI: 0.37; 0.64).
281 Crossbreeds, males and older age were associated with increased risk to be LSD positive
282 compared to their references and the interaction between vaccination and breed was significant.
283 Vaccination is more efficient in crossbreed (OR= $0.49 * 0.43 = 0.21$) than local breed (OR=0.49)
284 animals (Table 4).

285

286 3.2.2. LSD severity and vaccination

287 The severity of LSD was assessed on a total of 480 clinically infected cattle (264 vaccinated
288 and 216 unvaccinated). In unvaccinated animals, the majority of the affected animals (50.5%)
289 were categorized as severe and 9.7% fell in the mild category whereas in vaccinated animals
290 these figures were 42.8% and 17.1% respectively (Table 5). The results of the multivariable
291 ordered logistic model showed that only vaccination was significantly associated with a
292 different (lower) severity score (Odds Ratio (OR) = 0.68, 95% confidence interval (CI): 0.49;
293 0.96). The test for the proportional odds assumption was not significant ($p = 0.21$) indicating
294 that it is valid to report the OR as 0.68. Furthermore, the predicted fraction showed that the
295 probability of developing moderate and severe disease was slightly higher in unvaccinated
296 animals (0.89) compared to vaccinated animals (0.84).

297

298 3.2.3. LSD vaccine efficacy with respect to transmission

299 The multivariable GLM analysis showed that both the susceptibility ($\exp(b) = 0.54$, 95% CI:
300 0.44; 0.66) and infectiousness ($\exp(b) = 1.83$, 95% CI: 1.28; 2.61) effects of the vaccine are
301 significant and thus the effects are a reduction in susceptibility by a factor 2 and an increase in
302 infectiousness by a factor 2 (Table 6).

303 A 0.46 vaccine efficacy for susceptibility and -0.83 for infectiousness recorded in this study
304 were obtained by inserting the corresponding estimated partial reproduction ratios ($R_{uu} = 1.21$,
305 $R_{uv} = 0.65$, $R_{vu} = 2.22$ and $R_{vv} = 1.19$) into formula 1 and 2 (Table 1 and 6).

306 The estimated reproduction ratios for vaccinated and unvaccinated cattle were almost equal:
307 1.19 (95% CI: 1.02-1.39) and 1.21 (95% CI: 1.01-1.46). The 0.98 (95% CI: 0.73-1.33) reduction
308 in R by vaccination was not significantly different from 1 ($p = 0.92$).

309

310 **4. Discussion**

311 LSD vaccine breakdown and a concomitant morbidity are reported in Ethiopian cattle since
312 1993 (Carn, 1993) while vaccination with KS1 O-180 vaccine is the major control method in
313 the country. However, the efficacy of KS1 O-180 virus strain vaccine against natural LSD
314 infections under field conditions and its impact on the transmission and severity of the disease
315 is largely unknown and both are estimated in this paper.

316

317 **4.1. Questionnaire survey**

318 The questionnaire survey shows that in almost all study districts no regular vaccination program
319 for LSD is applied. This is related to the long time (5 or more years) interval between LSD
320 epidemics (Woods, 1988) and resource limitation. LSD vaccination is usually initiated by the
321 appearance of an index case in an area. Therefore, vaccination for LSD is commonly carried
322 out at the face of the outbreak to control the disease occurrence. However, vaccinating animals

323 during an outbreak may aggravate the transmission of LSD due to iatrogenic transmission from
324 healthy looking, incubating animals to susceptible animals (Hunter and Wallace, 2001). The
325 survey also showed that most of the vaccinations were provided by the public veterinary
326 service. This clears out the suspect that the vaccine failure might be related to the administration
327 of the vaccine by incompetent practitioners (and that apply LSD vaccination illegally).

328 Vaccination coverage is an important issue in disease control. Cattle populations with
329 low vaccination coverage are assumed to remain at higher risk for the disease. The 56.3%
330 vaccination coverage at herd level estimated in this study is low given that the vaccine is
331 provided free of charge. The reason for low coverage might be related to owner's belief that the
332 vaccine is not protective. More than 60% of the herd owners interviewed in the questionnaire
333 survey reported low effectiveness of KS1 O-180 vaccine in protecting cattle against clinical
334 LSD confirming the estimated poor performance of the vaccine (Ayelet et al., 2013; Gelaye et
335 al., 2015). However, the low vaccination coverage is not related to vaccine adverse effects as
336 almost all respondents did not indicate any adverse effect. This is in agreement with what
337 Gelaye et al. (2015) reported for the vaccine. However, in other countries adverse reactions in
338 cattle vaccinated with sheep pox and Neethling virus based vaccine have been reported like
339 swelling on the injection site and developing active LSD (Weiss, 1968; Yeruham et al., 1994;
340 Ben-Gera et al., 2015; Abutarbush et al., 2016).

