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Lattice Boltzmann schemes for convection-diffusion phenomena; application to packages of agricultural products

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Stellingen bij het proefschrift:

Lattice Boltzmann schemes for convection diffusion phenomena; application to packages of agricultural products

R.G.M. van der Sman, Wageningen, 7 mei 1999.

1. Door de integrale beschouwing van fysica, kwaliteitsverloop en logistiek ontstijgt het ontwerpen van transportverpakkingen van agrarische producten het basale niveau van de huidige heuristiek: "Stans er maar gaten met 5% openingspercentage in", waardoor een significante verbetering van het kwaliteitsbehoud te bereiken valt.

M.T. Talbot and C.D. Baird, Evaluating commercial forced-air pre-coolers. ASAE paper 91-6021. 1991.

2. Vanuit het beginsel dat de hydrodynamische momenten van de evenwichtsverdeling gelijk moeten zijn aan die van de Maxwell-Boltzmann verdeling, zijn Lattice Boltzmann schema's volledig afleidbaar.

(In dit proefschrift)

3. De Lattice Boltzmann methode wordt een gelijkwaardige concurrent van de standaard discretisatie-methoden van fysische transportvergelijkingen door de introductie van schema's voor onregelmatige roosters.

(In dit proefschrift)

4. Door discretisatie van transportverschijnselen op het niveau van de fysische beschrijving, vermijdt men de complicaties die gepaard gaat met de formele discretisatie van partiële differentiaal vergelijkingen voor continue modellen.

5. De Darcy-Forchheimer vergelijking geeft ook in het turbulente regiem bij Re>300, waar Anthone afwijkingen verwacht, een correcte beschrijving van luchtstroming door gestorte of gepakte groenten en fruit.

B.V. Anthone and J.L. Lage. A general two-equation macroscopic turbulence model for incompressible flow in porous media. Int. J. Heat Mass Transfer 40 (13): 3013-3024 (1997).

6. De gangbare denkwijze in de verpakkingswereld dat ventilatiegaten in de wanden van verpakkingen voor agrarische producten afbreuk doet aan de mechanische sterkte, gaat in veel praktijksituaties niet op.

7. De ASTM-testen voor de mechanische sterkte hebben een beperkte voorspellende waarde voor de praktijksituaties van verpakkingen voor agrarische produkten, die gekenmerkt worden door lage temperaturen en hoge luchtvochtigheid.

8. Voor plezier en succes in de wetenschap dient men te beschikken over een goede portie juveniliteit.

9. Ontologische aberraties induceren cognitieve congestie.

NN08201, 2615.

R.G.M. van der Sman

Lattice Boltzmann schemes for convection-diffusion phenomena; application to packages of agricultural products

Proefschrift ter verkrijging van de graad van doctor op gezag van de rector magnificus van de Landbouwuniversiteit Wageningen, dr. C.M. Karssen, in het openbaar te verdedigen op vrijdag 7 mei 1999 des namiddags te 13.30 uur in de Aula.

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Cover: Simplifying the physics in packages by a ball game, (c) R.G.M. van der Sman

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Nomenclature

Nomenclature of PDE models

a	thermal diffusivity	$[m^2.s^{-1}]$
Aspec	specific transfer area	$[m^*.m^*]$
с	vapour density	[kg.m]
c_p	specific heat	$[J.kg^{-1}.K^{-1}]$
D	diffusion coefficient	[m*.s ⁻]
ď	thickness	[m]
g	gravitational constant	$[m.s^{-2}]$
k	wave number	$[m^{-1}]$
K	Darcy's law coefficient	[<i>s</i>]
L	length	[m]
р	pressure	$[N.m^{-2}]$
Q	volumetric heat production rate	$[W.m^{-3}]$
r	specific heat of evaporation	$[J.kg^{-1}]$
s	relaxation rate	$[s^{-1}]$
S	volumetric mass production rate	$[kg.m^{-3}.s^{-1}]$
t	time	[s]
T	temperature	[K]
u	velocity	$[m.s^{-1}]$
x	spatial co-ordinate	[m]
y	spatial co-ordinate	[m]
z	spatial co-ordinate	[m]
α	heat transfer coefficient	$[W.m^{-2}.K]$
β	mass transfer coefficient	$[m.s^{-1}]$
ε	porosity	[-]
κ	permeability	$[m^2]$
λ	heat conductivity	$[W.m^{-1}.K^{-1}]$
ν	kinematic viscosity	$[m^2.s^{-1}]$
ρ	mass density	$\left[ka/m^{-3}\right]$
σ	variance of Gaussian distribution	[m]

Nomenclature of LB schemes

A	collision operator
Ci	propagation speeds
Cs	speed of sound
D	diffusion coefficient
e	unit vector
f_i	number density of fluid particles
gi	number density of lattice gas particles
h_i	number density of heat particles in air
Η	Hermite tensor polynomial
j	particle flux
k	wave number
N_i	number of lattice gas particles
Pe^*	grid Peclet number = $u\Delta x/D$
q_i	number density of heat particles
5	relaxation rate
S	surface area of Wigner-Seitz cell
U	Courant number = $u\Delta t/\Delta x$
v_i	distribution of vapour lattice gas particles
$v_{a,i}$	eigenvector
V	volume of Wigner-Seitz cell
w_i	weight factor
ϕ	transfer rate
Φ	transfer operator
Г	mass flow
λ	eigenvalue
μ	Knudsen number
μ_a	eigenvalue
ρ	density of lattice gas particles
ζ	angle
ω	relaxation rate
Ω	collision operator

Nomenclature

Super- and subscripts

- a air
- A general physical quantity, e.g. heat or mass
- c cardboard
- eq equilibrium
- ext external
- f fluid particles
- g generic lattice gas
- h heat particles in air
- i state index
- int internal
- m condensed moisture
- (n) n-th order perturbation
- p product
- q heat particles
- sat saturated
- tot total
- v vapour particles
- w water content of product
- 0 ambient condition
- 1 initial condition

Chapter 1

Introduction

1. Modelling physics of packed agricultural products

1.1. Packaging systems for fresh agricultural products.

For marketing fresh agricultural and horticultural products, quality conservation is of great importance. Packaging is crucial for maintaining the product quality in the distribution chain. The primairy function of the package is the prevention of mechanical damage. Furthermore, the package should act as a barrier between the inside and outside climate conditions. As a barrier, the package protects its contents from hazardous outside conditions and enables the development of a favourable microclimate inside. The microclimate, favourable for most products, has a low temperature, a high, non-condensing humidity (90-95% R.H.), and a low ethylene level. Furthermore, an atmosphere with modified oxygen and carbon dioxide levels is beneficial for the quality of a wide range of fruits, vegetables and flowers¹.

Hence, the exchange of heat, water vapour and gasses of the packaging system with the environment must be carefully controlled. Due to the large differences in physiological behaviour of agricultural products and physical conditions in the distribution chains, the package design must be tuned to each specific combination of packaged product and distribution chain. How to tune the packaging design optimally to the specific needs, is still not thoroughly understood. Many empirical studies have shown that existing packaging design can be further improved¹⁻⁶.

It has been recognised that the process of designing packaging systems can be greatly improved by the use of a computer model based approach¹. By means of computer models certain physical and physiological phenomena in the packed bed of products can be calculated, whereby packaging properties and the environmental conditions can be changed quite easily. Having acquired the knowledge of the products behaviour in various package systems and conditions, the packaging design can be optimised for the quality of the packaged product. By using a computer model, the improvements of packaging design can be obtained much faster and with less expenses than by using pure empirical studies.

With success, computer models have been used for the design of retail packages of fresh agricultural products^1 . Retail packages They are mostly wrapped in plastic foils, which control the exchange of gas and water vapour with the environment and thereby create a modified atmosphere. Consequently, they are called Modified Atmosphere packages. The dominant physical process is gas diffusion through the foil. Its interaction with the respiration of the packaged product creates the modified atmosphere.

In retail packages, containing a small amount of product (less than one kilogram), one can expect little spatial variation in the physical and physiological conditions. Hence, the physical processes in retail packages can be described by ordinary differential equations, which normally pose little mathematical difficulties¹.

Contrary to retail packages, the spatial variation of physical quantities transport packages, containing 1 to 1000 kg of product, can be significant and result in spatial variation in product quality. Therefore, in the computer models one has to account for the spatial distribution of physical quantities, which is mathematically described with partial differential equations.

In most transport packages the heat and water vapour exchange are controlled by vent holes, which allow air flow through the package. Hence, the dominant physical processes are the flow of air and convection-diffusion of heat and water vapour. The numerical modelling of the spatial distribution of temperature and humidity driven by convection-diffusion is rather complex, and as a consequence only a very few numerical studies have been done on physical transport phenomena in packaging systems with vent holes⁷.

However, considering the presently available computer power and the variety of numerical methods, we believe that modelling of physical processes in transport packages is feasible. Therefore, in this thesis we will focus on the modelling of physical phenomena as a design tool for transport packages of fresh agricultural products.

Introduction

1.2. Physical processes in transport packages.

In transport packages with vent holes, the exchange of temperature and humidity with the environment is mainly driven by airflow. This airflow is caused by forced ventilation driven by a fan system or by natural ventilation induced by air density gradients. The rate of ventilation depends on a range of factors: the outside pressure conditions; temperature differences between the inside and outside of the package; the size and location of the vent holes; and the often intricate geometry of the packaged product. In most cases, the dependence of the ventilation rate on these factors can only be found by numerical studies.

The ventilation problem can be simplified by assuming that packed beds of agricultural products can be described as porous media. In this approach the full details of the flow are not conidered, but the volume-averaged flow is described. The volume averaged flow follows Darcy's law:

$$\rho \mathbf{u} = -K\nabla p. \tag{1.1}$$

This law states that the mass flow $\rho \mathbf{u}$ is only due to a pressure gradient ∇p . For small flow velocities, as occur during natural ventilation, the coefficient K is only dependent on the geometry of the packed bed and the hydrodynamic properties of the fluid phase. In situations of forced ventilation with Reynolds number Re > 1, inertial effects of the air flow become important, leading to a velocity dependence of the coefficient⁸, i.e., K = K(u). In that case, the airflow is described by the so-called Darcy-Forchheimer equation.

The exchange of heat and water vapour of the packaging system with the environment can mathematically be described by the so called convectiondiffusion equation:

$$\partial_t c_A + \mathbf{u} \cdot \nabla c_A = D_A \nabla^2 c_A + S_A. \tag{1.2}$$

Here c_A represents the density of the conveyed physical quantity A, e.g., heat or water vapour, D_A is the diffusivity of the physical quantity A in the porous medium, S_A is a source term representing the production or consumption of the quantity A, which can be due to evaporation, condensation, respiration, or heat and mass transfer between the packaged product and the air flow.

Solving the convection-diffusion equation numerically is a complicated matter, in which many of the conventional numerical methods have proven to be unsatisfactory⁹. Results adequate for engineering problems can be obtained with numerical schemes^{10,11,12}, which require highly specialised knowledge of numerical mathematics.

The practice of packaging for agricultural products demands that the model based approach can handle the design question for a variety of packaged products and outside conditions. Moreover, the design question should be solved in a comparatively short period. This situation calls for a numerical method which can handle the various physical phenomena occurring in packaging systems within a single framework and poses few mathematical difficulties. We have found a very promising framework in one of the newly developed methods in computational physics.

1.3. Numerical methods.

Conventional numerical methods for solving physical transport phenomena are based on the continuum concept, which assumes that all matter is continuously distributed over space. Physical quantities are mathematically described by fields. The evolution of fields in time is described mathematically by partial differential equations, such as Eqs.(1.1)-(1.2). In order to obtain a numerical solution, the partial differential equations have to be discretised on a computational grid. The most frequently applied discretisation methods are the Finite Difference, Finite Volume and the Finite Element methods.

Difficulties arise with the straightforward application of these methods to problems as convection-diffusion and free fluid flow, when the convective flux exceeds the diffusive flux. These regimes are identified by a high Peclet number, which is defined as Pe = uL/D with L a macroscopic length scale. At high Peclet numbers, steep spatial gradients in the physical quantities can occur near system boundaries. In order to get a proper approximation of the spatial derivative in regions with steep gradients, the grid must be sufficiently refined. If the grid is not refined enough or the time step is too large, the steep gradients will induce spurious oscillations (wiggles) or even numerical instabilities. For convection-diffusion phenomena with high Peclet numbers the remedy of refining the mesh and reducing the timestep ceases to be practical. To overcome the problem special discretisation schemes must be applied^{10,11,12}, which in most cases introduce some form of artificial diffusion to damp these spurious oscillations. A specialised branch of numerical mathematics has developed trying to find these special discretisation schemes.

In response to the difficulties inherent to the conventional numerical schemes for convection-diffusion, alternative methods are developed which leave the continuum concept. Motivated by the fact that in reality all physical transport phenomena, as fluid flow and convection-diffusion, are a pure consequence of the movements and collisions of molecules, the so-called particle methods have been developed¹³⁻¹⁷.

In these methods one lumps matter in giant quasi-particles, carrying portions of the macroscopic physical quantity such as heat, mass, vorticity

Introduction

or an amount of a chemical species. The kinetics of these quasi-particles resembles largely that of real molecules: they propagate according to their speed, interact with each other through collisions and can be accelerated by external force fields. This resemblance of particle methods with the molecular micro-world makes them quite appealing to physical intuition.

In various particle methods the kinetics is further simplified by discretising space and/or particle velocity. Examples of particle methods are the gradient particle methods^{13,14}, Dissipative Particle Dynamics $(DPD)^{15,16}$, Smooth Particle Dynamics $(SPD)^{17}$, Lattice Gas Automata and Lattice Boltzmann (LB) schemes²¹⁻²⁶. The latter methods are the most simplified of all, as time, space and particle velocity are discretised. Because of this simplicity they have attracted a lot of attention during this last decade.

In figure 1.1, we have depicted the views of the continuum and particle concepts towards the modelling of macroscopic phenomena and the mapping of the concepts to the computational domain.

The ease of handling convection-diffusion problem with particle methods originates from their Lagrangian nature. Steep gradients and interfaces between immiscible fluids move automatically with the propagating particles. This is in contrast with the Finite Difference or Finite Element method, in which steep gradients and interfaces have to be tracked explicitly.

A very successful particle method is the Lattice Boltzmann method. This method has shown to be able to model a variety of complex macroscopic phenomena such as Navier-Stokes flow²⁶, multi-phase flow^{27,28}, porous media flow²⁹ and natural convection³⁰. Motivated by the successes of the Lattice Boltzmann scheme in describing complex physical phenomena we have chosen it as the framework for modelling of physical transport phenomena occurring in packaging systems.

The Lattice Boltzmann method seems to meet all our demands as a modelling tool for physical processes in packaging systems. It uses a single and simple paradigm of colliding particles on a grid, for the description of various physical phenomena. Furthermore, new applications are expected to be implemented in a short time without much difficulty. In this thesis, we will investigate whether these promises indeed hold for the Lattice Boltzmann method. This investigation is mainly focussed on the convectiondiffusion phenomena occurring in packages of fresh agricultural products. In addition, we study the effectiveness of the Lattice Boltzmann method by comparing it with conventional numerical methods using benchmark problems.



Figure 1.1: Modelling of real macroscopic phenomena according to particle and continuum concepts.

2. Lattice Boltzmann schemes

Taking the ideas of particles methods and cellular automata²⁰, Lattice Gas Automata (LGA) and Lattice Boltzmann (LB) schemes model physical phenomena with quasi-particles. These so-called lattice gas particles move and collide on a regular lattice. The collisions are according to rules, which obey appropriate conservation laws such as for mass, momentum and energy. Despite the simple dynamics of the lattice gas particles, these methods have shown to be able to describe many complex hydrodynamic phenomena. Examples of these phenomena are vortex shedding and interfacial instabilities. In LGA and LB schemes these complex phenomena simply emerge from the collective behaviour of a large collection of lattice gas particles²¹. It is shown that the only prerequisites for applying LGA

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Introduction

and LB schemes to fluid dynamics are 1) conservation of mass and momentum by the collisions, and 2) a sufficient lattice symmetry to ensure isotropy of the fluid dynamics.

The locations of the lattice gas particles are restricted to lattice sites only, such that in effect the lattice gas particles only have a discrete set of velocities $\{c_i\}$. The physical state of the Lattice Gas Automaton is determined by the particle distribution function of lattice gas particles $g_i(\mathbf{x}, t)$, which denotes the number of particles moving with velocity c_i at the lattice site \mathbf{x} and time t. Lattice Gas Automata allow $g_i(\mathbf{x}, t)$ to take only Boolean values, whereas the Lattice Boltzmann method puts no restriction on the values of $g_i(\mathbf{x}, t)$.



Figure 1.2: Lattice gas particles on a hexagonal lattice, colliding at time $t = t^*$. the collisions obey the conservation laws of mass and momentum.

Macroscopic physical quantities, such as mass and momentum densities, are derived by taking moments of the particle distribution function. For example for a fluid, the mass density is $\rho(\mathbf{x}, t) = \sum_{i} g_i(\mathbf{x}, t)$ and the momentum density is $\rho(\mathbf{x}, t)\mathbf{u}(\mathbf{x}, t) = \sum_{i} c_i g_i(\mathbf{x}, t)$. The lattice gas particles evolve in two steps: a collision step and a propagation step. Due to collisions the particles are scattered over all directions, leading to the post-collision distribution function:

$$g'_i(\mathbf{x},t) = \sum_j A_{ij}g_j(\mathbf{x},t).$$
(1.3)

The elements of collision matrix A_{ij} denote the probability of a particle for the transition of propagation direction j to direction i. These transition rates must account for the conservation laws, such as conservation of mass. Thus, this law imposes that $\sum_{i} g'_{i}(\mathbf{x}, t) = \sum_{i} g_{i}(\mathbf{x}, t)$.

After collision, the particles propagate to adjacent lattice sites according to their velocity:

$$g_i(\mathbf{x} + \mathbf{c}_i \Delta t, t + \Delta t) = g'_i(\mathbf{x}, t).$$
(1.4)

This process of collision and propagation is illustrated in figure 1.2 for a Lattice Gas Automaton modelling fluid flow.

Due to the restriction of $g_i(\mathbf{x}, t)$ to Boolean values, Lattice Gas Automata have some drawbacks. The macroscopic physical quantities show a very noisy behaviour in time and true fluid dynamic behaviour is obtained only for low Reynolds and Mach numbers. These limitations have been remedied by the introduction of the Lattice Boltzmann method ²³, which allows $g_i(\mathbf{x}, t)$ to have real numbered values. Soon after the introduction, a rapid development of the research field has followed. LB-schemes have been applied to a variety of complex phenomena, as mentioned above and in the recent review by Chen³¹.

Their success can be accounted to two factors: 1) the ability to handle complex boundary conditions with simple collision rules, and 2) the suitability of the local collision rules to calculation by massively parallel computers.

Altogether, the Lattice Boltzmann method has very attractive properties, which make it suitable as a modelling framework for transport phenomena in packaging systems. These properties are summarised below:

- Within the single framework of the LB method, a variety of physical processes can be modelled.
- Complex phenomena as convection-diffusion and fluid flow can be modelled by straightforward application of the standard Lattice Boltzmann algorithms.

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- New phenomena are easily incorporated within the framework of the LB scheme. The required particle kinetics can be derived using physical intuition. Highly specialised knowledge of numerical mathematics is *not* required.
- Due to their simplicity, LB schemes are easy to implement.

However, there are still some limitations to the LB method, which reduce its competitiveness with respect to convential numerical methods in solving engineering problems. These limitations are 1) the Lattice Boltzmann method is restricted to regular lattices, and 2) there is no sound mathematical foundation for deriving LB schemes for new physical phenomena. The Finite Element and Finite Difference method do not have these limitations; they can refine their grids in regions with steep gradients and in principle they can model any physical process which can be described by partial differential equations, using a clear mathematical discretisation procedure.

The limitations of the Lattice Boltzmann method may restrict the number of applications in the field of transport packaging systems. For example, in packaging systems with air flow through small holes driven by forced ventilation, high gradients in the flow velocity can occur, which can only be solved efficiently with grid refinements³². By the lack of a mathematical foundation it is unclear how to describe phenomena like Stefan-Maxwell (multi-component) diffusion, as occur in bulk Modified-Atmosphere packages³³.

These limitations of the Lattice Boltzmann method are recognised³⁴. Consequently, several studies on LB schemes for irregular grids have been performed³⁵⁻³⁷. However, do not obey conservation laws, increase numerical diffusion and above all, they have lost the simplicity, which makes the LB method so attractive. Other studies have been performed, investigating the theoretical basis of the LB method^{25,28,38}. These studies have achieved some important progress, but a complete theoretical framework is still missing.

All the above mentioned studies, directed to irregular grids or to the theoretical framework of the LB method, are concerned with complex phenomena like Navier-Stokes flow or multi-phase flow. However, the phenomena in transport packaging systems, such as convection-diffusion and Darcy flow, are more simple, physically speaking. Hence, they represent better test problems to investigate whether the limitations of the LB method can be lifted.



Figure 1.3: This thesis positioned in related fields of research. Unhatched blocks represent the topics of this thesis. Hatched blocks represent directly related topics.

3. Scope of this thesis

In this thesis, a model based approach is developed for the description of convection-diffusion processes, as occur in transport packaging systems for fresh agricultural products. The computer models considered will be based on the Lattice Boltzmann (LB) scheme. This is a recently developed numerical method, which uses a particle description of physical transport phenomena, as described in the previous section. How the topics of this thesis can be positioned in related fields of research, is displayed in figure 1.3.

The focus of this thesis is the investigation of the feeasibility and effectiveness of the LB scheme in modelling convection-diffusion phenomena in applications of packaging systems. This is done in three ways:

- New LB schemes are developed and tested for several convection diffusion problems, taken from the practice of packaging systems for agricultural products.
- The solution of physical problems, which are new to the existing framework of LB schemes, is investigated analytically and numerically.
- The developed LB schemes are compared with conventional numerical methods using benchmark problems.

The applicability of the LB scheme to modelling physical processes in packaging systems is tested by using it for package design problems in research projects. These project are performed at the Agrotechnological Research Institute ATO-DLO. The testing of the LB schemes is performed by comparing simulation results with experimental data, which are obtained within research projects at ATO-DLO.

In order to be able to model various other phenomena such as heat transfer, ventilation through holes, evaporation and condensation, porous flow with buoyancy, various new collision rules and boundary conditions have to be devised. As the kinetics of the lattice gas particles mimics the underlying physical process, the necessary extensions of the LB scheme can be developed using physical intuition. This is a valuable asset of the LB scheme if design problems have to be solved in a short period of time. However, because of the rather intuitive procedure for constructing new collision rules and boundary conditions, the applicability of these new rules still has to be validated by solving benchmark problems.

As discussed in the previous section, it is stated that in order to be a competitive modelling tool, the limitations on the Lattice Boltzmann scheme must be relaxed, i.e., the restriction to regular grids and the lack of a mathematical framework. Therefore, we investigate in this thesis, whether these limitations can be lifted for convection-diffusion, which is the dominant physical process in transport packaging systems with vent holes. This will be the topic of the latter part of this thesis.

Finally, the performance and the competitiveness of the Lattice Boltzmann schemes is compared with that of conventional numerical schemes. This is investigated by solving several benchmark problems.

4. Outline of this thesis

In Chapter 2, we present the first test case problem for which we test the applicability of the LB-scheme in modelling convection-diffusion phenomena. The case considered is that of pre-cooling of packaged cut flowers. This problem involves convection-diffusion with simultaneous heat and mass transfer. It is a very suitable first test case, since it can be treated as a one-dimensional problem with a uniform and constant flow field.

A more complex test case is the heat flow in a package, that is driven by natural convection. This test case is studied in Chapter 3. The test case concerns a bulk potato package, whose contents can be treated as a porous medium. A three-dimensional LB scheme is developed with new collision rules for porous flow driven by buoyancy. The LB scheme is tested with data obtained from experiments performed with closed potato containers. New boundary conditions are formulated for modelling the heat conducting walls of the containers.

An actual package design problem is addressed in Chapter 4, in which we seek a vent hole design for a bulk potato package. The vent hole design must ensure an optimal water vapour exchange with the environment, and thereby it must prevent condensation of moisture on the potatoes. The LB scheme from the previous chapter has been extended for modelling the mass transfer, the condensation and the ventilation through the holes in the walls of the potato container.

In search of a theoretical framework for the Lattice Boltzmann method, we present a procedure for constructing a diffusion scheme from first principles in Chapter 5. By applying this procedure, we study ways to generalize and optimize the (diffusion) LB scheme. The performance of the generalized and optimised LB schemes concerning consistency, stability and accuracy are investigated with eigenmodes analysis.

