

**PHYSIOLOGICAL  
RESPONSES  
OF  
RICE  
TO  
INCREASED  
DAY  
AND  
NIGHT  
TEMPERATURES**



**Wanju Shi**



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increased day and night temperatures**

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# **Physiological responses of rice to increased day and night temperatures**

**Wanju Shi**

## **Thesis**

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## Abstract

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A more rapid increase in night-time temperature compared with day-time temperature and the increased frequency of heat waves associated with climate change present a serious threat to rice (*Oryza sativa* L.) production and food security. This thesis aims to understand the impact of high night-time temperature (HNT) and high day-time temperature (HDT) on rice grain yield and grain quality and to examine adaptation strategies to cope with high-temperature stresses.

Grain yield and quality of a susceptible indica genotype (Gharib) and all tested hybrids, when exposed to HNT in the field, were significantly reduced across seasons, with less average reduction in the dry season than in the wet season, indicating that other environmental factors under field conditions may contribute to impacts of HNT on yield. Among the different yield components, a reduced number of spikelets  $\text{m}^{-2}$  significantly contributed to yield loss under HNT followed by the consistently lower single-grain weight across all genotypes, while the impact of the decrease in percentage seed-set was less and season-specific. Lower grain yield and poorer grain quality in susceptible cultivar Gharib were associated with a significant reduction in non-structural carbohydrate translocation after flowering, resulting in reduced grain-filling duration. Increased total nitrogen application did not alleviate the negative impact of HNT. The proposed model approach showed that there were significant differences among cultivars in their changes in source-sink relationships in response to HNT. Given that rice grain yield and quality are challenged by a rise in HDT and HNT, in particular at flowering and during grain filling, differential impacts of HNT and HDT during these critical stages were observed. For the single-grain growth during grain filling, HDT either independently or in combination with HNT exerted greater influences than HNT on the grain filling dynamics, activities of starch metabolism enzymes, temporal starch accumulation patterns, and the process of chalk formation. During flowering, HDT increased spikelet sterility in tested hybrids and hybrids were less tolerant to high temperatures than high-yielding inbred varieties. Moreover, in contrast with HNT, HDT played a dominant role in determining spikelet fertility. Novel observations with a series of snapshots of dynamic fertilization processes demonstrated that disturbances in the pre-fertilization phase were the

primary causes for heat-induced spikelet sterility, indicating the effectiveness of employing the early-morning flowering trait for mitigating the impact of heat stress at flowering on rice.

**Keywords:** Chalkiness, fertilization, flowering, grain filling, grain quality, grain yield, hybrid rice, high day-time temperature, high night-time temperature, non-structural carbohydrate, *Oryza sativa*, rice, source-sink dynamics, starch metabolism enzymes, starch packaging

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# **CHAPTER 1**

## **General introduction**

### 1.1 General background

#### 1.1.1 Global rice production

Rice (*Oryza sativa* L.), one of the most important staple crops, is feeding about half of world population for their dietary requirements. Currently, more than 90% of global rice production and consumption is in Asia-Pacific regions, where rice is a staple food crop for the majority of the population, while rice consumption also continues to grow steadily in Africa, United States and the European Union because of rapid economic growth and changes in dietary diversity (Mohanty et al., 2010). Therefore, the demand for rice production is continuously increasing, with a doubled global rice production needed by 2050 (Ray et al., 2013).

In the last century, global rice production has experienced huge leaps with the improvement in harvest index and development of hybrid rice by use of heterosis (Zhang, 2007). For example, hybrid rice, known for its higher yield than best inbred varieties when grown in conducive environments (Cheng et al., 2009), is successfully developed in China. Since then, hybrid rice has been widely grown in China, where it has played an essential and irreplaceable role in sustaining food security. Thereafter, hybrid rice is increasingly proposed to be grown in other countries than China to contribute to global rice production (Fu et al., 2012; Xie et al., 2014). Despite these significant achievements attained in rice production, global rice yields may face stagnation in the important rice growing regions (Ray et al., 2012), as a result of a combination of factors, including climate-change-related high temperatures (Lobell et al., 2011). Therefore, a continuous and strong effort in rice research is needed to enable rice production to meet the rapid growing demand with unfavourable climate conditions.

#### 1.1.2 Global warming

The rising concentration of the greenhouse gasses, such as CO<sub>2</sub>, methane and nitrous oxide, have been detected throughout the climate system. Their effects are extremely likely to be the major cause of observed increasing temperature since the 1950s, which are referred to as global warming (IPCC, 2013). Abundant scientific evidence has shown unprecedented temperature changes since the 1950s, estimated at 0.13°C increase in global average temperature per decade (Lobell et al., 2011). An even more rapid rate of increase is expected in the next decades. The global surface temperature is likely to rise 0.3 to 1.7°C in the lowest emissions scenario, and a further increase of 2.6 to 4.8°C in the highest emissions scenario

(IPCC, 2013). In addition to the average temperature rise, more frequent, more intensive and longer duration of extreme high temperature have been documented in the past and this emerging trend is projected to continue in the future (IPCC, 2013; Mika, 2013). Besides, due to less radiant heat loss during night-time (Alward et al., 1999), night-time temperature increased at a much faster rate than day-time temperature since the latter half of the last century (Easterling et al., 1997; Sheehy et al., 2005), leading to a decrease in diurnal temperature range (Vose et al. 2005). For instance, annual average day temperature increased by 0.35 °C in the Philippines during 1979-2003, while its average night temperature increased by 1.13 °C during the same period (Peng et al., 2004).