341 **4.2. Follow-up study**

342 The 22.5% morbidity in vaccinated animals recorded in the follow-up study is comparable to
343 23.8% morbidity reported in central Ethiopia in cattle vaccinated with Kenyan sheep pox
344 vaccine strain (Ayelet et al., 2013). However, a much lower morbidity of 4.7% (Abutarbush,
345 2014), 11% (Brenner et al., 2009) and 1.6% (Ben-Gera et al., 2015) were recorded in vaccinated
346 cattle of Jordan and Israel. This difference might be attributed to the difference in the quality

347 of the vaccine used, vaccination coverage, management system, environment or climate
348 difference of the areas where the animals are kept.

349 The factors age group, breed, sex, herdtype and location were included into the logistic
350 regression model to adjust the estimate of vaccination. The adjusted odds ratio for vaccination
351 was 0.49 which indicates that vaccination is protective for LSD. Unvaccinated animals have
352 2.04 (1/0.49) times higher odds to acquire LSD than vaccinated ones. The interaction between
353 vaccination and breed was significant and it revealed that vaccination was more efficient in
354 crossbreed (OR= 0.21) than local breed (OR=0.49) animals. This might be related with the more
355 susceptibility nature of Holstein-Zebu cross to LSD than pure local Zebu animals (Davies 1991;
356 OIE, 2010). Possible confounding factors which are not measured in this study include
357 movement of animals and vector density. No animal movement restriction was applied in the
358 study area; animals move freely from area to area. This practice was similar in all study kebeles
359 and for both vaccinated and unvaccinated animals. Vector density is also assumed to be similar
360 in all study kebeles because they are located in the same geographical area with similar weather
361 conditions and altitude and on top of that they are all within the range of the insect flight zone.

362 Vaccination was associated with less severe LSD symptoms. This finding is in
363 agreement with the observation of Abutarbush (2014) who reported a considerable change in
364 feed intake and milk production, fever, and a longer duration of illness in the majority of
365 unvaccinated cattle as compared to vaccinated cattle. Hence, LSD vaccination reduces disease
366 severity and as consequence it may prevent part of the production loss due to LSD. Increased
367 vaccine dose is claimed to improve the protective efficacy of the vaccine. Ben-Gera et al. (2015)
368 reported a low incidence (1.85%) in cattle vaccinated with a 10 fold increased dose of RM65
369 vaccine. The regular vaccine dose used to immunize cattle against LSD in Ethiopia is 10 fold
370 compared what used to immunize sheep and goat. For cattle, LSD vaccine contains $10^{3.5}$ TCID₅₀
371 attenuated virus per field dose while for sheep and goat it is, $10^{2.5}$ TCID₅₀ per dose (NVI, 2010).

372 The vaccine efficacy of 0.46 as estimated for susceptibility is within the ‘reasonable’
373 efficacy range of 0.3 to 0.7 (Halloran et al., 2010; Lu et al., 2013). This indicates that vaccination
374 reduces susceptibility to LSD 2.17 times ($1/(1-0.54)$). However, vaccinated infected animals
375 are 1.83 times more infectious than unvaccinated infected ones. This is contradictory from what
376 is expected from a vaccine. The increased infectiousness might be related with disease
377 management practices. In the usual management practice, diseased animals are isolated and
378 penned separately from healthy animals. However, the situation in vaccinated LSD affected
379 animals is different, they are less diseased (not easily noticed) and thus remain longer in the
380 herd (not isolated or removed) while they are infectious. This condition might be favourable
381 for the transmission of the virus. Therefore, in this regard, animal disease management might
382 contribute to increased infectiousness. However, this finding needs further investigation
383 because the disease management and other factors which can influence the infectiousness were
384 not under control. In general, the gain in decreasing susceptibility in vaccinated cattle is
385 cancelled out by almost the same increment of infectiousness and this indicates that KS1 O-180
386 vaccine is not effective in controlling LSD in cattle populations. The overall low efficacy of the
387 vaccine substantiates the previous findings that vaccination against LSD does not provide
388 protection from clinical disease (Ayelet et al., 2013; Abutarbush, 2014; Gari et al., 2015). Most
389 LSD vaccines currently available, except the homologous Neethling vaccine, provide poor
390 protection against LSD transmission (Brenner et al., 2009; Somasundaram, 2011; Tuppurainen
391 et al., 2014; Ben-Gera et al., 2015), which is a challenge for the control of the disease.

