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1 A songbird compensates for wing molt during escape flights by  
2 reducing the molt gap and increasing angle-of-attack

3  
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10  
11 **Abstract**

12 During molt, birds replace their feathers to retain feather quality and maintain flight  
13 performance. However, wing gaps inherent of this process can also reduce flight capacities,  
14 which could be detrimental when foraging or escaping predators. Still, many bird species will  
15 not stop their normal activities when molting. In this study, we investigated whether and how  
16 birds adjust their escape flight behavior to compensate for the reduction in performance when  
17 flying with wing gaps. Using stereoscopic high-speed videography, we filmed 146 upward-  
18 directed escape flights of 19 and 22 pied flycatchers (*Ficedula hypoleuca*) with and without  
19 simulated molt gaps, respectively. We then reconstructed the three-dimensional body and  
20 wing movements throughout each maneuver. By comparing flights with and without gaps, we  
21 determined how wing molt gaps affected wing morphology, escape flight performance, and  
22 how the birds adjusted their flight kinematics in order to negate possible negative  
23 aerodynamic effects. Our manipulations resulted in a lower second-moment-of-area of the  
24 wings, but flight speed and net aerodynamic force production did not differ between the two  
25 groups. We found that in manipulated birds, the size of the gap was reduced as the flight  
26 feathers adjacent to the gap had moved towards each other. Moreover, the experimental  
27 decrease in second-moment-of-area was associated with an increase in angle-of-attack,  
28 whereas changes in wingbeat-induced speeds were associated with variations in aerodynamic  
29 force production. This suggests that the control of escape flight in molting birds might be  
30 modular, allowing relatively simple flight control, thus reducing the burden on the neuro-  
31 muscular flight control system.

32  
33 **Keywords:** European pied flycatcher, *Ficedula hypoleuca*, avian flight, wingbeat kinematics

34 **Introduction**

35 The avian plumage not only functions as a protective barrier and insulation layer but is also  
36 essential for locomotion, forming the aerodynamic shape of the animal's body, tail and wings  
37 during flight. As a result, avian feathers are under strong selective pressure for optimal flight  
38 performance (Jenni and Winkler, 1994). Environmental and biological factors such as  
39 sunlight, weather and parasites cause feathers to degrade over time, reducing their quality and  
40 compromising all activities of an individual (Barbosa et al., 2002; de la Hera et al., 2010;  
41 Swaddle et al., 1996; Weber et al., 2005). Thus, birds need to replace their feathers in order to  
42 retain quality in the so-called molt process (Jenni and Winkler, 1994; Pap et al., 2007). This  
43 is particularly important for the flight feathers, as a degraded or damaged feather deck is  
44 likely to impact flight performance (Swaddle et al., 1996).

45 The process of molt is energetically costly because individuals need to grow new  
46 feathers and maintain tissues for feather production (Lindström et al., 1994; Murphy and  
47 King, 1991; Murphy and King, 1992). It also has to be timed correctly in the season because  
48 if molt is delayed, is hastened or when it overlaps with other stages in the annual cycle, it  
49 may compromise plumage quality (Dawson, 2004; Jenni and Winkler, 1994; Nilsson and  
50 Svensson, 1996; Vágási et al., 2012). Therefore, allocation of this expensive stage in the  
51 annual cycle of a bird is an important life-history decision (Barta et al., 2008; Hemborg et al.,  
52 2001; Holmgren and Hedenström, 1995; Jenni and Winkler, 1994).

53 The energetic requirements of growing new feathers, however, is not the only reason  
54 why molt is costly. During molt, birds are also forced to fly with missing wing feathers,  
55 which forms gaps on their wings and causes additional energetic costs (Chai, 1997;  
56 McFarlane et al., 2016; Swaddle and Witter, 1997; Swaddle et al., 1999; Williams and  
57 Swaddle, 2003). Such molt gaps are detrimental to bird flight due to a reduction in the wing  
58 area, altered wing shape and a consequent increase in wing loading, hindering the ability to  
59 generate aerodynamic lift or causing additional aerodynamic drag (Achache et al., 2018;  
60 Chai, 1997; Hedenström and Sunada, 1999; Kleinheerenbrink and Hedenström, 2017;  
61 McFarlane et al., 2016). Both the gap size and position are detrimental for flight, with a  
62 strong decline in performance when the gaps are situated inside the wing, which is the case of  
63 early molt stages (Achache et al., 2018; Hedenström and Sunada, 1999).

64 There are different strategies that individuals use to reduce the costs of molt, such as  
65 molting just one or few feathers at once and allocating molt to moments of the year when  
66 there are no other costly events such as breeding or migration (Barta et al., 2006; Barta et al.,

67 2008; Jenni and Winkler, 1994). Still, it is not uncommon for some birds to start to molt  
68 while still breeding even if this means that they will pay additional costs of overlapping molt  
69 and breeding (Echeverry-Galvis and Hau, 2013; Hemborg, 1999; Hemborg and Lundberg,  
70 1998).

71 In a previous study, we investigated the costs of molting while breeding and showed  
72 that male pied flycatchers (*Ficedula hypoleuca*) with simulated molt gaps in their wings  
73 suffer from flight costs measured as distance gained per wingbeat, a parameter with potential  
74 energetic implications (Tomotani et al., 2018b). However, this reduction in performance did  
75 not reflect in a reduction in flight speed of birds with simulated molt gaps (Tomotani et al.,  
76 2018b). Similarly, a study with starlings showed that birds with simulated molt gaps had a  
77 low speed take-off immediately after manipulation, but that effect disappeared over time  
78 (Williams and Swaddle, 2003). These results suggest that individual birds may be able to  
79 behaviorally compensate for the detrimental effects of wing feather gaps on take-off  
80 performance (Tomotani et al., 2018b; Williams and Swaddle, 2003). Here, we investigated  
81 whether and how birds compensate for the detrimental effects of molt gaps on escape flight  
82 performance via adjustments in their flight kinematics. We studied the upward-directed  
83 escape take-off maneuvers of pied flycatchers with and without experimentally-induced gaps  
84 in their wings simulating early molt stages (henceforth “*control* group” and “*molt* group”,  
85 respectively). We used video recordings of 146 escape take-off flights in a vertical flight  
86 chamber of 19 birds with simulated molt gaps and 22 *control* birds to create a dataset of the  
87 three-dimensional body and wing movements throughout the escape flight. Based on these  
88 data, we described in detail how gaps of early molt stages affect wing morphology, escape  
89 flight performance, and how pied flycatchers adjust their flight kinematics in order to negate  
90 the negative aerodynamic effects of molt.

91

## 92 **Materials & Methods**

### 93 *Modelling aerodynamic force production in upward-directed escape flights*

94 Flying animals flap their wings to produce aerodynamic forces required for flight. During  
95 steady horizontal flight, the animal needs to produce an upward-directed aerodynamic lift  
96 force that is in magnitude equal to the weight of the animal, and a forward-directed thrust  
97 force produced by the flapping wings that cancels aerodynamic drag mostly produced by the  
98 body (Alexander, 2004).

