

## Evaluation des Mesures de Maîtrise : l'Appréciation Quantitative de l'Exposition (AQE), un outil en cours de généralisation

**Paris, Maison du Lait, Mardi 2 Juin 2015**

*Cette **journée thématique** est l'occasion pour tous les professionnels de l'industrie agroalimentaire, pour les fédérations, les organismes professionnels, les centres techniques, de faire le point des avancées récentes sur l'AQE.*

*Les objectifs de cette journée sont :*

- d'**informer les filières sur la méthode** en repositionnant cette démarche dans un **contexte réglementaire et international**,*
- d'**échanger** à travers des **exemples de cas concrets** issus de **différentes filières** sur les **utilisations possibles de l'AQE** (Appréciation Quantitative de l'Exposition) **ou AQR** (Appréciation Quantitative du Risque).*



RÉSEAU MIXTE TECHNOLOGIQUE

**QUALIMA**

MAÎTRISE DE LA QUALITÉ MICROBIOLOGIQUE  
DES ALIMENTS



- 09h45 **Ouverture de la journée et présentation du RMT ACTIA QUALIMA**  
(V Stahl - Aérial et C Denis - ACTALIA, Coordinateurs du RMT Qualima)
- 10h00 **Contexte de la journée**

### Contexte réglementaire et AQE

- 10h10 Validation des mesures de maîtrise : contexte réglementaire (L Montaut - DGAI)
- 10h40 AQE/AQR : définitions et utilisation (JM Membré - Oniris)

### Exemples d'utilisation avec témoignage professionnel

- 11h15 Impact de la température de transport des carcasses de porc sur leur qualité microbiologique (L Guillier - Anses, B Minvielle - IFIP)
- 11h55 Appréciation de l'exposition: des modèles évolutifs avec l'avancée des connaissances ; exemple de *L monocytogenes* dans la filière produits de la mer (C Denis - ACTALIA, JC Augustin - ENVA, V Stahl - Aérial)

### Exemples d'utilisation avec témoignage professionnel

- 14h00 Les outils AQR/AQE pour optimiser les mesures de maîtrise des risques : application aux fromages (F Audiat-Perrin - ACTALIA)
- 14h40 Validation d'un procédé historique par l'AQR dans la filière foie gras : Appréciation Quantitative du Risque botulique dans les conserves de foie gras (S André - CTCPA)

### AQE et Contexte international

- 15h20 Témoignage (M Zwietering - Wageningen University-Laboratory of Food Microbiology)
- 15h45 **Conclusions**
- 16h00 **Clôture de la journée**

**RMT**  
RESEAU MIKRO TECHNOLOGIQUE

**QUALIMA**  
MAÎTRISE DE LA QUALITÉ MICROBIOLOGIQUE  
DES ALIMENTS

Aérial<sup>®</sup>

ACTIA

ACTALIA

**Evaluation des Mesures de Maîtrise :  
l'Appréciation Quantitative de l'Exposition (AQE),  
un outil en cours de généralisation**

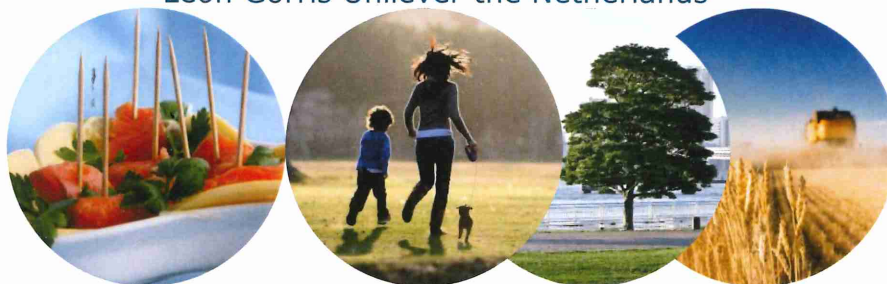
**The application of the Appropriate Level  
of Protection (ALOP) and Food Safety  
Objective (FSO) risk-based metrics in  
food safety management**

