# Research evaluation in the

# Netherlands: a library perspective

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#### Introduction

- Research evaluation in the Netherlands
- Bibliometric evaluation
- Impact factors
- Citation analysis



#### Research evaluation in the Netherlands

- Based on a 6 year cycle
  - Supervised by Quality Assurance Netherlands Universities (QANU).
  - Standard Evaluation Protocol (SEP)
    <a href="http://www.vsnu.nl/web/show/id=53923/langid=43/">http://www.vsnu.nl/web/show/id=53923/langid=43/</a>; in English)
  - Self assessments and external reviews

## SEP criteria

- Quality
- Productivity
- Relevance
- Vitality



#### **Procedures**

- External reviews are internally prepared
  - Productivity is extracted from publication databases (repositories play an important role)
  - Relevance, bibliometric analyses do play a role
  - Vitality, SWOT analyses are popular.



#### Bibliometrics analyses

- Bibliometric analysis is not stipulated by the SEP
- It is valued by the preparing committee
  - Used internally to judge researchers and research groups
  - Not always used by the peer review committee



#### Bibliometric analysis



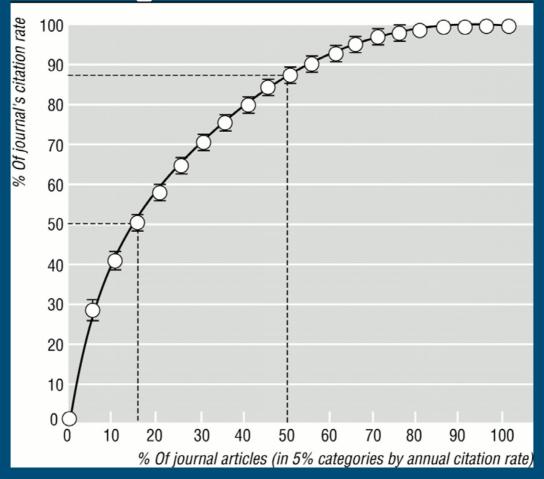
#### A word of warning: Impact factors

- Measure for the quality of journals
  - "... it is also used for assessment of the quality of individual papers, scientists and departments. For the latter a scientific basis is lacking, as we will demonstrate in this contribution" (Opthof, 1997)

Opthof, T. (1997). Sense and nonsense about the impact factor. *Cardiovascular Research* 33(1): 1-7. <a href="http://dx.doi.org/10.1016/S0008-6363(96)00215-5">http://dx.doi.org/10.1016/S0008-6363(96)00215-5</a>



#### 50 % of articles generate 90% of citations



Seglen, P. O. (1997). Why the impact factor of journals should not be used for evaluating research. *BMJ* **314**(7079): 497-502. http://bmj.bmjjournals.com/cgi/content/full/314/7079/497



#### Bibliometric analysis

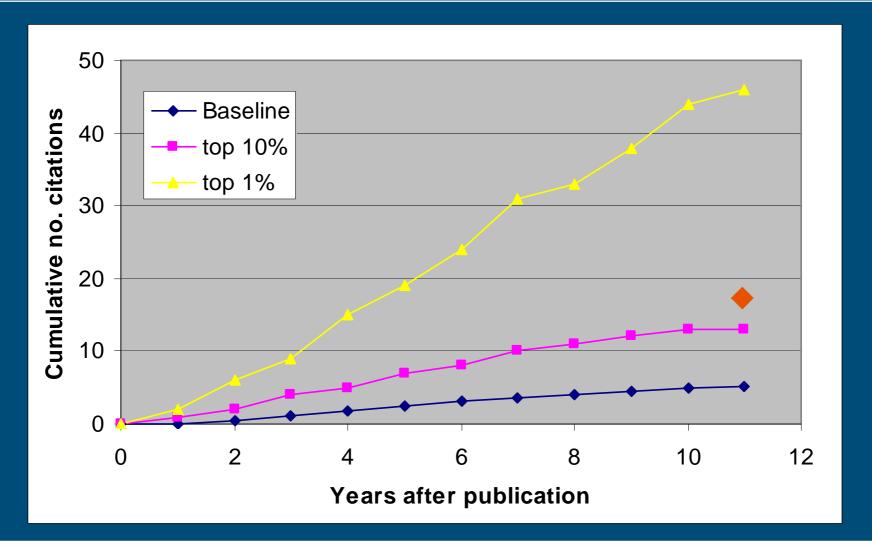
Citation data can be derived from many resources The main question is:

How do we compare numbers?

- Scientist Z. Math has a publication from 1996 with 17 citations
- Scientist M. Biology has a publication from 2003 with 24 citations

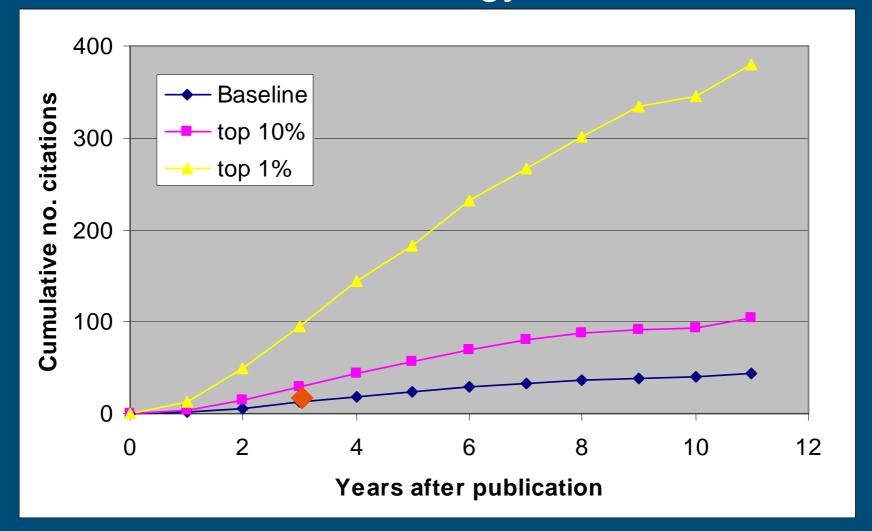


#### Baseline mathematics





#### Baseline Molecular Biology





## Essential Science Indicators (ESI)

- Database that presents analyses of the most recent (10 years + year building) data from SCI
- Comparisons between countries, institutes, researchers en journals
- Hot papers
- Research fronts
- Baselines