99 During vertical escape flights, on the other hand, the animal should maximize the  
 100 upward-directed aerodynamic force ( $F_{\text{aero}}$ ) in order to accelerate upwards as fast as possible.  
 101 The resulting high upward accelerations throughout an escape flight lead to a high escape  
 102 speed as well as a short time duration of the escape maneuver. Both characteristics are  
 103 associated with a high escape performance (Muijres et al., 2014; Swaddle et al., 1996), as  
 104 they minimize the chance of being captured. Equally, these metrics could also be used to  
 105 quantify capture performance in predators (Hedenstrom et al., 2001).

106 Because acceleration, escape speed and escape time thus all depend directly on the net  
 107 aerodynamic force ( $F_{\text{aero}}$ ) produced by the upward flying bird, we used this metric to quantify  
 108 escape performance. To control for differences in size among the individual birds, we  
 109 normalized this  $F_{\text{aero}}$  with the weight of the individual bird, leading to the weight-normalized  
 110 net aerodynamic force, defined as

$$112 \quad F_{\text{aero}}^* = F_{\text{aero}}/mg, \quad \text{Eqn. 1}$$

113  
 114 where  $m$  is mass of the bird and  $g$  is gravitational acceleration (see Table 1 for the complete  
 115 list of symbols). This net aerodynamic force ( $F_{\text{aero}}$ ) equals the vector-sum of the force  
 116 produced by the bird for weight support and the force that leads to body acceleration (Fig.  
 117 1B), and thus using Newton's second law of motion, we can directly determine  $F_{\text{aero}}^*$  from  
 118 body accelerations as

$$120 \quad F_{\text{aero}}^* = |\mathbf{a} + \mathbf{g}|/|\mathbf{g}|, \quad \text{Eqn. 2}$$

121  
 122 where  $\mathbf{g}$  is the gravitational acceleration vector, and  $\mathbf{a}$  is the body acceleration vector. These  
 123 weight-normalized aerodynamic forces are thus equal to the amount of g-forces experienced  
 124 by the bird throughout the escape maneuver.

125 The total net aerodynamic force produced by the flying bird can be separated into  
 126 forces produced by its wings, body and tail as (Fig. 1C)

$$128 \quad F_{\text{aero}}^* = (F_{\text{wings}} + F_{\text{body}} + F_{\text{tail}})/mg. \quad \text{Eqn. 3}$$

129  
 130 During flapping flight at low advance ratio's, such as the here-studied escape take-offs,  
 131 aerodynamic forces produced by the wings ( $F_{\text{wings}}$ ) result primarily from its flapping motion.

132 Therefore, we will model aerodynamic forces produced by the wings throughout an escape  
133 take-off using aerodynamic theory for wings beating at low-advance-ratio's (Ellington, 1984;  
134 Muijres et al., 2017) as (Fig. 1C)

135

$$136 \quad F_{\text{wings}} = \frac{1}{2} \rho \dot{\phi}^2 S_2 \alpha_{\text{wing}} C_{F\alpha}, \quad \text{Eqn. 4}$$

137

138 whereby  $\rho$  is the air density,  $\dot{\phi}$  is the angular speed of a beating wing,  $S_2$  is the second-  
139 moment-of-area of the wing relative to the shoulder joint,  $\alpha_{\text{wing}}$  is the angle-of-attack of the  
140 wing, and  $C_{F\alpha}$  is the angle-of-attack-specific force coefficient of the wing. We model the  
141 wing force coefficient as the product of  $\alpha_{\text{wing}}$  and  $C_{F\alpha}$  because for revolving bird wings, their  
142 force coefficients scales close to linearly with angle-of-attack (Usherwood, 2009).

143 The forces produced by the tail ( $F_{\text{tail}}$ ) can be modelled using delta-wing aerodynamics  
144 theory applied to avian tails (Thomas, 1993), as

145

$$146 \quad F_{\text{tail}} = \frac{\pi}{4} \rho U_{\text{tail}}^2 b_{\text{tail}}^2 \alpha_{\text{tail}}, \quad \text{Eqn. 5}$$

147

148 whereby  $U_{\text{tail}}$  is the tail speed resulting from both beating the tail and the translational speed  
149 of the bird,  $b_{\text{tail}}$  is the maximum tail width, and  $\alpha_{\text{tail}}$  is the angle-of-attack of the tail.

150 The advance ratio of the here-studied escape take-offs are relatively low, and  
151 translation velocities of the bird are relatively small compared to wingbeat and tailbeat  
152 induced velocities. Because aerodynamic forces scale with velocities squared (Anderson,  
153 1985), we ignore aerodynamic forces that are the result of primarily the relatively low  
154 translational velocities. Therefore, we assume that body-induced aerodynamic forces are  
155 negligible in our aerodynamic model for escape take-off maneuvers in birds ( $F_{\text{body}} = 0$ ). Note  
156 that because wing molt most likely does not change body drag directly, even if body drag  
157 forces are not negligible, this simplification will most likely not affect our study into the  
158 effect of wing molt on flight kinematics and aerodynamics.

159 The aerodynamic model as described by Eqn. 1-5 will be used to study how wing  
160 molt affects the flight kinematics, aerodynamics and performance of escape take-offs in pied  
161 flycatchers. Based on this model, we hypothesize that the primary detrimental effect of wing  
162 molt is that molt gaps cause a reduction in  $S_2$  of the wings, which will have a negative effect  
163 on force production by the wings (Eqn. 4). This could then lead to a reduction in escape flight  
164 performance as expressed by a reduction in  $F_{\text{aero}}^*$  (Eqn. 1-2). But our previous study suggests

165 that instead of having a reduced escape performance, our molting pied flycatchers adjusted  
166 their flight kinematics in order to negate this negative effect (Tomotani et al., 2018b).  
167 Therefore, using our aerodynamic force production model for wings and tail (Eqn. 4 and Eqn.  
168 5, respectively), we will investigate how these pied flycatchers adjusted their wingbeat and  
169 tailbeat kinematics in order to compensate for wing molt.

170 According to Eqn. 4, birds can increase aerodynamic forces produced of the molting  
171 wings by increasing  $S_2$  (e.g. by spreading their remaining wing feathers), by increasing the  
172 angle-of-attack of the beating wings, and by increasing the (angular) speed of the wings.  
173 Likewise, birds can increase force production by the tail by spreading the tail (increasing  
174  $b_{\text{tail}}$ ), increasing the tail angle-of-attack, and increasing the speed of the tail (Eqn. 5).  
175 Therefore, we measured these parameters in escaping flycatchers, and tested how they vary  
176 between birds with and without simulated molt gaps. Note that, especially at relatively low  
177 flight speeds, pied flycatchers have an inactive upstroke whereby the wing does not produce  
178 significant aerodynamic forces (Muijres et al., 2012; Norberg, 1975). Therefore, we will  
179 focus on the wingbeat kinematics particularly during the aerodynamically-active downstroke.  
180

### 181 *Experimental Animals*

182 The pied flycatcher, *Ficedula hypoleuca* ([Pallas], 1764), is a small long-distance migratory  
183 bird that reproduces in Europe and Western Asia and winters in West Africa (Lundberg and  
184 Alatalo, 1992; Ouwehand et al., 2016). The field part of the experiment was conducted from  
185 early April until late June 2015 in the forests of the Hoge Veluwe National Park (The  
186 Netherlands; 5°51'E, 52°02'N). We provided around 400 nest boxes year-round in an area of  
187 171 ha, which are occupied in spring by cavity-nesting passerines, such as pied flycatchers.  
188 Every year this pied flycatcher population is monitored and data on arrival dates of males,  
189 nest building of females, female egg-laying dates, chick hatching dates, brood success and  
190 adults and chick basic biometrics is collected. Voucher material of this population was  
191 deposited in the ornithology collection of the Naturalis Biodiversity Center (Leiden, The  
192 Netherlands) under the inventory numbers RMNH 592347, RMNH 592348 and RMNH  
193 592349.