Marcel Zwietering, Wageningen University

2 juin 2015

## THE APPLICATION OF THE APPROPRIATE LEVEL OF PROTECTION (ALOP) AND FOOD SAFETY OBJECTIVE (FSO) RISK-BASED METRICS IN FOOD SAFETY MANAGEMENT

Marcel Zwietering Laboratory of Food Microbiology  
Elissavet Gkogka ARLA Foods Denmark  
Leon Gorris Unilever the Netherlands





## Layout of the presentation

### 1. Introduction

- Role of Codex Alimentarius in food safety management
- Risk Analysis and Risk-based metrics

### 2. Case studies

A. *Salmonella* in chicken meat – The Netherlands, EU

B. *Listeria monocytogenes* in deli meats – The Netherlands

- Risk assessment models
- Results

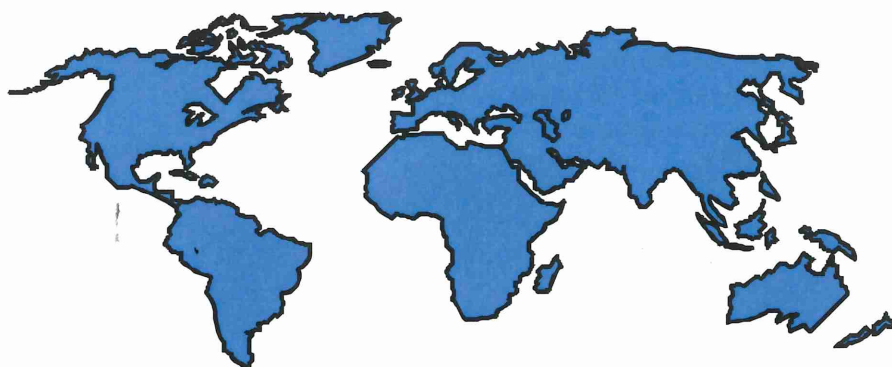
### 3. Conclusions



3

FAO/WHO Food Standards

**CODEX** alimentarius



Global authority for international guidelines, standards,  
and recommendations on food safety



4

## CODEX ALIMENTARIUS

- International food standards organization, established in 1963 under auspices of FAO and WHO,
- 186 member States, covering 99% of the world's population



5

## CODEX ALIMENTARIUS

- Establishes international food safety standards to:
  - protect the health of consumers
  - ensure fair practices in trade
- Issues food safety management "principles" through its standards and guidelines
- National authorities can choose to implement Codex standards and guidelines in their regulation/law



6

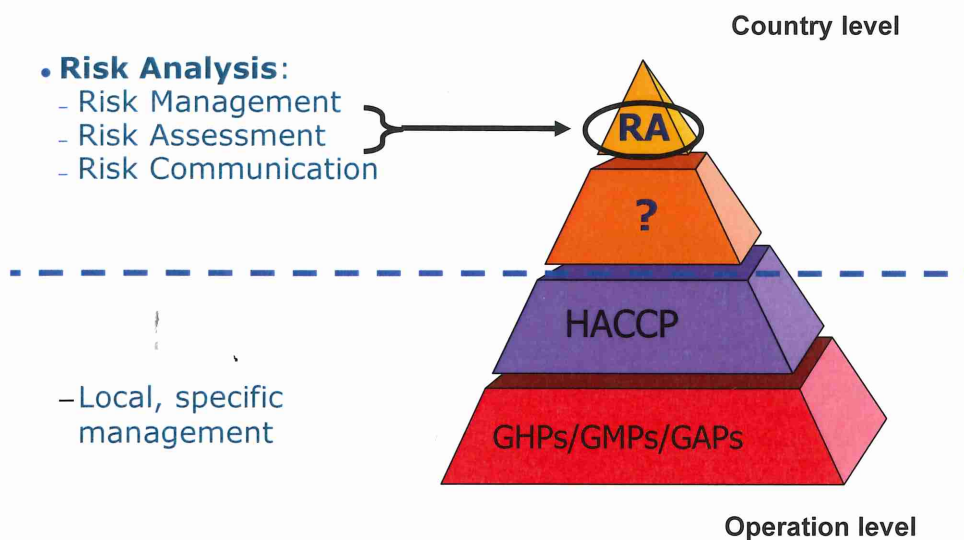
## MODERN FOOD SAFETY MANAGEMENT DEVELOPED BY CODEX