Although increasing temperature during both day and night have been recorded on a global scale between 1951 and 2010, the regional effects are expected to be non-uniform around the world (IPCC, 2013). Predictions based on the global climate analysis suggest that tropical and subtropical areas of South and Southeast Asia will have greater certainty and suffer more from the increasing frequency of extreme high temperature (Battisti and Naylor 2009; Wassmann et al., 2009) compared with other regions. In contrast, night-time temperatures show widespread increases across the globe. Such increases in temperatures have shown to significantly threaten food security from reducing rice production.

### **1.1.3 Global warming and rice**

A growing number of studies have attempted to quantify the impacts of unprecedented rise in temperature on rice production. Although most regions from all over the world are more integrated into global rice markets than they used to be and will be even more over the next few decades, it is important to assess rice production under increasing temperatures at a regional scale (Wassmann et al., 2009; Lobell and Gourdji, 2012). Moreover, the frequency of high temperature occurrence is geographically mapped to identify vulnerable rice-growing regions with high day temperature (HDT), high night temperature (HNT) or a combination of both (Laborte et al. 2012), indicating a large variability in the regional occurrence of heat stress across all regions. In this context, rice growing regions in the tropics and subtropics are particularly of interest as high temperature stress is emerging as a major constraint to rice production, particularly in these regions (Teixeira et al., 2013).

Recent spatial analysis using cropping pattern data has indicated that temperatures exceeding 36°C usually occur coinciding with critical flowering and grain filling stages in the

rice fields across the major area from South and Southeast Asia, such as Bangladesh, eastern India, southern Myanmar, and northern Thailand, causing substantial yield loss (Wassmann et al., 2009). In China, the major rice-growing area, i.e. the Yangtze River Valley, faced frequent high temperatures coinciding with the flowering stage of rice during the past 50 years, and the latest occurrences of extreme high temperature in 2003 caused approximate 5 million tonnes yield loss in rice (Tian et al., 2009). Similarly, more than 40°C of unusual temperatures happened in the summer season in many areas of Kanto and Tokai regions of Japan, resulting in 25% spikelet sterility in 2007 (Hasegawa et al., 2011).

Not only heat episodes can lead to severe yield reductions in rice, but also several negative impacts on rice grain yield can be found from increased night temperature. It has been documented that increased night-time temperature accounted for a larger proportion of losses under field conditions across South and Southeast Asia than increased day-time temperature (Welch et al. 2010). By analysing 12 years (from 1992 to 2003) of historical data from field experiments at the International Rice Research Institute (IRRI) Farm, Peng et al. (2004) reported that there was a close linkage between rice yield and minimum temperature: grain yield declined by 10% for each 1°C increase in growing-season minimum temperature. Similarly, Tao et al. (2008) showed 3.7% yield loss as a result of an increase of 0.8°C in minimum temperature in China.

By 2030, 16% of the global rice-growing area would be exposed to at least 5 days of temperatures above the critical threshold during the reproductive period (i.e. during the 30-day window around flowering), with a non-linear increase to 27% by 2050 (Gourdji et al. 2013). Similarly, from a global heat-risk map for 2071–2100, more than 120 million hectares of suitable wetland rice area are projected to be under threat from short episodes of heat stress coinciding with the reproductive period (Teixeira et al. 2013). Considering that the current and predicted increasing temperatures posing a serious threat to sustain rice production, there is a urgent need to explore the underlying mechanism that induced heat response in rice plants.

### **1.2 Literature review on high temperature effects on rice**

Plant growth and development are controlled by temperature (Barnabás et al., 2008). In rice, the optimum temperature for normal growth and development ranges from 27°C to 32°C (Yin et al., 1996). Temperature above the range, defined as high temperature stress, could affect plant performance, leading to loss in grain yield and economic income (Satake and Yoshida,

1978; Lyman et al., 2013). The impact of high temperature stress on plant primarily depends on the plants' sensitivity, and the intensity, duration (short or long), and timing (relative to growth and development of plant) of the stress. In the next part, heat stresses occurring during either day or night are described in terms of their impacts on rice growth and development.

### **1.2.1 Warmer nights and grain yield**

In 2004, the first significant evidence of a decline in rice grain yield associated with increased night-time temperatures has been reported by Peng et al. (2004). Their results indicated a 10% decline in grain yield for each 1°C rise in night-time minimum temperature, but that the effect of day-time maximum temperature on grain yield was not significant. Subsequently, a significant decline in grain yield with higher night temperature during the entire reproductive stage was recorded in many controlled-environment studies; the yield reduction was attributed to spikelet sterility (Cheng et al., 2009; Mohammed and Tarpley 2011), resulting from poorer pollen germination. Extremely high night temperature (34°C) during the early phase of grain filling dramatically reduced final grain weight by a reducing in grain endosperm size and grain growth development (Morita et al., 2005). Additionally, extremely high night temperature had little significant impact on photosynthesis (Kanno et al., 2009; Mohammed and Tarpley 2009a), but increased night-time respiration rates (Cheng et al., 2009; Kanno et al., 2009), and as a consequence, disturbed the carbon budget of the plants (Bahuguna et al., 2017). Most previous studies were conducted as pot experiments in controlled-environment chambers and involved exposure to very high night-time temperatures. Field-level information on the impact of high night temperature on rice growth and development is very limited. Complex plant traits are strongly affected by environmental conditions, thus research findings from controlled environment conditions are sometimes different from those from natural field situations (Poorter et al., 2016). Success in breeding for heat-tolerant rice is limited, partly because it is difficult to extrapolate the findings from controlled-environment studies to the dynamics of rice response to temperature in natural environments (Bahuguna et al., 2016). Thus, it is crucial to know how rice plants perceive warmer nights in field conditions. Moreover, previous studies have also neglected the interaction between genotype and environment as they just used one single variety (cv. IR72, Peng et al., 2004; Cheng et al., 2009; cv. Cocodrie, Mohammed and Tarpley, 2010). Hence, further systematic understanding of the impacts of high night temperatures have to demonstrate genotypic variations in response to high night temperature.