194 Birds used in the present study were part of a previous field-lab experiment designed  
195 to test the effects of simulated molt gap on fitness (Tomotani et al., 2018b). Adult males were  
196 captured when feeding their seven-day old chicks and randomly assigned to a treatment: if a  
197 male was in the *molt* group, we simulated early molt stages by plucking primaries 2 and 3 of

198 both wings, following the molt sequence (Jenni and Winkler, 1994). If a male was in the  
199 *control* group, it was handled as a *molt* group male, but no primary feathers were removed.  
200 Our treatment mimicked the natural molt process in pied flycatchers, with the exception that  
201 we removed primary 2 and 3, instead of 1 and 2. We opted to not remove the first primary  
202 feather because this allowed us to assess the date of the natural molt onset as the moment  
203 when the first primary was dropped. Nevertheless, our treatment still created a similar-sized  
204 gap in a very close location to where the natural molt would start. After this experimental  
205 treatment, all birds were released. Later, when chicks were 12 days old, those males were  
206 captured a second time and taken to the Netherlands Institute of Ecology where we recorded  
207 their flight.

208 All procedures were carried out under licenses of the Animal Experimental  
209 Committee of the Royal Netherlands Academy of Sciences (KNAW) (protocol NIOO 14.13).  
210 The *molt* treatment consisted in pulling feathers from the wings of the males upon capture, a  
211 process that last a few seconds and was only performed by experienced researchers.  
212 Moreover, the return rates of *molt* and *control* males in the following year did not differ (see  
213 Tomotani et al, 2018b). More details regarding the design and results of this field experiment  
214 can be found in Tomotani et al. (2018b).

215

### 216 *Experimental Setup & procedure*

217 Escape flight experiments were performed in a vertical flight arena with a stereoscopic  
218 videography system (Fig. 1A), as described in Tomotani et al. (2018b). The flight tunnel  
219 consisted of a release chamber, a flight chamber and a collection chamber. The flight  
220 chamber had dimensions  $50 \times 50 \times 150$  cm (length  $\times$  width  $\times$  height), and the release and  
221 collection boxes were each  $50 \times 50 \times 30$  cm in size. The release and collection chambers  
222 were removable and identical in design, such that they were interchangeable and could be  
223 used as transport cage. Each cage had a perch and a sliding door ( $50 \times 50$  cm) that could be  
224 quickly opened manually by the experimenter.

225 Before each experimental session, a single bird was transferred from its housing cage  
226 to the release chamber and transported to the experimental room. There, the release chamber  
227 was connected to the bottom of the flight arena and the sliding door was quickly opened. This  
228 would trigger the bird to fly upward and land on the perch of the collection chamber on the  
229 top. After this, the experimenter would close the sliding door of the collection chamber,

230 switched the release and collection boxes, and performed a second flight experiment by again  
231 quickly opening the sliding door of the release chamber.

232 The upward flight maneuvers were filmed with a stereoscopic videography system,  
233 consisting of three synchronized Basler piA64-210gm cameras, each with a Nikkor f/2.8 lens  
234 and a 300 watt halogen floodlight (GE lighting, PAR56) for illumination. Each camera had a  
235 spatial resolution of  $648 \times 488$  pixels, gray-scale bit depth of 8 bits, and operated at 150 or  
236 200 frames per second (Fig. 1B, Movies S1-S2). The stereoscopic camera system was  
237 calibrated at least once a week using a Direct Linear Translation (DLT) method (Hatze,  
238 1988), based on a calibration frame with 22 randomly placed calibration points, and using an  
239 open-source Matlab (Mathworks Inc) DLT calibration software package (Woltring and  
240 Huiskes, 1990). The accuracy of each DLT calibration was estimated as the mean absolute  
241 calibration error, defined as the mean absolute distance between the location of each  
242 calibration point and its three-dimensional reconstruction; for our study, this mean absolute  
243 calibration error was 7.5 (0.6) mm (mean (standard deviation),  $n=7$  calibrations).

244 The stereoscopic camera system filmed a volume of approximately  $40 \times 40 \times 40$  cm  
245 on the bottom half of the flight chamber, and thus the mean absolute calibration error equals  
246 1.1% of the diameter of this volume of interest. We chose to film this region in the bottom  
247 half of the flight chamber because we assumed that in this section the birds were producing  
248 maximum aerodynamic forces in order to accelerate upward. Closer to the take-off perch, the  
249 birds might still be transitioning from the push-off phase to the flight phase, and more  
250 towards the collection chamber they might start to slow down in order to prepare for landing.

251 During the experiments, the camera system was continuously recording to a buffer of  
252 1000 video frames (5 or 6.7 seconds) for each camera. When the system was manually  
253 triggered after a bird performed a flight maneuver, recording was stopped and the final 1000  
254 video frames recorded by each camera before triggering were saved and stored for later  
255 analysis (Movies S1-S2).

256

### 257 *Flight Kinematics Analysis*

258 Throughout each recorded stereoscopic video, we manually tracked 14 morphologically  
259 distinct markers on the body, wings and tail of the upward flying bird (Fig. 1C), using an  
260 open-source Matlab (Mathworks Inc) tracking software package (Hedrick, 2008). The body  
261 and tail markers included the tip of the beak, the rump, and the left and right tail tip. On each  
262 wing, we tracked five markers: the shoulder, the wrist, the wing tip defined as the tip of the

263 eighth primary feather (P8), and the tip of the first and fourth primary feather (P1 and P4,  
264 respectively); P1 and P4 were adjacent to the feathers that we removed in the molt-simulated  
265 group (P2 and P3).

266 We used the open-source DLT calibration code (Woltring and Huiskes, 1990) to  
267 convert all video-tracked marker positions into their three-dimensional positions. For each of  
268 these three-dimensional reconstructions, we determined the mean absolute reconstruction  
269 error, defined as the mean distance between the measured location of a marker on each  
270 camera sensor and the re-projected location on the camera sensor of the estimated three-  
271 dimensional marker position. For all three-dimensional reconstructions, the mean absolute  
272 reconstruction error is 2.7 (2.6) pixels (mean (standard deviation),  $n=39,503$  reconstructions),  
273 which equals 0.3% of the diameter of each camera sensor.

274 The resulting three-dimensional tracks were filtered using a linear Kalman smoother  
275 (Muijres et al., 2015), which provided us with filtered estimates of position, velocity and  
276 acceleration of all data points. For the Kalman smoother, the measurement noise covariance  
277 matrix was set to identity, process noise matrix set to 10, and the cross-product of the error  
278 covariance matrices was set to zero. A comparison between the unfiltered and Kalman  
279 filtered data of a flight maneuver is shown in Fig. S1.