- Developed over the last 50 years – an evolution!
  - Evolving from unique national standards for food to internationally harmonized standards
  - Evolving from “testing for safety” to “safety assurance” following a *systems* approach
  - Evolving away from hazard-based decision-making to more risk-based decision-making



7

## RISK ANALYSIS: THE FRAMEWORK



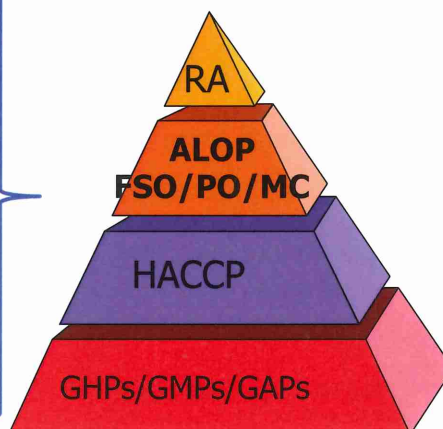
8

## RISK-BASED METRICS HIERARCHY

**Appropriate Level of Protection**

Food Safety Objective (FSO), and  
Performance Objective (PO)

Microbiological criterion (MC)



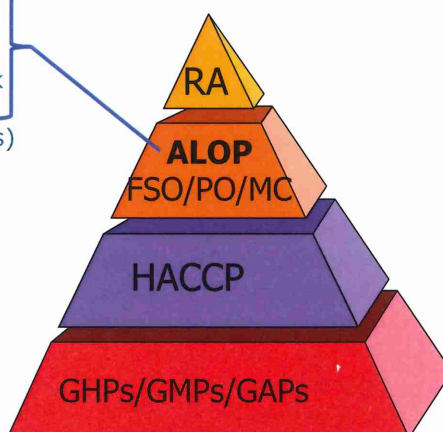
## RISK BASED METRICS HIERARCHY

**Appropriate Level of Protection**

- WTO term (SPS, 1995)
- Benchmark for acceptable risk at country level
- To facilitate trade (discussions)

Food Safety Objective (FSO), and  
Performance Objective (PO)

Microbiological criterion (MC)



## RISK BASED METRICS HIERARCHY

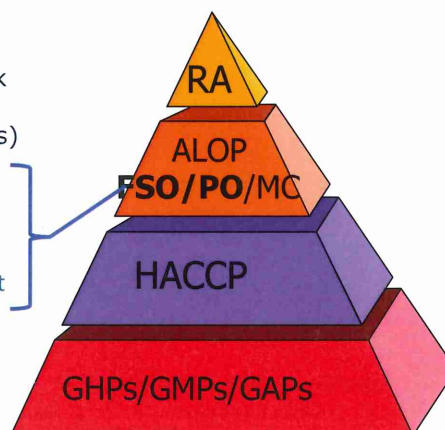
### Appropriate Level of Protection

- WTO term (SPS, **1995**)
- Benchmark for acceptable risk at country level
- To facilitate trade (discussions)

### Food Safety Objective (FSO), and Performance Objective (PO)

- Codex terms (CAC, **2004**)
- Benchmarks for acceptable risk at end or in, resp., a value chain

### Microbiological criterion (MC)



## RISK BASED METRICS HIERARCHY

### Appropriate Level of Protection

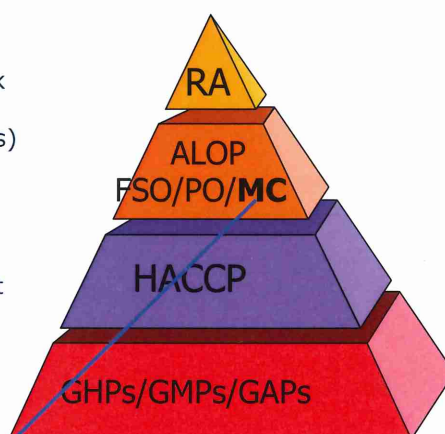
- WTO term (SPS, **1995**)
- Benchmark for acceptable risk at country level
- To facilitate trade (discussions)