The procedure, which we have developed in Chapter 5, is extended and applied to convection-diffusion schemes and is described in Chapter 6. Gallilean invariant schemes are constructed for Bravais lattices. Furthermore, we show that by using a similar procedure, LB schemes for irregular grids can be derived. The efficiency of these new LB schemes are compared

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to that of Finite Element and Finite Difference schemes.

This thesis concludes with a discussion about the use of computer models, and in particular the Lattice Boltzmann schemes, for solving convectiondiffusion problems in packaging systems of fresh agricultural products. As we have extended the LB methodology with new boundary conditions and irregular grids, their potential use for solving physical problems in general will be discussed too. In addition directions for further research in the field of modelling physical processes in packaging systems will be given.

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Chapter 2

Cooling of packed cut flowers

1. Introduction

Many interrelated physical processes play an important role in the heat and mass transfer from packages with agricultural products to the environment, such as conduction, convection, diffusion, respiration, evaporation, condensation, and convective transfer^{1,2}. Controlling the heat and mass transfer is crucial for maintaining the quality of the packed products³. The barrier properties of packages make them important instruments for controlling the heat and mass transfer. Given the complexity of these processes, a model-based approach for the evaluation of the packaging would greatly enhance the design process.

In large packaging systems, used for road transport and air freight, the spatial variation in physical quantities, such as temperature and density of various gasses (water vapour, O_2 , CO_2), can manifest itself in the quality of the packed product. Consequently, the model should describe the spatial distribution of the relevant physical quantities. The mathematical description of these distributions is done with partial differential equations.

Various models have been developed for the description of heat and mass transfer in packed or stored agricultural products²⁻⁷. To our knowledge all these models have only been solved numerically by either a Finite Difference method or a Finite Element method.

In most transport packaging systems the heat and mass transfer are

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dominated by a convection-diffusion process. The numerical solution of this phenomenon is a complex problem. Thus, in order to obtain a reliable solution with Finite Element or Finite Difference schemes, advanced mathematical techniques are required^{2,6}.

An alternative numerical solution method of the convection-diffusion problem, which requires little advanced mathematics, is the recently developed technique of the Lattice Boltzmann (LB) scheme⁸. LB schemes simulate physical transport phenomena with quasi particles, populating a regular lattice. The dynamics of these so-called lattice gas particles are stripped to the barest essentials: the particles move across the lattice along links connecting neighbouring lattice sites, and upon arrival at a lattice site the particles undergo collisions. In order to simulate physical phenomena the collisions must satisfy appropriate conservation laws and the lattice must exhibit certain symmetries. Using simple collision rules, various complex phenomena have been modelled successfully, such as Navier-Stokes flow^{8,9}, convection-diffusion¹⁰, reaction diffusion¹¹, and natural convection¹².

The straightforward principles of the LB scheme give it some attractive properties, relevant for our applications. These properties are: 1) it is applicable to a large class of physical and biological phenomena; 2) it can easily handle complex geometries and boundary conditions, with simple and strictly local rules; and 3) it is implemented on a computer with little effort. Given these properties, the LB scheme appears to be a suitable general framework for the model-based approach to heat and mass transfer in packaging systems.

In this paper, a LB scheme, modelling the heat and water vapour transfer during the cooling of packed cut flowers, is presented. These processes can be described with one-dimensional convection-diffusion equations with source terms representing the convective heat and mass transfer between product and airflow ¹³. This problem is used as a case study for investigating the capabilities and practical usefulness of the LB scheme for our objectives.

Before treating the full problem of cooling packed cut flowers, a reduced problem is considered, which involves only heat transfer. The performance of the LB scheme is analysed both mathematically and numerically. A onedimensional convection-diffusion LB-scheme will be derived from an existing 2-D LB-scheme¹⁰, which considers convection-diffusion in conjunction with Navier-Stokes flow. For the 1-D convection-diffusion scheme similar performance is expected as the scheme of Flekkoy¹⁰, i.e., the scheme has good (second order) accuracy and little numerical diffusion even at moderately high grid Peclet numbers and high Courant numbers.

For the modelling of heat transfer between flowers and airflow, the 1-D convection-diffusion scheme is extended with a source term. The

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consistency of the extended scheme is analysed mathematically with the Chapman-Enskog procedure, a standard tool in kinetic theory¹⁶. Subsequently, the accuracy of the extended scheme is analysed by solving a problem, involving heat transfer between flower and airflow, which has an exact solution.

After substantiating the consistency of the scheme with a convectiondiffusion equation containing a heat transfer source term, the scheme is extended to water vapour transfer processes in packed beds of flowers. With this final scheme, simulations of the cooling of packaged cut flowers are performed, and compared with data of cooling experiments. From the comparison between numerical simulation and experiment and from the previous numerical and mathematical analysis, conclusions are drawn regarding the usefulness of the LB scheme as a modelling tool for physical transport phenomena in packaging systems.

2. Convection-diffusion scheme with heat transfer

The reduced problem, considering only the heat transfer in flower packages, is mathematically described by the following set of partial differential equations¹³:

$$\partial_t T_a + u \partial_x T_a = s_a (T_p - T_a) + a \partial_x^2 T_a, \qquad (2.1)$$

$$\partial_t T_p = s_p (T_a - T_p). \tag{2.2}$$

Here T_a is the temperature of the air flowing with velocity u and T_p is the temperature of the cut flowers. The time and spatial derivatives are denoted by ∂_t and ∂_x respectively. The relaxation constants s_a and s_p are determined by the heat resistance of the boundary layer between the flowers and the surrounding air. The thermal diffusivity of air is a.

Before presenting the LB scheme for the solution of the reduced problem Eqs.(2.1)-(2.2), the general principles and the numerical properties of the convection-diffusion Lattice Boltzmann scheme are briefly described.

2.1. 1-D convection-diffusion scheme

LB schemes essentially describe the evolution of the particle distribution of a lattice gas, whose density represents the physical quantities to be modelled, such as temperature. The particle distribution functions $g_i(\mathbf{x}, t)$ denote the number of particles propagating with velocity \mathbf{c}_i along the lattice link $\Delta \mathbf{x}_i = \mathbf{c}_i \Delta t$ connecting nearest neighbours. The particle number density is obtained after summing g_i over all states, i.e., $\rho_g(\mathbf{x}, t) = \sum_i g_i(\mathbf{x}, t)$. The particle number density can be related to macroscopic observable quantities, such as temperature, concentrations etc. The particle distribution evolves as particles propagate to neighbouring lattice sites, where they collide with other particles. Thus, the evolution of g_i can be described by a collision step, followed by a propagation step:

$$g'_i(\mathbf{x},t) = g_i(\mathbf{x},t) + \omega_g[g^{eq}_i(\mathbf{x},t) - g_i(\mathbf{x},t)], \quad (2.3)$$

$$g_i(\mathbf{x} + \Delta \mathbf{x}_i, t + \Delta t) = g'_i(\mathbf{x}, t).$$
(2.4)

The collisions are modelled as a relaxation towards an equilibrium distribution g_i^{eq} , as is common practice in classical kinetic theory¹⁶. Combining Eqs.(2.3)-(2.4) one obtains the Lattice Boltzmann Equation, which can be regarded as a discretisation of the classical Boltzmann equation. ω_g controls the relaxation towards equilibrium and is related to physical transport coefficients like diffusivity and viscosity.

For convection-diffusion the equilibrium distribution has the following form¹⁰:

$$g_i^{eq}(\mathbf{x},t) = w_i \rho_g(x,t) \left[1 + \frac{\mathbf{c}_i \cdot \mathbf{u}}{c_s^2}\right],\tag{2.5}$$

with the weight factor w_i normalised to unity, $\sum_i w_i = 1$, such that

$$w_i = \frac{c_s^2}{2c_i^2}.$$
 (2.6)

The 'speed of sound' c_s is defined by

$$\frac{1}{c_s^2} = \sum_i \frac{1}{2c_i^2}.$$
 (2.7)

For convection-diffusion the parameter c_s has no physical meaning. In LB schemes modelling Navier-Stokes flow, it does have the meaning of the speed of sound. The value of the speed of sound of the lattice gas depends on the type of lattice used (i.e., the set of allowed particle velocities $\{c_i\}$).

The expression for the equilibrium distribution for the convection-diffusion scheme follows naturally from the constraints:

$$\sum_{i} g_i^{eq}(\mathbf{x}, t) = \rho_g(\mathbf{x}, t), \qquad (2.8)$$

$$\sum_{i} \mathbf{c}_{i} g_{i}^{eq}(\mathbf{x}, t) = \rho_{g}(\mathbf{x}, t) \mathbf{u}(\mathbf{x}, t).$$
(2.9)

Having the appropriate equilibrium distribution, Eq.(2.5), the number density $\rho_g(\mathbf{x},t)$ will evolve according to a convection-diffusion equation, as is mathematically derived by Flekkoy¹⁰. The diffusion coefficient is related to the relaxation parameter ω_g . In the limit of low Courant numbers $(U = u\Delta t/\Delta x)$ the diffusion coefficient is equal to

$$D = c_s^2 (\frac{1}{\omega_g} - \frac{1}{2}) \Delta t.$$
 (2.10)

At higher Courant numbers the diffusion coefficient is also velocity dependent. In the Appendix the equation for the velocity dependent diffusion coefficient is derived.

For the problem, covered in this paper, one-dimensional convection- diffusion is considered. The configuration of the lattice is readily derived from Eqs.(2.8)-(2.9). The 1-D lattice is populated with particles propagating either to the left or to the right, i.e., $c_i = \pm \Delta x / \Delta t$, with i = 1, 2. The weight factors are $w_i = \frac{1}{2}$ and the speed of sound is $c_s = \Delta x / \Delta t$.

2.2. 1-D convection-diffusion scheme with source terms

The consistency and accuracy of the 1-D convection-diffusion LB scheme extended with a source term, describing the heat transfer between packed flowers and the airflow through the bed, is investigated below.

In this extended LB-scheme the amount of heat in the air phase of the packed bed of flowers, is modelled by the lattice gas distribution function h_i . The density of this gas is proportional to the air temperature: $\rho_h = \sum_i h_i = T_a$. The heat of the flowers is modelled by stagnant particles with density ρ_q , which is proportional to the product temperature: $\rho_q = T_p$.

The extended LB scheme reads as follows:

$$\begin{aligned} h_i(x + c_i \Delta t, t + \Delta t) - h_i(x, t) &= \\ \omega_h[h_i^{eq}(x, t) - h_i(x, t)] &+ \Phi_i^h(x, t), \end{aligned}$$
 (2.11)

$$\rho_q(x, t + \Delta t) - \rho_q(x, t) = \Phi^q(x, t), \qquad (2.12)$$

with the equilibrium distribution defined by Eq.(2.5) and the source terms defined by:

$$\Phi_i^h(x,t) = \frac{\phi_h}{2} [\rho_q(x,t) - \rho_h(x,t)], \qquad (2.13)$$

$$\Phi^{q}(x,t) = \phi_{q}[\rho_{h}(x,t) - \rho_{q}(x,t)]. \qquad (2.14)$$

Since previous LB schemes have not addressed heat transfer processes, the collision operator Φ_i^h has to be constructed using physical arguments. It is postulated, that Φ_i^h is a weighted function of the transferred heat, with weights equal to $w_i = \frac{1}{2}$. The heat of the flowers is modelled with stagnant particles ($c_i = 0$), whose density, ρ_q , evolves according to the first order discretisation of Eq.(2.2). By applying the Chapman-Enskog procedure⁸, the consistency of the LB scheme with the physical phenomena is checked, and the relationships between the physical parameters and the model parameters are established. This procedure is a standard technique in kinetic theory, where it is used to derive the macroscopic transport equations from the classical Boltzmann equation ¹⁶. This technique can equally well be applied to the Lattice Boltzmann equation^{10,11}. In the Appendix the Chapman-Enskog procedure shows, that the LB scheme models Eqs.(2.1)- (2.2) with second order accuracy. Furthermore, the following relations between the model parameters of the LB scheme and the physical parameters are obtained:

$$a = c_s^2 \left(\frac{1}{\omega_h} - \frac{1}{2}\right) \Delta t \; ; \; s_a = \frac{\phi_h}{\Delta t} \; ; \; s_p = \frac{\phi_q}{\Delta t}. \tag{2.15}$$

The accuracy of the LB scheme is studied numerically by comparing the computational results with an exact solution, which holds for the problem of a semi-infinite packed bed with a periodically varying heat source at the origin. The temperature of the incoming airflow is giving by

$$T_a(x=0,t) = T_0 + \tilde{T}_a \cos(st).$$
 (2.16)

The exact periodic stationary solution is obtained by substituting

$$T_a(x,t) = T_0 + \bar{T}_a \exp(-kx + ist), \qquad (2.17)$$

$$T_p(x,t) = T_0 + T_p \exp(-kx + ist),$$
 (2.18)

into Eqs.(2.1)-(2.2). The value of the wave number k is obtained by solving the following equation:

$$-ak^{2} + uk + s_{a} - is + \frac{s_{a}s_{p}}{s_{p} - is} = 0.$$
 (2.19)

Injecting an appropriate amount of particles at the origin varies the temperature of the heat source, such that the following condition is satisfied:

$$\sum_{i} h_i(x=0,t) = T_a(x=0,t).$$
(2.20)

Calculations are performed with the grid Peclet number $Pe^* = u\Delta x/a = 1$ and $Pe^* = 100$, for values of the Courant number $U = u\Delta t/\Delta x$ in the range of $0.01 \le U \le 0.1$, and for $s_a = 0.3 \ s^{-1}$ and $s_p = 0.003 \ s^{-1}$, which are typical values for packed beds of agricultural products. In the simulations the relaxation parameter is set to $s = s_p$, such that large values for the wave number k can be obtained. From the simulation results the dimensionless



Figure 2.1: Comparison of the solution of LB scheme (symbols) with the exact solution (lines) of the problem of a semi-infinite packed bed with periodically varying heat source at the origin. Shown is the value of the wave vector K of the exact solution as a function of the Courant number U for the grid Peclet number $Pe^* = 1$ and 100.

complex wave number $K = k\Delta x$ is computed using non-linear regression. These values have been compared with the root of Eq.(2.19), as is shown in figure 2.1.

For the range of Re(K) > -0.4 accurate results are obtained. The differences between the estimated and the exact values of K are within 2% for both $Pe^* = 1$ and $Pe^* = 100$. If the ratio of the macroscopic length scale k^{-1} and the lattice spacing Δx approaches unity ($\mu \approx K \rightarrow 1$), the LB scheme looses accuracy, especially in the case of high Peclet numbers. This is not unexpected considering that the Chapman-Enskog expansion is valid only in the range of the Knudsen number $\mu < 1$. As such, steep gradients can not be resolved accurately by the LB scheme. However, this is a property shared with many other numerical schemes.

3. Modelling the cooling of flowers

After checking the consistency of the LB scheme, an extended scheme for the problem of cooling cut flowers has been developed. Packed cut flowers are cooled by forcing cold air through the vent holes in the package and subsequently through the bed of flowers, as is shown in figure 2.2. In this case study, the packaging considered is in the middle of a large stack, with adjacent packages at all sides. All packages in the stack are ventilated with an equal amount of airflow. Consequently, the cooling process of flowers

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in the box in the middle of the stack can be treated as a one-dimensional problem. Further assumptions are:

1) The flower bed is a porous medium with homogeneous porosity, resulting in a uniform flow field through the bed.

2) The heat conduction of the solid phase of the flower bed is negligible, due to limited contact between the individual flowers.

3) The heat production by respiration is negligible.

4) The flower plants maintain a saturated vapour pressure in their tissue.

5) The saturated vapour pressure is a function of the flower temperature.

6) There is vapour transfer between product and surrounding air, which is proportional to the vapour deficit between the plant tissue and the air.



Figure 2.2: Schematic diagram of packaging for cut flowers. The flowers face either side of the box and are wrapped in foil, indicated with dashed lines.

Applying the above assumptions the heat and vapour transfer can be described by the following equations^{2,5}:

$$\partial_t T_a + u \partial_x T_a = a \partial_x^2 T_a + s_a (T_p - T_a), \qquad (2.21)$$

$$\partial_t T_p = s_p (T_a - T_p) + s_w (c_a - c_a^{sat}), \qquad (2.22)$$

$$\partial_t c_a + u \partial_x c_a = D \partial_x^2 c_a + s_v (c_a^{sat} - c_a).$$
(2.23)

These equations are obtained by extending Eqs.(2.1)-(2.2) with a convectiondiffusion equation governing the water vapour transport in air. The source term in Eq.(2.23) accounts for the evaporation of water from the cell tissue of the flowers. The heat of evaporation is extracted from the heat of the flowers and is accounted for by the extra source term in Eq.(2.21).

The relaxation constants:

$$s_a = \frac{\alpha A_{spec}}{\rho_a c_{p_a} \epsilon} , s_p = \frac{\alpha A_{spec}}{\rho_p c_{p_p} (1 - \epsilon)} , s_w = \frac{r \beta A_{spec}}{\rho_p c_{p_p} (1 - \epsilon)} , s_v = \frac{\beta A_{spec}}{\epsilon},$$
(2.24)

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are determined by the heat and mass transfer coefficient of the flower plant tissue and the boundary layer between the flower and the surrounding air flow.

The description of the physical system, Eqs.(2.21)-(2.23), is completed with the initial and boundary conditions at the in-flow (x = 0) and out-flow (x = L) boundaries of the bed of product:

$$T_a = T_p = T_1, c_a = c_a^{sat}(T_1),$$
 for all $x > 0$, at $t = 0$; (2.25)

$$T_a = T_0, c_a = c_{a0},$$
 for all t , at $x = 0,$ (2.26)

$$\partial_x T_a = \partial_x c_a = 0,$$
 for all t , at $x = L$. (2.27)

The modelling of the vapour transfer during cooling requires that another lattice gas with distribution function v_i is introduced in the LB scheme. The density of this lattice gas represents the vapour density $\sum_i v_i = \rho_v$. The particle distribution v_i evolves according to a LB equation similar to Eq.(2.11). The density of the vapour particles in the flowers is maintained at the saturation vapour pressure ρ_v^{sat} and therefore it is not modelled explicitly. The complete extended LB scheme describing the cooling of flowers is given below:

$$h_i(x + \Delta x_i, t + \Delta t) - h_i(x, t) = \Omega_i^h(x, t) + \Phi_i^h(x, t), \quad (2.28)$$

$$v_i(x + \Delta x_i, t + \Delta t) - v_i(x, t) = \Omega_i^v(x, t) + \Phi_i^v(x, t), \quad (2.29)$$

$$\rho_q(x,t+\Delta t) - \rho_q(x,t) = \Phi^q(x,t) + \Phi^w(x,t). \quad (2.30)$$

The collision operator,

$$\Omega_{i}^{v}(x,t) = \omega_{v}[v_{i}^{eq}(x,t) - v_{i}(x,t)], \qquad (2.31)$$

describes the transport of vapour in the air flow and the transfer operators

$$\Phi_i^v(x,t) = w_i \phi_v[\rho_v^{sat}(x,t) - \rho_v(x,t)], \qquad (2.32)$$

$$\Phi^{w}(x,t) = \phi_{w}[\rho_{v}(x,t) - \rho_{v}^{sat}(x,t)], \qquad (2.33)$$

describe the vapour transport by evaporation from flowers to air. For the definition of the other operators, we refer to the previous section.

The relations between the parameters in the LB scheme and the physical parameters are given by:

$$D = c_s^2 \left(\frac{1}{\omega_v} - \frac{1}{2}\right) \Delta t \; ; \; s_v = \frac{\phi_v}{\Delta t} \; ; \; s_w = \frac{\phi_w}{\Delta t}. \tag{2.34}$$

3.1. Initial and boundary conditions

The initial particle distributions are set equal to the equilibrium distributions corresponding with the initial temperature $\rho_{h1} = T_1$ and vapour concentration $\rho_{v1} = c_a^{sat}(T_1)$, i.e.,

$$h_i(x,t=0) = h_i^{eq}(\rho_{h0}),$$
 (2.35)

$$v_i(x,t=0) = v_i^{eq}(\rho_{v0}),$$
 (2.36)

$$\rho_q(x,t=0) = \rho_{h0}. \tag{2.37}$$

In LB schemes it is convenient and natural to prescribe the boundary conditions in terms of the particle fluxes, j_h and j_v , crossing the boundaries of the lattice cells¹⁹. These boundaries are midway the links connecting adjacent lattice sites and bound the Wigner-Seitz cell, which is the primitive lattice cell with the lattice site in the centre²⁰, as shown in figure 2.3. The particle flux crossing the boundaries of the lattice cell is equal to the number of particles propagating to the right minus the number of particles propagating to the left, multiplied by their propagation speed $|c_i| = c = \Delta x / \Delta t$, i.e.,

$$j_h(x,t) = \sum_i c_i h_i(x + \frac{1}{2}\Delta x_i, t),$$
 (2.38)

$$j_{v}(x,t) = \sum_{i} c_{i} v_{i} (x + \frac{1}{2} \Delta x_{i}, t).$$
 (2.39)

Such a definition can also be stated for the particle fluxes leaving the computational domain. Hence, the fluxes at the boundaries of the lattice are proportional to the number of particles leaving the lattice minus the number of particles injected into the lattice.



Figure 2.3: Particle flux j_h at the boundary of the Wigner-Seitz cell (dashed lines), defined as $j_h(x) = c[h_+(x + \frac{1}{2}\Delta x) - h_-(x - \frac{1}{2}\Delta x)]$. At the boundary x = 0 the particle flux is defined as $j_h(0) = c[h_{in} - h_{out}]$.

For the determination of the values of the particle fluxes leaving the lattice, the particle flux is split into a equilibrium part and a non-equilibrium
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part: $j_h = j_h^{eq} + j_h^{neq}$. The equilibrium particle flux is due to the externally applied velocity field: $j_h^{eq} = \rho_h u$. The non-equilibrium particle flux is due to gradients in the number density and follows Fouriers law or Ficks law. For the computation of the non-equilibrium particle flux at the inlet a first order approximation of Fouriers law and Ficks law is used. At the outlet (x = L) the gradients are zero according to the boundary conditions Eq.(2.27). Hence, the boundary conditions, stated as prescription of the particle fluxes leaving the lattice, are given by:

$$j_h(x=0,t) = \frac{a}{\frac{1}{2}\Delta x} [\rho_h(x=\frac{1}{2}\Delta x,t) - \rho_{h1}] + \rho_{h1}u, \quad (2.40)$$

$$j_{v}(x=0,t) = \frac{D}{\frac{1}{2}\Delta x} [\rho_{v}(x=\frac{1}{2}\Delta x,t) - \rho_{v1}] + \rho_{v1}u, \qquad (2.41)$$

$$j_h(x = L, t) = \rho_h(x = L - \frac{1}{2}\Delta x, t)u,$$
 (2.42)

$$j_{v}(x = L, t) = \rho_{v}(x = L - \frac{1}{2}\Delta x, t)u.$$
 (2.43)

Here, ρ_{h1} and ρ_{v1} are the densities of heat and water vapour particles at the inlet respectively.

As the boundaries of the computation domain coincide with the boundaries of the lattice cells, they are displaced half a lattice spacing from the nearest lattice site. Therefore, the location of the lattice sites are labelled as $x = (\frac{1}{2} + n)\Delta x$, with $0 \le n \le N - 1$ and $N = L/\Delta x$ the number of lattice sites.

3.2. Experiments

Simulation results, obtained by the LB scheme, are compared with data from cooling experiments performed with irises, cultivar Blue Magic. Irises are chosen since the flowerbed has a high degree of homogeneity in porosity and mass density. Due to the homogeneity of the porosity the airflow inside the flowerbed will be quite uniform.

The irises are packed in a commercially used box, made from corrugated board and measuring 1.20 m by 0.45 m by 0.30 m. The thickness of the corrugated board is 4 mm at the top and bottom, and 8 mm at the sides. At both ends of the box there are two vent holes (diameter = 6 cm). The flower buds face either ends of the box, as shown in figure 2.2. The box contains 18 bunches consisting of 50 irises each, having a total mass 35.5 kg. Each bunch is wrapped in polypropylene foil, which is impermeable to airflow and vapour transport. The foil wrapping is open at both ends of the bunch. As the bunch stacking in the box is very tight, it is assumed that the total flow is going through the bunches. The length of the flowerbed is



1.00 m, leaving two headspaces of 0.10 m at both ends of the box.

Figure 2.4: Experimental setup for monitoring the cooling of packaged flowers. On the left the container with four packagings is drawn. On the right the locations of the thermocouples are indicated.