280 These filtered data were used to determine the various kinematics parameters  
281 throughout each measured wingbeat. We first separated each flight sequence into distinct  
282 wingbeats, by manually identifying the video frames at which the wingbeat transitioned from  
283 downstroke to upstroke, i.e. when the wingtip switched from a downward to upward  
284 movement. Based on this, we defined the temporal dynamics throughout the wingbeat as  
285 normalized time  $\tau = t/\Delta t$ , whereby  $\Delta t$  was the time difference between two consecutive  
286 downstroke-to-upstroke transitions. And thus  $\tau=0$  at the start of each upstroke, and  $\tau=1$  at the  
287 end of the next downstroke. The flapping frequency of each wingbeat was calculated as  $f =$   
288  $1/\Delta t$ . We used the tip of the beak to determine the flight path, flight speed  $U_{\text{body}}(\tau)$  and  
289 weight-normalized net aerodynamic force  $F_{\text{aero}}^*(\tau)$  (Eqn. 2), throughout each wingbeat.

290 More detailed wingbeat kinematics analysis was performed by dividing the wing into  
291 four triangles, each spanned by three tracked markers (Fig. 1C): the inner wing triangle  $T_{\text{in}}$ ,  
292 the mid wing triangle  $T_{\text{mid}}$ , the outer wing triangle  $T_{\text{out}}$ , and the simulated molt gap triangle  
293  $T_{\text{gap}}$ . Thus, for the *molt* group, the molt gap was defined as the triangle spanned by the  
294 shoulder joint and the wing tips of feathers P1 and P4, and simulated molt gap width ( $b_{\text{gap}}$ ) as  
295 the distance between the tip of P1 and P4.

296 For each wing triangle we calculated its area  $S$ , second-moment-of-area  $S_2$  relative to  
297 the shoulder marker, its velocity vector  $\mathbf{U}$  as the average velocity of its three markers, and  
298 angle-of-attack  $\alpha$  as the angle between the velocity vector  $\mathbf{U}$  and the surface of the triangle  
299 (Fig. 1C). The average wing speed  $U_{\text{wing}}$  and angle-of-attack  $\alpha_{\text{wing}}$  for the bird were estimated  
300 as the average speed and angle-of-attack of the inner, middle and outer wing triangles of both  
301 wings combined. For *control* birds without a simulated molt gap, total wing area and  $S_2$  were  
302 estimated as the sum of  $S$  and  $S_2$  for all the wing triangles of both wings combined,  
303 respectively. For birds with simulated molt gaps, the gap triangles were not included in the  $S$   
304 and  $S_2$  calculation.

305 We defined the tail as a triangle spanned by the rump marker and the two tail tips.  
306 From this tail triangle, we calculated tail velocity  $\mathbf{U}_{\text{tail}}$  and tail angle of attack  $\alpha_{\text{tail}}$ , using the  
307 same method as for the wing triangles (Fig. 1C). Tail width  $b_{\text{tail}}$  throughout each wingbeat  
308 was calculated as the distance between the two tail tip markers.

309

### 310 *Statistical Analysis*

311 All statistics were performed using R version 3.4.3 (R Core Team, 2017). We tested how  
312 wing molt affected upward-directed flight dynamics of pied flycatchers using linear mixed-  
313 effect models. Mixed-effect models were fitted to each flight performance, morphology and  
314 kinematics component (R packages “lme4”, Bates et al., 2015) as a response variable, with  
315 “treatment” as fixed effect and bird ID as a random effect to take into account that each  
316 individual was tested multiple times. Treatment effects were tested using a Kenward-Roger  
317 approximation for F-tests, comparing models with and without treatment (R function  
318 “KRmodcomp” from the “pbkrtest” package, Halekoh and Højsgaard, 2014); data did not  
319 violate model assumptions and critical  $p$ -values were subsequently corrected for multiple  
320 testing using a Holm-Bonferroni method (Holm, 1979).

321 The tested flight performance, morphology and kinematics components included all  
322 variables identified as important for aerodynamic force production in upward-directed avian  
323 flight (Fig. 1C). The flight performance metrics were flight speed and weight-normalized net  
324 aerodynamic force; the wing morphology parameters were molt gap size and second-  
325 moment-of-area of both wings combined; the wingbeat kinematics parameters were the  
326 average wing speed and angle-of-attack of both wings combined (Eqn. 4); the tail kinematics  
327 parameters tail speed, tail angle-of-attack and tail spread (Eqn. 5).

328 For the flight performance metrics flight speed and normalized force, we used the  
329 wingbeat average values. For all other parameters, we used the average values near the  
330 moment within the wingbeat when force production was maximum ( $F_{\text{aero}}^* \approx F_{\text{aero,max}}^*$ ). This  
331 was around mid-downstroke, within the wingbeat-normalized time window of  $0.5 < \tau < 0.6$ .  
332 Our rationale for analyzing the kinematics parameters near maximum force production is that  
333 in this time window the effect of these parameters on flight performance are also most likely  
334 maximum (Eqn. 4,5).

335 To test which flight kinematic components best explain the force production, we used  
336 a linear mixed-effect model with normalized force as response variable and with second-  
337 moment-of-area, flight speed, wing speed, wing angle-of-attack, tail speed, tail spread and  
338 tail angle-of-attack as fixed effects, again using bird ID as a random effect. To define the  
339 minimal model, we used backwards model selection, dropping non-significant terms in each  
340 step. Once more, effects were compared with a Kenward-Roger approximation for F-tests.

341 In addition to the isolated comparisons, we also carried out a principal component  
342 analysis (PCA) to visualize all metrics together. The PCA reduces the number of dimensions  
343 of data by geometrically projecting the data into lower dimensions (principal components,  
344 PCs). It thus reduces the complexity of high-dimensional data but retain trends and patterns  
345 (Jolliffe, 2002; Lever et al., 2017). All analyzed metrics were included in order to detect  
346 whether data of the two treatments would cluster and which metric(s) would be related to the  
347 treatment effects. The Principal Component Analysis was based on the standardized  
348 measurement values (mean centered at 0, standard deviation at 1) of the variables.

349

## 350 **Results**

351 Pairs of *control* and *molt* males ( $n=29$  pairs, 58 males) with the same hatch date and same  
352 brood sizes were selected throughout the season covering the full range of hatching dates.  
353 This ensured that the treatment groups did not significantly differ on average chick hatching  
354 date or in brood size (see Tomotani et al, 2018b). From these starting 58 nests, however, we  
355 analyzed recordings of 41 males; the remaining birds were either not recorded (*e.g.* natural  
356 molt, desertion, see Tomotani et al, 2018b) or recordings were not precise enough for tracing  
357 the whole wing movement. However, this subset of nests still did not differ in terms of brood  
358 sizes ( $F_{1,40}=-1.30, p=0.20$ ) nor in hatching dates ( $F_{1,40}=2.48, p=0.80$ ).

359 Based on 10 years of molt data, male pied flycatchers in this population start to  
360 symmetrically molt on the June 13<sup>th</sup> on average (Tomotani et al, 2018a). In the year of the

361 experiment (2015), males started to molt on average on June 15<sup>th</sup>, while flight trials took  
362 place between May 28<sup>th</sup> and June 18<sup>th</sup>. Natural molt onset was monitored in all individuals  
363 and was not affected by treatment; individuals observed in natural molt prior to flight trials  
364 were excluded from all analyses (also see Tomotani et al, 2018b).