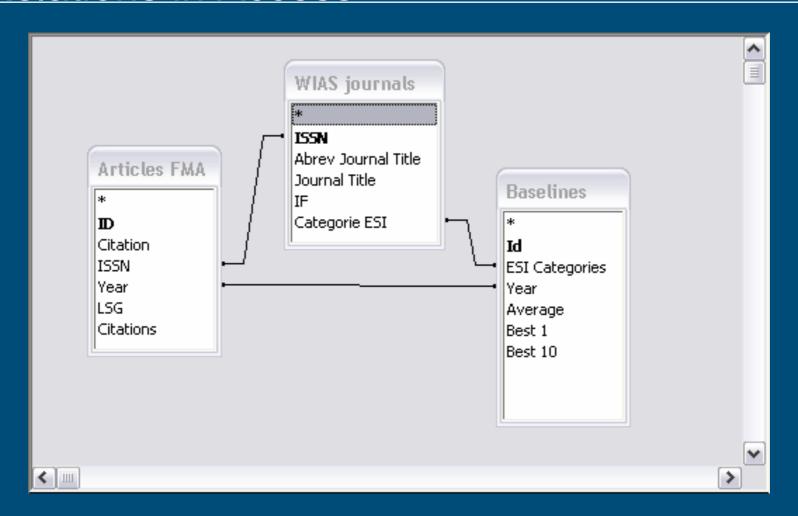


#### Steps in citation analysis

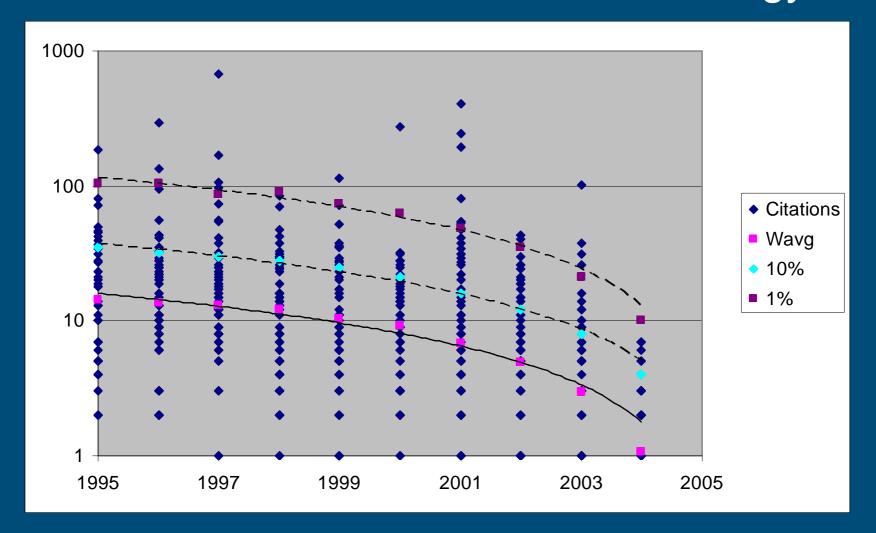
- On the basis of authors names, all publications are checked for citations in WoS, downloaded to EndNote, subsequently to Access
- Baselines are retrieved from ESI
- Journals categories are checked in ESI
- The three tables are linked by ISSN and category names
- Analyses are made for authors, research groups and Institutes



#### Relations in Access



# Graduate School in Environment/Ecology





## Evaluation of a research institute

	All groups	Group 1	Group 2	Group 3
Agricultural Sciences	3,82	3,86	3,87	3,60
Biology & biochemistry	0,91	1,55	0,44	1,09
Chemistry	1,76		1,76	
Clinical medicine	1,73	1,81	1,11	
Microbiology	1,70	0.57		1,73
Overall impact	2,06	2,08	2,26	1,84

## Evaluation of candidates

Author	# Papers 1994-2003	#Citatio ns	Relative Impact	RI 1994- 1998	RI 1999- 2003	#pape rs top 10%	#pape rs top 1%
а	80	1565	1,64	1,76	1,52	4	2
b	65	498	1,93	1,84	1,95	17	1
С	93	972	1,15	1,39	0,9	8	0
d	88	1886	1,86	1,69	1,94	16	3
е	57	346	0,75	0,58	0,83	3	0



#### Tools at hand

- Subscription citation products
  - Web of Science (WOS) (= Science Citation Index)
  - Essential Science Indicators (ESI)
  - Scopus (new, Elsevier product)
- Free available Web services
  - Google Scholar http://scholar.google.com/
  - Citeseer http://citeseer.ist.psu.edu/
  - Smealsearch http://smealsearch2.psu.edu/



#### Scopus

- Scopus has some important advantages
  - Comprehensive citation reports
  - Substantially larger journal base
  - Author disambiguation
  - Cooperation with third parties
  - Institute disambiguation



# Thank you for your attention

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