The temperature of the flowers is monitored with copper-constantane thermocouples, which are inserted either in the back of the flower bud or the end of the stems. In total 20 thermocouples, more or less uniformly distributed over the front, middle and the back cross section, are used. The positions of these cross sections and the positions of the thermocouples within the cross section are indicated in figure 2.4.

The experiment is performed with cooling conditions as occur during road transport. Prior to the cooling, the packed flowers are stored for 24 hours in a climate room controlled at 19°C, giving the flowers a uniform initial temperature. After this pre-treatment the box with flowers is put in an insulated container. Also, 3 other identical boxes, which are filled with synthetic material (artificial lemons, partially filled with water), are placed in the container. The weight, and consequently, the heat capacity of the artificial lemons are about equal to that of the flowers. The airflow resistance of the artificial lemons is also comparable to that of the packed bed of irises. Due to the similarity in thermal and aerodynamic properties, and the low thermal conductivity of the corrugated board, it is assumed, that there is no heat flow from one box to another. Hence, the cooling of the packed irises is assumed to be a 1-D problem.

Cooling of packed cut flowers

Subsequently, the container with the boxes is stored in another climate room, which is controlled at a temperature of 3° C and a relative humidity of 90%. The temperature and the relative humidity of the air at the inlet are measured with a Vaisailla temperature and R.H.-sensor. With a fan system, the cold air from the room is forced into the container, flows through the boxes and exits again in the climate room. The container and the flow of air are indicated in figure 2.4. At the outlet the air flow velocity is measured with a hot wire anemometer. Due to the high turbulence and the division of the airflow over four boxes, the velocity field inside the box with flowers can not be obtained accurately. The fan system is regulated such that airflow velocity inside the flower box is in the range of 5-10 cm/s, which is the range of airflow inside packages during road transport.

The readings of the thermocouples and the Vaisalla sensor are recorded with a data logger, sampling at a 2 minutes interval. An average reading of the thermocouples over the last hour of the pre-treatment shows that the initial flower temperature is 18.8 ± 0.3 °C. The inaccuracy in the initial flower temperature is mainly due to the non-uniformity of the temperature distribution. The average reading during cooling shows that the inlet air temperature is 2.8 ± 0.1 °C and a relative humidity of $90 \pm 5\%$.

The accuracy of the readings of the flower temperature during cooling is determined by averaging the values measured at the end of the cooling during which a steady state is obtained. Averaging 20 values of a single thermocouple shows a standard deviation of $0.014^{\circ}C$, and after averaging 20 readings from all thermocouples in the back cross section one obtains the average value of the final flower temperature of $2.4^{\circ}C$ with a standard deviation of $0.14^{\circ}C$. The low value of the standard deviation indicates a rather uniform temperature distribution in the back cross section. Other cross sections show similar standard deviations, and thereby substantiating the hypothesis that the cooling of the packed irises in this experiment is a 1-D phenomenon.

It is worth noting, that the final flower temperature, 2.4° C, is lower than the inlet air temperature, 2.8° C. This effect cannot be explained by an inaccuracy in the measurement of the temperatures. In fact, this difference is caused by the evaporation of water from the flower plant, which extracts heat from the flower and hence lowers the flower temperature below the air temperature¹³.

3.3. Simulation

With the Lattice Boltzmann scheme the cooling of packed irises, as recorded in the previously described experiment, is simulated. The numerical results will be compared with the averaged flower temperatures in the three cross sections, which are indicated in figure 2.4.



Figure 2.5: Comparison of experiment (symbols) with simulation data from the LB scheme (lines). Shown is the change in time of the average flower temperature in three cross sections of the flower bed during cooling

The simulation with the LB scheme is performed with a lattice with 20 grid points and Courant number of $U = u\Delta t/\Delta x = 0.1$, and a grid Peclet number $Pe^* \approx 250$. In the case of U = 0.1, the error in the diffusion coefficient is less than 1%, see Eq.(A.10).

The physical properties of the flowers are approximately equal to those of water ¹. The initial flower temperature and the inlet air temperature and relative humidity are taken equal to the values measured during the experiment. Three remaining parameters, αA_{spec} , βA_{spec} and u, are difficult to determine, due to the intricate geometry of the individual flowers and the inaccuracy in the air velocity measurement. Thus, they are estimated from the experimental data by trial simulations.

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The yet undetermined parameters u, αA_{spec} and βA_{spec} , are adjusted, until the sum of squared residuals is minimised. In figure 2.5 the simulation results, computed with the final parameter set u=0.067 m/s, $\alpha A_{spec}=443 \text{ W/.m}^3$.K and $\beta A_{spec}=0.056 \text{ s}^{-1}$, can be seen.

Figure 2.5 shows that the simulation results correlate well with the experimental data. The simulation indeed shows that in the steady state at the end of cooling, the average flower temperature (in the middle and back cross section), $T_p = 2.4^{\circ}C$, is lower than the incoming air, $T_0 = 2.8^{\circ}C$. This phenomenon can indeed be explained by the extra cooling effect of the evaporation of water from the flowers.

4. Conclusions

For the analysis of the heat and mass transfer in packaging systems with cut flowers, a 1-D convection-diffusion Lattice Boltzmann scheme has been constructed. The consistency and accuracy of this scheme is checked by performing benchmark problems and by theoretical analysis.

In the first instance, a convection-diffusion scheme extended with source terms to represent the heat transfer from packed flowers to the airflow, is analysed. By means of the mathematical analysis of the Chapman-Enskog procedure and of numerical analysis, LB schemes have shown to accurately simulate the phenomena described with convection-diffusion and simultaneous heat transfer, e.g., Eqs.(2.1)-(2.2). Accurate agreement with exact solutions is found for both low and high grid Peclet numbers Pe^* , with the restriction that the gradient should not be too steep. Since large gradients in temperature or vapour density in systems of packed agricultural products seldom occur in practice, the limitation of the LB scheme is not very restrictive for our applications.

Finally, the extended LB scheme is applied to the problem of cooling packaged cut flowers. Next to heat flow phenomena, the scheme also describes the vapour flow phenomena. The scheme is able to simulate cooling experiments with packed irises with reasonable accuracy. The simulation is performed with high grid Peclet numbers, i.e. $Pe^* \approx 250$ and with a small amount of resources (lattice of 20 grid points).

Based on the results of this study, it is concluded that the Lattice Boltzmann scheme is suitable as a generic modelling technique for the simulation of physical processes in packages of agricultural products. In future research the scheme will be extended to higher dimensions and with other physical phenomena, such as natural convection.

Appendix A Chapman Enskog procedure

The application of the Chapman-Enskog procedure to a Lattice Boltzmann Equation reveals its macroscopic behaviour, as triggered by small departures of the equilibrium distribution⁸.

In the Chapman-Enskog expansion the particle distribution function h_i is expanded as a power series of the Knudsen number μ , which is the ratio of the mean free path and the macroscopic length scale: $\mu \sim \Delta x \partial_x \rho_h / \rho_h$. The expansion of h_i around its equilibrium distribution is:

$$h_i = h_i^{eq} + \mu h_i^{(1)} + \mu^2 h_i^{(2)} + \dots$$
 (A.1)

It must be noted that the Chapman-Enskog expansion is made under the assumption that $\mu < 1$.

Also space and time derivatives of h_i are expanded as series in powers of μ . The Chapman-Enskog procedure introduces two time scales, a fast time scale, t_1 , associated with convective (inertial) processes and a slow time scale, t_2 , associated with dissipative processes, i.e. heat conduction. By the introduction of the two time scales into the time derivative and the substitution $x_1 = \mu x / \Delta x$ in the spatial derivative the following is obtained:

$$\Delta x \partial_x h_i = \mu \partial_{x_1} h_i \tag{A.2}$$

$$\Delta t \partial_t h_i = \mu \partial_{t_1} h_i + \mu^2 \partial_{t_2} h_i \tag{A.3}$$

As the heat transfer process, modelled by Φ_i^h , is also a dissipative process it can be assumed that it also scales as μ^2 : $\Phi_i^h = w_i \mu^2 \tilde{\phi}_h (\rho_h - \rho_q)$.

After substitution of the expansions Eqs.(A.1)-(A.3) into the LB scheme, Eqs.(2.11)-(2.14), performing a Taylor expansion of $h_i(x + \Delta x_i, t + \Delta t)$, and collecting terms of equal order in μ , one obtains the following hierarchy of equations:

$$-\omega_h h_i^{(1)} = (\partial_{t_1} + e_i \partial_{x_1}) h_i^{eq}$$
(A.4)

$$-\omega_h h_i^{(2)} = (\partial_{t_1} + e_i \partial_{x_1})^2 h_i^{eq} + (\partial_{t_1} + e_i \partial_{x_1}) h_i^{(1)} + \partial_{t_2} h_i^{eq} \quad (A.5)$$

Here, $e_i = c_i \Delta t / \Delta x$.

After summing Eq.(A.4) over all states and using $\sum_i h_i^{(n)} = 0$, one obtains the evolution of the density ρ_h for short time scales, with $U = u\Delta t/\Delta x$ the Courant number:

$$\partial_{t_1} \rho_h + U \partial_{x_1} \rho_h = 0. \tag{A.6}$$

Observe, that at short time scales the density evolves according to the continuity equation, as must be expected.

Substitution of Eq.(A.4) in Eq.(A.5) and summation over all states, gives the contribution of the long time scale to the evolution of ρ_h :

$$\hat{\partial}_{t_2}\rho_h = (\frac{1}{\omega_h} - \frac{1}{2})(1 - U^2)\partial_{x_1}^2\rho_h + \tilde{\phi}_h(\rho_q - \rho_h)$$
(A.7)

The PDE describing the evolution of ρ_q follows directly from the expansion of Eq(2.14). The complete set of PDE's, Eqs.(2.1)-(2.2), is recovered when the contributions of both times scales t_1 and t_2 , are added:

$$\partial_t \rho_h + u \partial_x \rho_h = D_h \partial_x^2 \rho_h + \frac{\phi_h}{\Delta t} (\rho_q - \rho_h)$$
 (A.8)

$$\partial_t \rho_q = \frac{\phi_q}{\Delta t} (\rho_h - \rho_q)$$
 (A.9)

For the diffusion coefficient, it follows that:

$$D_h = \frac{\Delta x^2}{\Delta t} \left(\frac{1}{\omega_h} - \frac{1}{2}\right) (1 - U^2)$$
 (A.10)

The velocity dependent term $(1 - U^2)$ is a consequence of the lack of Galilean-invariance of the Lattice Boltzmann scheme, presented in this paper.

The velocity dependence is negligible in the limit of low Courant numbers U. However, recently it is shown, that the velocity dependent term can be eliminated, if rest particles with $c_i = 0$ are introduced and if quadratic terms in U are incorporated in the equilibrium distribution²².

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Chapter 3

Natural convection in potato containers

1. Introduction

Due to their simple nature Lattice Boltzmann schemes are very suitable for modelling the strongly coupled heat and mass transfer phenomena occurring in packaging systems for agricultural products¹. LB schemes² are a special class of lattice gas automata³ (LGA), which can reproduce various complex physical phenomena as hydrodynamics⁴, natural convection⁵, and reaction-diffusion⁶. The basic idea behind LGA is to model physical phenomena with quasi-particles, representing packets of matter or fluid. The quasi-particles move over a discrete regular lattice and collide according to simple rules.

In this paper a Lattice Boltzmann scheme is presented, which models the natural convection in a porous medium with internal heat generation. This scheme is applied to the problem of heat transfer in a 1000 kg corrugated board container for seed potatoes. Before approaching the practical problem, model problems with known analytical solutions are addressed. The LB scheme is tested by comparing the computed results with the analytical solutions. Then, the LB scheme is used to simulate the cooling behaviour of potato containers. The results of the LB scheme are compared with the experimental data.

Heat transfer between the packaged potatoes and their environment is caused by two processes: 1) convection of heat by air flow in voids be-

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tween potatoes, and 2) heat conduction through the potatoes, air and the corrugated board packaging material. As is usual in the field of agricultural engineering the potatoes are treated as a single phase porous medium, which enables heat transfer by both conduction and convection^{7,8,9}. The air flow is driven by natural convection, which is due to temperature differences between the potatoes and the environment. The respiration process of the potatoes generate a large amount of heat, and therefore contribute significantly to the temperature difference between the potatoes and the environment.

In the single phase approximation the evolution of the temperature T is decribed by a convection diffusion equation with an extra source term for the internal heat generation $(Q)^{8,9}$:

$$\rho_p c_{p_p} \partial_t T + \rho_a c_{p_a} \mathbf{u} \cdot \nabla T = \lambda_p \nabla^2 T + Q. \tag{3.1}$$

Here $\rho_a c_{p_a}$ is the heat capacity of air, $\rho_p c_{p_p}$ the heat capacity of the porous medium, and λ_p the heat conductivity of the porous medium. The air velocity field **u** is described by the continuity equation and a modified Darcy's law^{8,9}:

$$\nabla \cdot \rho_a \mathbf{u} = 0, \tag{3.2}$$

$$-\nabla p - \frac{\nu}{\kappa} \rho_a \mathbf{u} + \mathbf{g} \rho_0 (1 - \beta (T - T_0)) = 0.$$
(3.3)

The modified Darcy's law has an extra term modelling the buoyancy force, for which the Boussinesq approximation is applied. In Eq.(3.3) ν is the kinematic viscosity, κ the permeability of the porous medium, and β the thermal expansion coefficient. The reference density ρ_0 and temperature T_0 are taken equal to the ambient air density and temperature.

After substitution of Darcy's law in the continuity equation, one obtains a Poisson equation for the pressure, with a source term proportional to the temperature gradient. The resulting pressure field will be independent of the permeability of the porous medium κ , although the permeability does determine the flow rate, as stated in Darcy's law.

The description of natural convection in potato containers is completed with the statement of the boundary conditions, describing the impermeability of the packaging material to air flow

$$\partial_n p = 0, \tag{3.4}$$

and the heat conduction through the cardboard walls:

$$\lambda_p \partial_n T = -\frac{\lambda_c}{d_c} (T - T_0). \tag{3.5}$$

Here is λ_c the thermal conductivity of the packaging material and d_c the thickness of the packaging material.

2. Lattice Boltzmann scheme

For the numerical simulation of natural convection in porous media, a Lattice Boltzmann scheme with two lattice gasses q_i and f_i , modelling the heat transport and the fluid flow respectively, is used. These lattice gasses reside on a three-dimensional six-velocity lattice with two-fold symmetry, which is sufficient for processes involving conservation of mass only³. The lattice, used in the simulation, is drawn in figure 3.1.

The particle distribution functions $q_i(\mathbf{x}, t)$ and $f_i(\mathbf{x}, t)$ represent the number of lattice particles at lattice site \mathbf{x} at time t propagating with velocity $\mathbf{c}_i = \Delta \mathbf{x}_i / \Delta t$. The particle number densities, i.e. heat energy density and mass density, are related to the macroscopic variables temperature and pressure, in the following way:

$$\rho_q = \sum_i q_i = T, \qquad (3.6)$$

$$\rho_f = \sum_i f_i = \rho_a \sim p. \tag{3.7}$$

The Lattice Boltzmann scheme for the heat transport is equal to the convection diffusion scheme¹⁰ with an extra source term $w_i Q/\rho_p c_{p_p} = w_i \xi$, representing the internal heat generation:

$$q_i(\mathbf{x} + \Delta \mathbf{x}_i, t + \Delta t) = q_i(\mathbf{x}, t) + \omega_q[q_i^{eq}(\mathbf{x}, t) - q_i(\mathbf{x}, t)] + w_i\xi, \quad (3.8)$$

$$q_i^{eq} = w_i [\rho_q + \frac{\mathbf{c}_i \cdot \rho_q \mathbf{u}_q}{c_s^2}]. \tag{3.9}$$

The velocity field \mathbf{u}_q is defined as:

$$\mathbf{u}_q = \frac{\rho_a c_{p_a}}{\rho_p c_{p_p}} \mathbf{u}.$$
(3.10)

This scheme is a so-called Lattice BGK-scheme, of which the collision rules are derived from the discretization of the BGK approximation of the Boltzmann equation¹¹. The collision rules of this scheme describe a relaxation process towards an equilibrium distribution q_i^{eq} with a single parameter ω_q . The relaxation parameter ω_q is related to the heat diffusion coefficient a:

$$a = \frac{\lambda_p}{\rho_p c_{p_p}} = c_s^2 (\frac{1}{\omega_q} - \frac{1}{2}) \Delta t.$$
 (3.11)

The parameter c_s is the so-called speed of sound of the lattice gas, which is a property of the lattice with its associated set of particle velocities $\{c_i\}$.

The convection field acts on the lattice gas as a displacement of the equilibrium distribution with an amount proportional to the equilibrium heat flux $\mathbf{j}_q^{eq} = \rho_q \mathbf{u}_q$. In absence of the velocity field \mathbf{u}_q the equilibrium distribution is equal to the distribution for pure diffusion, which is a weighted function of the density ρ_q .

The phenomena described by the convection diffusion equation and the modified Darcy's law are both a result of 1) a diffusive process and 2) an externally applied field. Using this similarity, a Lattice BGK scheme for the fluid dynamics is constructed. The equilibrium distribution f_i^{eq} is displaced by an amount proportional to the equilibrium mass flux flux \mathbf{j}_i^{eq} , which follows from Darcy's law after setting $\nabla p = 0$. The LB scheme for the fluid dynamics is then:

$$f_i(\mathbf{x} + \Delta \mathbf{x}_i, s + \Delta s) = f_i(\mathbf{x}, s) + \omega_f[f_i^{eq}(\mathbf{x}, s) - f_i(\mathbf{x}, s)], \quad (3.12)$$

$$f_i^{eq} = w_i [\rho_f + \frac{\mathbf{c}_i \cdot \mathbf{j}_f^{-\gamma}}{c_s^2}], \qquad (3.13)$$

$$\mathbf{j}_f^{eq} = -\frac{\kappa}{\nu} \rho_0 g [1 - \beta (\rho_q - \rho_q^0)] \mathbf{\hat{e}}_z.$$
(3.14)

The fluid dynamics LB scheme actually solves the Poisson equation for the pressure field as a relaxation scheme, so instead of the time variable t, the counter s is used. The relaxation parameter can now be set to an arbitrary value. Setting $\omega_f = 1$ is the most optimal choice with respect to computing time. The stationary state of the fluid dynamics LB scheme will give a second order approximation of the solution of Eqs. (3.2)-(3.3), which can be proven by performing the Chapman-Enskog expansion^{3,10} of the LB equation.

Next to the pressure field, also the velocity field follows immediately from our LB scheme. It should be noted that the usual computation of the velocity field from the particle distribution at a lattice site, $\rho_f \mathbf{u} = \sum_i \mathbf{c}_i f_i$, as done in hydrodynamic LB schemes, is *not* correct for our scheme. This is due to the fact that momentum is not conserved during collisions. The velocity field must be determined from the mass fluxes \mathbf{j}_f , which are only defined on the boundaries of the Wigner-Seitz cells of the lattice, which are midway the lattice sites¹². The definition of these mass fluxes follows easily from figure 3.1:

$$\mathbf{j}_f(\mathbf{x} + \frac{1}{2}\Delta\mathbf{x}_i, t) = \mathbf{c}_i f_i(\mathbf{x} + \Delta\mathbf{x}_i, t) + \mathbf{c}_j f_j(\mathbf{x}, t) , \text{ with } \mathbf{c}_i = -\mathbf{c}_j. \quad (3.15)$$

The flow velocity at a lattice site can now be calculated by averaging the mass fluxes on the boundaries of its Wigner-Seitz cell:

$$\rho_f \mathbf{u}(\mathbf{x}, t) = \frac{1}{2} \sum_i c_i [f_i(\mathbf{x} + \Delta \mathbf{x}_i, t) + f_i(\mathbf{x}, t)].$$
(3.16)

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Figure 3.1: The six-velocity orthorhombic lattice (left) and the mass flux j_f (right) at the boundary of the Wigner-Seitz cell (shaded area). $j_f = (f_{out} - f_{in})\Delta x/\Delta t$.

3. Orthorhombic lattice

Traditionally LB schemes are solved on cubic lattices, but due to the irregular dimensions of the container it is more convenient to map the LB scheme onto an orthorhombic lattice. The mapping of the scheme onto the orthorhombic lattice follows the procedure of Koelman⁴. Here, a similar mapping of a hydrodynamic LB scheme onto a two-dimensional ninevelocity rectangular lattice is performed.

The LB scheme will simulate the proper physics when the hydrodynamic moments of the equilibrium distribution are equal to those of the appropriate classical Maxwell-Boltzmann distribution. The hydrodynamic moments given for a generic lattice gas g_i with only mass conservation are:

$$\sum_{i} g_i = \rho_g, \qquad (3.17)$$

$$\sum_{i} c_{i,\alpha} g_i = j_{g,\alpha}^{eq}, \qquad (3.18)$$

$$\sum_{i} g_i c_{i,\alpha}^2 = \rho_g c_s^2. \qquad (3.19)$$

The equilibrium distributions in Eq.(3.9) and Eq.(3.13) satisfies the above stated constraints. The formula for the equilibrium distribution q_i^{eq} is equal to the one of the convection diffusion LB scheme¹⁰, which is mapped onto a square lattice. So the difference in geometry of the lattice is only apparent in the different values for the weight factors w_i and the speed of sound c_s . The weight factors and the speed of sound are given by:

$$w_i = \frac{c_s^2}{2c_i^2} ; \frac{1}{c_s^2} = \sum_i \frac{1}{2c_i^2}$$
(3.20)

4. Boundary Conditions

The mapping of the lattice is done such that the boundaries of the Wigner Seitz cells of the exterior lattice sites coincide with the walls of the box, as shown in figure 3.2. Because of the symmetry of the container, the computation domain consists of a quarter of the total packaging system.



Figure 3.2: Mapping of the octagonal container on the orthorhombic lattice. Shown is the horizontal cross section. The boundaries of the calculation domain are not coincident with lattice sites (dots), but with the boundaries of the Wigner Seitz cells (dashed lines).

The boundary conditions at the walls of the container are formulated in terms of mass and heat fluxes, crossing the boundaries of the Wigner

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Seitz cells. As stated in Eq.(3.15) the mass and heat flux crossing these boundaries are proportional to the number of particles ejected from the porous medium minus the number of particles injected into the porous medium. The difference between ejected and injected heat and momentum particles can be derived from the boundary conditions Eqs.(3.4)-(3.5). The heat and mass fluxes through cardboard walls parallel to one of the lattice principal directions $\hat{\mathbf{e}}_n$ are giving by

$$\mathbf{j}_q(\mathbf{x}) = \phi_q^{tot}[\rho_q(\mathbf{x} - \frac{1}{2}\Delta\mathbf{x}_n) - \rho_q^0]\hat{\mathbf{e}}_n, \qquad (3.21)$$

$$\mathbf{j}_f(\mathbf{x}) = 0, \qquad (3.22)$$

$$\frac{1}{\phi_q^{tot}} = \frac{1}{\phi_q^{int}} + \frac{1}{\phi_q^{ext}}, \qquad (3.23)$$

$$\phi_q^{int} = \frac{a}{\frac{1}{2}\Delta x_n}, \ \phi_q^{ext} = \frac{\lambda_c}{d_c} \frac{1}{\rho_p c_{p_p}}.$$
 (3.24)

The heat flux is stated as a quotient of a temperature difference and the heat resistance between the most exterior lattice site and the ambient, which is equal to the heat resistance of a half Wigner-Seitz cell in series with the heat resistance of the packaging material. As the packaging material is impermeable to air flow, the mass flux is zero.

The skew vertical cardboard wall is not parallel to a principal direction of the lattice, as shown in figure 3.2. In this case there are two heat fluxes from one exterior lattice site: $j_{q,x} = c_x(q_{out} - q_{in})$ and $j_{q,y} = c_y(q_{out} - q_{in})$. These fluxes are also expressed as a quotient of a temperature difference and a heat resistance:

$$\mathbf{j}_{q,\alpha}(\mathbf{x}) = \phi_{q,\alpha}^{tot}[\rho_q(\mathbf{x} - \Delta \mathbf{x}_{\alpha}) - \rho_q^0] \hat{\mathbf{e}}_{\alpha}, \qquad (3.25)$$

$$\frac{1}{\phi_{q,\alpha}^{iot}} = \frac{1}{\phi_{q,\alpha}^{int}} + \frac{1}{\phi_{q,\alpha}^{ext}},$$
(3.26)

$$\phi_{q,\alpha}^{int} = \frac{a}{\Delta x_{\alpha}}, \qquad (3.27)$$

$$\phi_{q,x}^{ext} = \cos(\zeta) \frac{\lambda_c}{d_c} \frac{1}{\rho_p c_{p_p}} , \ \phi_{q,y}^{ext} = \sin(\zeta) \frac{\lambda_c}{d_c} \frac{1}{\rho_p c_{p_p}}, \qquad (3.28)$$

where the angle ζ is defined in figure 3.2

5. Analytical solutions

The correctness of our LB schemes is investigated by comparison with analytical solutions of two simple 1-dimensional natural convection problems. The simulations are performed on the 3-D orthorhombic lattice. The vertical walls are assumed to be thermally insulating, and impervious to air flow. For the two problems considered, the top and bottom of the container are open. Here, constant ambient pressure and temperature are applied as boundary conditions. The pressure drop over the container is assumed to be purely due to gravity: $p(L_z) - p(0) = \rho_0 g L_z$.