365 We recorded and analyzed 73 upward-directed escape flight sequences of 22 *control*  
366 birds, and 73 sequences of 19 birds with simulated molt gaps (see Movies S1 and S2 for  
367 respective example videos). By manually tracking the 14 body, wing and tail markers in 4147  
368 frames of these 146 stereoscopic videos, we determined the wing, body and tail kinematics  
369 throughout a total of 410 complete wingbeats (Database S1).

370

### 371 *Changes in flight performance as a result of molt*

372 The flight speed of the *control* birds and birds with simulated molt gaps varied throughout the  
373 wingbeat, with a consistent offset in flight speed between the *control* and *molt* group (Fig.  
374 2A). Despite this offset, the average flight speed throughout the wingbeat was not  
375 significantly different between the two groups ( $U_{\text{body,control}}=2.53\pm 0.03 \text{ m s}^{-1}$  (mean $\pm$ standard  
376 error,  $n=73$  flights);  $U_{\text{body,molt}}=2.47\pm 0.03 \text{ m s}^{-1}$  ( $n=73$  flights);  $F_{1,38.48}=0.94$ ,  $p=0.34$ ; Fig. 2E),  
377 and thus both the *control* and *molt* group flew upward with a flight speed of approximately  
378  $2.5 \text{ m s}^{-1}$ .

379 The net weight-normalized aerodynamic forces also varied throughout the wingbeat,  
380 and these dynamics were strikingly similar between the *control* and the *molt* groups (Fig.  
381 2B): for all birds, normalized forces increased on average from a g-force of 1.6 at the start of  
382 the wingbeat ( $\tau=0$ ) to a maximum of 2.6 g near mid downstroke ( $\tau\approx 0.55$ ). The resulting  
383 wingbeat-average normalized forces were not significantly different between the two groups  
384 ( $F_{\text{aero,control}}^*=2.16\pm 0.05$  ( $n=73$  flights);  $F_{\text{aero,molt}}^*=2.09\pm 0.05$  ( $n=73$  flights);  $F_{1,37.89}=0.69$ ,  
385  $p=0.41$ ; Fig. 2F). Thus, throughout the escape maneuver, both the *control* and *molt* birds  
386 produced similar net aerodynamic forces of on average 2.1 g, and that peaked near mid-  
387 downstroke at a value of 2.6 g.

388

### 389 *Changes in wing morphology as a result of molt*

390 Based on the tracked wing markers, we measured the temporal dynamics of second-moment-  
391 of-area of both wings combined throughout the wingbeat (Fig. 2C). Because the wing  
392 markers are only clearly visible during its downstroke movement, we were only able to  
393 accurately estimate  $S_2$  (and any other wing kinematics parameter) within the time window of

394 0.25< $\tau$ <0.8. Within this time window, the second-moment-of-area first slowly increased to a  
395 maximum at roughly mid-downstroke ( $\tau\sim 0.5$ ), and then dropped off towards the end of the  
396 downstroke. Throughout the complete measured wingbeat section, the second-moment-of-  
397 area was larger for the *control* group than for the *molt* group (Fig. 2C); also, the average  
398 second-moment-of-area near maximum force production (0.5< $\tau$ <0.6) was significantly higher  
399 for the *control* birds ( $S_{2,\text{control}}=1.61\pm 0.05 \text{ dm}^4$  ( $n=66$  flights);  $S_{2,\text{molt}}=1.28\pm 0.04 \text{ dm}^4$  ( $n=65$   
400 flights),  $F_{1,35.72}=20.61$ ,  $p<0.01$ ; Fig. 2G). These results show that the birds with simulated  
401 molt had wings with a 20% lower second-moment-of-area compared to the *control* group.

402 We tested how this reduction in second-moment-of-area relates to the introduction of  
403 the molt gap by comparing the distance between the tips of primary feathers P1 and P4,  
404 which for the birds in the *molt* group is representative of the simulated molt gap width (Fig.  
405 1C). This P1-P4 distance was on average 31% larger for the *control* birds than for the birds  
406 with simulated molt gaps ( $b_{\text{gap,control}}=5.20\pm 0.08 \text{ cm}$  ( $n=66$  flights) and  $b_{\text{gap,molt}}=3.55\pm 0.14 \text{ cm}$   
407 ( $n=65$  flights),  $F_{1,37.41}=48.59$ ,  $p<0.01$ , Fig. 2D,H), and thus the birds with molt gaps had a  
408 reduced size of this gap. This molt gap reduction partly negated the detrimental effect of molt  
409 on the second-moment-of-area of the wing.

410

#### 411 *Changes in flight kinematics as a result of molt*

412 The molt-induced reduction in second-moment-of-area causes that the birds in the *molt* group  
413 have less  $S_2$  available to produce the same aerodynamic forces (Eqn. 4, Fig. 2). To achieve  
414 this, birds with a simulated molt gap should adjust their wing and tail kinematics. We tested  
415 how the birds in the *molt* group do this by comparing wing and tail kinematics between the  
416 two groups.

417 Birds can increase aerodynamic forces produced by their tail, by adjusting the spread,  
418 speed and angle-of-attack of the tail (Eqn. 5), and thus we tested those three parameters.  
419 None of these differed significantly between the *molt* and *control* groups (Table S1),  
420 suggesting that pied flycatchers do not use their tail to compensate for wing molt  
421 ( $U_{\text{tail,control}}=3.63\pm 0.07 \text{ m s}^{-1}$  ( $n=72$  flights),  $U_{\text{tail,molt}}=3.41\pm 0.05 \text{ m s}^{-1}$  ( $n=73$   
422 flights),  $F_{1,38.46}=1.89$ ,  $p=0.18$ ;  $b_{\text{tail,control}}=5.25\pm 0.23 \text{ cm}$  ( $n=72$  flights),  $b_{\text{tail,molt}}=5.08\pm 0.26 \text{ cm}$   
423 ( $n=73$  flights),  $F_{1,37.77}=0.15$ ,  $p=0.70$ ;  $\alpha_{\text{tail,control}}=32.1^\circ\pm 1.76^\circ$  ( $n=72$  flights),  
424  $\alpha_{\text{tail,molt}}=33.94^\circ\pm 2.07^\circ$  ( $n=73$  flights),  $F_{1,38.47}=0.47$ ,  $p=0.50$ ).

425 Birds can increase the aerodynamic forces produced by their flapping wings primarily  
426 by increasing the wing speed and by adjusting the angle-of-attack (Eqn. 4). The temporal

427 dynamics of wing speed throughout the wingbeat is similar between the birds in the *control*  
428 and *molt* groups: the speed of the inner wing section remains roughly constant throughout the  
429 downstroke (Fig. 3A); the speed of the middle wing section slowly increases throughout the  
430 downstroke (Fig. 3B); for the outer wing triangle, the wing speed first increases to a  
431 maximum of roughly  $12 \text{ m s}^{-1}$  at  $\tau=0.4$ , after which it decreases again (Fig. 3C). Although  
432 their temporal dynamics is similar between the *control* and *molt* group, the speeds are on  
433 average higher for the birds with simulated molt gaps (Fig. 3), which is also the case for the  
434 average speed of the complete wing (Fig. 4A). Comparing the average wing speed at  
435 maximum force production between the *control* and *molt* groups shows that the average  
436 speed was not significantly different between the groups ( $U_{\text{wing,control}}=6.52\pm 0.16 \text{ m s}^{-1}$  ( $n=66$   
437 flights) and  $U_{\text{wing,molt}}=7.00\pm 0.16 \text{ m s}^{-1}$  ( $n=65$  flights),  $F_{1,35.08}=4.28$ ,  $p=0.05$ ; Fig. 4C).