392 Although vaccinating cattle against LSD is considered the main control option in
393 resource poor countries like Ethiopia, little is known about the effect of vaccination on the
394 disease dynamics. In the current study, the estimated reproduction ratios were 1.21 and 1.19 for
395 unvaccinated and vaccinated cattle, respectively. In both cases R is greater than 1 and confirms
396 that LSD virus can spread in cattle populations, regardless of their vaccination status, and can

397 cause a major outbreak. This shows that vaccination with KS1 O-180 vaccine alone cannot
398 eliminate the disease from a cattle population. Thus, a more competent LSD vaccine and other
399 additional measures, like movement control, detection and removal of infected animals, are
400 needed to bring the reproduction ratio to below 1.0.

401 An observational study was chosen for this study because it is less costly and enables to
402 assess the performance of the vaccine under real-life circumstances, including the complex and
403 not easily controllable exposure to LSDV due to the insect vectors involved. Important
404 confounders were measured and equal exposure risk of vaccinated and unvaccinated animals
405 were assumed. Furthermore, the study design avoids the ethical problem of using a placebo
406 when an approved vaccine is available (Torvaldsen and McIntyre, 2002).

407 Observational studies are prone to potential biases due to its uncontrolled nature. The
408 biases may be related to selection, misclassification of cases, confounding factors, dealing with
409 the impact of unknown or unmeasured factors (Dohoo et al., 2003), missing information, and
410 non-comparability of groups. The distribution of potentially confounding variables among the
411 study groups and other variables which were not considered might also be a source of bias.
412 Another limitation to this study is related to the severity assessment; subjectivity might be
413 somehow involved in allocating affected animals into different categories and on few occasions
414 the observer might have been unblinded for the vaccination status of the animal because the
415 owner might have complained about the poor efficacy of the vaccine. We assumed that
416 exposure to infection was equal in both vaccinated and unvaccinated animals, that all important
417 confounders were measured and adjusted for by the model used. Considering these limitations,
418 the results reported here should be interpreted carefully.

419

420 **5. Conclusion**

421 The results of our study showed that KS1 O-180 strain vaccine reduces susceptibility of cattle
422 to LSD but it also increases infectiousness by about the same amount, partially because animals
423 with less severe disease signs may remain undetected in the herd for longer periods. Generally,
424 the vaccine has poor efficacy in protecting cattle populations against LSD, neither by direct
425 clinical protection nor by reducing transmission. Therefore, the prevailing situation dictates the
426 urgent need of a competent LSD vaccines development to control LSD in endemic countries
427 and to halt its current spread to free countries and continents.

428 **Conflicts of interest:** None

429

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435 the herd owners for their kind collaboration in collecting and providing information for the
436 study.

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568 Table 1. The fitted model to estimate LSD transmission rates in cattle populations with
569 different levels of vaccination coverage in Mota town and Hulet Ejju Enessie district of
570 Ethiopia.

571

Partial R value	Description	Expression ^b
<i>R_{uu}</i>	Transmission from an unvaccinated to an unvaccinated animal	e^{c_0}
<i>R_{uv}</i>	Transmission from an unvaccinated to a vaccinated animal	$e^{c_0 + c_1}$
<i>R_{vu}</i>	Transmission from a vaccinated to an unvaccinated animal	$e^{c_0 + c_2}$
<i>R_{vv}</i>	Transmission from a vaccinated to a vaccinated animal	$e^{c_0 + c_1 + c_2}$

572 ^a Fraction of vaccinated among the infected.

573 ^b Relation between infection parameters and estimated coefficients of the model, where c_0 is the estimated intercept and c_1 and
574 c_2 are the estimated regression coefficients of the variables vaccination and fracVaccI respectively.

575 Table 2. Ethiopian herd owners' opinion on LSD vaccine effectiveness and adverse reactions.

576

Level	Vaccine effectiveness			Vaccine adverse reactions		
	Frequency	Percent	Cum. percent	Frequency	Percent	Cum. percent
Very high	0	0	0	1	0.8	0.8
High	29	23.2	23.2	1	0.8	1.6
Moderate	20	16.0	39.2	0	0	1.6
Low	6	4.8	44	0	0	1.6
Very low	70	56.0	100	123	98.4	100
Total	125	100	100	125	100	100

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578 Table 3. LSD infection and death proportion in vaccinated and unvaccinated cattle population at different localities of Mota town and Hulet Ejj
 579 Enessie district of Ethiopia.