As a first problem, the pressure and flow field for a constant non-uniform temperature field are calculated:

$$T(z) = T_1 \text{ for } 0 \le z < \frac{1}{2}L_z,$$

$$T(z) = T_0 \text{ for } \frac{1}{2}L_z \le z \le L_z.$$

From Darcy's law immediately follows the analytical solution, which states that there is a uniform velocity field, proportional with the average temperature \overline{T} , and two regions with a linear pressure profile:

$$\rho_{a}\mathbf{u} = \frac{\kappa}{\nu}\rho_{0}g\beta\overline{T}\hat{\mathbf{e}}_{z}, \qquad (3.29)$$

$$\nabla p = \rho_{0}g[1-\beta(T_{1}-\overline{T})] \text{ for } 0 \le z < \frac{1}{2}L_{z},$$

$$\nabla p = \rho_{0}g[1-\beta(T_{0}-\overline{T})] \text{ for } \frac{1}{2}L_{z} \le z \le L_{z}. \qquad (3.30)$$

The second problem addressed, is the temperature field generated by the heat production of the porous medium. With $Maple^{TM}$ the analytical solution of the velocity and temperature field for the stationary state of this problem, are obtained. The analytical solution of the pressure field is not known.

$$\rho_a \mathbf{u} = \frac{\kappa}{\nu} \rho_0 g \beta \overline{T} \hat{\mathbf{e}}_z, \qquad (3.31)$$

$$T(z) = T_0 + \frac{\xi z}{u_q} - \frac{\xi L_z}{u_q} \frac{1 - \exp(u_q z/a)}{1 - \exp(u_q L_z/a)}.$$
 (3.32)

The results of the simulations are shown in figure 3.3. As one can see the simulations results are in good agreement with the analytical solutions for a wide range of parameters. The velocity fields, calculated with Eq.(3.16), are also in agreement with the analytical solution.

6. Experiments

After testing the LB scheme against analytical solutions, it is applied to the problem of natural convection in seed potatoes containers. For the investigation of this problem cooling experiments are performed with two containers with different thicknesses of the sidewalls (17 mm and 40 mm).



Figure 3.3: Comparison of simulation data (solid lines) with analytical solutions (symbols) for two simple natural convection problems. Calculations are done for a range of the parameters $Bo = \beta(T_1 - T_0)$ and $Pe = u_q L_z/a$, with $\xi = 1$.

The packaging designs are without vent holes. The octagonal corrugated cardboard container has a height of 1.60 m, and it is placed on pallets measuring 1.20 m by 1.00 m. The container is loaded with 1000 kg of seed potatoes. During the experiment the packed potatoes, with an initial temperature of about 12° C, are placed in a cold storage with an temperature of -3°C. At several locations along the central axis the potato temperature is measured for a period of 8 days.

The LB simulations are performed on a 16 x 6 x 6 lattice. The simulation results consist of the temperature fields and velocity fields at given time intervals. From these results the temperature profile along the central axis is extracted. The profile is plotted at a 2 day interval, and compared with experimental data. The values of most physical parameters describing the natural convection process, see Eq.(3.1)-(3.3), are known from the literature⁸: ρ_a , c_{p_a} , β and ν are equal to the values for air; the bulk density of the potato load $\rho_p=726 \text{ kg/m}^3$; $c_{p_p}=3.637 \text{ kJ/kg/K}$, $\lambda_p = 0.30 \text{ W/m/K}$, $\lambda_c = 44 \text{ mW/m/K}$, $Q=7 \text{ W/m}^3$.

The permeability of the porous medium, κ , can be roughly estimated by measuring the air flow resistance of a packed bed of potatoes at relatively high air velocities. The permeability is fine-tuned towards the value $\kappa = 2461 \text{ m}^2$, in order to have a good fit between the numerical results and the



Figure 3.4: Comparison of simulation data (lines) with experimental data obtained from cooling experiments with two types of containers with 17mm and 40mm thick cardboard walls. Shown are the temperature profiles along the central axis at a two day interval. The initial temperature distribution is non-uniform (dashed line).

experimental data, obtained for the container with 17 mm thickness. Next, the simulation is performed for the other container with 40 mm thickness, using $\kappa = 2461 \text{ m}^2$. The final results are shown in figure 3.4, from which can be concluded that for both packaging designs the experimental and simulation data are in good agreement.

7. Conclusions

A Lattice Boltzmann scheme is developed, which is able to model the heat transfer by natural convection and conduction in porous media. The scheme has been checked against two problems with analytical solutions. Next, cooling experiments performed with potato containers are simulated. Good agreement between experimental data and numerical results is found.

The (convection-diffusion) LB scheme is mapped on an orthorhombic lattice. To our knowledge this has not been done before. Computational domains with irregular dimensions are more easily mapped on orthorombic lattices than on cubic lattices. The use of orthorhombic lattices can result in the reduction of the number of sites on the lattice and computation time compared to cubic lattices.

Boundary conditions are formulated using an uniform paradigm, based on the particle fluxes crossing the boundaries of the Wigner Sites cells. This new formalism shows opportunities for using in fluid flow problems, where a consistent formalism is still not present^{13,14}.

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Chapter 4

The vent hole design problem of a potato container

1. Introduction

In packaging systems of agricultural products the control of heat and mass transfer is of great importance for maintaining the quality of the packed product. The package must prevent the accumulation of heat and the condensation of moisture, which leads to degradation of quality. On the other hand, the package must protect the packed product from dehydration and adverse outside temperatures, which also affects the product quality. For every type of product, there are certain limits for the heat and mass transfer to the environment.

A convenient and frequently used means for controlling the heat and mass transfer in packages consists of vent holes. However, little fundamental knowledge or guidelines are available for an effective design of the vent holes. Using a numerical model, describing the heat and mass transfer in detail, this knowledge can be acquired and be used in designing vent holes.

In this paper, the problem of designing vent holes for containers of seed potatoes is studied. These containers, known as octabins, are made of corrugated board, hold 1000 kg of seed potatoes and have an octagonal shape.

to appear as: R.G.M. van der Sman, Solving the vent hole design problem for seed potato packagings with the Lattice Boltzmann scheme. Int. J. Comp. Fluid Dyn. (1998).

They are used during road transport and storage prior to planting. During road transport, which occurs in winter time, there is a risk of moisture condensation on the potatoes, because the outside temperatures can be significantly colder than the initial potato temperature of 8° C.

By means of a numerical model, we search a vent hole design of the seed potato container, that avoids condensation during the cooling conditions of road transport. The numerical model is an extension of the Lattice Boltzmann scheme ¹, which is used for modelling the natural convection inside a seed potato container without vent holes.

The Lattice Boltzmann (LB) scheme is a technique recently developed for modelling complex physical transport phenomena², such as hydrodynamics, natural convection and multi-phase flow^{3,4,5}, and the strongly coupled heat and mass transfer phenomena, which occur in packaging systems of agricultural products^{6,7}.

LB schemes take a particle description of matter, using so-called lattice gas particles. These particles carry macroscopic portions of physical quantities, such as mass, momentum, and energy. The lattice gas particles exist on a regular lattice. During each timestep, the lattice gas particles jump to neighbouring lattice sites, and subsequently, they scatter according to simple collision rules. These collision rules satisfy appropriate conservation laws. Despite their crude approximation of particle dynamics at the molecular level, LB schemes have shown to obey - to a good approximation - the desired continuum equations. Consequently, they can be used for studying phenomena at macroscopic time and length scales. Nevertheless, they retain the advantages of a particle description, including clear physical insight and easy implementation of boundary conditions.

The properties, mentioned above, make LB schemes very suitable as a generic framework for modelling the phenomena in packaging systems^{6,7}. This study is the first one, that applies the LB scheme to solve a design problem for a packaging system, which is actually going to be used in practice. Therefore, the vent hole design of the seed potato container represents the ultimate test case for the use of LB schemes as a modelling framework for heat and mass transfer in packaging systems for agricultural products.

2. Problem Description

During cooling, the heat and mass transfer in the seed potato container involves a variety of processes: 1) convection of heat and water vapour by airflow in the voids between the packed products; 2) heat conduction through the products, air and packaging material; 3) water vapour diffusion through the packaging material; 4) evaporation of water from the product; 5) condensation of water vapour on the product, and 6) the ventilation of

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heat and water vapour through the vent holes in the container.

For the description of these processes, the usual assumption, that packed agricultural products can be represented as a porous medium^{8,9,10}, is taken. In the regime of natural convection, the air temperature can be taken equal to the product temperature. Hence, the heat transfer can be described with a single energy equation. This equation has contributions from the heat conduction of packed product, and the convection of heat by the airflow.

The porous medium also allows water vapour transfer, which is due to the airflow and evaporation and condensation. Hence, the time evolution of the concentration c_a of water vapour in air is described by a convection diffusion equation with several source terms⁹:

$$\partial_t c_a + \mathbf{u} \cdot \nabla c_a = D \nabla^2 c_a + S_{ap} + S_{am}. \tag{4.1}$$

Here, **u** is the velocity field, D is the diffusivity of water vapour in air. S_{ap} and S_{am} are source terms, representing the evaporation and condensation. These source terms are also part of the mass balances of the water content in the potatoes ρ_w and of the amount of condensed moisture ρ_m :

$$\partial_t \rho_w = -S_{ap} \tag{4.2}$$

$$\partial_t \rho_m = -S_{am} \tag{4.3}$$

Both source terms are proportional with the difference between the water vapour concentration in air c_a and the saturated water vapour concentration c_a^{sat} , which is maintained in the boundary layers above the skin of the potatoes and above the condensed moisture. The saturated water vapour concentration c_a^{sat} is a function of the potato temperature $c_a^{sat} = c_a^{sat}(T_p)$.

However, evaporation and condensation can not occur simultaneously. Therefore, three regimes of mass transfer have to be differentiated:

a) Evaporation of water from the potato tissue, if $c_a < c_a^{sat}$ and $\rho_m = 0$,

- b) Condensation of moisture, if $c_a > c_a^{sat}$, and
- c) Evaporation of condensed moisture, if $c_a < c_a^{sat}$ and $\rho_m > 0$.

The condensed moisture is deposited on the potato. If the skin of the potato is covered with moisture, the evaporation of water from the potato is blocked. For clarity we have depicted the three ways of convective mass transfer occurring in the potato package of figure 4.1.

The rates of the convective mass transfer are given by:

$$S_{ap} = \beta_{ap} A_{spec} (c_a^{sat} - c_a) \text{ if } \rho_m = 0, \text{ and}$$
(4.4)
0, otherwise.

$$S_{am} = \beta_{am} A_{spec} (c_a^{sat} - c_a) \text{ if } \rho_m > 0 \text{ or } c_a > c_a^{sat}, \text{ and} \qquad (4.5)$$

0, otherwise.

Here, A_{spec} is the specific area of the packed product, and β_{ap} and β_{am} are mass transfer coefficients.



Figure 4.1: Convective mass transfer processes occurring in seed potato containers: a) Evaporation of water from the potato, b) Condensation, and c) Evaporation of condensed moisture. In cases b) and c) there is a film of condensed moisture on the potatoes.

The energy equation is also a convection diffusion equation with extra source terms⁹:

$$\rho_p c_{p_p} \partial_t T + \rho_a c_{p_a} \mathbf{u} \cdot \nabla T = \lambda_p \nabla^2 T + Q + r S_{ap} + r S_{am}. \tag{4.6}$$

Here, λ_p is the heat conduction of the porous medium, Q the heat of respiration, r the latent heat of water, $\rho_p c_{p_p}$ and $\rho_a c_{p_a}$ the specific heat capacities of respectively the packed product and the air.

The velocity field, u, of the airflow is driven by natural convection. It is described by Darcy's law (extended with a Boussinesq term) and the continuity equation:

$$-\nabla p - \frac{\nu}{\kappa} \rho_a \mathbf{u} + \mathbf{g} \rho_0 (1 - \beta (T - T_0)) = 0$$
(4.7)

$$\nabla \cdot \rho_a \mathbf{u} = 0 \tag{4.8}$$

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Here, p is the pressure, ν the viscosity of air, κ the permeability of the porous medium, ρ_a the mass density of air, **g** the gravitational acceleration, β the isothermal expansion coefficient, T_0 the ambient temperature and ρ_0 the mass density of the ambient air.

The problem description, Eq.(4.1)-(4.8), is completed with the statement of the boundary conditions. The walls of the container are impermeable to airflow, but allow heat conduction and mass transfer by diffusion. These boundary conditions are expressed as:

$$\partial_n p = 0 \; ; \; -\lambda_p \partial_n T = \frac{\lambda_c}{d_c} (T - T_0) \; ; \; -D\partial_n c_a = \frac{D_c}{d_c} (c_a - c_0). \tag{4.9}$$

Here, λ_c is the heat conductivity of the container wall, D_c is the water vapour diffusivity of the container wall, d_c is the thickness of the container wall, c_0 is the water vapour density of the ambient air, and ∂_n the spatial derivative normal to the wall surface.

Vent holes can be cut out of the sidewalls, the lid and the bottom. They allow air exchange between the container and the environment. For vent holes, with inward flow, we assume ambient conditions:

$$p = p_0 - \rho_0 g_z z \; ; \; T = T_0 \; ; \; c_a = c_0, \tag{4.10}$$

and for holes, with outward flow, free flow conditions:

$$p = p_0 - \rho_0 g_z z \; ; \; \partial_n T = 0 \; ; \; \partial_n c_a = 0. \tag{4.11}$$

3. Lattice Boltzmann schemes

The Lattice Boltzmann scheme gives a prescription for the evolution of the distribution function of the lattice gas particles, $g_i(\mathbf{x}, t)$. This function states the (ensemble averaged) number of particles at lattice site \mathbf{x} and time t, moving along the lattice vector $\hat{\mathbf{e}}_i$, which connects site \mathbf{x} with site $\mathbf{x} + \Delta \mathbf{x}_i$. By their restriction to discrete values of space and time, the velocities of lattice gas particles form a discrete set: $\mathbf{c}_i = \Delta \mathbf{x}_i / \Delta t$, as is shown is figure 4.2a. The macroscopic-observable physical quantities, which are carried by the lattice gas particles, are obtained by computing the moments of the particle distribution functions, such as the density $\rho_q(\mathbf{x}, t) = \sum_i g_i(\mathbf{x}, t)$.

The evolution of $g_i(\mathbf{x}, t)$ is a two-step process. During the first step the lattice gas particles collide, and during the second step they advance to the next neighbouring lattice sites along their direction of motion. The combined effect of these two steps can be described by a discrete version of the classical Boltzmann equation, i.e., the Lattice Boltzmann equation

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Figure 4.2: a) the six-velocity orthorhombic lattice, b) the particle flux at the boundary of the Wigner Seitz cell: $\mathbf{j}_f = (f_{out} - f_{in})\Delta \mathbf{x}/\Delta t$.

(LBE):

$$g_i(\mathbf{x} + \Delta \mathbf{x}_i, t + \Delta t) - g_i(\mathbf{x}, t) = \Omega_i^g = \sum_j \Omega_{ij}^g [g_j(\mathbf{x}, t) - g_j^{eq}(\mathbf{x}, t)]. \quad (4.12)$$

The collision term Ω_i^g of the LBE can be stated simply as a relaxation process towards an equilibrium distribution g_i^{eq} . In the computationally efficient Lattice BGK scheme the collision matrix reduces to a single parameter⁵: $\Omega_{ij}^g = -\omega_g \delta_{ij}$, with δ_{ij} the Kronecker delta. The relaxation parameter ω_g can be related to physical transport coefficients, such as the diffusivity. The LB scheme will model the desired physical phenomena, if an appropriate equilibrium distribution g_i^{eq} can be stated, and the lattice has sufficient symmetry to ensure isotropy².

3.1. Convection diffusion

For convection diffusion the equilibrium distribution $is^{5,1}$:

$$g_i^{eq} = w_i \rho_g + \frac{\mathbf{c}_i \cdot \mathbf{j}_g^{eq}}{2c_i^2}$$
, with (4.13)

$$\mathbf{j}_{g}^{eq} = \rho_{g} \mathbf{u}, \qquad (4.14)$$

$$w_i = c_s^2/2c_i^2$$
, and $\frac{1}{c_s^2} = \sum_i \frac{1}{2c_i^2}$. (4.15)

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The expression for the equilibrium distribution is easily derived from the requirements that $\sum_i g_i^{eq} = \rho_g$ and $\mathbf{j}_g^{eq} = \sum_i \mathbf{c}_i g_i^{eq} = \rho_g \mathbf{u}$. The weight factors w_i are determined by the requirement of isotropy¹. The parameter c_s^2 is a constant, related to the metric of the lattice, and appears in the expression for the diffusion coefficient^{*}:

$$D = c_s^2 (\frac{1}{\omega_g} - \frac{1}{2}) \Delta t.$$
 (4.16)

For convection diffusion the constraints for lattice symmetry are identical to those for diffusion, for which a two-fold lattice symmetry is sufficient⁷. This means that an orthorhombic lattice can be used for the convection diffusion scheme. This type of lattice is drawn in figure 4.2a.

3.2. Porous media flow

Flow through porous media can be modelled with lattice gas particles carrying mass of the flowing fluid, i.e., $\rho_f = \sum_i f_i = \rho_a$. The flow can be modelled with a LBE similar to that of convection diffusion¹. The equilibrium distribution is given by:

$$f_i^{eq} = w_i \rho_f + \frac{\mathbf{c}_i \cdot \mathbf{j}_f^{eq}}{2c_i^2}, \text{ with }$$

$$(4.17)$$

$$\mathbf{j}_f^{eq} = \rho_f \mathbf{u}^{eq} \tag{4.18}$$

The value of the equilibrium flux $\mathbf{j}_{f}^{eq} = \rho_{f} \mathbf{u}^{eq}$ can be deduced from Darcy's law, Eq.(4.7), by substitution of a zero pressure gradient, which is the equilibrium condition. For isotropic porous media flow a two-fold lattice symmetry is also sufficient¹. Consequently, Eq.(4.15) also applies to the weight factors w_{i} of LBE for porous media flow.

The flow field is obtained from the stationary solution of the porous media flow LBE, which is actually used as an iterative Poisson solver. Hence, the value of the relaxation parameters ω_f in the collision operator Ω_{ij}^f is arbitrary, and can be set equal to unity for computational efficiency.

3.3. Convective heat and mass transfer

Convective heat and mass transfer can be modelled as a source term Φ_i^g in the convection-diffusion LBE⁶. The source term, Φ_i^g , is a weighted function of the amount of transferred heat or mass:

$$\Phi_i^g = w_i \Phi_g. \tag{4.19}$$

^{*} This expression is correct for small flow Courant numbers $U = u\Delta t/\Delta x$, where non-Galilean corrections to this LB-scheme can be neglected (see ref.¹).

Here, $\Phi_g = \sum_i \Phi_i^g = S\Delta t$, with S the heat or mass flow. The weight factors, w_i , are equal to the values defined by Eq.(4.15).

4. LB scheme for heat and mass transfer in seed potato containers

After establishing how to model the various physical phenomena occurring in the seed potato container, the complete LB scheme, for the solution of the vent hole design problem, can be constructed. In this LB scheme three lattice gasses are introduced, which have the following distribution functions f_i, q_i, v_i , modelling the airflow, heat and vapour transport respectively.

All these lattice gasses populate an orthorhombic lattice, as is shown in figure 4.2a. Their densities are related to pressure $(\sum_i f_i = \rho_f = \rho_a \sim p)$, heat energy $(\sum_i q_i = \rho_q = \rho_p c_{p_p} T)$ and water vapour concentration $(\sum_i v_i = \rho_v = c_a)$. The water content in the potatoes tissue and the condensed moisture are modelled with stagnant particles, with densities ρ_w and ρ_m respectively.

Using a short hand notation for the collision terms and the source terms, the LB scheme can be written as:

$$f_i(\mathbf{x} + \Delta \mathbf{x}_i, t + \Delta t) - f_i(\mathbf{x}, t) = \Omega_i^J$$
(4.20)

$$q_i(\mathbf{x} + \Delta \mathbf{x}_i, t + \Delta t) - q_i(\mathbf{x}, t) = \Omega_i^q + \Phi_i^Q + \Phi_i^{ev}$$
(4.21)

$$v_i(\mathbf{x} + \Delta \mathbf{x}_i, t + \Delta t) - v_i(\mathbf{x}, t) = \Omega_i^v + \Phi_i^m + \Phi_i^w$$
(4.22)

$$\rho_m(\mathbf{x}, t + \Delta t) - \rho_m(\mathbf{x}, t) = -\Phi^m \tag{4.23}$$

$$\rho_w(\mathbf{x}, t + \Delta t) - \rho_w(\mathbf{x}, t) = -\Phi^w \qquad (4.24)$$

The collision term Ω_i^f models the porous media flow. Ω_i^q and Ω_i^v model the convection diffusion of heat and water vapour. The heat production by respiration and evaporation are modelled by the source terms Φ_i^Q and Φ_i^{ev} respectively. Φ_i^w describes the mass transfer from the water content of the potatoes to the air, and Φ_i^m describes the mass transfer from condensed moisture to the air. All source terms, generally denoted as Φ_i^g , are defined by Eq.(4.19), with the total transferred heat and mass equal to $\Phi^Q = Q\Delta t$, $\Phi^{ev} = r(S_{ap} + S_{am})\Delta t$, $\Phi^w = S_{ap}\Delta t$ and $\Phi^m = S_{am}\Delta t$.

During each time step, the velocity field **u** is computed from the stationary solution of Eq.(4.20). The velocity field **u** is determined by averaging the particle fluxes $\mathbf{j}_f = \rho_f \mathbf{u}$ at the boundaries of the Wigner-Seitz cell around the lattice site. The Wigner-Seitz cell is a primitive cell of the lattice with the lattice site in its centre. The boundaries of the Wigner-Seitz cell are midway and perpendicular to the links connecting adjacent lattice sites, as shown in figure 4.2b. This figure also shows the definition of the particle flux, which is equal to the net number of particles crossing the boundary times their velocity¹:

$$\mathbf{j}_f(\mathbf{x},t) = \mathbf{c}_i f_i(x + \frac{1}{2}\Delta x_i, t) + \mathbf{c}_j f_j(x - \frac{1}{2}\Delta x_i, t), \text{ with } \mathbf{c}_i = -\mathbf{c}_j \quad (4.25)$$



Figure 4.3: Cross section of the computational domain, with boundaries parallel to the principal axes coinciding with the boundaries of the Wigner- Seitz cells

4.1. Boundary conditions

The mapping of the bulk container onto the lattice is done such, that the boundaries of the Wigner-Seitz cells of the exterior lattice sites coincide with the walls of the containers parallel to the lattice links \mathbf{x}_i , as shown in figure 4.3. Due to the symmetries in the packaging design, the computational domain can be a quarter of the seed potato container, as indicated in figure 4.3.

The boundary conditions are formulated in terms of the particles fluxes \mathbf{j}_g crossing these boundaries, cf. ref.¹. The particle fluxes are defined by Eq.(4.25). For determination of their values, the particle flux is divided in a equilibrium flux and a non-equilibrium (dissipative) flux:

$$\mathbf{j}_g = \mathbf{j}_g^{eq} + \mathbf{j}_g^{neq} \tag{4.26}$$

The equilibrium particle flux \mathbf{j}_{g}^{eq} is due to external velocity fields or force fields. The non-equilibrium particle flux \mathbf{j}_{g}^{neq} is due to density gradients, and follows from a constitutive equation, such as Darcy's law, Fourier's law and Fick's law. The non-equilibrium particle flux at the boundaries of the computation domain is computed by taking the first order approximation of the constitutive equation:

$$\mathbf{j}_g^{neq} = \phi_g(\rho_g(\mathbf{x}_e) - \rho_g^0). \tag{4.27}$$

Here, $\rho_g(\mathbf{x}_e)$ is the number density at the most exterior lattice site, ρ_g^0 is the number density in the environment, and $1/\phi_g$ is the resistance to diffusion between lattice site at \mathbf{x}_e and the environment.