### **1.2.2 Heat waves and grain yield**

Global warming is bringing more frequent and more intense heat waves, besides seasonally higher night temperature. Heat waves during summer, coinciding with flowering stage of rice crops in the field, cause substantial increases in spikelet sterility, thereby pose a major threat to maintain rice productivity (Wassmann et al., 2009). So far, efforts have been intensified to explore the mechanisms responsible for high day-time temperature tolerance in rice genotypes, particularly during flowering stage, which is identified to be the stage most sensitive to heat stress. The critical threshold temperature for rice at flowering is 35°C (Yoshida 1981), beyond which an increase in spikelet sterility can be observed. Exposure to 38°C, even for a few hours coinciding with flowering resulted in a significant increase in spikelet sterility (Jagadish et al., 2007). Spikelet sterility with high day-time temperature at flowering stage can be attributed to (1) lower ability of pollen grains to swell, indehiscence of anthers and poor release of pollen grains, leading to decline in the amount of pollen retained by the stigma (Matsui et al., 2000; Matsui and Omasa, 2002), and (2) lower viability of pollen, resulting in decreased pollen germination and pollen tube growth (Satake and Yoshida 1978; Prasad et al., 2006; Jagadish et al., 2010b). The above studies have mainly focused on the pre-fertilization phase at the flowering stage, while there are no reports on extending the investigation to early fertilization process.

Apart from short duration of heat episodes, prolonged extreme temperatures coinciding with the critical grain filling stage have been reported in the previous studies (Wassmann et al., 2009). Poor seed set and lower grain weight are the major consequences of high temperature during grain filling stage. At the process level, Prasad et al. (2006) found that reduced seed formation was associated with reductions in photosynthetic rate in the leaves, causing an insufficient supply of assimilates to the grains. Exposure to high day temperature during grain filling was also correlated with accelerated ontogenetic development, so that grain filling rate increased while maturity was reached earlier (shorten grain filling duration). The reduced time spent for translocation of assimilates from current assimilate production via photosynthesis in leaves and remobilization of the stored assimilates in stem and sheath to the grains, leads to partially filled grains (Bahuguna et al., 2014). However, Kim et al. (2011) have shown an earlier termination of grain filling in temperate rice under high temperature than leaf senescence, indicating that poor grain filling was not the result of lack of assimilates. At the molecular level, high temperature causes alternations in expression of genes involved

in detoxifying enzymes, starch transporter and synthesis, and regulatory proteins, ultimately resulting in reduced grain weight (Yamakawa et al., 2007; Phan et al., 2013). Questions then arise with regard to whether and how increased temperatures affect assimilate supply and sink activity during grain filling.

### **1.2.3 High temperatures and grain quality**

The impact of high temperature on rice production is not only seen on yield but also on grain quality. Chalkiness, a white opaque area in the rice grain, easily causes grains to break during the polishing process and thereby reduces the total amount of paddy rice yield (Fitzgerald and Resureccion, 2009). Provided chalky grains survive polishing, the market value of chalky grains is less than half of that of head rice (Koutroubas et al., 2004). In the past, numerous studies have confirmed that high temperature during either day or night during the grain filling period greatly increases chalkiness in rice (Cooper et al., 2008; Zhao and Fitzgerald, 2013). During the first few days after flowering, endosperm cells divide and starch granules are initiated to accumulate starch. High temperature during this phase disturbs the formation of starch granules. In general, irregular and smaller-sized individual starch granules, few compound granules with airspaces between granules are found in the chalky areas of rice grains (Cheng et al., 2005). The possible mechanisms for chalkiness are insufficient substrate supply to the endosperm, and initiation of insufficient starch granules or slower growth of granules that interfere with granular organization (Tsukaguchi and Iida, 2008). Thus, a question can be posed regarding whether there are differences in processes and regulatory events leading to chalk in rice if exposed to different temperature treatments (high day and night temperature).

### **1.2.4 Strategies to minimize heat stress impacts**

A better understanding of increasing temperatures on rice provides information for developing new strategies to cope with the warming world. So far, different mechanisms have been identified to minimize high temperature damage during flowering in rice, including heat avoidance (panicle cooling by transpiration - Julia and Dingkuhn, 2013), heat escape (time of day of anthesis - early morning flowering; Ishimaru et al., 2010; Julia and Dingkuhn, 2012; Hirabayashi et al., 2014) and heat tolerance (through involvement of key genes to resilient reproductive processes - Jagadish et al., 2010b).