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Sub-kebele/town	Total	Population		Total	Unvaccinated		Total	Vaccinated	
		No. (Proportion) infected	No. (Proportion) died		No. (Proportion) infected	No. (Proportion) died		No. (Proportion) infected	No. (Proportion) died
Mota	169	40 (0.237)	2 (0.012)	87	26 (0.299)	2 (0.023)	82	14 (0.171)	0 (0.000)
Akobe	108	22 (0.204)	0 (0.000)	74	14 (0.189)	0 (0.000)	34	8 (0.235)	0 (0.000)
Atetanat	134	50 (0.373)	8 (0.060)	51	19 (0.373)	2 (0.039)	83	31 (0.373)	6 (0.072)
Kesmender	145	35 (0.241)	2 (0.014)	38	9 (0.237)	0 (0.000)	107	26 (0.243)	2 (0.019)
Komma	76	16 (0.211)	0 (0.000)	7	3 (0.429)	0 (0.000)	69	13 (0.188)	0 (0.000)
Semo	220	54 (0.245)	0 (0.000)	214	53 (0.248)	0 (0.000)	6	1 (0.167)	0 (0.000)
Shewaber	187	44 (0.235)	2 (0.011)	108	28 (0.259)	1 (0.009)	79	16 (0.203)	1 (0.013)
Webmariam	432	109 (0.252)	8 (0.019)	127	64 (0.504)	4 (0.031)	305	45 (0.148)	4 (0.013)
Yerez	430	125 (0.291)	7 (0.016)	23	10 (0.435)	2 (0.087)	407	115 (0.283)	5 (0.012)
Zenabach	152	31 (0.204)	0 (0.000)	20	7 (0.350)	0 (0.000)	132	24 (0.182)	0 (0.000)
Overall	2053	526 (0.256)	29 (0.014)	749	233 (0.311)	11 (0.015)	1304	293 (0.225)	18 (0.014)

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583 Table 4. Multivariable analysis of potential riskfactors for LSD infection in Mota town and

584 Hulet Ejju Enessie district of Ethiopia (n=2053) using logistic regression.

585

Risk factor	Category	No. of animals	No. LSD	Odds Ratio	95% CI	p-value
Vaccination	Vaccinated	1304	293	0.49	0.37-0.64	0.000
	Unvaccinated	749	233	Ref		
Breed	Cross	312	95	3.83	2.25-6.53	0.000
	Local	1741	431	Ref		
Age group	Calf	346	46	Ref		
	Young	490	91	1.50	1.01-2.22	0.043
	Adult	1217	389	3.02	2.14-4.25	0.000
Sex	Male	1120	339	1.79	1.44-2.23	0.000
	Female	933	187	Ref		
Herdtype	Specialized	126	28	0.53	0.22-1.27	0.157
	Mixed	1927	498	Ref		
Location	Ayen Berhan	432	109	1.25	0.60-2.60	0.557
	Beza Bizuhan	564	175	2.12	1.02-4.00	0.044
	Debre Gubae	373	82	1.33	0.63-2.81	0.458
	Hibre Selam	515	120	0.80	0.38-1.66	0.545
	Mota town	169	40	Ref		
Interaction	Vaccinated * cross breed			0.43	0.23-0.81	0.008

586

587 Table 5. LSD severity in vaccinated and unvaccinated cattle population (n= 480) of Ethiopia.

588

Severity level	Vaccinated		Unvaccinated	
	Number	Proportion in %	Number	Proportion in %
Mild	45	17.1	21	9.7
Moderate	106	40.2	86	39.8
Severe	113	42.8	109	50.5
Total	264	100	216	100

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591 Table 6. Analysis of the effect of vaccination on susceptibility and infectiousness of LSD in
 592 Mota town and Hulet Ejju Enessie district of Ethiopia (n=2053) using GLM.

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Variable	Susceptibility/ infectiousness	Coefficient (b)	Effect (exp(b))	95% CI	p-value
Vaccination	Susceptibility	-0.62	0.54	0.44; 0.66	0.000
FracVaccI ^a	Infectiousness	0.60	1.83	1.28; 2.61	0.001
Constant		0.19	1.21	1.00; 1.46	0.045

594 ^aFraction of vaccinated among the infected in each population (= sub-kebele).