Symmetry lines At the symmetry lines the normal derivatives of all physical quantities are zero, and the normal components of the external force and velocities field are zero. This means, that at symmetry lines the lattice gas particle fluxes are zero:

$$\mathbf{j}_g = \mathbf{0}.\tag{4.28}$$

This is achieved by reflecting all particles leaving the computational domain: $g_{in} = g_{out}$.

Fluxes through packaging material As the packaging material of the potato container is impermeable to airflow, $\mathbf{j}_f = \rho_f \mathbf{u} = 0$, the equilibrium heat and water vapour particle fluxes are zero, i.e.,

$$\mathbf{j}_{g}^{wall} = \phi_{g,c} [\rho_{g}(\mathbf{x}_{e}) - \rho_{g}^{0}].$$
(4.29)

The resistance to heat and water vapour diffusion, $1/\phi_{g,c}$, is a series connection of the resistance of the wall, $1/\phi_g^{ext}$, and the resistance of the part of the Wigner Seitz cell, $1/\phi_g^{int}$, between the exterior lattice site at \mathbf{x}_e and the container wall¹:

$$\frac{1}{\phi_{g,c}} = \frac{1}{\phi_g^{ext}} + \frac{1}{\phi_g^{int}}.$$
(4.30)

Here, $\phi_g^{int} = D_g / \frac{1}{2} \Delta x_e$, and $\phi_{g,x}^{ext} = a_{g,c}/d_c$. D_g is the diffusivity of the particular lattice gas, as defined by Eq.(4.16). $\frac{1}{2} \Delta x_e$ is the distance between the exterior lattice site at \mathbf{x}_e and the boundary. The diffusivity of the packaging material is $a_{g,c}$. The thickness of the container walls is d_c .

Some sidewalls of the containers are not parallel to one of the lattice links Δx_i . The x- and y-component of the heat and water vapour particle

The vent hole design problem of a potato container

fluxes are described with $\mathbf{j}_{g,\alpha} = \phi_{g,\alpha}(\rho_g(\mathbf{x}_e) - \rho_g^0)$, cf. ref.¹. Here, the following definitions are used:

$$1/\phi_{g,\alpha} = 1/\phi_{g,\alpha}^{ext} + 1/\phi_{g,\alpha}^{int}, \tag{4.31}$$

with

$$\phi_{g,\alpha}^{int} = D_g / \Delta x_\alpha, \qquad (4.32)$$

$$\phi_{g,x}^{ext} = \cos(\zeta)a_{g,c}/d_c, \qquad (4.33)$$

$$\phi_{g,y}^{ext} = \sin(\zeta)a_{g,c}/d_c. \tag{4.34}$$

Here, ζ is the angle of the skew wall with the x-axis, as shown in figure 4.3.

Fluxes through vent holes At the vent holes with inward airflow, the heat and water vapour fluxes are described by:

$$\mathbf{j}_g^{hole} = \rho_g^0 \mathbf{u} + \rho_g^{int} [\rho_g(\mathbf{x}_e) - \rho_g^0]. \tag{4.35}$$

The equilibrium particle flux convects outside air with density ρ_g^0 into the container. The velocity **u** is determined by means of the mass flux \mathbf{j}_f crossing the boundary of the computational domain, which can be computed with Eq.(4.25). The non-equilibrium fluxes are proportional to ϕ_g^{int} , as there is no packaging material at the vent holes.

At vent holes with outward airflow, the heat and water vapour fluxes are described by:

$$\mathbf{j}_{g}^{hole} = \rho_{g}(\mathbf{x}_{e})\mathbf{u}.$$
(4.36)

Here, the non-equilibrium part is zero, as stated by the boundary condition Eq.(4.11). The equilibrium particle flux convects air from inside the container with density $\rho_g(\mathbf{x}_e)$ into the environment.

The mass flux through the vent hole is described by:

$$\mathbf{j}_f = \rho_f(\mathbf{x}_e)\mathbf{u}^{eq} + \phi_f^{int}[\rho_f(\mathbf{x}_e) - \rho_f^0(z)].$$
(4.37)

The equilibrium mass flux follows from Eq.(4.18). The internal reciprocal resistance is $\phi_f^{int} = c_s^2 \kappa / \nu$, as follows from Darcy's law Eq.(4.7) and the definition of the pressure, $p = c_s^2 \rho_f$.

Fluxes through permeable packaging material Vent holes, with dimensions smaller than the lattice spacing Δx_i , are modelled as porous wall material. The particle flux through the porous wall has a contribution from the flux through the vent holes and a contribution from the flux through the packaging material:

$$\mathbf{j}_g = \epsilon_c \mathbf{j}_g^{hole} + (1 - \epsilon_c) \mathbf{j}_g^{wall}.$$
(4.38)

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Here, ϵ_c is the porosity of the wall material, which is equal to the ratio of the vent hole area and the area of the exterior surface of the Wigner-Seitz cell.

5. Condensation of moisture during cooling conditions

For validation of our LB scheme, cooling experiments are performed with existing designs of the seed potato containers. The designs investigated are:

- Octabin #1, a container with 5 vent holes in 4 side walls, and
- Octabin #2, a closed container without vent holes.

These designs are drawn schematically in figure 4.4. Both designs have a ground floor of 1.20 m by 1.00 m and a height of 1.60 m. The thickness of the corrugated board is 14 mm. The vent holes have a diameter of 30 mm. The vent holes are placed in the skew sidewalls such, to prevent blockage of the holes during transport by adjacent containers.

The octabins are filled with 1000 kg of seed potatoes, having a diameter ranging from $d_p=35$ mm to $d_p=55$ mm. The initial temperature of the potatoes is 8°C. The filled octabins are stored in a cold room, controlled at -3°C and 85% relative humidity (R.H.) for 2 days. During this experiment the potato temperature is measured at various locations, as well as the relative humidity in the top of the octabin, and the amount of condensed moisture at the top layers of the potato load.

The experimental results show, that for both containers the R.H. at the top of the containers remained at saturated level for the whole period of 2 days. This is due to the occurrence of moisture condensation. At the end of the experiment in both containers, the condensed moisture is found largely in the top layer of potatoes up to a depth of approximately 12 cm. There has also been a small amount of moisture condensed on the inner liner of the corrugated board of the sidewalls. The amount of condensed moisture is not observed in the layers with a depth of 20-60 cm. Other layers have not been inspected. The time evolution of the measured temperatures are in compliance with our previous experiments and numerical simulations¹, and will not be discussed in detail again.

With the LB scheme the behaviour the potatoes in the octabins during the cooling experiments is simulated. The values of most parameter values are set equal to known values from the previous model study¹, and from material properties $(D_c=2.9\ 10^{-6}\ m^2/s)$. The yet unknown parameters are β_{am} and β_{ap} . At very low velocities, the assumption is justified⁹ that the



Figure 4.4: The temperature, R.H. distribution and velocity field in the vertical diagonal cross section after two days of cooling for the standard octabin and the closed octabin

Sherwood number $Sh = \beta_{am} d_p/D=2$, from which β_{am} can be calculated. The coefficient for mass transfer from potato to air β_{ap} depends strongly on the cultivar of potatoes and the time of the season, so it must be determined by trial simulations. From these simulations it is estimated that $\beta_{ap}=5 \ 10^{-5}$ m/s, which is of the same order as the value reported in literature⁹.

The simulations are performed with a lattice measuring 8 by 8 by 33 sites, and a time step $\Delta t=3$ s. By the application of the Lattice BGK scheme, the boundary conditions and steep gradients in the velocity field induce some small damped spurious oscillations, which also occur in other numerical schemes dealing with convection-diffusion problems¹². The oscillations are damped to an acceptable level if a Lattice Boltzmann scheme with a more general collision matrix, Ω_{ij}^{g} , is used. This scheme is the so-

called enhanced collisions LB scheme^{2,11,7}. A more detailed study on the reduction of these spurious oscillations will be performed in a subsequent paper¹³.

The numerical results of calculations using the above mentioned parameter settings, are shown in figure 4.4 and 4.5. The distribution of the R.H., temperature and the airflow velocity field in the vertical diagonal cross section after two days of cooling are displayed in figure 4.4. In figure 4.5 the amounts of condensed moisture are shown. As one can see the numerical simulation has reproduced the phenomena observed during experiments quite well: 1) only in the top layer the R.H. level is saturated and 2) moisture has condensed, with amounts that are in agreement with the experimental data $(147\pm15g$ for octabin #1 and $115\pm15g$ for octabin #2).

The occurrence of condensation in the top layer of potatoes can be explained by taking the airflow and temperature distribution into account, as indicated in figure 4.4. In the centre of the octabin there is an uprising airflow that is warm and highly humid, due to the heat and mass transfer from the potatoes to the air. In the top layer this uprising airflow meets a sudden decrease in temperature. As the concentration, c_a^{sat} , of saturated water vapour decreases with temperature, the air becomes oversaturated and the excess water vapour condenses.

In the closed octabin (design #2) the condensation occurs across the whole top layer of potatoes, whereas in octabin #1 with vent holes the condensation occurs only near the walls and the upper vent holes. These locations are indicated in figure 4.4 as regions with a R.H. level of 100%.

The displacement of the regions of condensation and the amount of condensed moisture in octabin #1, as compared to the closed octabin #2, are explained by the difference in airflow pattern. In octabin #1 the upper vent holes draw the uprising airflow in the centre of the container towards the sides of the octabin. Hence, it condenses in regions away from the centre, i.e., near the side walls and the vent holes. As the decrease in temperature near the sides is larger than in the centre of the box, the amount of condensed moisture is larger.

6. Numerical analysis of alternative vent hole designs

From the experimental and simulation results, described in the previous Section, it is clear that the vent hole design of octabin #1 does not decrease, but instead, increase the amount of condensed moisture, as compared to the octabin without vent holes. The simulations have shown, that the vent hole design changes the airflow pattern inside the container, and subsequently changes the temperature and humidity fields. By placing vent



Figure 4.5: The amounts of condensed moisture inside the various containers with vent holes after two days of cooling

holes in other locations, the internal airflow may be redirected such, that the condensation of moisture is reduced. This is investigated by performing simulations with octabins, having alternative vent hole designs.

The alternative vent hole designs investigated are:

- Octabin #3 with 26 holes distributed uniformly in the lid.
- Octabin #4 with 26 holes distributed uniformly at both the lid and bottom.
- Octabin #5 with 26 holes distributed uniformly at both the lid and bottom, and with 5 holes distributed at different height at the four side walls.

The vent hole designs are also drawn schematically in figure 4.5. All vent holes have a diameter of 30 mm. The heat and mass transfer during cooling conditions is computed for all alternative vent hole designs. The initial and environmental conditions are taken equal to those of the cooling experiments. The size of the lattice and the time step are taken equal to the values of the previous simulations with designs #1 and #2. The computed results for the alternative vent hole designs are shown in figures 4.5 and 4.6. Figure 4.6 shows the R.H., temperature and velocity field in the vertical diagonal cross section at the end of the cooling experiment.



Figure 4.6: The temperature, R.H. distribution and velocity field in the vertical diagonal cross section for various alternative vent hole designs

The explanation for the performance of each vent hole design can readily be deduced from the simulation results concerning temperature, R.H. and airflow velocity.

The performance of octabin #3 is similar to that of the closed container, octabin #2. The distributions of R.H., temperature show the same pattern, and the amount of condensed moisture is also comparable. This equal performance is explained by the nearly identical airflow patterns. There is very little air exchange through the vent holes in the top of the container. Vent

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holes at different heights are needed for enhancement of the air exchange with the environment by buoyancy, as shown by the designs octabin #1, #4 and #5.

Figure 4.6 shows, that the vent hole design of octabin #4 reduces the amount of condensed moisture. The reduction is achieved by the placement of the vent holes in the top of the container and the bottom of the container. The suction vent holes in the bottom mainly draw cold and dry air from the outside. Whereas, the holes in the top mainly exhaust warm and humid air. The suction of cold dry air at the bottom lowers the R.H. level in the container, as compared to the previous designs. By locating the exhaust vent holes at the top of the container, the location with the highest risk of condensation, the accumulation of moisture in the top layer of potatoes is effectively prevented.

The little condensation, that occurs in octabin #4, is located at the top of the sides of the container. Along the inner side of the walls of the container there is a downward airflow, drawing some warm humid air from the centre of the container. The air gets oversaturated in the cold spots near the top of the container walls, and condenses there.

In octabin #5 no condensation of moisture occurs. In comparison to octabin #4, this design has vent holes in the sides. Because of these vent holes there is no downward airflow along the sides. Due to vent holes at different heights in the sidewall, the airflow along the sides is mainly upward. At the top of the container no air is drawn from the centre to the sides. The action of both these processes result in the absence of condensed moisture.

7. Conclusions

In this paper a Lattice Boltzmann scheme is presented, that describes heat and mass transfer in porous media by natural convection. By computing the heat and mass transfer behaviour of various container Designs with the LB scheme, the vent hole design problem of a container for 1000 kg of seed potatoes has been solved.

Simulations in this study and the previous study¹, show that the model is well able to predict the experimentally observed heat and water vapour transfer in seed potato containers during cooling conditions, as occur during road transport.

Performing simulations with various containers having new vent hole designs, a seed potato container is found, in which no condensation occurs during the cooling conditions of road transport. This container has vent holes in the top, bottom and the sides. These vent holes draw cold dry outside air through the bottom and the sides, and exhaust warm and humid
air at the top. This airflow prevents the accumulation of water vapour, and subsequently the condensation of moisture. As condensed moisture, the biggest threat for the potato keeping quality, is absent, the seed potatoes can safely be transported in this container. If the seed potato containers will be used for storage after road transport, the developed LB scheme can be used for further optimisation of the vent hole design towards reduction of weight loss. In storage weight loss is also of importance for the keeping quality.

From the performance of the various container designs, as shown by the Simulations, some guidelines can be stated concerning the design of vent holes in bulk containers. These guidelines are:

- Vent holes should be placed at different heights, for enhancement of air exchange with the outside.
- Exhaust vent holes should be placed nearest to the regions with high risk of condensation of moisture.
- Suction vent holes should be placed as low as possible for aeration of the whole container.
- By placing extra vent holes in the side walls at different heights one achieves upward flow throughout the container, which minimises the risk of condensation.

Regions with high risks of condensation, and the possible locations of exhaust and suction holes, can be estimated best from the behaviour of a closed container without vent holes.

Given these results presented in this paper - the solution of the vent hole design problem and the design guide lines - it can be concluded, that the Lattice Boltzmann scheme is a useful tool for obtaining adequate solutions to engineering problems, such as the design of vent holes in bulk containers. The LB scheme is able to simulate both the heat and mass transfer phenomena as occurs in bulk containers.

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Chapter 5

Diffusion scheme on an orthorhombic lattice

1. Introduction

The Lattice Boltzmann scheme is a recently developed technique for modelling physical transport phenomena¹. The algorithm is quite simple and is derived from basic physical principles. Because of its simple nature, it is frequently, and successfully used for modelling complex phenomena, such as hydrodynamics, multi-phase flow, natural convection and reaction diffusion²⁻⁶. As the method is relatively new, most studies are done with high symmetry lattices, such as the cubic and square lattice. However, engineering problems may demand the use of less symmetric lattices. Regarding this problem few studies have been performed. This problem is investigated by analysing the Lattice Boltzmann scheme, that models isotropic diffusion on an orthorhombic lattice, with different lattice spacing in the three orthogonal directions.

Diffusion has rarely been the prime focus of studies using LB schemes⁷, although it is a simple phenomenon from both a mathematical and numerical point of view. Isotropic diffusion in a homogeneous medium is mathematically described by the following elliptic partial differential equation:

$$\partial_t \rho_g = D \nabla^2 \rho_g. \tag{5.1}$$

Here, ρ_g is the diffusing physical quantity and D is the diffusion coefficient.

to appear as: R.G.M. van der Sman, and M.H. Ernst, Diffusion Lattice Boltzmann scheme on an Orthorhombic Lattice. J. of. Stat. Phys., 94 (1/2): 203-217, (1999).

The solution of elliptical partial differential equations does not pose many problems for conventional numerical methods⁸. It is conceivable that this is also the case for Lattice Boltzmann schemes. Hence, diffusion is an ideal problem for detailed theoretical analysis of the LB scheme and for investigations directed towards generalisation and optimisation of the method. Furthermore, it is expected, that improvements of the Lattice Boltzmann technique found for diffusion can lead to new applications for more complex phenomena such as fluid flow.

In order to find all possible degrees of freedom, which can be used for improving the Diffusion LB scheme (DLB) is constructed from first principles, i.e., the conservation laws and lattice symmetry requirements. The properties of the DLB scheme concerning consistency, stability, and accuracy are analysed in terms of eigenmodes^{9,10}. Using the eigenmode analysis, we investigate ways of improving the performance of the DLB scheme using its extra degrees of freedom.

2. Lattice Boltzmann scheme

2.1. Lattice Boltzmann Equation

The general formulation of the Lattice Boltzmann scheme, can be written as cf. ref.¹:

$$g_i(\mathbf{x} + \mathbf{c}_i \Delta t, t + \Delta t) = g_i(\mathbf{x}, t) + \Omega_{ij}[g_i^{eq}(\mathbf{x}, t) - g_j(\mathbf{x}, t)] = A_{ij}g_j(\mathbf{x}, t).$$
(5.2)

Here, the distribution function $g_i(\mathbf{x}, t)$ represents the number of particles on lattice site \mathbf{x} at time t, moving with velocity \mathbf{c}_i . The velocities are chosen such that at the next time step $t + \Delta t$ the particles move to neighbouring sites $\mathbf{x} + \Delta \mathbf{x}_i$, hence $\mathbf{c}_i = \Delta \mathbf{x}_i / \Delta t$. Here, g_i^{eq} is the local, single particle, equilibrium distribution. In the case of diffusion the equilibrium distribution is a weighted function of the density $\rho_g(\mathbf{x}, t) = \sum_i g_i(\mathbf{x}, t)$, i.e., $g_i^{eq}(\mathbf{x}, t) = w_i \rho_g(\mathbf{x}, t)$. The weight factors depends on the geometry of the lattice and will be specified below. Furthermore, Ω_{ij} is a relaxation matrix. The matrix elements A_{ij} are the transition rates between states, which are associated with a particular velocity \mathbf{c}_i . This formulation of the LB equation, Eq.(5.2), is also known as the enhanced collisions LB scheme¹.

The standard requirements¹¹ for any LB collision operator are: (1) the transition rates are normalised, which guarantees that the collisions are conserving the number of particles, and (2) the collision operator must allow a collision invariant equilibrium distribution g_i^{eq} . These requirements impose:

$$\sum_{i} A_{ij} = 1, \qquad (5.3)$$

Diffusion scheme on a orthorhombic lattice

$$\sum_{j} A_{ij} g_{j}^{eq} = g_{i}^{eq}.$$
 (5.4)

2.2. Symmetries

In order for the Lattice Boltzmann Equation to describe the desired physical phenomena, the lattice and the collision operator should have certain symmetries, cq. invariances. In case of isotropic diffusion the constraints are:

i) the collision operator is invariant under all isometries of the Bravais lattice, and

ii) second rank tensors are isotropic.

The constraint ii) guarantees that the diffusivity tensor $D_{\alpha\beta}$ is isotropic, i.e., reduces to $D\delta_{\alpha\beta}$. The standard definitions, Eqs.(5.3-5.4), combined with constraint ii) impose the following conditions on $g_i^{eq_{12}}$:

$$\sum_{i} g_i^{eq} = \rho_g, \qquad (5.5)$$

$$\sum_{i} c_{i,\alpha} g_i^{eq} = 0, \qquad (5.6)$$

$$\sum_{i} c_{i,\alpha} c_{i,\beta} g_i^{eq} = \rho_g c_s^2 \delta_{\alpha\beta}.$$
 (5.7)

The constant c_s^2 is related to the metric of the Bravais lattice. For fluid flow problems it is identified as the speed of sound of the lattice gas. For diffusion problems, it has no direct physical meaning.

Investigation of the conditions Eqs.(5.5)–(5.7) shows that they are satisfied on a lattice with principal axes having two-fold rotation symmetry. In three dimensions the lattice gas can reside on an orthorhombic lattice with lattice spacings $\Delta x_{\alpha} = c_{\alpha} \Delta t$, which are in general unequal. Each lattice site has six states, corresponding to the velocity vectors connected to the six nearest neighbouring sites, defined as $c_i = -c_{i+3} = c_{\alpha}$. The orthorhombic lattice and its associated particles velocities are drawn in figure 5.1.

Eqs. (5.5)-(5.7) are indeed satisfied by a weighted function of the number density: $g_i^{eq} = w_i \rho_q$. The weight functions are given by:

$$w_i = \frac{c_s^2}{2c_i^2}$$
, with $\frac{1}{c_s^2} = \sum_i \frac{1}{2c_i^2}$. (5.8)



Figure 5.1: The six-velocity orthorhombic lattice.

2.3. Collision matrix

Taking into account the two-fold rotation symmetry of the orthorhombic lattice, one can formulate the most general expression for the collision matrix A_{ij} :

$$A = \begin{pmatrix} A_{11} & A_{12} & A_{13} & B_{11} & A_{12} & A_{13} \\ A_{21} & A_{22} & A_{23} & A_{21} & B_{22} & A_{23} \\ A_{31} & A_{32} & A_{33} & A_{31} & A_{32} & B_{33} \\ B_{11} & A_{12} & A_{13} & A_{11} & A_{12} & A_{13} \\ A_{21} & B_{22} & A_{23} & A_{21} & A_{22} & A_{23} \\ A_{31} & A_{32} & B_{33} & A_{31} & A_{32} & A_{33} \end{pmatrix}.$$
(5.9)

Note that the collision matrix is in general not symmetric, i.e., $A_{\alpha\beta} \neq A_{\beta\alpha}$.

The collision matrix is fully characterised by its eigenvalues μ_{α} and eigenvectors $v_{\alpha,i}$, where the right and left eigenvectors are respectively defined as:

$$\sum_{j} A_{ij} w_{j} v_{a,j} = \mu_{a} w_{i} v_{a,i}, \qquad (5.10)$$

$$\sum_{i} v_{a,i} A_{ij} = \mu_a v_{a,j} \tag{5.11}$$

Using this definition we can construct the eigenvectors, following the procedure in ref.¹³. Diffusion scheme on a orthorhombic lattice

The first eigenvector follows directly from the standard requirements, Eqs. (5.3)-(5.4), which are satisfied if there exists an eigenvector with

$$\mu_0 = 1 \; ; \; v_{0,i} = 1. \tag{5.12}$$

This is the so-called density mode eigenvector, the left eigenvector is commonly denoted as < 1. The density follows from projecting the state vector g_i onto the eigenvector, meaning that $\rho = < 1|g >$.

Next, there are three eigenvectors $\langle v_{\alpha} |$ of odd parity under inversion of all velocities $(c_i \rightarrow -c_i)$. These so-called flux modes can be related to Cartesian components of the gradient in the number density $\partial_{\alpha} \rho \sim \langle v_{\alpha} | g \rangle$. In order to have an isotropic diffusion, the corresponding eigenvalues must be equal (3-fold degenerate):

$$\mu_{\alpha} = \lambda \; ; \; v_{\alpha,i} = c_{i,\alpha}. \tag{5.13}$$

The remaining two eigenvectors have even parity under velocity inversion, and can be related to second order derivatives of the number density. The corresponding eigenvalues must be equal (2-fold degeneracy) to guarantee isotropic diffusion, requiring:

$$\mu_{\alpha+\beta} = \kappa \; ; \; v_{\alpha+\beta,i} = c_{i,\alpha}^2 - c_{i,\beta}^2, \; \text{with} \; \beta \neq \alpha. \tag{5.14}$$

All eigenvectors and corresponding eigenvalues are listed in Table I.

After some lengthy, but straightforward algebra using the eigenvalue equations, one finds the expressions for the components of the collision operator:

$$A_{\alpha\alpha} = w_{\alpha}(1-\kappa) + \frac{1}{2}(\kappa+\lambda), \qquad (5.15)$$

$$A_{\alpha\beta} = w_{\alpha}(1-\kappa), \quad \text{with } \alpha \neq \beta, \quad (5.16)$$

$$B_{\alpha\alpha} = w_{\alpha}(1-\kappa) + \frac{1}{2}(\kappa-\lambda). \qquad (5.17)$$

It is readily seen that the two-fold symmetry of the lattice and the standard requirements, Eqs. (5.3)- (5.4), are satisfied, and that the collision matrix meets the detailed balance condition:

$$A_{ij}w_j = A_{ji}w_i. \tag{5.18}$$

Therefore, we have shown that the imposed lattice symmetries together with the implied isotropic diffusion *imply* the detailed balance condition Eq.(5.18).