Speaking of spikelet fertility, it is essential to consider panicle temperature as spikelet sterility has been documented to be correlated with panicle temperature, not with air temperature (Sathishraj et al., 2016). In rice, panicle temperature is mainly determined by its transpiration cooling ability. High transpiration brings about high energy consumption, leading to decrease of panicle temperature. Thus, transpiration cooling is potentially considered an effective adaptive trait to change panicle temperature below the critical threshold, and ultimately stabilize spikelet fertility under high temperature exposure (Weerakoon et al., 2008; Julia and Dingkuhn 2013). Besides, genetic variation in panicle cooling capacity through transpiration has been reported (Xiong et al., 2014). Panicle temperature is also associated with surrounding climatic conditions, such as air temperature, relative humidity and solar radiation (Matsui et al., 2007). In Australia, panicle temperature was 6°C lower than air temperature under well-irrigated arid climates (Matsui et al. 2007), while panicle temperature was 4°C higher than air temperature under hot and humid conditions in China (Tian et al. 2010), indicating the critical role of relative humidity when dealing with tissue temperature.

In general, rice flowering time depends on the climatic conditions, but peak flowering time when most spikelets flower in a day in most cultivated rice occurs between 10:00 and 12:00 h, while some species of wild rice flower earlier than this time (Nishiyama and Blanco, 1980; Sheehy et al., 2005). After systematical phenotyping of the early-morning flowering trait from wild rice *Oryza officinalis*, this trait has been successfully incorporated into popular rice cultivars, advancing their flowering time during a day to cooler hours in the morning (Ishimaru et al., 2010; Hirabayashi et al., 2014). At high temperature exposure, physiological processes including anther dehiscence, pollination and pollen germination, are major causes for spikelet sterility during flowering (Matsui et al., 2001; Jagadish et al., 2007). The introduction of the early-morning flowering trait can potentially shift the opening and closing of the flower to relatively cool morning hours, thereby the mentioned high-temperature sensitive processes (anther dehiscence, pollination and pollen germination), and skip the later high temperature around noon to overcome high-temperature damage. The fertilization process occurring within 1.5 to 4.0 h after flower opening (Cho 1956), however, will still be vulnerable to high temperature during late morning and early noon. It is necessary to explore whether there are high temperature influences on fertilization process in order to test the early-morning flowering hypothesis.

Moreover, breeding strategies to develop rice varieties that can withstand the expected increasing temperatures are very important (Jagadish et al., 2010b). To date, rice genetic resources in heat-tolerant rice have been identified in both indica and/or japonica types (Matsui et al. 1997; Matsui et al. 2001, Prasad et al. 2006; Tenorio et al., 2013; Shi et al., 2015). By exploring genetic donors for heat tolerance at flowering stage, several heat-tolerant quantitative trait loci (QTLs) are identified (Cao et al., 2003; Zhang et al. 2008; Xiao et al. 2010, Jagadish et al. 2010a; Ye et al., 2012; Lafarge et al., 2017) that could be used for marker-assisted molecular breeding for heat-tolerant rice cultivars. Among the identified QTLs, the one located on chromosome 4 is most documented across different genetic backgrounds (Jagadish et al., 2010a; Xiao et al., 2010; Ye et al., 2012; Lafarge et al., 2017). Recent progress in fine mapping of an effective QTL on chromosome 4 (*qHTSF4.1*), shows increased spikelet fertility by 15% at 38°C compared to its susceptible parent IR64 during flowering (Ye et al., 2015). However, the developed IR64 heat tolerant near-isogenic line has not been tested to assess its behaviour using a physiological approach when physiological traits related to heat tolerance are identified to be the best available useful handle for genetic improvement (Bahuguna et al., 2016).

Besides the three mechanisms illustrated above, some appropriate crop management strategies have also been recommended to improve resistance against high temperature stress in rice. For example, altering planting dates have been considered as an option to escape from high temperature stress during summer season. However, altering planting dates is risky as it may cause yield penalty and altered grain quality (Nagarajan et al., 2010). And heat spikes are more frequent and last for a day or more, the chance to skip such temperature episodes are less and less (Jagadish et al., 2015). Besides, increased crop nutrition is another strategy to prevent rice from suffering high-temperature damage. The application of nitrogen has been considered as a new strategy to minimize the temperature affects. Increasing the nitrogen supply at panicle initiation and/or flowering has been reported to relieve the negative effects on grain production, of exposure to short period of high day temperatures before or after flowering (Dai et al., 2009; Duan et al., 2013; Yang et al., 2014). It has also been documented that nitrogen management could lower the panicle or canopy temperature by building a better structure of the rice canopy with higher leaf area index and facilitating higher transpiration cooling, thereby reducing high temperature-induced sterility and improving high temperature tolerance (Yan et al., 2008). However, whether increasing the amount of applied nitrogen can contribute to minimizing the high night-time damage remains unclear.

A better understanding and evaluation of these mechanisms provide information for new strategies to minimize high-temperature damage and to improve high-temperature tolerance in rice. However, systematic studies targeted towards identifying appropriate adaptive strategies are yet to be investigated. The identified strategies should be actively exploited in future breeding programs for developing heat-tolerant rice or directly cope with adverse impact of heat under the realistic rice field.

### 1.3 Research questions and objectives

Based on the review on recent scientific researches, it is noted that rice production has been negatively affected by increasing night temperature. It is also clear that the heat stress has occurred more frequently and intensely, and more likely coincided with flowering and early grain filling in rice growing regions from tropical and subtropical areas of South and Southeast Asia. And such changes in night-time temperature and heat episodes are most likely to continue in the coming future climate. Although substantial work has been done to investigate the responses of rice to increasing temperatures, some critical questions have not been fully answered, including the following.

