

BECCA – BASE Evaluation Criteria Climate Adaptation

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Climate adaptation evaluation

- Climate change adaptation carried out through different policies and measures -> which need to be evaluated to check performance, to see improvement potential, to formulate recommendations to other implementers
- Criteria and criteria sets to evaluate the implementation of climate adaptation policies and measures, e.g. assessment of effectiveness, side effects, interlinkages between different policy objectives, etc.

Research aims

- Identify a set of criteria for evaluating implementation of adaptation action
- Based on existing approaches, frameworks and criteria sets for climate adaptation evaluation
- Meta-analysis to systematise these criteria
– and on that basis, to develop a set of **BASE climate adaptation criteria**
- Based on criteria set to develop BECCA tool

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Development Process



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(1) Analysis of existing academic literature and policy documents on adaptation evaluation

- Identification of already existing criteria and criteria sets on inter-/national, EU, regional/local level
- Developed Draft BECCA



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(2) Review of draft BECCA by BASE Case studies

- To gain insights into user needs in adaptation evaluation
- Case studies judged usefulness and applicability of draft BECCA in setting of cases
- Revised BECCA

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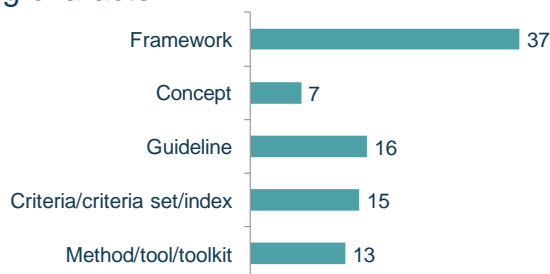
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(1) Criteria for analysis of existing literature

- (1) General characterisation
- (2) Purpose of evaluation
- (3) Outcome criteria
- (4) Process criteria
- (5) Level of adaptation
- (6) Stage in the adaptation cycle
- (7) Adaptation context
- (8) Adaptive capacity
- (9) Participation
- (10) Policy coherence and vertical integration

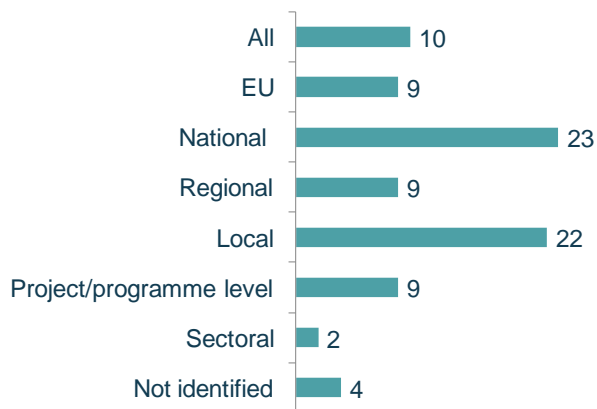
(1) The sample

- 65 evaluation frameworks and criteria sets on climate adaptation evaluation
- Following character:





(1) The sample – Level of adaptation



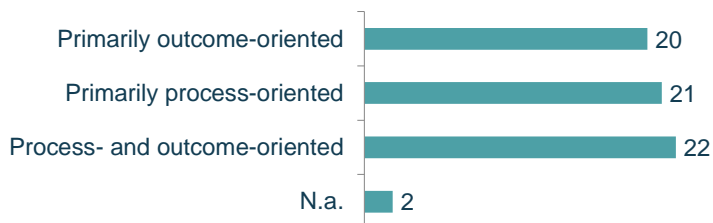
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(1) The results - Outcome- and process-oriented approaches



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(1) The results - Outcome criteria for adaptation evaluation



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(1) The results – Process oriented criteria

- Broad range of different process criteria for evaluating adaptation action
- Three types of criteria:
 - Procedural approaches presenting a sequence of steps to be followed in the adaptation process, e.g. problem identification, vulnerability assessment, monitoring procedures in place
 - Task-related criteria referring to the different tasks to be carried out in adaptation action, e.g. knowledge management, prioritisation
 - Criteria as factors that influence (successful) adaptation, e.g. feasibility, acceptance & participation, structured decision making

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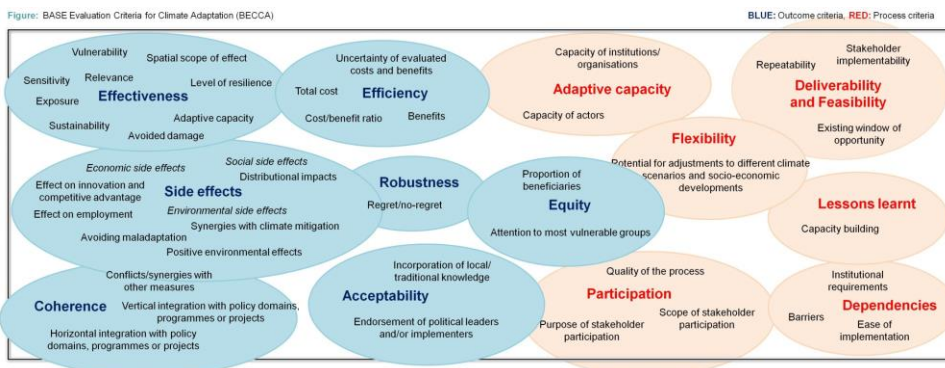
(2) Review of Draft BECCA by BASE Case studies

- Feedback on usefulness and applicability of criteria by 22 case studies
- Case studies evaluated for each criterion: relevance, feasibility of evaluation, available information
- Feedback of case studies were related to context of each case study, e.g. relevant climate impact(s), policy area, actor constellation -> specific recommendations for certain adaptation situations

(2) Feedback of BASE Case studies

- Relevance of criteria: most rank high in relevance, little variation in rankings between different case studies
- Feasibility/available information of criteria: clear differences in ranking of criteria/sub-criteria
 - challenges in practical application of indicators for which less information is available
 - more detailed sub-criteria more difficult to apply

(2) Revised BECCA



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(2) Specific guidance for use of BECCA

- BECCA is comprehensive criteria set which needs to be adjusted for different adaptation situations, e.g.:
 - Prospective evaluation: effectiveness, efficiency, feasibility: less available information
 - Coherence especially relevant for bundle of measures such as strategies, action plans
 - Bottom-up approach more relevant: incorporation of local knowledge, acceptability
 - Acceptability, coherence, robustness less feasible to evaluate in conflictual settings, however it should be included in conflictual and consensual settings, because could also be part of solution
 - Cost effectiveness and efficiency – particularly important for large investments

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Conclusion

- There are numerous BECCA criteria that can be applied reasonably at modest or low costs. -> especially process criteria
- Suggestion to start evaluation of adaptation by examining relevant processes, then identify which detailed in depth evaluations of outcomes should be implemented.
- Simple and quick generalisation are difficult due the context-specific nature of adaptation situations.
- Therefore, we recommend that users tailor their own sets of evaluation criteria from BECCA.

Outlook

- To produce more robust guidance on how to use individual criteria, a much broader empirical basis is necessary.
- BECCA at the moment transferred to a web-based tool. (by University of Lisbon (FFCUL), Aarhus University, UFZ): [BECCA-tool](#)
will be available at www.base-adaptation.eu

Thank you!

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