< 1	1	1					
		T	1	1	1	1	1
$< c_1$	0	0	-c ₁	0	0	λ	$1-\omega$
< 0	c_2	0	0	$-c_2$	0	λ	$1-\omega$
< 0	0	<i>c</i> 3	0	0	- <i>c</i> 3	λ	$1-\omega$
$< c_x^2$	$-c_y^2$	0	c_x^2	$-c_{y}^{2}$	0	κ	$1 - \omega$
< 0	c_y^2	$-c_{z}^{2}$	0	c_y^2	$-c_{z}^{2}$	κ	$1-\omega$
	$c c_1$ c 0 c 0 $c c_x^2$ c 0	$\begin{array}{ccc} c_1 & 0 \\ c_2 & c_2 \\ c_3 & 0 \\ c_4 & c_2 \\ c_x^2 & -c_y^2 \\ c_y & c_y^2 \end{array}$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$

Table I Eigenvectors \mathbf{v}_a and eigenvalues of the the DLB scheme μ_a^{DLB} and Lattice BGK scheme μ_a^{BGK}

Having obtained the expression for the collision operator, we have the most general diffusion Lattice Boltzmann (DLB) scheme. The DLB scheme has two degrees of freedom, eigenvalues λ and κ , and is valid on lattices with only two-fold symmetry, which holds for the orthorhombic lattice in three dimensions.

The DLB scheme has an extra degree of freedom over the traditionally used Lattice BGK scheme¹⁴. The DLB scheme becomes equal to the BGK scheme when setting $\lambda = \kappa = 1 - \omega$. For comparison, the set of eigenvectors and eigenvalues for the BGK scheme are also listed in Table I. Hence, the Lattice Boltzmann equation for the BGK scheme is written as:

$$g_i(\mathbf{x} + \mathbf{c}_i \Delta t, t + \Delta t) = g_i(\mathbf{x}, t) + \omega [g_i^{eq}(\mathbf{x}, t) - g_i(\mathbf{x}, t)].$$
(5.19)

In order to be consistent with existing literature, we use below $1-\omega$ instead of λ for the notation of eigenvalues of the flux modes.

3. Eigenmode analysis

3.1. Eigenmodes

Having constructed the diffusion Lattice Boltzmann scheme with a collision operator A_{ij} satisfying all required symmetry properties, the consistency, stability and accuracy are determined by analysing the LB equation Eq.(5.2) in terms of eigenmodes⁹. This approach is very similar to the traditional von Neumann stability analysis of numerical schemes.

Assuming an unbounded or periodic lattice, the eigenmodes of the Lattice Boltzmann equation are given by:

$$g_i(\mathbf{x}, t) = \tilde{g}_i(\mathbf{k}) \exp(st + i\mathbf{k} \cdot \mathbf{x}), \qquad (5.20)$$

Here s = s(k) is the relaxation rate of the eigenmode. Substitution of the ansatz Eq.(5.20) into the LB equation Eq.(5.2) leads to the eigenvalue equation:

$$\exp(-i\mathbf{k}\cdot\Delta\mathbf{x}_i)A_{ij}\tilde{g}_j(\mathbf{k}) = \exp(s(k)\Delta t)\tilde{g}_i(\mathbf{k}).$$
(5.21)

From the spectrum of the eigenvalues $\mu(k) = \exp(s(k)\Delta t)$, information about the consistency, stability and accuracy can be deduced. The number of eigenmodes of the LB equation is equal to the number of states at a lattice site. The eigenmodes can be divided in slow modes, related to conservation laws, and kinetic modes, which usually decay rapidly^{9,10}. As diffusion is only concerned with conservation of mass, the DLB scheme has only one slow diffusive mode. The scheme will show diffusive behaviour if the relaxation rate of the diffusive eigenmode is significantly slower than that of the kinetic modes.

The LB scheme is consistent with diffusion if for the dominant (diffusive) eigenmode it holds that $Re(s(\mathbf{k})) \simeq -Dk^2$ and $Im(s(\mathbf{k})) = 0$. Then the time evolution of the number density will be:

$$\rho_g(\mathbf{x},t) = \sum_i g_i(\mathbf{x},t) = \rho_0 \exp(-Dk^2 t) \exp(i\mathbf{k} \cdot \mathbf{x}), \qquad (5.22)$$

as follows from the Laplace transformation of Eq.(5.1). The diffusion coefficient D depends on the eigenvalues of the collision operator A_{ij} and will be derived below by means of the perturbation analysis of the eigenvalue equation.

3.2. General properties

The properties of the LB scheme are discussed using a simple case, for which there is an analytical solution for the eigenvalue problem Eq.(5.21).

The case studied is that of a Lattice BGK scheme applied to a cubic lattice for eigenmodes having wave vectors equal to $\mathbf{k} = k(1, 1, 1)$. The corresponding eigenvalue equation is solved using the algebraic manipulation software package MapleTM:

$$\mu_{0,1}(k) = (1 - \frac{1}{2}\omega)\cos(k) \pm [(1 - \frac{1}{2}\omega)^2\cos^2(k) + (\omega - 1)]^{\frac{1}{2}} (5.23)$$

$$\mu_{2,3}(k) = (1-\omega)\exp(+ik)$$
(5.24)

$$\mu_{4,5}(k) = (1-\omega) \exp(-ik), \qquad (5.25)$$

The properties of the LB scheme follow from the spectrum of the eigenvalues, as shown in figure 5.2. The dispersion relations are qualitatively similar to those of hydrodynamic LB schemes¹⁰, and therefore the properties presumably hold for LB schemes in general. These properties are:

- The LB scheme has one single diffusive mode decaying, for small k, at a rate $s(k) = -Dk^2$. It is dominant over the kinetic modes for a wide range of wavelengths k in the range of $1 \le \omega \le 2$.
- The LB scheme is unconditionally stable, i.e., $|Re(\mu_a(k))| \leq 1$ holds for all eigenmodes.
- In the range of $0 \le \omega \le 1$ the diffusive behaviour of the scheme is limited. At relatively large wavelengths the diffusive mode becomes mixed with a kinetic mode.
- In the range of $\omega \to 2$, the diffusive mode is not dominant over all kinetic modes. Consequently, the LB will show spurious oscillations due to slowly damped (oscillating) kinetic modes, i.e., $Re(\mu_a(k)) \to -1$ and $Im(\mu_a(k) \neq 0)$.

Take note, that these properties hold only for unbounded or periodic lattices. For finite lattices the results found, will be valid for the inner part of the lattice. Near and at the boundaries the effects of the boundary conditions become significant. Sharp gradients at the boundaries induce instabilities or spurious modes, which cannot be captured with this eigenmodes analysis.

3.3. Perturbation analysis

As diffusive behaviour is obtained in the long wave length regime (k < 1), we can determine the accuracy of the LB scheme by expanding the eigenvalue equation Eq.(5.21), cf. ref.⁹, in powers of the wave vector of



Figure 5.2: The spectrum of eigenvalues of a Lattice BGK scheme for $\omega = 0.25$, 0.75, 1.25 and 1.75 applied on a cubic lattice, with $\Delta x_i = 1$. The wave vector of the eigenmode is $\mathbf{k} = (k, k, k)$. The thick solid line is the dispersion relation of the eigenvalue μ_0 of the diffusive mode. The dashed line represents the dispersion relation for eigenvalue μ_1 . The other dispersion relation are shown as thin solid lines. Observe that the diffusive mode at finite k.

length k, and having an arbitrary direction. Furthermore, this perturbation analysis gives us the relation between the diffusion coefficient and the relevant eigenvalue of the collision operator.

In this analysis, the wave-vector expansion is applied to the particle distribution \tilde{g}_i and the relaxation rate s:

$$\tilde{g}_i(\mathbf{k}) = \tilde{g}_i^{(0)} + ik\tilde{g}_i^{(1)} + (ik)^2\tilde{g}_i^{(2)} + O(k^3), \qquad (5.26)$$

$$s(k) = (ik)s_1 + (ik)^2s_2 + (ik)^3s_3 + O(k^4).$$
(5.27)

The particle distribution function is expanded as a series of perturbations $\tilde{g}_i^{(n)}$ around the equilibrium distribution $\tilde{g}_i^{(0)} = g_i^{eq}$. As there are no propagating modes for the diffusion problem, see figure 5.2, the eigenvalue s(k) is an even function of the wave number, i.e., $s_1 = 0$ and $s_3 = 0$.

After substitution of the expansions, Eq.(5.26)-(5.27), in the eigenvalue equation Eq.(5.21) and performing a Taylor expansion, one obtains:

$$ik\tilde{g}_{i}^{(1)}(\mathbf{k}) = -\frac{i\mathbf{k}\cdot\Delta\mathbf{x}_{i}}{\omega}\tilde{g}_{i}^{(0)}$$
(5.28)

$$(ik)^{2}\tilde{g}_{i}^{(2)}(\mathbf{k}) = -\frac{1}{1-\kappa}(\frac{1}{\omega}-\frac{1}{2})[(i\mathbf{k}\cdot\Delta\mathbf{x}_{i})^{2}-(ik)^{2}\Delta\mathbf{x}_{s}^{2}]\tilde{g}_{i}^{(0)} (5.29)$$

where $\Delta x_s^2 = c_s^2 \Delta t^2$. For s_2 one finds:

$$s_2 = c_s^2 (\frac{1}{\omega} - \frac{1}{2}). \tag{5.30}$$

Hence, the relaxation rate of the diffusive mode is $s \simeq -k^2 D + O(k^4)$. The diffusion LB scheme is accurate up to the third order in the wave number k. The expression for the diffusion coefficient in Eq.(5.30), i.e., $D = c_s^2 (1/\omega - 1/2) \Delta t$, is identical to the one for the Lattice BGK scheme on a cubic lattice⁷. The diffusion coefficient depends only on the eigenvalue of the flux modes. The effects of the different lattice spacing are totally absorbed in the constant c_s^2 .

4. Optimisation of the DLB scheme

4.1. Free parameter κ

As is shown above, the diffusion coefficient depends only on the eigenvalue of the flux modes $1 - \omega$. This means that the eigenvalue κ of the collision operator can be used for optimisation of the consistency and accuracy of the LB scheme.

An obvious choice for the free parameter κ is to set it to zero, so that the associated kinetic modes will die out immediately^{1,10}. It is expected

i



Figure 5.3: The spectrum of eigenvalues at $\mathbf{k} = (k, 0, 0)$ of the BGK scheme (dashed lines) and the DLB scheme (solid lines) for $\omega = 0.25, 0.75, 1.25$ and 1.75 applied on a cubic lattice with $\Delta x_i = 1$. In the left part of the figure the ratio of the real part of the relaxation coefficient of the diffusive mode Re(s) and the theoretical dispersion relation $s = -Dk^2$ is shown. In the right part the imaginary part of the eigenvalue $Im(\mu)$ for all eigenmodes are shown.

that this choice of $\kappa = 0$ will lead to improved diffusive behaviour. This hypothesis is checked numerically, by comparing the spectra of the eigenmodes for the DLB scheme with those for the Lattice BGK scheme. The spectra are computed for $\mathbf{k} = (k, 0, 0)$ and for four values of the relaxation parameter ω . The plots of the spectra are shown in figure 5.3.

The ratio between the computed value of the relaxation rate and the theoretical value $(s(k) = -Dk^2)$ is shown in the right part of the figure 5.3. Deviation of this ratio from 1 means deviation from diffusive behaviour. Indeed, one observes from figure 5.3 that the DLB scheme has improved diffusive behaviour over the BGK scheme, because for $\omega \ge 3/4$ the relaxation rate $s(k) = -Dk^2$ for a larger range of wavelengths. One observes in the left part of figure 5.3 spurious propagating modes, occurring in the BGK scheme. These modes are absent in the DLB scheme. For all eigenmodes Im(s(k)) = 0 in the range of $\omega \ge 3/4$. It must be noted that elimination of spurious modes occurs only for certain directions of the wave-vector. If $\mathbf{k} = (k, k, k)$ the flux modes will show oscillating behaviour, which is not eliminated by setting $\kappa = 0$.



Figure 5.4: The ratio of the real part of the relaxation rate of the diffusive mode Re(s(k)) over the theoretical value $-Dk^2$, as a function of the normalised wavenumber $k\Delta x$. The wave vector of the eigenmode is $\mathbf{k} = (k, 0, 0)$. Lattice spacings are $\Delta x = \frac{1}{2}$ (solid lines), 1 (dashed lines), 2 (points), and $\Delta y = \Delta z = 1$.

Diffusion scheme on a orthorhombic lattice

4.2. Lattice spacing

Another way of improving the diffusive behaviour of the LB scheme is to increase the number of lattice sites along the direction of the density gradient $\nabla \rho_g$. We show this by computing the spectra of the DLB scheme (with $\kappa = 0$) for different lattice spacing along the direction of wave vector $\mathbf{k} = (k, 0, 0)$. We have set $\Delta x = \frac{1}{2}$, 1, 2, and $\Delta y = \Delta z = 1$. The computed spectra are shown in figure 5.4. Again, the ratio of the computed value and the theoretical value of the relaxation rate of the diffusive mode is plotted as a function of the wave number, for $\omega = 1.25$ and $\omega = 1.75$. This ratio is about 1 for a larger range of wave numbers for $\Delta x = \frac{1}{2}$, especially for high ω . Consequently, the deviation from pure diffusive behaviour is smallest for $\Delta x = \frac{1}{2}$.

Hence, if there is prior knowledge about the gradients in the density field, the metric of the lattice can be optimised for that particular case. The lattice should be densified along the direction of the gradient. On the other hand, the lattice can be stretched along the opposite directions, such that the total number of lattice sites can be kept limited.

5. Discussion

A diffusion Lattice Boltzmann (DLB) scheme is constructed from first principles, i.e., lattice symmetry requirements and the conservation laws. Isotropic diffusive behaviour is obtainable from orthorhombic lattices with six different velocity states. The collision operator of the DLB scheme has two eigenvalues, of which only one is related to the diffusion coefficient. The other eigenvalue can be chosen freely and is used for improving the performance of the DLB scheme.

The procedure of constructing of the DLB scheme from first principles can be equally well applied to other phenomena such as convectiondiffusion and hydrodynamics. The Lattice-BGK schemes currently used for convection-diffusion and hydrodynamics, can be made more general, as indicated by the existence of a hydrodynamic LB scheme for 2-D rectangular lattices with nine velocities², and the two-parameter LB scheme for hydrodynamics¹⁰.

The eigenmode analysis is a valuable tool for analysing the properties of the DLB schemes concerning consistency, stability and accuracy. The properties of the diffusion DLB scheme are:

- The behaviour of the DLB scheme is consistent with diffusion for a wide range of ω and wave number k.
- The validity of the DLB scheme is poor for $0 < \omega < 1$.

- The validity of the DLB scheme for $\omega \approx 2$ is limited to low wave numbers. Sharp gradients, which occur near boundary conditions, will lead to spurious oscillations.
- The DLB scheme is unconditionally stable.
- The DLB scheme has at least second order accuracy.
- By setting free eigenvalues (κ) equal to zero, both the range of consistency with diffusion and the damping of spurious oscillations are improved.
- The diffusive behaviour of the DLB scheme is improved if the lattice spacing is densified along the direction of the gradient.

These properties the DLB scheme hold also for hydrodynamic LB schemes¹⁰ and probably for LB schemes in general.

Recent studies have recognised, that LB schemes are a special discretisation of the classical Boltzmann equation^{15,16}. They show that other discretisation schemes, as employed by the Finite Difference method, and irregular lattice spacing may equally well be applied to LB schemes. The construction procedure and the method of analysis presented in this paper can be valuable tools for investigation of the properties of such new schemes. It may be worthwhile to perform this investigation first for diffusion, as this is the simplest phenomenon that can be modelled with LB schemes, whilst the findings probably also hold for more complex phenomena as hydrodynamics.

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Chapter 6

Convection-diffusion scheme for irregular lattices

1. Introduction

In the last decade Lattice Boltzmann schemes have been successfully applied to the analysis of a variety of complex physical phenomena, such as turbulent flow, natural convection, and multi-phase flow¹⁻⁴. Probably due to the complexity of the problem considered, the Lattice Boltzmann schemes have not yet been thoroughly compared to traditional numerical schemes, such as Finite Element and Finite Difference Methods. This comparison becomes more feasible when considering less complex phenomena, such as diffusion and convection-diffusion. These phenomena have been addressed only recently⁵⁻⁸.

The numerical solution of convection-diffusion phenomena presents serious difficulties in cases where convection dominates over diffusion. Standard techniques like Galerkin Finite Elements or (Upwind) Finite Differences are plagued with either spurious numerical oscillations or high numerical diffusion¹¹. These difficulties have been eliminated largely by dedicated, higher-order schemes like streamline-upwind Petrov-Galerkin (SUPG)¹², or Crank-Nicolson Taylor-Galerkin (CNTG)¹³. These procedures are fairly complicated, and present a large hurdle for applying the schemes to practi-

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cal engineering problems like solute transport in soils¹⁵, vapour transport in textiles¹⁷, drying and cooling of foods^{18,19}, and conditioning agricultural products in packaging systems^{6,7,9}. Often these problems require other phenomena, like porous media flow and moisture sorption, to be modelled. This makes the high-order schemes, which are especially dedicated to pure convection-dominated problems, less appropriate for the practical engineering problems mentioned above.

In Lattice Boltzmann schemes physical transport phenomena are modelled in a very direct way, by having particles propagate from site to site on a lattice of grid points. After propagation, these so-called lattice gas particles collide with other particles, arriving at the same lattice site. If appropriate physical conservation laws are satisfied, and if the lattice possesses some minimal degree of symmetry, the averaged behaviour of the lattice gas particles exhibits the proper physical behaviour²⁰.

Given the problems with standard Finite Element and Finite Difference techniques and the complexity and specificity of high-order schemes on one hand, and the simplicity of the Lattice Boltzmann method on the other hand, we have applied the LB scheme as an alternative tool to solve convection-diffusion problems in packaging systems for agricultural products. These applications have been quite successful. The LB schemes have reproduced experimental data with a reasonable accuracy^{6,7,9}. Furthermore, they have solved the moisture condensation problem in a packaging system for potatoes⁷.

The LB-scheme can exhibit numerical oscillations, as is found in the initial stage of the cooling of packaged agricultural products^{7,9}. In models, describing both heat and water vapour transfer, the oscillations can lead to artificial condensation of moisture, which is a numerical artefact and physically incorrect.

The aim of this paper is to investigate how the properties of the convectiondiffusion Lattice Boltzmann scheme can be improved, such that problems, like spurious oscillations, are reduced. Two approaches are taken: 1) local refinement of the computational grid, and 2) increase of the accuracy.

The problem of refined grids has been addressed in a few papers^{23,24,25}, using either coarse-graining or interpolation techniques. These techniques imply a significant departure from the traditional framework of the Lattice Boltzmann scheme, thereby loosing their attractive properties. Moreover, they exhibit significant numerical diffusion and do not satisfy conservation laws²⁶.

In this paper, a LB scheme for convection-diffusion on irregular grids is derived, which does fit in the traditional framework of LB-schemes, and therefore retains the attractive properties of the LB-schemes on regular lattices. The investigation is started with the derivation of a convection-diffusion scheme for Bravais lattices with improved accuracy, using the framework developed in refs.^{21,8}. The key point of this framework is that the velocity moments of the equilibrium particle distribution function must equal those of the classical Maxwell-Boltzmann distribution.

For convection-diffusion it is sufficient that the velocity moments up to second order are satisfied⁴. In that case the scheme is Galilean invariant and will show little numerical diffusion. It has been shown that the constraints for the velocity moments are satisfied for highly symmetric lattices, such as the hexagonal lattice and the nine-velocity square lattice^{4,1,22}.

Because we like to extend the Lattice Boltzmann methodology to irregular grids, we will consider the more convenient lattices in d dimensions, having unit (Wigner-Seitz) cells with only two-fold rotational symmetry. Hereby, we extend our previous studies^{6,7} on convection-diffusion problems on orthorhombic lattices with 2d velocities. In this paper rest particles are also included, for which is shown that they improve the accuracy at the convection-diffusion scheme⁴.

The investigation is started with the deriviation of the convectiondiffusion scheme for the orthorhombic lattice with 2d + 1 particle velocities. Subsequently, a convection-diffusion LB-scheme is derived for irregular lattices. Finally, both LB-schemes are compared with a number of the traditional Finite Difference and Finite Element schemes using benchmark problems, in order to assess the merits and shortcomings of the LB schemes.

2. LB scheme for orthorhomic lattices

In this section, a LB scheme is derived for convection-diffusion on orthorhombic lattices. For the convection-diffusion problems considered in this paper we assume: 1) isotropic diffusion and 2) an externally imposed velocity field, which is uniform and time-independent. Under these assumptions the convection-diffusion equation reads:

$$\partial_t \rho_g + \mathbf{u} \cdot \nabla \rho_g = D \nabla^2 \rho_g. \tag{6.1}$$

Here ρ_g is the convected physical quantity, which can be a mass density of a tracer or an energy density, (i.e., temperature), **u** is the velocity field and D is the (thermal) diffusivity.

Lattice Boltzmann schemes describe convection-diffusion by the timeevolving particle distribution function $g_i(\mathbf{x}, t)$. This function states the number density of particles at lattice site \mathbf{x} and time t moving with velocity $\mathbf{c}_i = \Delta \mathbf{x}_i / \Delta t$ along the lattice link connecting the sites $\mathbf{x} - \Delta \mathbf{x}_i$ and \mathbf{x} . The dynamics on the macroscopic scale is then obtained by summing the particle distribution over all states, i.e., the density is $\rho_q(\mathbf{x}, t) = \sum_i g_i(\mathbf{x}, t)$. At each time step, the lattice gas particles propagate to neighbouring lattice sites, where they collide with other particles. Furthermore, the particles can change their momentum through externally imposed fields, such as the velocity field in the convection-diffusion problem. The propagation and collisions of lattice gas particles are described by the so-called Lattice Boltzmann equation, which is a discretisation of the classical Boltzmann equation, having a linearised collision integral. In its most general formulation the Lattice Boltzmann equation reads:

$$g_i(\mathbf{x} + \Delta \mathbf{x}_i, t + \Delta t) - g_i(\mathbf{x}, t) = \sum_j \Omega_{ij} [g_j(\mathbf{x}, t) - g_j^{eq}(\mathbf{x}, t)].$$
(6.2)

Here, $g_i^{eq}(\mathbf{x}, t)$ is the local equilibrium distribution, which is invariant under collisions. The operator Ω_{ij} controls the collisions between the lattice gas particles. In the more simplified case of the Lattice-BGK scheme²², it reduces to $\Omega_{ij} = -\omega \delta_{ij}$. In the case of the Lattice-BGK scheme, the model is fully defined if an appropriate local equilibrium distribution is found. Because of the computational simplicity this paper is restricted to the Lattice-BGK schemes.

The local equilibrium distribution, $g_i^{eq}(\mathbf{x}, t)$, follows from the requirement that its velocity moments must equal those of the Maxwell-Boltzmann distribution²¹. For solutions which are second-order accurate, the following constraints have to be satisfied at each lattice site for each cartesian component α, β , cf. ref.⁴:

$$\sum_{i} g_i^{eq} = \rho_g \tag{6.3}$$

$$\sum_{i} c_{i,\alpha} g_i^{eq} = j_{\alpha}^{eq} = \rho_g u_{\alpha}, \qquad (6.4)$$

$$\sum_{i} c_{i,\alpha} c_{i,\beta} g_i^{eq} = \Pi_{\alpha\beta}^{eq} = \rho_g c_s^2 \delta_{\alpha\beta} + \rho_g u_\alpha u_\beta.$$
(6.5)

Here j_{α} is a component of the equilibrium mass flux, $\Pi_{\alpha\beta}^{eq}$ is a component of the equilibrium momentum flux tensor, and c_s is a free model parameter.

Notice, that normally fluxes are only defined on the surfaces enclosing the Wigner-Seitz cells, surrounding the lattices sites. But in case of regular lattices the equilibrium fluxes can be calculated using the velocity moments. However, the often used definition for the non-equilibrium flux $\tilde{j}_{\alpha}^{neq} = \sum_{i} c_{i,\alpha}(g_i - g_i^{eq})$ does not add up to the complete diffusion flux.

Because of our restriction to orthorhombic lattices, constraint Eq.(6.5) is not satisfied in the case of flow fields u, which are not parallel to one of the principal axes of the lattice. But for uniform flow fields, parallel to one of the principles axes of the lattice, the scheme will be Galilean-invariant.