### Food Safety Objective (FSO), and Performance Objective (PO)

- Codex terms (CAC, **2004**)
- Benchmarks for acceptable risk at end or in, resp., a value chain

### Microbiological criterion (MC)

- Codex term (CAC, **1997**)
- Test criterion to evaluate meeting a benchmark operationally, i.e. in practice





## RISK ANALYSIS: ADOPTED BY MANY GOVERNMENTS

- | To estimate the level of risk in a (sub-)population due to a **specific** hazard associated to a **particular** food on the market, produced by (many) businesses
- | To decide on the acceptability of an estimated risk
- | To evaluate interventions that may eliminate the estimated risk or reduce it to an acceptable level



13

## RISK-BASED METRICS: CHALLENGES EXIST

- To follow the “Codex process” **top down**:
  - For competent authorities to establish an ALOP value for a pathogen
  - To relate an ALOP values to a formal FSO value that industry can benchmark its food safety management systems (GHP/HACCP) to (same for *default* POs)
- To use the principles, but not the process, and move **bottom up**:
  - Following a “status quo” approach, starting with current FSM benchmarks (e.g. Micro Criteria; process criteria; epi data) to relate PO or FSO values to



14

## Case study I: *Salmonella* in chicken meat

Gkogka, E., M.W. Reij, L.G.M. Gorris, and M.H. Zwietering (2013a). Risk assessment strategies as a tool in the application of the Appropriate Level of Protection (ALOP) and Food Safety Objective (FSO) by risk managers. *International Journal of Food Microbiology*, 167, 8-28.

## Case study II: *Listeria* in deli meat

Gkogka, E., M.W. Reij, L.G.M. Gorris, M.H. Zwietering (2013b). The Application of the Appropriate Level of Protection (ALOP) and Food Safety Objective (FSO) concepts in food safety management, using *Listeria monocytogenes* in deli meats as a case study. *Food Control*, 29, 382-393.



15

## Case study I - Aims

- *Salmonella* in chicken meat
- The Netherlands
- 2 risk assessment approaches

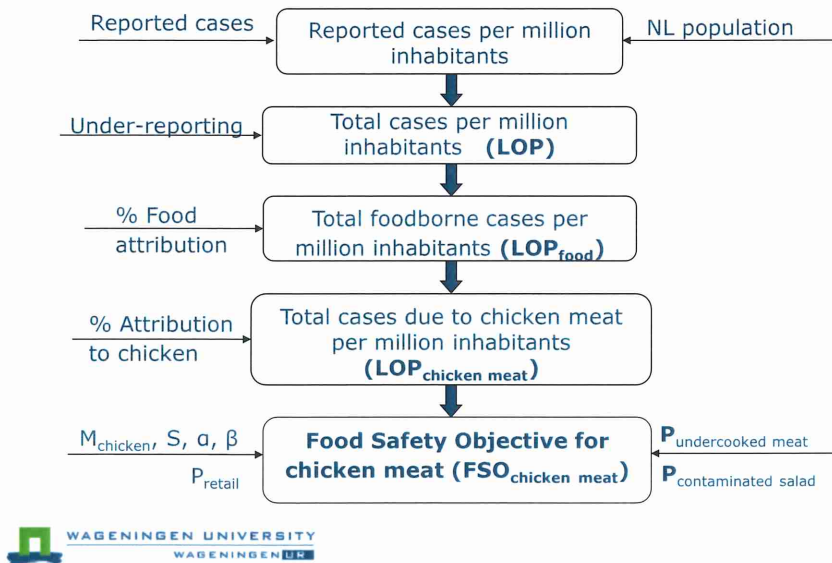


- Compare outcomes
- Investigate potential for international comparisons
- Issues with implementation
- Data gaps