- (a) It has been noted that most previous HNT studies were conducted in controlled chambers and their studies were restricted to individual cultivars. With much more attention being paid to address HNT impacts on rice, what is the real response of rice plants exposed to HNT under realistic field conditions? Is there any differential mechanism leading to HNT damage under field conditions compared with chamber studies?
- (b) Given that rice response to high temperatures varies from genotypes, genetic tolerant accessions to high temperatures have been identified in both indica and japonica types of rice. However, whether the tropical and subtropical hybrid rice currently grown on farm has tolerance to the high temperatures, including both HNT and HDT, remains unclear. Are there any alternatives for the breeders or producers to select hybrids with both high yield potential and heat tolerance for this South and Southeast Asia area, known to be more vulnerable area to high temperatures?
- (c) Rice grain yield and quality are challenged by an asymmetric rise in day and night temperatures. Further studies are required to elucidate mechanisms underlying differential response of rice plants to HNT and HDT.

- (d) As different mechanisms or robust crop management have been identified to cope with heat stress damage on rice, a better analysis to identify appropriate strategies that could be integrated in a breeding program to match the changing conditions is necessary.

In this thesis, I aimed to contribute to the knowledge required to answer these questions through a comprehensive and integrative understanding of how rice plants respond to high temperature stress. Thus, the thesis addresses the impacts of high temperature, including both high night and high day temperature on rice production. A detailed analysis of the stress physiology and adaptation strategies in terms of germplasm and crop management development will be described.

#### **1.4. Outline of the thesis**

This thesis consists of seven chapters. Following a general introduction which identifies the main research questions based on the existing literature (Chapter 1), there are five research chapters (Chapter 2 to Chapter 6), and a general discussion (Chapter 7).

Unlike earlier studies that all use growth chambers, my study was the first experiment carried out using unique field-based higher night-time temperature (HNT) tents (**Chapter 2**). Based on a preliminary wide genetic diversity screening for HNT tolerance among 36 different rice accessions, two genotypes which had contrasting responses to HNT but the same phenology were selected for a systematic analysis of HNT response at physiological and molecular levels. Non-structural carbohydrate (NSC) translocation from different plant tissues into grains at key developmental stages, and their contribution to yield, grain-filling dynamics and quality aspects, were evaluated. Proteomic profiling of flag leaf and spikelets at 100% flowering and 12 d after flowering was conducted, and their reprogramming patterns were explored.

**Chapter 3** presents the first effort to assess the response of tropical and subtropical hybrid rice to HNT. Thus, we selected the two inbreds which had been used in Chapter 2 and six commercial tropical and subtropical hybrid rice cultivars. This chapter also examines whether other environment factors, day-time temperature and radiation, interact with the HNT influence, by conducting the experiments in two growth seasons with different day temperature and solar radiation but with the same level of HNT stress.

From the above studies, it was noticed that grain weight was consistently affected in tested genotypes across different growth seasons, and HNT under field conditions affected grain weight through reduced non-structural carbohydrate content in grains. This poses questions about source-sink dynamics. Whether disturbance in assimilate production (source) or assimilate accumulation (sink) contributed to the substantial yield loss under exposure to HNT was examined in **Chapter 4**, using a novel modelling approach that quantifies source-sink relationships during grain filling. In addition, there have been reports that increasing nitrogen application can alleviate the negative impact of high-temperature stress on yield in rice. However, little is known about the interactive effect of HNT and nitrogen supply on rice grain yield and its underlying source-sink relationships. Thus, I conducted field experiments in two growth seasons, in which three cultivars with contrasting responses to HNT were grown under two levels of night-time temperature and two levels of nitrogen application.

Grain filling was identified to be seriously affected by HNT in my study and by high day-time temperature (HDT) in other studies. In **Chapter 5**, I investigated the impact of HNT, HDT and a combination of both on grain filling. Different rice genotypes selected from previous chapters were exposed to independent and combined HNT and HDT at grain filling stage and lab analyses were conducted to explore morphological and physiological traits to characterize rice response to high temperature stress. In addition, both IR64 and its heat tolerant near-isogenic line (NIL) introgressed with *qHTSF4.1* were used to assess if the beneficial impact of heat tolerance observed during flowering in the NIL could also reduce the impact of post-flowering heat damage, in particular at physiological level.

It has been documented that heat spikes during the flowering process frequently occur, particularly in tropical and subtropical rice-growing areas where hybrid rice development is increasingly contributing to sustainable food security. In **Chapter 6**, I selected seven promising hybrids from these regions to evaluate their resistance to HDT, in comparison with popular high-yielding inbreds. Moreover, I used a novel and advanced experimental set-up for *in vivo* imaging of double fertilization. Microscopic observations on the dynamics of the entire fertilization process inside the intact ovule were performed, thereby specifically filling in knowledge gaps in identifying the effect of high temperature on *in vivo* fertilization. This can help to evaluate the effectiveness of the heat escaping mechanism via early-morning flowering (EMF) traits in rice plants.

**Chapter 7** provides a general discussion of my studies, in view of the main results and objectives in this thesis. In addition, suggestions for future high temperature studies are also made.