438 The temporal dynamics of the angle-of-attack throughout the wingbeat also differed  
439 between the *control* and *molt* groups (Fig. 3D-F), particularly near mid downstroke when  
440 force production is maximal ( $0.5<\tau<0.6$ ). Around this phase, the angle-of-attack dips for all  
441 wing sections of both groups, but this dip is consistently less pronounced in the *molt* group  
442 (Fig. 3D-F). The same difference is observed for mean angle-of-attack of the complete wing  
443 (Fig. 4B), and as a result the average wing angle-of-attack at maximum force production is  
444 significantly higher for birds with a simulated molt gap ( $\alpha_{\text{wing,control}}=19.4^{\circ}\pm 0.8^{\circ}$  ( $n=66$  flights)  
445 and  $\alpha_{\text{wing,molt}}=23.7^{\circ}\pm 0.8^{\circ}$  ( $n=65$  flights),  $F_{1,33.20}=15.78$ ,  $p<0.01$ ; Fig. 4D).

446

#### 447 *Changes in flight kinematics for varying aerodynamic force production*

448 Independent of molt treatment, the different birds produced various amounts of mean  
449 normalized forces throughout their maneuvers (Fig. 5). This allowed us to test how these  
450 birds adjusted their wingbeat kinematics for controlling their aerodynamic force production  
451 during upward-directed escape maneuvers. When testing for the variables that explain the  
452 variation in normalized force production, only flight speed, wing speed and tail spread relate  
453 significantly to force (Fig. 5A-C, Table S2: normalized force per flight speed  
454 slope= $0.53\pm 0.14 \text{ m}^{-1} \text{ s}$ ,  $F_{1,99.62}=13.98$ ,  $p<0.01$ ; normalized force per wing speed  
455 slope= $0.08\pm 0.03 \text{ m}^{-1} \text{ s}$ ,  $F_{1,126.86}=6.12$ ,  $p=0.01$ ; normalized force per tail spread  
456 slope= $0.07\pm 0.02 \text{ cm}^{-1}$ ,  $F_{1,120.81}=16.70$ ,  $p<0.01$ ). Thus, birds that flew faster also produced  
457 higher normalized forces, suggesting that these birds work harder throughout the escape  
458 maneuver. The results also suggest that normalized forces are enhanced by increasing the  
459 wing flapping speed (a g-force increase of 0.08 per  $1 \text{ m s}^{-1}$  wing speed increase) and by

460 increasing tail spread (a g-force increase of 0.07 per 1 cm increase in tail spread). Striking is  
461 that normalized force is not related to wing angle-of-attack (slope $<0.01\pm 0.01\text{ cm}^{-1}$ ,  
462  $F_{1,121.94}=0.44$ ,  $p=0.51$ ).

463

#### 464 *Principal component analysis*

465 We retained the principal components (PCs) with variance above 1, leaving us with the first  
466 three PCs that, combined, explained 58% of the variation. All these three PCs differed  
467 significantly between *control* and *molt* (PC1:  $F_{1,38.45}=6.88$ ,  $p=0.01$ ; PC2:  $F_{1,38.00}=5.80$ ,  
468  $p=0.02$ ; PC3:  $F_{1,37.83}=26.32$ ,  $p<0.01$ ; Tables S3, S4), but only PC2 and PC3 explained the  
469 variation of  $S_2$  (Table S2).

470 When the first three principal components are represented in the biplots PC1-PC2 and  
471 PC1-PC3 (Fig. 6), there is a clear clustering of birds in *control* and *molt* groups, albeit with  
472 some overlap. This clustering is mostly evident along PC2 and PC3 axes (Fig. 6A,B). The  
473 vector (loadings) plots are consistent on showing that birds in the *molt* group are  
474 characterized by lower values of  $S_2$ , lower values of gap size and higher values of wing  
475 angle-of-attack (Fig. 6C,D). The wing angle-of-attack vector is oriented in the opposite  
476 direction of the second-moment-of-area and gap size vectors, which supports the results of  
477 the separate tests: birds with a smaller  $S_2$  operate at higher wing angle-of-attack.

478 In contrast, PC1 mostly explains the variation of the normalized force, flight speed  
479 and wing speed, with all vectors pointing in a similar direction (Fig. 6C,D). In these plots, the  
480 normalized force and wing speed vectors were both close to perpendicular to the *control* and  
481 *molt* group distributions. This is in support of the above analysis that the upward escaping  
482 birds increase wing speed to enhance normalized force production, and not to control for  
483 molt.

484

#### 485 **Discussion**

486 The study of aerodynamic effects of molt has received relatively little attention, with few  
487 studies looking at the effects of natural molt on take-off (McFarlane et al., 2016; Swaddle and  
488 Witter, 1997; Williams and Swaddle, 2003), gliding (Kleinheerenbrink and Hedenström,  
489 2017; Tucker, 1991) or hovering flight (Achache et al., 2018; Chai, 1997). Here, we tested  
490 how experimentally-induced wing molt affects the upward-directed escape flight  
491 performance of a passerine bird after a week of habituation, and how these birds have  
492 adjusted their flight kinematics in response to molt.

493 Our results show that, after habituation, birds with simulated molt gaps are able to  
494 maintain their escape flight speed and aerodynamic force production via behavioral  
495 adjustments of their flight dynamics. These behavioral adjustments consist of two aspects: an  
496 adjustment of wing morphology and a change in wingbeat kinematics.

497 Wing molt gaps lead to a reduction in the second-moment-of-area of the wing, which  
498 consequently reduces aerodynamic force production during flight at low advance ratios  
499 (McFarlane et al., 2016). For our experimental birds, this molt-induced decrease in  $S_2$  was  
500 partly compensated for by a reduction in the size of the molt gap. This was similarly  
501 demonstrated for gliding flight in a jackdaw (*Corvus monedula*), which modified its wing  
502 posture across molt stages in order to reduce the molt gap size (Kleinheerenbrink and  
503 Hedenström, 2017). Because there was still a molt gap present between P1 and P4, the  
504 reduction in molt gap size is not likely to be the result of feather interlocking after preening.  
505 One possibility is that the gap reduction is achieved actively via muscle tension, another  
506 possibility is a passive closure due to the lack of support from boundary feathers once they  
507 are dropped. In any case, the result is an adjustment in wing morphology, which allow  
508 molting birds to partly negate the detrimental reduction in second-moment-of-area caused by  
509 molt.

510 Because wing morphing only partly negated this molt-induced reduction in  $S_2$ , the  
511 molting birds needed to also adjust their flight kinematics to fully compensate for the  
512 reduction in flight performance. This could be achieved by adjusting both the wingbeat and  
513 the tail kinematics. None of the tested tail kinematics parameters significantly differed  
514 between the *molt* and *control* groups, suggesting that the tail did not contribute to this  
515 kinematics compensation. These results are in line with several previous studies showing a  
516 relative small effect of tail dynamics on aerodynamic force production in passerines  
517 (Johansson and Hedenström, 2009; Muijres et al., 2012), but they contradict models that  
518 show an important contribution of the tail to lift (Norberg, 1994; Thomas, 1993; Thomas,  
519 1996).