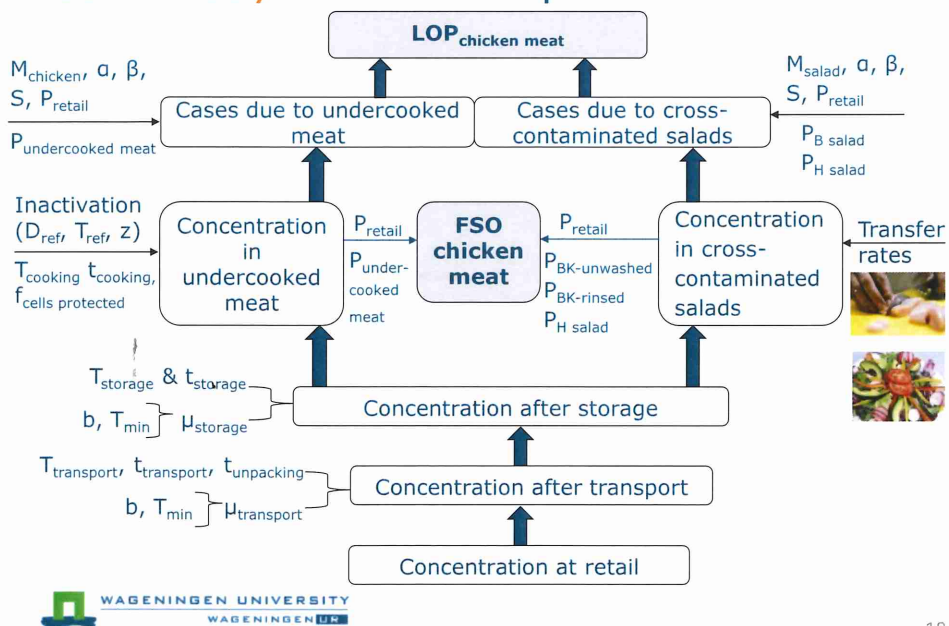
16

## Case study I - Top-down model



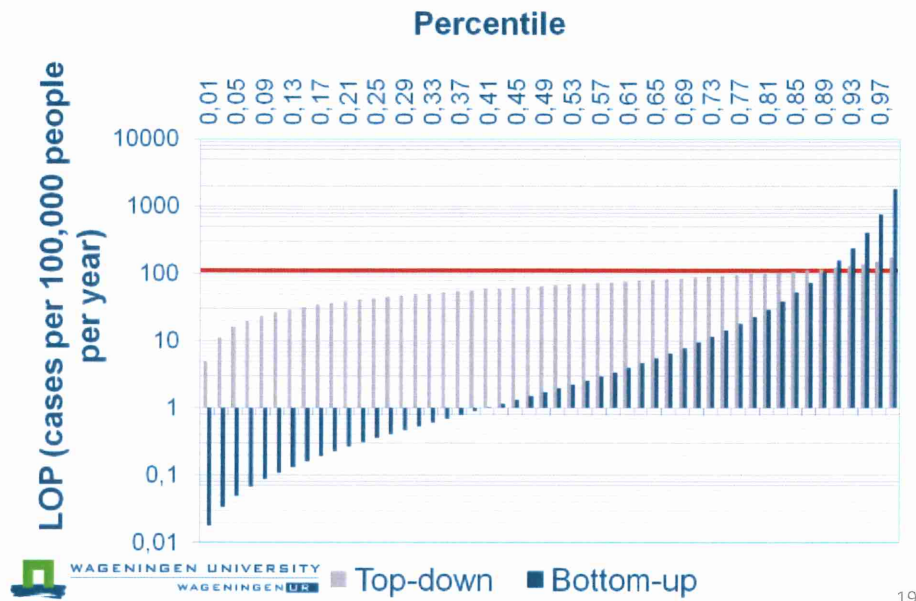
17

## Case study I - Bottom-up model



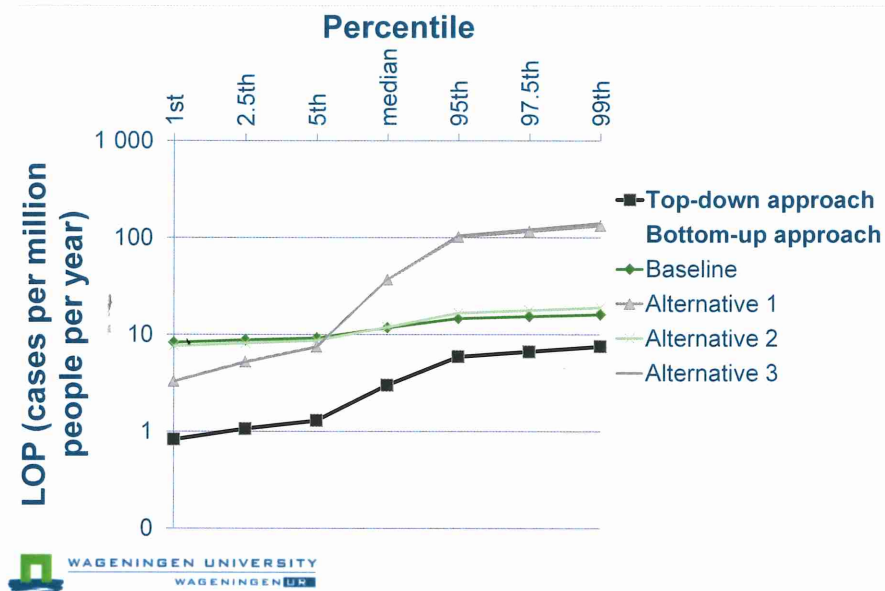
18

## Case study I - Results - LOP



19

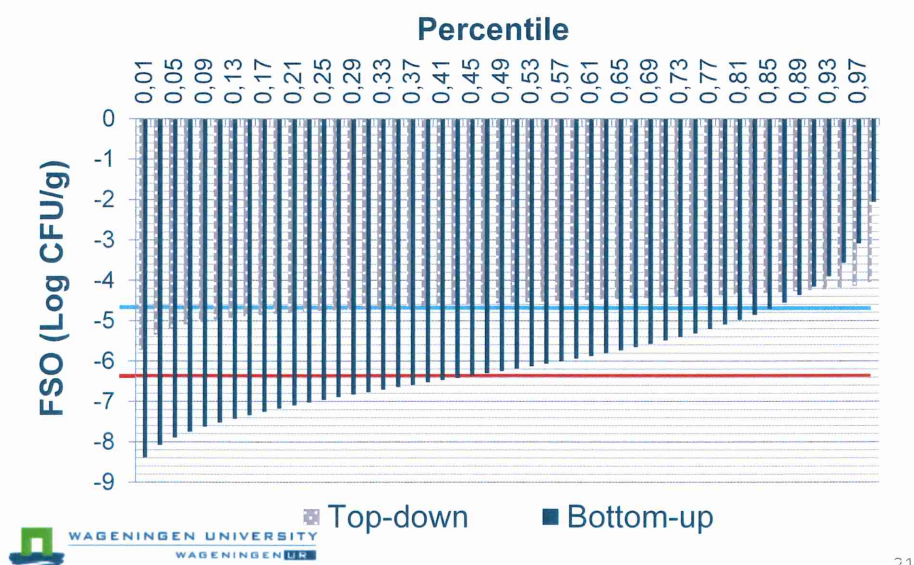
## Case study II - Results - LOP



20

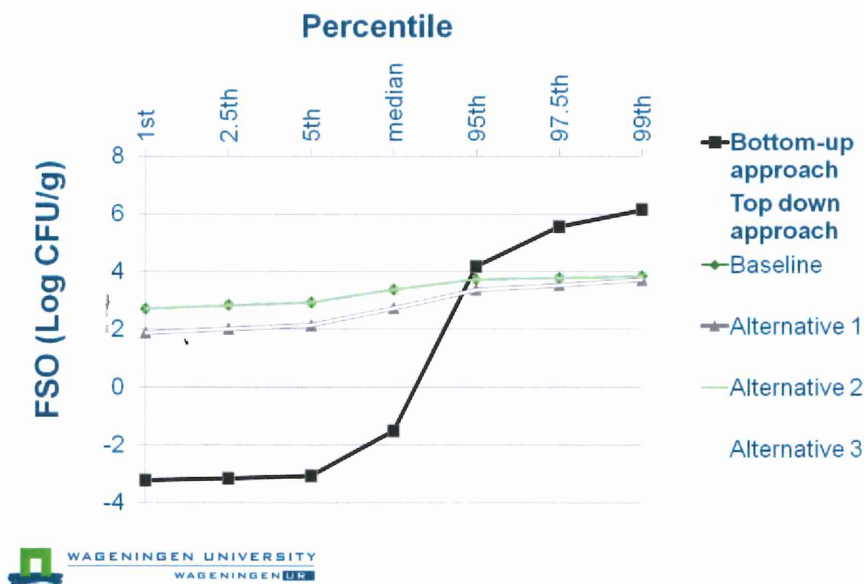


## Case study I - Results - FSO



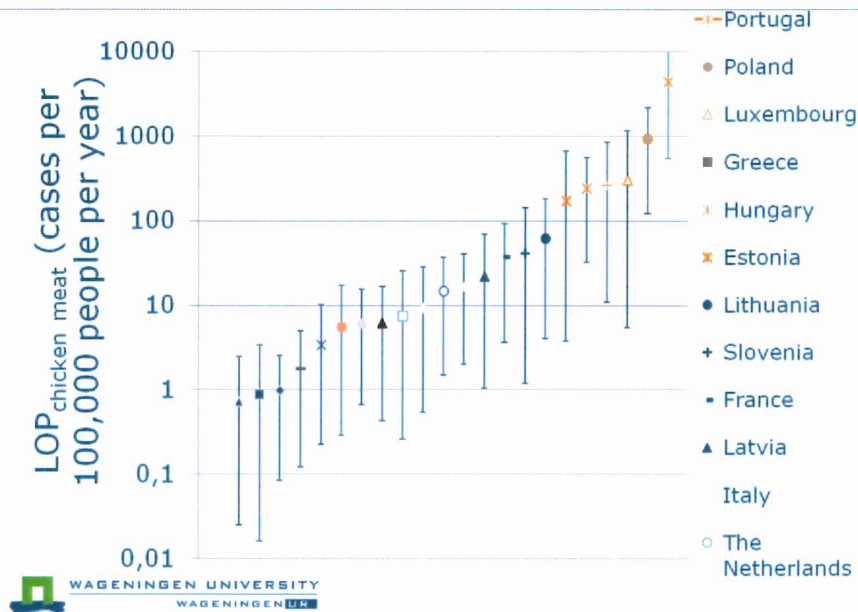
21

## Case study II - Results - FSO



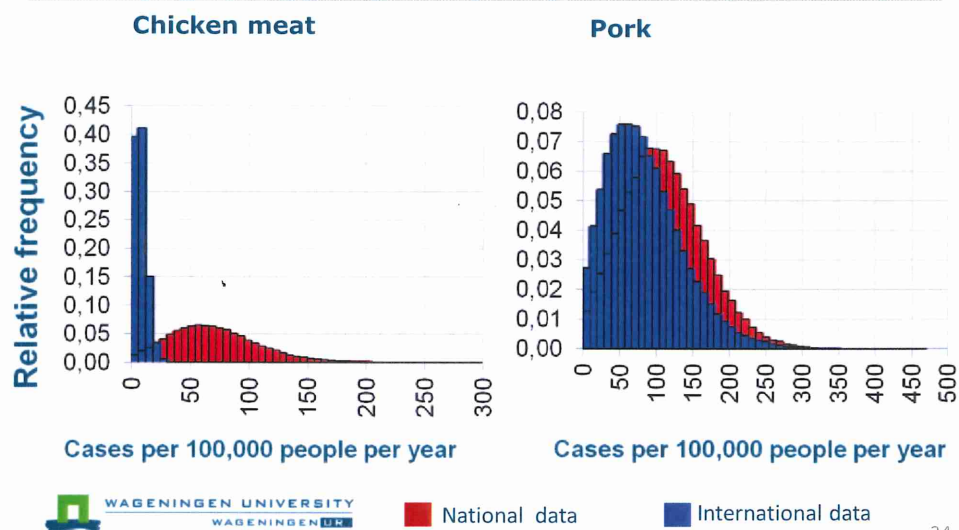
22

## Case study I - Results – International LOPs



23

## Case study I - Results – Comparisons between national and international data



24

|                                                                                           |                                 | ill%    | hosp%  | death% |
|-------------------------------------------------------------------------------------------|---------------------------------|---------|--------|--------|
| US estimates<br>microbiological food<br>borne illnesses per year<br>(Scallan et al. 2011) | <i>Bacillus cereus</i>          | 0.68    | 0.036  | 0      |
|                                                                                           | <i>Brucella</i>                 | 0.0089  | 0.098  | 0.074  |
|                                                                                           | <i>Campylobacter</i>            | 9.0     | 15.1   | 5.6    |