## CHAPTER 2

### **Source-sink dynamics and proteomic reprogramming under elevated night temperature and their impact on rice yield and grain quality**

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### Abstract

- High night-time temperatures (HNT) can significantly reduce global rice yield and quality. A systematic analysis of HNT response at the physiological and molecular levels was done under field conditions.
- Contrasting rice accessions, N22 (highly tolerant) and Gharib (susceptible), were evaluated at 22°C (control) and 28°C (HNT). Nitrogen and non-structural carbohydrate (NSC) translocation from different plant tissues into grains at key developmental stages and their contribution to yield, grain-filling dynamics, and quality aspects were evaluated. Proteomic profiling of flag leaf and spikelets at 100% flowering and 12 days after flowering was conducted and their reprogramming patterns explored.
- Grain yield reduction in susceptible Gharib was traced back to the significant reduction in N and NSC translocation after flowering, resulting in reduced maximum and mean grain-filling rate, grain weight, and grain quality. Combined increase in HSPs, Ca signaling proteins, and efficient protein modification and repair mechanisms (particularly at the early grain-filling stage) enhanced N22 tolerance for HNT.
- Increased rate of grain-filling and efficient proteomic protection fueled by better assimilate translocation overcome HNT tolerance in rice. Temporal and spatial proteome programming alters dynamically between key developmental stages and guides future transgenic and molecular analysis targeted toward crop improvement.

**Keywords:** Flag leaf, grain filling, grain quality, high night-time temperature (HNT), non-structural carbohydrate (NSC), proteome, rice (*Oryza sativa*), spikelets

## **2.1 Introduction**

On a global scale (Vose et al., 2005; IPCC, 2007) and at the farm level (Peng et al., 2004), minimum night temperatures are increasing at a much faster pace than maximum day temperatures, and this trend is projected to continue into the future (Christensen et al., 2007). Controlled environment studies (Cheng et al., 2009; Mohammed and Tarpley, 2009a,b; Kanno and Makino, 2010), as well as field experiments (Peng et al., 2004; Nagarajan et al., 2010), have recorded a significant negative impact of higher minimum night temperature on rice yield. Hence, efforts must be intensified to address this emerging phenomenon in synchrony with the progress being achieved in breeding for high day temperature tolerance in rice mega varieties (Jagadish et al., 2010; Ye et al., 2012) to induce diurnal temperature tolerance in rice. To achieve this target, a diverse set of entries must be tested for their response to high night temperatures (HNTs), which is a prerequisite to the identification of contrasting entries in order to better understand and explore the physiological and molecular mechanisms that induce tolerance.

The yield penalty under HNT has been attributed to a reduction in the number of panicles per square meter (Peng et al., 2004), final grain weight (Morita et al., 2005; Kanno and Makino, 2010) and spikelet fertility (Cheng et al., 2009; Mohammed and Tarpley, 2009a, 2010), which are partly explained by increased respiration rates, membrane leakage (Mohammed and Tarpley, 2009b) and reduced pollen germination (Mohammed and Tarpley, 2009a). However, the majority of the conclusions drawn above are based on individual genotype performance – for example, IR72 (Cheng et al., 2009), Cocodrie (Mohammed and Tarpley, 2009a,b, 2010) and Akita-63 (Kanno and Makino, 2010); almost all of these studies were conducted under controlled environments. Therefore, there is a significant gap in the identification of contrasting rice genotypes and their physiological and molecular responses on exposure to HNTs under realistic field conditions.

Temperature at night has been speculated to have an impact on the flowering dynamics on the following morning (Kobayashi et al., 2010), but it has not been studied systematically. Photoassimilates generated either during grain filling (post-anthesis) or redistributed from the

reserve pool of the vegetative tissues (pre-anthesis) determine successful grain filling in rice (Yang and Zhang, 2006). Limited information is available on the effect of HNT on dry matter production, carbohydrate (sugars and starch) and nitrogen (N) partitioning, and grain filling, which are critical determinants of final grain yield. Final grain weight is determined by the rate and duration of grain filling in rice. However, the magnitude of change with HNT on the rate and duration of grain filling in contrasting rice genotypes has not been estimated. The above-mentioned sequence of yield-influencing processes could have a major influence on grain quality, which is increasingly becoming an essential determinant of the market price, and thus warrants detailed investigation.

To capture the impact of extreme temperatures and other abiotic stresses at the molecular level in rice, the proteomic (two-dimensional gel electrophoresis) approach has been effectively employed (Cui et al., 2005; Jagdish et al., 2010b, 2011). However, in the majority of the studies, either vegetative (Salekdeh et al., 2002; Yan et al., 2005) or reproductive (Imin et al., 2004; Liu and Bennett, 2011) tissues, and generally at a single time point, have been used to study proteome changes. Yan et al. (2005), using salt stress-affected rice seedling roots, and Kerim et al. (2003), using anthers at different developmental stages, applied the two-dimensional proteomic approach and demonstrated the proteome dynamics at different time points. To our knowledge, no reports have addressed the proteome changes with HNT using both vegetative and reproductive tissues at economically relevant time points, such as flowering and early grain filling (EGF).