Convection-diffusion scheme for irregular lattices

The form of the equilibrium distribution, compatible with the two-fold rotational symmetry of the orthorhombic lattice, and satisfying constraints Eqs. (6.3)-(6.5) for $\alpha = \beta$, is given by:

$$g_i^{eq} = w_i \rho_g \left[1 + \frac{\mathbf{c}_i \cdot \mathbf{u}}{c_s^2} + \frac{(\mathbf{c}_i \cdot \mathbf{u})^2}{c_i^2 c_s^2} \right] \quad \text{if } i \neq 0$$
(6.6)

$$g_0 = \rho_g - \sum_{i \neq 0} g_i^{eq}$$
 (6.7)

with weight factors, satisfying $\sum_i w_i = 1$, given by:

$$w_i = \frac{c_s^2}{2c_i^2} \quad \text{if } i \neq 0 \tag{6.8}$$

$$w_0 = 1 - \sum_{i \neq 0} w_i = 1 - \frac{c_{s0}^2}{c_s^2}$$
(6.9)

The index i = 0 denotes rest particles. Because w_0 must be positive, the thermal velocity c_s can only be set to a value in the range $0 \le c_s \le c_{s0}$. Here, c_{s0} is the thermal velocity for a lattice gas without rest particles.

3. LB scheme for irregular lattices

Starting from the assumption, as observed by Koelman¹, that the constraints for the equilibrium fluxes also hold for irregular grids, a convectiondiffusion LB scheme for these grids is derived. Recall that we only consider irregular grids with two-fold rotational symmetry and 2d+1 particle velocities, as sketched in figure 6.1. Furthermore, isotropic diffusion and uniform time-independent flow fields are assumed.

The lattice gas particles associated with the particle density distribution $g_i(\mathbf{x}, t)$ at lattice site \mathbf{x} , are thought to be inside the Wigner-Seitz cell. This is defined as the lattice cell with boundaries at the midpoint of the lattice links $\Delta \mathbf{x}_i$ and normal to these links. In case the particles, moving from the lattice site along links with opposite directions, have unequal velocities, the location of the lattice site is not in the centre of the Wigner-Seitz cell.

As in LB schemes on Bravais lattices, the particle velocities are defined by $\mathbf{c}_i = \Delta \mathbf{x}_i / \Delta t$, so the particles always move to neighbouring lattice sites at subsequent time steps. Note, that the velocities vary with the location of the lattice site, i.e., $\mathbf{c}_i = \mathbf{c}_i(\mathbf{x}) = \Delta \mathbf{x}_i(\mathbf{x}) / \Delta t$. Furthermore, note that particles propagating from the same lattice site but in opposite direction may have different velocities, i.e., $c_i(\mathbf{x}) \neq c_{i*}(\mathbf{x})$, with i* indicating the opposite direction of i.



Figure 6.1: Irregular lattice with rectangular Wigner-Seitz cells (indicated with dashed lines). Also shown are the pre-collision velocity vectors, $c_i(\mathbf{x})(i = 1, 2, 3, 4)$, of particles populating lattice site \mathbf{x} , located between regions with different lattice spacing, i.e. $c_i \neq c_{i*}$.

It has proven to be more convenient to work with the particle number distribution $N_i(\mathbf{x}, t) = g_i(\mathbf{x}, t)\Delta V(\mathbf{x})$, instead of the traditionally used particle number density distribution $g_i(\mathbf{x}, t)$. Here, $\Delta V(\mathbf{x})$ is the volume of the Wigner-Seitz cell surrounding lattice site \mathbf{x} . Furthermore, we have taken the mass and momentum fluxes to be defined only on the boundaries of the Wigner-Seitz cell. After these considerations, we formulate the constraints for the equilibrium distribution N_i^{eq} in similar fashion as Eqs.(6.3)-(6.5):

$$M(\mathbf{x}) = \sum_{i} N_{i}^{eq}(\mathbf{x}) = \rho_{g} \Delta V(\mathbf{x})$$

$$\Gamma_{i}^{eq}(\mathbf{x}) = \frac{N_{i}^{eq}(\mathbf{x}) - N_{i*}^{eq}(\mathbf{x} - \Delta \mathbf{x}_{i})}{\Delta t}$$

$$= \rho_{g}(\mathbf{x})(\mathbf{e}_{i} \cdot \mathbf{u}) \Delta S_{i}(\mathbf{x})$$
(6.10)
(6.11)

$$F_i^{eq}(\mathbf{x}) = \frac{c_i(\mathbf{x})N_i^{eq}(\mathbf{x}) + c_{i*}(\mathbf{x} - \Delta \mathbf{x}_i)N_{i*}^{eq}(\mathbf{x} - \Delta \mathbf{x}_i)}{\Delta t}$$

= $[\rho_g(\mathbf{x})c_s^2 + \rho_g(\mathbf{x})(\mathbf{e}_i \cdot \mathbf{u})^2]\Delta S_i(\mathbf{x}).$ (6.12)

Here, $M(\mathbf{x})$ is the total mass of the particles in the Wigner-Seitz cell located at \mathbf{x} . $\Gamma_i^{eq}(\mathbf{x})$ is the net equilibrium mass flux arriving at \mathbf{x} through the surface area of the Wigner-Seitz cell, $\Delta S_i(\mathbf{x})$, located between lattice sites Convection-diffusion scheme for irregular lattices

x and $\mathbf{x} - \Delta \mathbf{x}_i$. Notice, that lattice spacing $\Delta \mathbf{x}_i$ depends on the location **x** of the lattice cell. The unit vector $\mathbf{e}_i = \mathbf{c}_i/c_i$ indicates the direction of of the particle velocity \mathbf{c}_i . $F_i^{eq}(\mathbf{x})$ is the force the lattice gas exerts on the boundary of the Wigner-Seitz cell, midway the lattice sites **x** and $\mathbf{x} - \Delta \mathbf{x}_i$, cq. the momentum flux arriving at **x** through the surface area $\Delta S_i(\mathbf{x})$.

By dividing the mass flux $\Gamma_i^{eq}(\mathbf{x})$ and the momentum flux $F_i^{eq}(\mathbf{x})$ by the surface area of the Wigner-Seitz cell, one obtains respectively the equilibrium mass flux $j_{\alpha}^{eq}(\mathbf{x})$ and the equilibrium momentum flux $\Pi_{\alpha\alpha}(\mathbf{x})$, crossing the particular boundary of the Wigner-Seitz cell.

By means of straight forward algebra one obtains the expression for the equilibrium distribution:

$$N_i^{eq}(\mathbf{x}) = w_i(\mathbf{x})\rho_g(\mathbf{x})\Delta V(\mathbf{x})\left[1 + \frac{\mathbf{c}_i \cdot \mathbf{u}}{c_s^2} + \frac{\mathbf{c}_i \cdot \mathbf{u}}{c_s^2 c_i^2}\right] \quad \text{if } i \neq 0 \ (6.13)$$

$$N_0^{eq}(\mathbf{x}) = \rho_g(\mathbf{x}) \Delta V(\mathbf{x}) - \sum_{i \neq 0} N_i^{eq}(\mathbf{x})$$
(6.14)

with the local weight factor $w_i(\mathbf{x})$ defined as:

$$w_i(\mathbf{x}) = \frac{c_s^2}{c_i(\mathbf{x})[c_i(\mathbf{x}) + c_{i*}(\mathbf{x})]}$$
(6.15)

After modification of Eqs.(6.13)-(6.14), the expressions Eqs.(6.6)-(6.7) for the equilibrium particle density distribution g_i^{eq} also hold for irregular grids. The effects of variable lattice spacing, are absorbed in the weight factors $w_i(\mathbf{x})$.

For irregular grids one can *not* use the Lattice Boltzmann equation for Bravais lattices, Eq.(6.2). This becomes evident when considering the case of global equilibrium $(\rho_g(\mathbf{x}) = N_i^{eq}(\mathbf{x})/\Delta V(\mathbf{x}) = \rho_0)$. Clearly, a proper Lattice Boltzmann equation should leave the global equilibrium distribution invariant. This is not the case for Eq.(6.2) which would then require for irregular grids that $N_i^{eq}(\mathbf{x} - \Delta x_i, t + \Delta t) = N_i^{eq}(\mathbf{x}, t)$. However, because of Eq.(6.11) invariance of the global equilibrium distribution is obtained by:

$$N_{i*}^{eq}(\mathbf{x} - \Delta \mathbf{x}_i, t + \Delta t) = N_i^{eq}(\mathbf{x}, t) - \Gamma_i^{eq}(\mathbf{x}, t)\Delta t$$
(6.16)

If the description of the evolution of the non-equilibrium part of the particle distribution is also known, then we can construct the Lattice Boltzmann equation for irregular grids. This description can be obtained when observing the non-equilibrium part of the particle distribution for the case of zero flow field u = 0 and a constant density gradient, $\nabla \rho_g(\mathbf{x}) = constant$, and a regular lattice. For this case the non-equilibrium particle distribution for the stribution function is given by $N_i^{neq} = -\Delta V(\mathbf{x}) w_i \mathbf{c}_i \cdot \nabla \rho_g \Delta t / \omega$, cf. ref.⁸. This

expression is independent of the lattice spacing. We assume this expression to be valid for irregular grids ass well. In that case, the non-equilibrium part of the particle distribution should evolve in the same way both for regular grid as for irregular grids, which is described by:

$$N_{i*}^{neq}(\mathbf{x} - \Delta \mathbf{x}_i, t + \Delta t) = (1 - \omega) N_{i*}^{neq}(\mathbf{x}, t).$$
(6.17)

By adding Eqs.(6.16)-(6.17), one finally arrives at our proposed Lattice Boltzmann Equation for irregular grids:

$$N_{i*}(\mathbf{x} - \Delta \mathbf{x}_i, t + \Delta t) = N_i^{eq}(\mathbf{x}, t) - \Gamma_i^{eq}(\mathbf{x}, t) \Delta t + (1 - \omega) N_{i*}^{neq}(\mathbf{x}, t).$$
(6.18)

Here, the equilibrium distribution is given by Eqs.(6.13)-(6.14), the equilibrium mass flow is given by Eq.(6.11), and the non-equilibrium distribution function is given by $N_i^{neq}(\mathbf{x}) = N_i(\mathbf{x}) - N_i^{eq}(\mathbf{x})$.

4. Numerical analysis

The consistency and accuracy of the LB-scheme for both regular and irregular grids are analysed numerically. The analysis is done by comparing numerical solutions to the analytical solutions of some benchmark problems. These benchmarks are:

- 1-D steady state problem with inhomogeneous boundary conditions and uniform flow field.
- 1-D transient problem with a Gaussian hill as an initial density field in a uniform flow field.
- 2-D transient problem with a Gaussian hill as an initial density field in a uniform flow field, non-parallel to the lattice axes.

With the boundary conditions denoted as $\rho(0) = \rho_l$ and $\rho(L) = \rho_r$, the solution of the first benchmark reads as follows:

$$\rho(x) = \rho_l + (\rho_r - \rho_l) \frac{[1 - \exp(Pe.x/L)]}{[1 - \exp(Pe)]}$$
(6.19)

Here Pe = uL/D is the Peclet number. For Peclet numbers $Pe \ge 0.1$ the density field has a steep gradient near the right boundary. These gradients are known to induce numerical oscillations in various numerical schemes. Hence, this benchmark is a good test for the LB scheme to resolve steep gradients.

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The solution of the second and third benchmark is given by:

$$\rho(\mathbf{x}) = \rho_0 \frac{\exp[-(\mathbf{x} - \mathbf{x}_0)^2 / 2\sigma^2(t)]}{\sqrt{2\pi}\sigma(t)}$$
(6.20)

Here, ρ_0 is the initial height of the Gaussian hill, and σ_0 the initial width of the hill. The numerical solution obtained by the LB scheme is analysed with the method of moments, with which errors in phase velocity, diffusion and symmetry of the Gaussian hill can be calculated.

The second benchmark is also solved with a number of Finite Element and Finite Difference methods. The solutions of the various numerical schemes are compared to the solution of the Lattice Boltzmann scheme, in order to assess the merits and shortcomings of the LB scheme compared to these traditional numerical schemes. The performance of the LB scheme in 2-D is investigated with the third benchmark.

4.1. Gradient Resolution

The first benchmark is solved for the case in which L = 20, $\Delta x = 1$, $\Delta t = 1$, $\rho_g(0) = 200$ and $\rho_g(L) = 100$. The velocity field is uniform and positive u(x) = u > 0. The boundary conditions are imposed by the following constraints at the exterior lattice sites:

$$\sum_{i} g_{i}(x=0) = 200, \text{ and } \sum_{i} g_{i}(x=L) = 100.$$
 (6.21)

Furthermore, we have set the thermal velocity $c_s^2 = \frac{1}{2}$, the grid Fourier number $Fo^* = D\Delta t/\Delta x^2 = 0.1$, and the grid Peclet number $Pe^* = u\Delta x/D = 0.1, 0.5, 1, 5$. The numerical solutions together with the analytical solutions are shown in figure 6.2a. Observing these results one sees that the LB-scheme quite accurately resolves the analytical solution for low grid Peclet numbers, $Pe^* \leq 1$. At higher grid Peclet numbers spurious oscillations occur, which are induced by the sharp gradient at the right boundary.

The magnitude of the spurious oscillations can be decreased a little by lowering c, or increasing the Courant number $Cr = u\Delta t/\Delta x$, but the effect can not be eliminated.

Secondly, the 1-D steady state benchmark is performed using a Lattice BGK scheme for an irregular lattice, which is refined at the right boundary. The lattice spacing is varied from $\Delta x = 2.0$ (x = 0) to $\Delta x = 0.1$ (x = L). Because the lattice spacing varies, the grid Peclet and Fourier numbers vary with the location x, i.e., $Pe^* = Pe^*(x)$ and $Fo^* = Fo^*(x)$. If below the grid Peclet and Fourier numbers are mentioned, we refer to the dimensionless grid numbers for lattice spacing equal to $\Delta x = 1$, if not stated otherwise. The parameter settings of the previous calculations are maintained: $c_s^2 = \frac{1}{2}$ and $Fo^* = 0.1$. The Peclet number Pe^* is varied from 0.1 to 10. The numerical solutions are depicted in figure 6.2b, together with the analytical solutions. The figure shows that with grid refinements numerical oscillations are eliminated, even at high Peclet numbers $Pe^* \ge 0$. By decreasing the lattice spacing Δx at locations with steep gradients one lowers the local grid Peclet number $Pe^*(x) = u\Delta x(x)/D$ to the regime of $Pe^*(x) \le 2$, where no oscillations occur. If the gradient is small, the local grid Peclet number can be large.



Figure 6.2: Comparison of numerical solution (symbols) with analytical solution (lines) for boundary value problem $\rho_g(0) = 200$ and $\rho_g(L) = 100$, with L = 20. Fig. 6.2a shows the solution for a Bravais lattice with lattice spacing $\Delta x = 1$ and grid Peclet number $Pe^*=0.1$, 0.5, 1, and 5. Fig. 6.2b shows the solution for an irregular lattice, which is graded from $\Delta x = 2$ (near x=0) to $\Delta x = 0.1$ (near x=L). Here the grid Peclet number assumes the values $Pe^* = 0.1, 0.2, 0.5, 1.0, 2.0, 5.0, 10.0$. Note the numerical oscillations in the upper right corner of Fig.6.2a, induced by the steep gradient near the boundary.

4.2. Transient solutions: method of moments

The second benchmark problem considers the propagation of a Gaussian density profile in a 1-D uniform velocity field. The initial Gaussian profile is described by:

$$\rho_g(x,0) = \tilde{\rho}_0 \exp[-(x-x_0)^2/2\sigma_0^2]. \tag{6.22}$$

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First, the benchmarkproblem is solved by a Lattice BGK scheme for a Bravais lattice. The solution is compared with those of various Finite Difference and Finite Element schemes. Subsequently, the benchmark problem is solved with a Lattice BGK scheme for an irregular lattice. This solution is compared to the results of a high order Finite Element scheme.

The initial particle distribution of the Gaussian profile is set equal to the first order perturbation distribution, as derived in ref.¹⁰:

$$g_i(x,t=0) = g_i^{eq}(\rho_g) - w_i \frac{\Delta t}{\omega} (\mathbf{c}_i - \mathbf{u}) \cdot \nabla \rho_g(x,t=0), \qquad (6.23)$$

where ω is the collision frequency defined is Eq.(6.18).

At the boundaries of the lattice, periodic boundary conditions are applied.

Method of moments The density profiles after a travel time t_e are analysed using the method of moments¹⁵, defined as:

$$M_0(t) = \int \rho_g(x,t) dx \approx \sum_n \rho_g(n\Delta x,t) \Delta x, \qquad (6.24)$$

$$M_1(t) = \int x \rho_g(x,t) dx \approx \sum_n x \rho_g(n\Delta x,t) \Delta x, \qquad (6.25)$$

$$M_2(t) = \int (x-\mu)^2 \rho_g(x,t) dx \approx \sum_n (x-\mu)^2 \rho_g(n\Delta x,t) \Delta x, \quad (6.26)$$

$$M_3(t) = \int (x-\mu)^3 \rho_g(x,t) dx \approx \sum_n (x-\mu)^3 \rho_g(n\Delta x,t) \Delta x.$$
(6.27)

The moments of a Gaussian profile can be expressed as:

$$M_0(t) = M_0, (6.28)$$

$$M_1(t)/M_0 = \mu(t) = x_0 + ut,$$
 (6.29)

$$M_2(t)/M_0 = \sigma^2(t) = \sigma_0^2 + 2Dt,$$
 (6.30)

$$M_3(t)/M_0 = S(t) = 0.$$
 (6.31)

From the change in time of the mean value, one can obtain the error in the average flow velocity $\delta \hat{u} = [\mu(t_e) - x_0]/t_e$. The error in the diffusivity $\delta \hat{D}$, i.e. the numerical diffusivity, is obtained from

$$\delta \hat{D} = \frac{[\sigma^2(t_e) - \sigma_0^2]}{2t_e} - D.$$
 (6.32)

The third order moment is related to the skewness of the distribution. The error in skewness is¹⁵

$$\delta \hat{S} = \frac{S(t)}{6t_e M_0}.\tag{6.33}$$

Benchmark solutions The second benchmark is solved on a Bravais lattice with a lattice spacing $\Delta x = 1$ and 128 lattice spacings long. The timestep is set to $\Delta t = 1$. Initially, the center of the Gaussian profile is located at $x_0 = 32$. Other parameters are set equal to $\sigma_0^2 = 8$, and $\tilde{\rho}_0 = 100$.

Two sets of calculations are performed: 1) at a moderate grid Peclet number $Pe^* = 10$, and 2) at a high grid Peclet number $Pe^* = 1000$. There are two other parameters of the LB scheme varied during the calculation: the Courant number $Cr = u\Delta t/\Delta x$ between $0.01 \leq Cr \leq 1$, and the relaxation parameter between $1 \leq \omega < 2$. The range of $\omega < 1$ is not investigated, as it is known from our previous study⁸, that in this regime inconsistency with diffusion can occur already at moderate gradients.

The density profiles at time $t_e = 40\Delta x/Cr$ have been analysed using the method of moments. The errors in the diffusivity and the skewness, $\delta \hat{D}$ and $\delta \hat{S}$, found with the method of moments, are plotted in figure 6.3 as a function of the relaxation parameter ω and the Courant number Cr. The error in velocity is not shown, as the velocity calculated from the first moment, is found to be equal to the pre-set value **u** up to machine accuracy for all simulations.

Observing figure 6.3, one sees that the numerical diffusion is small for low values of ω and Cr. For the case $\omega = 1$ the error is zero (upto machine accuracy) for both cases of $Pe^* = 10$ and $Pe^* = 1000$. The error $\delta \hat{D}$ increases for $\omega \to 2$ or $Cr \to 1$. The increase in numerical diffusion is accompanied with an decrease of the skewness $\delta \hat{S}$, which is preferable for calculations with high grid Peclet numbers.

There are some limits for the values of ω and Cr due to instability of the LB-scheme (the spurious oscillations grow exponentially). At high values of ω two regions of stability remain, i.e., $Cr \to 0$ and $Cr \to 1$. At $Pe^* = 10$ the region of $Cr \to 1$ is extremely small and at $Pe^* = 1000$ the region of $Cr \to 0$ is extremely small. Therefore, they are not shown in figure 6.3. For any value of the Courant number, there is a range of values for ω which gives stable results. By a suitable choice of ω , any combination of grid Peclet number Pe^* and Courant number (satisfying the CFL-condition $|Cr| \leq 1$) can be reached with the Lattice Boltzmann scheme.



Figure 6.3: Contour plots of the errors in diffusivity and skewness of the Lattice Boltzmann scheme using the method of moments for the cases $Pe^* = 10$ and $Pe^* = 1000$. The relaxation parameter is varied in the range $1 \le \omega < 2$ and the Courant number in the range $0.01 < Cr \le 1$. The diffusivity error is in %, and the plotted value of the skewness is 100 times $\delta \hat{S}$. The regions of instability are also indicated.

4.3. Transient solutions: comparison with traditional schemes

The LB solution of the transient benchmark problem is compared to the solutions of several traditional numerical schemes (Finite Elements and Finite Difference) in order to assess the merits and short comings of the Lattice Boltzmann scheme. For comparison, the following traditional numerical schemes are used: Finite Difference scheme with central differencing in space and forward differencing in time (CDFD); Finite Difference scheme with optimal upwinding and forward differencing in time $(FD+)^{16}$; Finite Element scheme with first-order streamline-upwinding and implicit time integration (SUPG); and a Galerkin Finite Element scheme with quadratic elements and Adams-Bashfort (semi-implicit) time integration (ABG). Note, that the FD+ scheme is equivalent with the Lattice Boltzmann scheme, if the relaxation parameter is set to $\omega = 1$, as is shown in the Appendix.

All above-mentioned numerical schemes, except ABG, are low order schemes (first or second order in space and first order in time). ABG is a



Figure 6.4: Comparison of numerical solution (symbols and dashed lines) with analytical solution (solid lines) for transient 1-D benchmark problem. LB scheme is compared with various other schemes for grid Peclet numbers and Courant numders: 1) $Pe^* = 10$ and Cr = 0.2 (left side of figure), and 2) $Pe^* = 1000$ and Cr = 0.4 (right side of figure). For these two cases the values of the relaxation parameter of the LB scheme are respectively $\omega = 1.8$ and $\omega = 1.4$

high order scheme (fourth order in space and second order in time). All solutions of the Finite Element schemes are computed with the general purpose software-package $FIDAP^{27}$.

The benchmark problem is solved for the case $Pe^* = 10$ and Cr = 0.2, and the case $Pe^* = 1000$ and Cr = 0.4. The solutions of the LB-scheme are calculated using the values $\omega = 1.8$ in the case of $Pe^* = 10$ and $\omega = 1.4$ in the case of $Pe^* = 1000$. The values of the relaxation parameter are well within the region of stability, and show little skewness (see figure 6.3).

Pe^*	scheme	$\delta \hat{D}/D~(\%)$	$\delta \hat{S}$ (%)
10	CDFD	-100.00	1.60
10	FD+	0.00	1.40
10	LB	0.44	0.25
10	ABG	1.50	0.02
1000	SUPG	45835.00	6.28
1000	FD+	-0.03	5.58
1000	LB	0.43	0.84
1000	ABG	4.64	6.64

Table I Diffusivity and Skewness Errors of various schemes

The simulation results are shown in figure 6.4 and Table I. Figure 6.4 shows the Gaussian profile at time t = 40/Cr. The errors in diffusivity and skewness are calculated with the method of moments, and listed in Table I.

As indicated in figure 6.4 and Table I, the standard low-order Finite Difference and Finite Element schemes clearly show the problems that can arise when solving convection-diffusion. The CDFD-solution shows large spurious oscillations, whereas the SUPG-solution shows large numerical diffusion, probably induced by the use of the implicit time integration. For $Pe^* = 1000$ the CDFD-scheme is not stable as the oscillations grow exponentially. Consequently, no results are shown for this case.

The numerical oscillations and numerical diffusion are largely reduced with the optimal upwinding scheme FD+. The performance of the LBscheme is further improved by changing the value of the relaxation parameter. As indicated in figure 6.3 by increasing ω and taking into account the regions of instability, the numerical oscillations are further reduced at the expense of some increased numerical diffusion.



Figure 6.5: Numerical solution (symbols and dashed lines) and analytical solution (solid lines) for the transient 1-D benchmark problem using an irregular grid. Solutions are shown for times t = 40/Cr (left peak) and t = 80/Cr (right peak). The ABG-scheme is solved with the grid Peclet number $Pe^* = 100$ and the Courant number of Cr = 0.2. The LB scheme is solved with the grid Peclet number $Pe^* = 100$, and various Courant numbers and relaxation parameter values, as indicated in the legend of the graphs.