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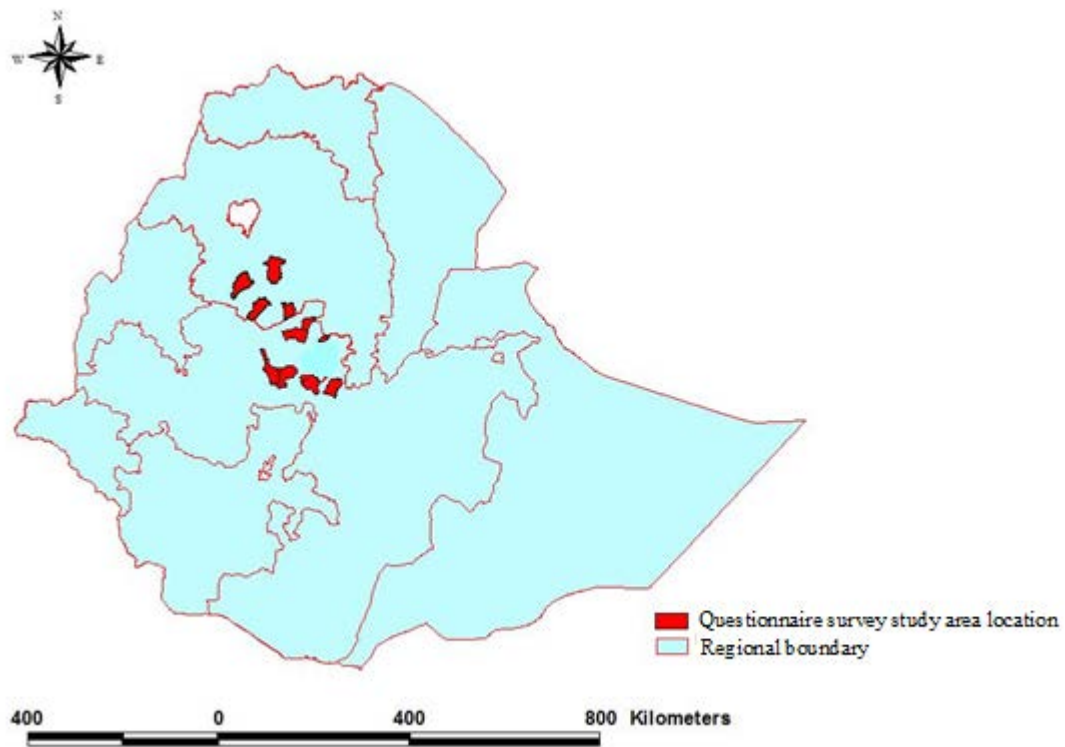
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618 Figure 1. Map of Ethiopia showing the area where the questionnaire survey was performed.

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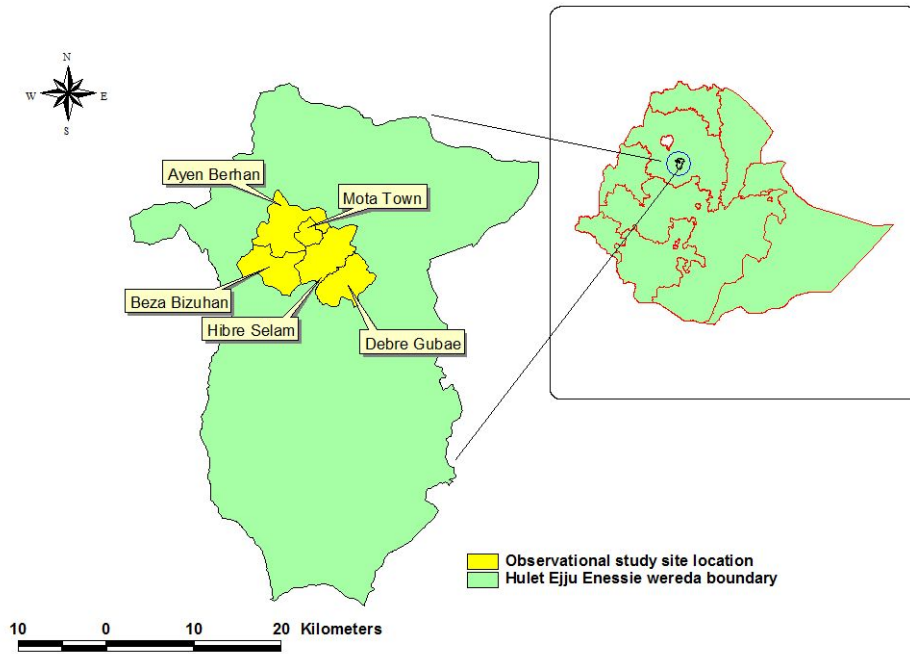
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634 Figure 2. Map of Hulet Ejjju Enessie district (Ethiopia) showing LSD vaccine efficacy
635 observational study site.

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