Unlike all the above-mentioned studies, our trial was carried out using temperature-controlled chambers under field conditions. Preliminary wide genetic diversity screening for HNT among 36 different rice accessions using the above-mentioned field chambers formed the basis of this experiment, from which the most contrasting entries were selected for physiological and molecular characterization. Both field and laboratory analyses were undertaken as follows: to estimate the impact of HNT on grain yield and yield components between two contrasting rice genotypes under field conditions; to quantify N, nonstructural carbohydrate (NSC) and biomass partitioning at key developmental stages in response to HNT; to determine the impact of HNT on flowering pattern, rate and duration of

grain filling along different sections of the panicle, and grain quality; and to unravel the temporal reprogramming of the flag leaf and spikelet proteome exposed to HNT at flowering and EGF, and to establish their relevance to physiological responses.

## **2.2 Material and Methods**

Field experiment and laboratory analyses were conducted in 2011 at the International Rice Research Institute (IRRI), Los Baños (14°11'N, 121°15'E, 21 masl), the Philippines.

### **2.2.1 Crop husbandry**

Two contrasting rice (*Oryza sativa* L.) genotypes, N22 with high temperature tolerance and Gharib with high temperature sensitivity, were chosen for this study based on data obtained from previous genotypic diversity analyses comprising 36 rice accessions, exposed to 26°C (HNT) and 22°C (control) (Zhang et al., 2012). Seed dormancy was broken by exposure to 50°C for 3 d, followed by pre-germination and sowing in seeding trays. Fourteen-day-old seedlings were transplanted on 22 June 2011 at a spacing of 0.2 m x 0.2 m with four seedlings per hill. Phosphorus (15 kg P ha<sup>-1</sup> as single superphosphate), potassium (20 kg K ha<sup>-1</sup> as KCl) and zinc (2.5 kg Zn ha<sup>-1</sup> as zinc sulfate heptahydrate) were applied and incorporated into all plots 1 d before transplanting. N fertilizer in the form of urea was applied in four equal splits (30 kg ha<sup>-1</sup> as basal, 20 kg ha<sup>-1</sup> at mid-tillering, 20 kg ha<sup>-1</sup> at panicle initiation and 30 kg ha<sup>-1</sup> just before heading). Manual weeding was employed to maintain weed-free plots. Whorl maggots (*Hydrellia philippina* Ferino) during the early vegetative stage and yellow stem borers (*Scirpophaga incertulas*) at the flowering stage were effectively managed by chemical spraying.

### **2.2.2 HNT chambers and treatment**

Twelve temperature-controlled chambers were specifically designed under field conditions to study the impact of HNT. Each chamber (6 m × 3 m × 2 m in length, width and height, respectively) were fixed with a 2.8-m interval to ensure adequate ventilation and to avoid mutual shading. The framework of the chambers consisted of a series of shed-type pipes (Figure A1.1). Each chamber was equipped with an air conditioner (CW-1805V; Matsushita

Electric Philippines Corp., Taytay, Rizal, the Philippines) capable of maintaining constant temperatures. There were two inlet and two outlet fans installed in the front frame and back frame, respectively, to minimize the differences in relative humidity (RH) and CO<sub>2</sub> concentration within the chamber compared with the ambient by constant but mild air exchange. Stand-alone sensors were placed above the canopy (at 100 cm above the soil) in each of the chambers to measure temperature and RH once every minute and averaged over 30-min intervals, with all the sensors connected to data loggers (HOBO; Onset Computer Corp., Bourne, MA, USA). During the daytime (06:00–18:00 h), the chambers were open, exposing the plants to natural conditions. At night (18:00–06:00 h), the chambers were closed manually and the air conditioners were programmed to automatically impose control (22°C) and stress (28°C) treatments. Six replicate chambers each were used to impose the temperature treatments. Nearly 5 cm of standing water was maintained throughout the experiment to ensure a leak-proof covering of the chambers for the whole night. Temperature treatments started from the panicle initiation stage, c. 31 d after transplanting, and continued up to physiological maturity.

### 2.2.3 Observations

#### Growth analysis

At key developmental stages after the imposition of heat stress, 12 hills from each replicate chamber and variety were taken to determine biomass accumulation. Plants were separated into leaves, stem + sheath at panicle initiation and, additionally, panicles at flowering and 15 d after flowering (DAF). All plant samples were oven dried at 70°C for 5 d until a constant weight was recorded.

#### Grain yield and yield components

At physiological maturity, a central 2 m<sup>2</sup> area (50 hills) in each chamber was sampled for grain yield analysis and the data were adjusted to the standard moisture content of 0.14 g H<sub>2</sub>O g<sup>-1</sup>.

Twelve hills (0.5 m<sup>2</sup>) were taken from each plot to determine the above-ground total dry

weight and yield components. The panicle number was counted in each hill to determine the panicle number per square meter. Plants were separated into straw and panicles. Panicles were hand-threshed and the filled spikelets were separated from unfilled spikelets by submerging them in tap water. Three subsamples of 30 g of filled spikelets and 2 g of empty spikelets and all of the half-filled spikelets were taken to count the number of spikelets (Peng et al., 2010). The dry weights of straw, rachis and filled and half-filled spikelets were determined after oven drying at 70°C to constant weight. The above-ground total dry weight was the combined dry matter of straw, rachis, and filled, half-filled and empty spikelets. The number of spikelets per panicle, number of spikelets per square meter, seed set% ( $100 \times (\text{number of filled spikelets} + \text{number of half-filled spikelets}) / \text{total number of spikelets}$ ) and 1000-grain weight were calculated.