|                                                                                           | <i>Clostridium botulinum</i>    | 0.00059 | 0.075  | 0.67   |
|                                                                                           | <i>Clostridium perfringens</i>  | 10.3    | 0.78   | 1.9    |
|                                                                                           | <i>E. coli</i>                  | 2.2     | 4.3    | 1.5    |
|                                                                                           | <i>Listeria monocytogenes</i>   | 0.017   | 2.6    | 18.9   |
|                                                                                           | <i>Salmonella nontyphoidal</i>  | 10.9    | 34.5   | 28.0   |
|                                                                                           | <i>Shigella</i>                 | 1.4     | 2.6    | 0.74   |
|                                                                                           | <i>Staphylococcus</i>           | 2.6     | 1.9    | 0.44   |
|                                                                                           | <i>Streptococcus</i>            | 0.12    | 0.0018 | 0      |
|                                                                                           | <i>Vibrio</i>                   | 0.56    | 0.50   | 3.6    |
|                                                                                           | <i>Yersinia enterocolitica</i>  | 1.0     | 0.95   | 2.1    |
|                                                                                           |                                 |         |        |        |
| ORGANISMS<br>responsible<br>for illness,<br>the sum of the<br>column is 100%              | <i>Cryptosporidium parvum</i>   | 0.61    | 0.38   | 0.30   |
|                                                                                           | <i>Cyclospora cayentanensis</i> | 0.12    | 0.020  | 0      |
|                                                                                           | <i>Giardia intestinalis</i>     | 0.82    | 0.46   | 0.15   |
|                                                                                           | <i>Toxoplasma gondii</i>        | 0.92    | 7.9    | 24.2   |
|                                                                                           | <i>Trichinella spiralis</i>     | 0.0017  | 0.011  | 0      |
|                                                                                           |                                 |         |        |        |
|                                                                                           | Norovirus                       | 58.2    | 26.2   | 11.0   |
|                                                                                           | Rotavirus                       | 0.16    | 0.62   | 0      |
|                                                                                           | Astrovirus                      | 0.16    | 0.16   | 0      |
|                                                                                           | Hepatitis A                     | 0.017   | 0.18   | 0.52   |



## DALY: Disability Adjusted Life Year

DALY = years of life lost (YLL) + years lived with disability (YLD)

No deaths x yrs lost against standard

Incidence x duration x DW

High number deaths  
Young adult deaths  
High LE assumed

High incidence  
Sequelae +++  
High DW  
Long duration



## Conclusions

- Current data allow the setting of benchmarks
- Decisions need to be made in face of complexity
- Parallel use of both approaches recommended
- Top-down approach shows promise for international comparisons
- Significant differences can be observed between national and international datasets for the same country
- DALY to combine different health outcomes



27

## Contact information for presenters

- Marcel Zwietering, Wageningen University, The Netherlands
  - marcel.zwietering@wur.nl
- Elissavet Gkogka, Arla Strategic Innovation Centre, Aarhus, Denmark
  - elgko@arlafoods.com
- Leon Gorris, Unilever Research, Vlaardingen, The Netherlands
  - leon.gorris@unilever.com



28

Thank you