520 Throughout the wingbeat, the wings of molting birds operated at both higher wing  
521 speeds and higher angles-of-attack (Fig. 4A,B, respectively), but the average wing speed at  
522 mid downstroke was not significantly different between the *control* and *molt* groups (Fig.  
523 4C). In contrast, the average wing angle-of-attack at mid downstroke was significantly  
524 different between these groups (Fig. 4D). This suggests that molting birds primarily increase

525 the angle-of-attack of the wing near mid-downstroke to compensate for the molt-induced  
526 reduction in second-moment-of-area.

527         Among the different analyzed flights, we observed variations not only in the second-  
528 moment-of-area, but also in aerodynamic forces magnitudes (Fig. 5). The latter variation on  
529 our data allowed us to determine how our upward escaping birds adjust their flight  
530 kinematics to control their aerodynamic force production. The analysis showed that  
531 aerodynamic force production was positively correlated with wing speed and tail spread,  
532 suggesting that birds use these two metrics to control aerodynamic force production.

533         The above conclusions are supported by our principal component analysis that  
534 showed that wing speed and normalized force were both primarily associated with the first  
535 principal component, whereas  $S_2$  and wing angle-of-attack were both primarily associated  
536 with the second and third principal components (Fig. 6, Jolliffe, 2002). The principal  
537 component analysis therefore gives some insights into the flight control mechanisms during  
538 upward escape maneuvers. These results point to a relatively simple and modular flight  
539 control system, whereby the kinematics adjustments for varying aerodynamic forces and for  
540 molt gap control are mostly independent: to compensate for a reduction in  $S_2$ , an upward  
541 escaping bird primarily adjusted the wing angle-of-attack at mid downstroke, whereas to  
542 boost aerodynamic force production the bird increases the wingbeat-induced velocities. This  
543 modularity might possibly reduce the burden on the neuro-muscular flight control system  
544 (Dickinson and Muijres, 2016; Lentink et al., 2007; Tobalske and Dial, 1994), but testing this  
545 would require additional research.

546         Molt is a complex process that involves tissue regeneration that impact both the  
547 energy balance and behavior. Therefore, it is also important to look experimentally at the  
548 effects of flying with molt gaps separately from the physiological costs of molt (Swaddle and  
549 Witter, 1997; Swaddle et al., 1999). A few experiments looked at the effect of simulated molt  
550 on flight dynamics of starlings (*Sturnus vulgaris*) and sparrows (*Passer montanus*). They  
551 showed that birds with simulated molt gaps have a slower take-off speed and impaired  
552 predator evasion and maneuverability as well as changes in their body mass and behavior  
553 (Lind, 2001; Lind et al., 2004; Swaddle and Witter, 1997; Swaddle et al., 1996; Swaddle et  
554 al., 1999). Curiously, after this initial impact, Swaddle and Witter (1997) also report a slow  
555 recovery of flight performance, which hints a compensatory behavior, like changes in the  
556 pattern of the wing movement. Our results support this observation. The pied flycatchers used  
557 in the present study were tested one week after being manipulated in order to also measure

558 the impacts of our manipulations on fitness (Tomotani et al., 2018b). This may have given  
559 the birds the opportunity to adjust their behavior to retrieve the same flight speed as the  
560 controls, and for us to assess the compensation mechanism.

561 The study of flight performance of molting birds may help us to understand the  
562 variation of molt strategies, for example the segregation of molt from other annual cycle  
563 stages (Bridge, 2011; Tomotani et al., 2018a; Tomotani et al., 2018b). Molt may force birds  
564 to avoid costly and risky activities as the combined aerodynamics and physiological costs of  
565 molt could be too damaging to allow molt to co-occur with other stages (Swaddle and Witter,  
566 1997). Still, molt-breeding overlap is common in male but not female songbirds (Jenni and  
567 Winkler, 1994).

568 Our results suggest that, after a habituation period of one-week, early stages of molt  
569 do not negatively affect escape speed and aerodynamic force production. This is achieved by  
570 the molting birds by primarily increasing the wing angle-of-attack with approximately  $4^\circ$  at  
571 mid downstroke. Nevertheless, the wing molt gaps and associated wingbeat kinematics  
572 adjustments are expected to incur energetic costs: a molt gap locally reduces lift produced by  
573 that wing section, causing a dip in the spanwise lift force distribution. This decreases span  
574 efficiency and consequently increases induced drag (Hedenström and Sunada, 1999; Muijres  
575 et al., 2011); because an increase in angle-of-attack is associated with increased aerodynamic  
576 drag on the wing, the energetic power requirement for flight is expected to also increase as a  
577 result of molt-induced wingbeat kinematics adjustments (Usherwood, 2009). Thus, the  
578 detrimental effect of molt on flight performance in passerines may not be expressed in a  
579 reduction in escape speed, but instead in an increase in energetic cost of flight.

580 Flycatchers forage on the wing by catching insects using rapid flight maneuvers  
581 similar to the upward-directed maneuvers that we studied (Davies, 1977). Our results suggest  
582 that primarily the energetic costs such maneuvers are increased, and less so their swiftness.  
583 The resulting increase in the energetic costs of foraging and predator escape would force the  
584 males with molt-breeding overlap to allocate more energy to self-maintenance, and  
585 consequently less to their offspring. This notion helps to explain the observed response of our  
586 male pied flycatchers with molt-breeding overlap (Tomotani et al., 2018b): the *molt* group  
587 did not have a reduced fitness in terms of breeding success and next-year return rate  
588 compared to the *control* males, but males with simulated molt gaps did reduce parental care  
589 by visiting their nest fewer times, which their females compensated for by working harder.  
590 Thus, the increased power requirement of flight with molt gaps, forced males with molt-

591 breeding overlap to prioritize their own survival (future reproduction) over their current  
592 reproduction success, which may come at the expense of their female partner (Hemborg,  
593 1998; Hemborg, 1999; Hemborg and Merila, 1998; Tomotani et al., 2018b).

594

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610

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- 777

778 **Table 1:** List of symbols and abbreviations.