The performance of the high order scheme is better than all low order schemes, but the difference with the LB-scheme is small at higher values of the relaxation parameter. The error in diffusivity and skewness for the LB scheme is lower than the errors for the ABG scheme. However, the LB scheme shows more numerical oscillations, which are poorly captured with the method of moments.

The slight increase in accuracy of the ABG scheme costs significantly more computing memory and computing time. The computation time of the LB-scheme is of O(1s) and the required computer memory is of O(10kB). However, for the same grid resolution and time step the solution of the ABG-scheme by FIDAP²⁷ requires a computing time of O(100 s) and computer memory of O(1 MB). Note, that for high-order schemes higher time steps and coarser grids can be used, such that the actual required computer

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resources can be lower than mentioned above, but they will remain orders larger than those required for the LB-scheme. These large requirements of ABG in computing time and memory can pose problems for large 3-D applications or 2-D applications with a complicated geometry.

Irregular lattice The transient 1-D benchmark is also solved with the LB-scheme for irregular lattices. The locations of lattice sites x_n are denoted by:

$$x_n = n \text{ for } n=0,1, \dots 64,$$
 (6.34)

$$x_{n+1} - x_n = 0.75 + 0.5 \cos[\pi (n - 64)/128]$$
 for n=65, .. 196.(6.35)

The solution is computed for the grid Peclet number $Pe^* = 100$. In figure 6.5 the solutions of the LB scheme for various values of the relaxation parameter ω and the grid Courant number Cr are shown for times t = 40/Cr and t = 80/Cr. The values of the parameters are shown in the graphs. Also the solution is computed with the ABG-scheme, as is shown in figure 6.5. From figure 6.5 one can see that, also in the case of irregular lattices, the LB schemes show numerical oscillations if $\omega = 1$. These oscillations are reduced if $\omega \rightarrow 2$. Again, there are some limits to the value of ω posed by the instability regions. The value of the grid Courant number Cr is also limited by the CFL-condition $|Cr| \leq 1$. For the lattice considered the minimal lattice spacing is 0.25 (dimensionless units). Hence, the grid Courant number is limited to $Cr(x) \leq 0.25$. For the cases shown with $\omega > 1$ the numerical oscillations are considered to be quite small, and the accuracy of the ABG scheme to be slightly better than the LB scheme.

4.4. Transient solutions: 2-D lattices

The propagation of a Gaussian profile is also solved with LB schemes for regular and irregular 2-D lattices. The following parameter setting is used: $Pe^* = 100, Cr = 0.1, \omega = 1.4, \text{ and } \sigma_0^2 = 8$. The direction of the uniform velocity field is taken at an angle with the principle axes of the lattice, i.e., $\mathbf{u} = u(\hat{\mathbf{e}}_x + \frac{1}{2}\hat{\mathbf{e}}_y)$. This is done for investigation of the occurrence of crosswind diffusion. Surface plots of the Gaussian profile at time t = 20/Cr are shown in the figures 6.6 and 6.7 for the Bravais lattice and the irregular grid respectively.

By calculating the moments from the simulation results, using

$$M_{2,xx} = \sum_{x} \sum_{y} (x - \mu_x)^2 \rho(x, y)$$
(6.36)

$$M_{2,yy} = \sum_{x} \sum_{y} (y - \mu_y)^2 \rho(x, y), \qquad (6.37)$$



Figure 6.6: Gaussian profile at t = 20/Cr on a Bravais lattice, solved with the LB scheme. The centre of the profile is located at (16,16) at t = 0, and has the velocity $u = (1, \frac{1}{2})$. Other parametersettings are $Pe^* = 100$, and Cr = 0.1.

the diffusion coefficients D_{xx} and D_{yy} in x- and y-direction are estimated. For both the Bravais lattice and the irregular grid it is found that $D_{xx} = D_{yy} = 0.001$, implying that diffusion is isotropic and numerical (crosswind) diffusion is insignificant. Theoretical analysis¹⁰ shows that crosswind diffusion may become more evident for cases with large density gradients $(\nabla \rho_g)$ and higher Courant numbers $(u_x \Delta t / \Delta x, u_y \Delta t / \Delta y \rightarrow 1)$.

5. Discussion

In this paper, new convection-diffusion Lattice Boltzmann schemes are presented for both regular and irregular grids, having orthorhombic lattice cells. Both schemes are derived by equating velocity moments of the equilibrium distribution to those of the classical Maxwell-Boltzmann velocity distribution, a procedure first put forward by Koelman¹, and extended later by McNamara and Alder²¹.

The performance of the convection-diffusion LB scheme is compared to conventional numerical schemes by performing benchmark tests. The benchmark problem, used here, is the propagation of a Gaussian profile in a uniform velocity field. Analysis of the numerical solutions with the



Figure 6.7: Gaussian profile at t = 20/Cr on an irregular 2-D grid, solved with the LB-scheme. The centre of the profile is located at (16,16) at t = 0, and has the velocity $\mathbf{u} = (1, \frac{1}{2})$. Other parametersettings are $Pe^* = 100$, and Cr = 0.1.

method of moments shows that the LB scheme performs remarkably well. Its accuracy is better than that of low-order Finite Difference and Finite Element schemes, but it is slightly worse than that of high-order schemes (Adams-Bashfort Galerkin). The LB scheme shows virtually no numerical diffusion at relaxation rates of $\omega \approx 1$. At higher values of ω the numerical diffusion is increased, but the skewness of the Gaussian profile and the damping of numerical oscillations is decreased. Instability sets the upper limit of ω . This upper limit depends on the grid Peclet number Pe^* . The benchmark test with the 2-D lattice shows that the LB-scheme is little affected by crosswind numerical diffusion, which plagues many conventional upwind schemes.

The convection-diffusion LB scheme still shows some numerical oscillations, which become quite evident near steep gradients. The steep gradients excite higher-order perturbations, which are not negligible in the region near the steep gradients, as shown in the 1-D steady state benchmark. Numerical oscillations can be reduced by refining the mesh in the region of the gradient. They can also be reduced by applying higher order LB schemes.

Further improvements in accuracy and reduction of numerical oscillations are expected if the Lattice Boltzmann scheme for irregular grids is
made truely Galilean-invariant¹⁰. Evenmore, we expect the order of accuracy to increase if third-order velocity moments are satisfied.

6. Conclusions

From the results in this paper, one can conclude that the LB scheme is a new powerful tool for solving convection-diffusion phenomena in practical engineering problems. Using the LB scheme for irregular lattices, one can employ local grid refinements in regions with steep gradients, as in traditional numerical schemes. The accuracy of the LB scheme is slightly lower than the accuracy of high order Finite Element schemes, but at lower computational costs.

In our previous studies, the LB scheme is used as a general framework for simulation of physical transport phenomena occurring in packaging systems for fresh agricultural products. Convection-diffusion is the dominating process in these applications. From the results of this paper, one can say that the LB scheme is indeed a very suitable choice as a simulation tool for these types of problems. It has good performance and a high accuracy, which are valuable properties for the resolution of transport phenomena in critical regions near boundaries and vent holes, which can exhibit moderately steep gradients or high airflow rates.

Furthermore, we think it is worthwhile to investigate whether the methodology for LB schemes on irregular grids, as presented in this paper, can be applied to hydrodynamics. If the methodology proves right, it will mean a major step in the development of the Lattice Boltzmann method.

Appendix A Relation with Finite Difference schemes

The Lattice BGK-scheme is identical to a Finite Difference scheme, if the relaxation parameter is set equal to $\omega = 1$. Below, this relation is shown for a 1-D Bravais lattice. In this case the diffusion coefficient is given by $D = \frac{1}{2}c_s^2\Delta t$, and the grid Fourier number is equal to $Fo^* = \frac{1}{2}c_s^2/c_i^2$. The Courant number is defined as $Cr = u/c_i$.

The change of density in time at lattice site x is described by

$$\rho_g(x, t + \Delta t) = \sum_i g_i(x, t + \Delta t) = \sum_i g_i^{eq}(x - c_i \Delta, t)$$

= $g_1^{eq}(x - \Delta x, t) + g_0^{eq}(x, t) + g_2^{eq}(x + \Delta x, t).$ (A.1)

With $c_{s0}^2 = c_i^2$, the equilibrium distribution functions are:

$$g_0^{eq}(x) = \rho_g(x)[1 - 2Fo^* - Cr^2]$$
(A.2)

$$g_1^{eq}(x - \Delta x) = \rho_g(x - \Delta x) [Fo^* + \frac{1}{2}Cr + \frac{1}{2}Cr^2]$$
 (A.3)

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$$g_2^{eq}(x + \Delta x) = \rho_g(x + \Delta x) [Fo^* - \frac{1}{2}Cr + \frac{1}{2}Cr^2].$$
 (A.4)

After substitution in Eq.(A.1) follows:

$$\rho_{g}(x,t + \Delta t) - \rho_{g}(x,t) = (Fo^{*} + \frac{1}{2}Cr^{2})[\rho_{g}(x + \Delta x,t) + \rho_{g}(x - \Delta x,t) - 2\rho_{g}(x,t)] - \frac{1}{2}Cr[\rho_{g}(x + \Delta x,t) - \rho_{g}(x - \Delta x,t)].$$
(A.5)

This expression is identical to the finite difference scheme with optimal upwinding¹⁶. In the limit of low Courant numbers $(Cr \rightarrow 0)$, this scheme is identical with the forward time central space differencing. In the opposite limit of high Courant numbers $(Cr \rightarrow 1)$, this scheme is identical with forward time full upwind differencing.

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Chapter 7 Concluding remarks

In this thesis computer models, based on the Lattice Boltzmann scheme, are shown to be a powerful and efficient means to optimise vented transport packaging systems for fresh agricultural products. They have produced accurate and efficient solution methods for several convection-diffusiondominated heat and mass transfer problems. This is shown for several test cases (chapters 2 to 4).

Furthermore, by evaluating several designs numerically, we have obtained an effective vent hole design problem for a seed potato packaging system. As reported in chapter 4, the vent hole design prevents the condensation of moisture on the potatoes, which otherwise degrades the potato quality.

Moreover, the conclusions obtained from solutions of the test case problems, can be extended to more general cases. The Lattice Boltzmann schemes have shown to possess indeed the simplicity and the appeal to physical intuition. These are the main reasons for choosing the Lattice Boltzmann method as a modelling framework. Using physical intuition the methodology of the LB schemes has been extended to: a) heat and mass transfer phenomena between packed product and air flow; b) boundary conditions for heat conduction and water vapour permeation through packaging material; c) boundary condition for air, heat and mass flow through vent holes; and d) Darcy flow driven by natural convection.

Driven by the lack of a general theoretical framework for the Lattice Boltzmann, we have developed such a framework for diffusion and convection-diffusion (chapter 5 and 6). The key element in the theoretical framework is, that the velocity moments (up to second order) are equal to those of the classical Maxwell-Boltzmann distribution^{2,3}. From the notion that these constraints for the velocity moments also hold for irregular grids, a Lattice Boltzmann scheme for irregular grids is constructed.

By solving benchmark problems the Lattice Boltzmann schemes for both orthorhombic lattices and irregular grids have shown to be able to simulate convection- diffusion phenomena accurately and efficiently. This good performance holds even in the regime of high grid Peclet numbers $(Pe^* > 1)$ and Courant numbers $(Cr \rightarrow 1)$. In this regime standard Finite Element and Finite Difference schemes break down, as they show instabilities, numerical oscillations, or numerical diffusion. These numerical artefacts are more or less removed if mathematically complicated techniques like Crank-Nicolson-Taylor-Galerkin (CNTG) or high-order Streamline-Upwinding-Petrov-Galerkin (SUPG) are used. The Lattice Boltzmann scheme achieves similar results with slightly reduced accuracy, using a simple numerical algorithm with explicit time integration and a first order spatial integration. So, we may conclude that the Lattice Boltzmann scheme is a powerful and efficient alternative to conventional schemes for solving convection-diffusion problems.

The good performance of the Lattice Boltzmann scheme is quite unexpected, when viewing its simple paradigm of particles having discrete velocities, which move and collide on a lattice. The reasons for their success are revealed by the very recent studies^{4,5,6}, in which major contributions to the development of the theoretical framework are presented. They show that the Lattice Boltzmann equation can be derived directly from the classical continuous Boltzmann equation, by discretising both time, space and particle velocity. It is shown that the discretisation is valid if the velocity moments of the equilibrium distribution equals those of the classical Maxwell-Boltzmann distribution. Hence, the Lattice Boltzmann scheme should be consistent with convection-diffusion, of which the continuous Boltzmann equation.

The efficiency of the Lattice Boltzmann is due to the choice of an upwind discretisation of the convective derivative in the continuous Boltzmann equation 4,5,6 . By this choice the Lattice Boltzmann equation is on the edge of the stability region (Cr = 1), where sharp gradients can propagate without inducing large numerical oscillations.

Because there is a clear connection between the Lattice Boltzmann schemes and the classical Boltzmann equation and kinetic theory, one can in principle simulate all physical transport phenomena. The range of applications is expected to be broader than for conventional numerical schemes, which are based on the continuum concept of matter. There is already

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a large and diverse collection of applications, which are concerned with phenomena at the mesoscopic level, where the continuum assumption of conventional schemes breaks down. Examples are movement of solid particles in gas flow⁷, shock wave propagation⁸, liquid-vapour flow in Van der Waals fluid⁹, multi-component diffusion¹⁰, electro-viscous transport¹¹, hydrodynamic stability of amphiphilic systems¹², and many more, which are recently reviewed by Chen¹³.

Now, the Lattice Boltzmann method is no longer restricted to regular Bravais lattices, and its accuracy and performance are very competitive for convection-diffusion problems, one can seriously consider applying the method for various problems at the macroscopic level. A very promising direction of research is of course the extension of the hydrodynamics LB schemes to irregular grids, which will have a major impact on the competitiveness of the Lattice Boltzmann method with conventional schemes. It is assumed that the methodology for schemes on irregular grids, developed in this thesis, also hold for Navier-Stokes flow. This assumption is based on the fact that hydrodynamic LB schemes hold for Bravais lattices with rectangular Wigner-Seitz cells of various dimensions².

Finally, viewing the research field covered in this thesis, i.e., the modelling physical phenomena in packaging systems of agricultural products, one can foresee many promising applications in this field. Examples of these applications are 1) Darcy-Forchheimer flow in packed beds of agricultural products¹⁴, 2) turbulent Navier-Stokes flow in head-spaces of packages with vent holes, 3) air exchange through vent holes induced by fluctuations of ambient pressure, 4) air flow at the pore scale level near vent holes, thereby checking the porous medium assumption, 5) heat and mass transfer at the pore scale level, and 6) multi-component diffusion in bulk Modified Atmosphere packages¹⁵. This will results in better control of the microclimate in packages, and therefore contribute to better maintenance of the product quality.

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Summary

Summary

Packaging is crucial for the control of quality of fresh agricultural products. How to optimise the packaging design for a particular product and distribution chain, is still not fully understood. Various empirical studies have shown that existing packaging designs can still be improved significantly. The packaging design process can be greatly enhanced by the use of computer models, describing the physical and physiological processes.

In transport packaging systems with vent holes, the dominant physical processes are convection-diffusion of heat and water vapour. The numerical solution of convection-diffusion problems is a complex matter. Traditionally, solutions are obtained with specific Finite Element or Finite Difference schemes, which require a highly-specialised knowledge of numerical mathematics.

In this thesis, the Lattice Boltzmann method is investigated as an alternative numerical method to solve the convection-diffusion problems in packaging systems. It simulates physical transport phenomena with quasiparticles, which move and collide on a lattice. Space, time and particle speed are discrete. The dynamics of the quasi-particles are governed by a discretised Boltzmann equation. Since the Lattice Boltzmann method has shown to be able to model Navier-Stokes flow, it has recieved a rapidly growing interest from the scientific community. This interest can be attributed to the simplicity and the appeal to physical intuition of the Lattice Boltzmann method.

In the first part of this thesis several test case problems, taken from the practice of packing agricultural products, are solved with the Lattice Boltzmann method. Accurate and efficient schemes have been developed for the following applications: the cooling of cut flowers, the natural convection in a potato container, and the water vapour transfer in a potato container with vent holes. In order to solve these test case problems, the Lattice Boltzmann method has been extended with 1) a scheme for convection-diffusion on an orthorhombic lattice, 2) a scheme for porous media flow as described by Darcy's law, 3) interactions modelling heat and mass transfer between solid and fluid phase of a porous medium, 4) boundary conditions for heat conducting and water permeating packaging material, and 5) boundary conditions for vent holes. Despite the successful solution of the test case problems, the Lattice Boltzmann schemes have up to now have had drawbacks, which make them difficult to compete with Finite Element and Finite Difference schemes.

Because the lack of a clear theoretical foundation, and the inability to support grid refinements, it is difficult for the Lattice Boltzmann method to compete with the Finite Element and Finite Difference method in solving convection-diffusion problems. Hence, in the latter part of the thesis we investigate whether these drawbacks of the Lattice Boltzmann scheme can be resolved.

Theoretical analysis shows that the diffusion Lattice Boltzmann scheme can be derived from the basic principle that the hydrodynamic moments of the equilibrium particle distribution must equal those of the Maxwell-Boltzmann distribution up to second order.

By extending this theoretical framework to convection-diffusion, LB schemes are developed for orthorhombic lattices and irregular grids. These new Lattice Boltzmann schemes are compared to several Finite Difference and Finite Element schemes by solving benchmark problems. Analysis of the numerical solutions shows that the accuracy of the Lattice Boltzmann schemes is comparable to high-order Finite Element schemes, but can be achieved with much less computer resources, i.e., memory and computing time. Its good performance, the existence of a theoretical framework clearly linked with physics, and the algorithmic simplicity, make the Lattice Boltzmann method a strong competitor for conventional numerical schemes.

From the results of this thesis it can be concluded that the Lattice Boltzmann method is a very suitable framework for modelling convectiondiffusion phenomena and can be applied to packaging systems. It has attractive properties in simplicity, efficiency, and accuracy. Thereby, it can greatly contribute to better quality management of packed, fresh agricultural products.

Samenvatting

Samenvatting

Verpakken is cruciaal voor het kwaliteitsbehoud van verse agrarische produkten. Hoe een verpakking optimaal aangepast kan worden aan het specifiek produkt en aan de distributie-keten, is nog steeds een onvolledig begrepen probleem. Echter, verscheidene experimentele studies hebben aangetoond, dat bestaande verpakkingsontwerpen zodanig gewijzigd kunnen worden, dat er een wezenlijke kwaliteitsverbetering te behalen valt. Door inzet van computermodellen, die inzicht verschaffen in de natuurkundige en biologische processen, kan het ontwerpproces van verpakkingen sterk verbeterd worden.

In transportverpakkingen met ventilatie-gaten worden de warmte- en vochtafvoer bewerkstelligd door luchtstroming. Deze proces worden wiskundig beschreven met de convectie-diffusie vergelijking. Het berekenen van deze convectie-diffusie processen met computermodellen is een complexe zaak. Traditioneel worden deze processen nagebootst met Eindige Elementen of Eindige Differentie methoden, waarvoor een zeer gespecialiseerde kennis van de numerieke wiskunde nodig is.

In dit proefschrift worden de convectie-diffudie processen in verpakkingen nagebootst met een alternatieve rekenmethode: de Lattice Boltzmann methode. In deze methode worden natuurkundige processen nagebootst met quasi-deeltjes (warmte en vocht meevoerende 'biljartballen'), die zich over een rooster bewegen en onderling botsen. De wereld van de Lattice Boltzmann methode is simpel en toegesneden op het verwerken met de computer doordat alles discreet is: de tijd, de plaats en de snelheid van de deeltjes. De botsingen verlopen volgens een bekend natuurkudig mechanisme: de Boltzmann-vergelijking, die ook de beweging en botsingen van moleculen beschrijft.

De eerste toepassing van de Lattice Boltzmann methode op fysische transportverschijnselen is nu 10 oud. Nadat is aangetoond dat de methode vloeistofstroming op een efficiente en elegante wijze nabootst, is het toepassingsgebied snel gegroeid. Deze snelle groei heeft de methode te danken aan zijn eenvoud en de goede aansluiting op de denkwereld van natuurkundigen. Dit zijn ook de redenen geweest om deze methode te kiezen voor het nabootsen van processen in verpakkingen. In het eerste deel van dit proefschrift worden enkele praktijkgevallen doorgerekend met de Lattice Boltzmann methode. Wij hebben nauwkeurige en effeciente rekenmodellen ontwikkeld voor de volgende toepassingen: het afkoelen van snijbloemen, luchtstroming door natuurlijke ventilatie in bulkverpakkingen voor pootaardappelen, en warmte- en vochtafvoer in bulkverpakkingen, voorzien van ventilatie-gaten. Voor het opstellen van de rekenmodellen zijn nieuwe elementen voor de Lattice Boltzmann methode ontwikkeld, benodigd voor het beschrijven van 1) warmte/vochtafvoer door stroming op een rechthoekig rooster, 2) luchtstroming door een produktlading, en 3) warmte- en vochttransport door verpakkingsmateriaal en ventilatie-gaten.

Ondanks het feit dat de praktijkproblemen succesvol nagebootst zijn, heeft de Lattice Boltzmann methode toch nadelen laten zien, die het enigzins onaantrekkelijk maken als hulpmiddel bij het ontwerpen van verpakkingen. De methode dient een theoretische basis te hebben voor het afleiden van beschrijvingen van nieuwe processen, en de mogelijkheid bieden om het rooster fijnmazig te maken op plaatsen waar snelle veranderingen (in temperatuur of vochtgehalte) optreden. Anders kan de Lattice Boltzmann methode moeilijk te concurreren met rekenmodellen gebaseerd op de Eindige Elementen of Eindige Differentie methoden. Om de Lattice Boltzmann methode toch tot een geduchte concurrent te maken, is het laatste deel van het proefschrift gewijd aan de kwesties of de Lattice Boltzmann schema's voor convectie-diffusie puur theoretisch afgeleidt kunnen worden en of roosterverfijningen mogelijk zijn.

Theoretische analyse laat zien dat een Lattice Boltzmann rekenschema voor diffusie (warmte- of vochttransport in stilstaande lucht) af te leiden is van het basisprincipe dat de eerste twee hydrodynamische momenten van de evenwichtsverdeling van de deeltjes gelijk moeten zijn aan de Maxwell-Boltzmann verdeling, de beschrijving van moleculen in evenwichtstoestand. Uitgaande van dit basisprincipe zijn ook rekenschema's voor convectiediffusie ontwikkeld, die ook toegepast kunnen worden op roosters met maasverfijningen.

De prestatie van de Lattice Boltzmann rekenschema's is vergeleken met Eindige Elementen en Eindige Differentie rekenmodellen, via het oplossen van standaard testproblemen. Hieruit is gebleken dat de nauwkeurigheid van de Lattice Boltzmann methode ongeveer gelijk is aan die van zeer gespecialiseerde Eindige Elementen methoden, maar dat dit bereikt kan worden met een kortere rekentijd en minder computergeheugen. Het uitstekende prestatie-vermogen, het bestaan van een theoretisch raamwerk, de duidelijke verbondenheid met de natuurkunde, en de eenvoud in programmeren,

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maakt de Lattice Boltzmann methode een gedegen concurrent van enig andere rekenmethode.

Op basis van de resultaten vermeld in dit proefschrift wordt geconcludeerd dat de Lattice Boltzmann methode een geschikt en efficient hulpmiddel is bij het ontwerpen van verpakkingen en dus sterk kan bijdragen aan de verbetering van het kwaliteitsbehoud van het verpakte produkt.

Curriculum Vitae

De auteur van dit proefschrift is geboren in Wateringen op 21 januari 1963. Na het doorlopen van het atheneum heeft hij technische natuurkunde gestudeerd aan de Technische Universiteit Delft, van 1981 tot 1987, met als afstudeerrichting lage temperaturen fysica.

Na een periode mechanica en programmeren gedoceerd te hebben aan de Hogeschool Utrecht, is hij in 1989 in dienst getreden bij het PTT-research Telematica laboratorium in Groningen. Hier heeft hij zich bezig gehouden met onderzoek op het gebied van kennistechnologie en gebruikersinterfaces.

Vervolgens is hij in 1992 in dienst gekomen bij het agrotechnologisch onderzoeksinstituut ATO-DLO in Wageningen. Hier heeft hij zich gespecialiseerd in eerste instantie in het onderzoeken van fysische transportverschijnselen in verpakkingen en later ook in de fysisa van voedselverwerking. Een deel van zijn onderzoek bij ATO-DLO is beschreven in dit proefschrift.

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