<b>Abbreviations</b>		
P1-P8		first to eighth primary feathers
PC1-PC3		first to third principal components
$T_{in}$		inner wing triangle, spanned by shoulder, rump and P1 tip
$T_{mid}$		middle wing triangle, spanned by the shoulder, wrist and P1 tip
$T_{out}$		outer wing triangle, spanned by the wrist, wingtip and P4 tip
$T_{gap}$		molt gap wing triangle, spanned by the wrist, P1 tip and P4 tip
<b>Symbols</b>		
<i>symbol</i>	<i>unit</i>	<i>Description</i>
<b>a</b>	[m s <sup>-2</sup> ]	acceleration of the bird, as determined from the beak tip movement
$b_{gap}$	[m]	wing gap width, as defined by the distance between the P1 and P4 tip
$b_{tail}$	[m]	tail span, as defined by the distance between the tail tip markers
$C_{F\alpha}$	[-]	angle-of-attack-specific aerodynamic force coefficient of a bird wing
F	[-]	F-value for a linear mixed-effect model test
<b>F</b>	[N]	aerodynamic force vector
$F$	[N]	aerodynamic force scalar
$F^*$	[-]	weight-normalized aerodynamic force scalar
$f$	[s <sup>-1</sup> ]	wingbeat frequency
g	[-]	g-force, the non-dimensional unit of weight-normalized aerodynamic force
<b>g</b>	[m s <sup>-2</sup> ]	gravitational acceleration vector
$g$	[m s <sup>-2</sup> ]	gravitational acceleration scalar
$m$	[kg]	mass of the bird
$n$	[-]	sample size for a statistical test
$p$	[-]	p-value for a linear mixed-effect model test
$S$	[m <sup>2</sup> ]	Area
$S_2$	[m <sup>4</sup> ]	second-moment-of-area relative to the wing joint
$t$	[s]	time
<b>U</b>	[m s <sup>-1</sup> ]	velocity vector

$U$	$[\text{m s}^{-1}]$	speed scalar
$\alpha$	$[\text{°}]$	angle-of-attack
$\Delta t$	$[\text{s}]$	wingbeat-period
$\dot{\phi}$	$[\text{rad s}^{-1}]$	angular wing stroke velocity
$\rho$	$[\text{kg m}^{-3}]$	air density
$\tau$	$[-]$	wingbeat-period normalized time

779  
780

781 **Figure Legends**

782 **Figure 1. Experimental setup, kinematics tracking parameters and modelled**

783 **aerodynamic forces.** (A) The experimental setup consists of a vertical flight tunnel, with  
784 release box and collection box in both ends, and a videography system consisting of three  
785 synchronized high-speed video cameras. (B) cropped videography images showing an  
786 upward flying *control* bird (top) and *molt* bird (bottom), including aerodynamic forces  
787 produced by each bird. (C) From the videography data, we tracked 14 natural markers on  
788 each bird: the tip of the beak, the rump, the left and right tail tip, and 6 markers on each wing.  
789 Based on these markers, we separated the wing into four triangles, for which we determined  
790 the second-moment-of-area, velocity and angle-of-attack throughout the flight trajectory. We  
791 estimated net total aerodynamic force ( $\mathbf{F}_{\text{aero}}$ ) based on beak displacement, and modelled it as  
792 the sum of wing, body and tail forces ( $\mathbf{F}_{\text{wing}}$ ,  $\mathbf{F}_{\text{body}}$ ,  $\mathbf{F}_{\text{tail}}$ , respectively).

793

794 **Figure 2. Flight performance and wing morphology metrics during the upward escape**  
795 **flight of pied flycatchers with and without wing molt gaps.** (A-D) Temporal dynamics

796 throughout the wingbeat of (A) flight speed, (B) weight-normalized aerodynamic force, (C)  
797 second-moment-of-area of the wings, and (D) molt gap size. Data for the *control* and *molt*  
798 group are shown in blue and red, respectively. For each group, the data is visualized as the  
799 temporal dynamics of mean and standard error, whereby the temporal resolution was similar  
800 to the video frame rate. Thus, for each wingbeat-normalized time bin the mean and standard  
801 error was calculated based on the data of that bin. (E-F) The mean and standard error of the  
802 wingbeat-average flight speed and normalized force production for the *control* and *molt*  
803 group, respectively. (G-H) The mean and standard error of second-moment-of-area and molt  
804 gap size within the wingbeat-normalized time-window  $0.5 < \tau < 0.6$  (grey bar) where force  
805 production is maximal (B). Note that for the *control* birds,  $b_{\text{gap}}$  represents the wing width at  
806 location where the molt birds have a simulated molt gap. For flight speeds (A,E) and  
807 aerodynamic forces (B,F) the sample sizes are  $n_{\text{control}}=73$  flights and  $n_{\text{molt}}=73$  flights; for  $S_2$   
808 (C,G) and molt gap size (D,H) they are  $n_{\text{control}}=66$  flights and  $n_{\text{molt}}=65$  flights.

809

810 **Figure 3. The speed and angle-of-attack of the different wing sections throughout the**  
811 **wingbeat of the upward escape flight of pied flycatchers with and without wing molt**  
812 **gaps.** Data for the *control* group ( $n=66$  flights) and *molt* group ( $n=65$  flights) are shown in  
813 blue and red, respectively. For each group, data is shown as the average and standard error  
814 throughout wingbeat-normalized time, calculated as described for Fig. 2A-D. (A-C) temporal  
815 dynamics of the speed of the three wing sections: (A) inner wing, (B) mid wing, and (C)  
816 outer wing section, as defined in Fig. 1C. (D-F) Temporal dynamics of the angle-of-attack of  
817 the three wing sections: (A) inner wing, (B) mid wing, and (C) outer wing section, as defined  
818 in Fig. 1C.

819

820 **Figure 4. The mean speed and angle-of-attack of the wing during the upward escape**  
821 **flight of pied flycatchers with and without wing molt gaps.** (A,B) the temporal dynamics  
822 of wing speed (A) and angle-of-attack (B) throughout the wingbeat. Data for the *control* and  
823 *molt* group are shown in blue and red, respectively. For each group, data is shown as the  
824 temporal distribution of means and standard errors throughout wingbeat-normalized time, at a  
825 temporal resolution similar to the video frame rate. (C,D) the mean and standard error of the  
826 mean wing speed (C) and angle-of-attack (D) within the wingbeat-normalized time-window  
827  $0.5 < \tau < 0.6$  (grey bar) where force production is maximal (Fig. 2B). All data was calculated as  
828 described in Fig. 2, and sample sizes were  $n_{\text{control}}=66$  flights and  $n_{\text{molt}}=65$  flights.

829

830 **Figure 5. Relationship between weight-normalized aerodynamic force and flight speed,**  
831 **wing speed and tail spread throughout upward escape maneuvers of pied flycatchers.**

832 Normalized force is significantly correlated with wingbeat-average flight speed (A), mean  
833 wing speed (B) and tail spread (C) at maximum force production (within wingbeat-  
834 normalized time-window  $0.5 < \tau < 0.6$ ). Each data point shows the mean and standard error for  
835 all wingbeats of an individual (see Database S1 for the amount of wingbeats per individual).  
836 Black lines represent predictions of the linear mixed-effect models.

837

838 **Figure 6. Principal component analysis results for the flight dynamics of upward**  
839 **escaping pied flycatchers with and without wing molt gaps.** (A,B) The first, second and  
840 third principal component scores for all measured escape flights as depicted in the PC1-PC2  
841 biplot (A) and PC1-PC3 biplot (B). Data of birds with and without a molt gap are in red and  
842 blue, respectively. (C,D) projection of the principal component vectors (loadings) of the  
843 tested parameters onto the PC1-PC2 biplot (C) and PC1-PC3 biplot (D). The tested  
844 parameters were weight-normalized aerodynamic force (light green), flight speed (dark  
845 green), the second-moment-of-area (dark orange), molt gap size (light orange), speed and  
846 angle-of-attack of the wing (dark and light blue, respectively), and speed, spread and angle-  
847 of-attack of the tail (dark, middle and light red, respectively). The blue and red circles  
848 represent normal data ellipses (68% probability) for the *control* and *molt* groups,  
849 respectively.