### **Flowering pattern**

The main tillers of four plants, from each replicated chamber for N22 and Gharib exposed to HNT and control, were tagged to record the daily flowering pattern starting from the day of anthesis (at least one spikelet with protruding anthers) and continuing for three consecutive days. On each day, the number of spikelets undergoing anthesis was recorded every 30 min, starting from 08:30 h to 14:00 h, following cumulative counts to avoid manual interference.

### **NSC and N content**

Plant samples were taken at 05:00 h just before the chambers were opened at panicle initiation, flowering, 15 DAF and at physiological maturity for NSC and N content estimation following Yoshida et al. (1976) and Bremner & Mulvaney (1982), respectively. To avoid confounding factors across early and late tillers in a hill, four main tillers from each hill (each hill had four seedlings) were selected with four replicates for each chamber. Tillers were separated into leaves, stems + sheaths and panicles, and immediately treated with a heat burst in the microwave for 1 min (Pelletier et al., 2010), and then dried at 70°C for 48 h. The samples were then ground and taken for NSC and N estimation (0.1 g each).

### Rate and duration of grain filling

About 60 panicles on the main tillers that headed on the same day were tagged for each treatment. Starting at 100% anthesis, five tagged panicles were sampled randomly; the process was repeated once every 4 d until maturity. The panicles were divided into three equal parts (top, middle and bottom) based on panicle length. All grains, except the unfertilized spikelets, were weighed after oven drying at 70°C for 72 h to obtain constant dry weight. The grain-filling rate of the top, middle and bottom sections of the panicle were fitted using the logistic equation  $y = K/(1 + e^{a-bx})$  (Brdar et al., 2008; Huang and Zou, 2009), where  $y$  represents the observed grain dry weight,  $x$  is the time after flowering,  $K$  is the estimate of the final grain weight, and  $a$  and  $b$  are parameters of the equation with only mathematical meaning, which were used to calculate the secondary parameters of grain-filling processes as mentioned below.  $R^2$ , which is the correlation coefficient of the equation, was also estimated. The initial grain-filling rate,  $GR_0 = Kbe^a/(1 + e^a)^2$ , maximum grain-filling rate,  $GR_{max} = Kb/4$ , mean grain-filling rate,  $GR_{mean} = Kb/(a - \log_e(100/99-1))$ , time to reach the maximum grain-filling rate,  $T_{max} = a/b$ , and the active grain-filling duration ( $D$ ), were estimated with  $y$  at 95% of  $K$  and solving for  $X$  using the following equation:  $D = [X = (2.944 + a)/b]$ .

### Grain quality parameters

Eight replicate samples of seeds from each treatment and genotype were separated and analyzed for amylose content, protein content, chalkiness (0–10%, 10–25%, 25–50%, 50–75% and > 75%), grain length and width at the Grain Quality and Nutrition Center, IRRI, Philippines. The physical characteristics of the grain were measured using the 1625 Grain Inspector (DK-3400 Hillerod, Foss, Denmark). To measure amylose, polished grains were ground to pass through a 0.5-mm sieve in a cyclone mill (Udy Cyclone Sample Mill 3010-030; Fort Collins, CO, USA). Amylose concentration was measured as described previously (Juliano, 1971). In addition, 125 g of seeds were used to estimate the brown rice (after removing the hull), head rice yield (percentage of grains with  $\geq 3/4$  the size of the original grain size) and percentage milled rice (head rice yield + broken grains) (Cooper et al., 2006).

### **Two-dimensional polyacrylamide gel electrophoresis (PAGE)**

Total soluble proteins were extracted from flag leaves and spikelets (after careful exclusion of the rachis and pedicle) from three replicates collected over two time points (100% flowering and 12 DAF) from both control and HNT-treated plants by the trichloroacetic acid precipitation method (Salekdeh et al., 2002). For spikelets collected at 12 DAF, which were at the early grainfilling stage, 2 M thiourea was added to the lysis buffer in addition to urea to solubilize the proteins thoroughly. All further processes, including protein quantification, isoelectric focusing and sodium dodecylsulfate (SDS)-PAGE, were carried out as described by Jagadish et al. (2010); 150 µg of proteins were loaded/rehydrated in pH 4–7 (length, 17 cm) immobiline pH gradient (IPG) strips and separated during the first dimension by isoelectric focusing (GE Healthcare, Wisconsin, USA). Proteins were further separated on the basis of their molecular weight on 12% SDS-PAGE gel.

### **Image acquisition, data analysis and protein identification**

Silver-stained gels were scanned using an ImageScanner-III (GE Healthcare, Wisconsin, USA) with a resolution of 600 pixels and 16 bits per inch. Image visualization, spot detection and protein quantification were carried out using the Image Master 2D Platinum Version 6.0 (GE Healthcare, Wisconsin, USA). After automated detection and matching, manual editing for individual spots was carried out. The percentage volume of each spot was estimated and the abundance ratio (% volume of spot under stress/% volume spot under control; Yan et al., 2005; Jagadish et al., 2010a, 2011) was calculated. Internal molecular markers were used to determine the experimental pI (isoelectric point) and molecular weight for the proteins of interest. The percentage volume from three replicates of HNT gels was used to check for significant variation in expression compared with data obtained from the same number of gels for the control. Protein spots changing by > 1.5-fold or more, and with statistical significance at 5% ( $P < 0.05$ ) between control and temperature-treated tissue, were used for matrix-assisted laser desorption / ionizationtime of flight (MALDI-TOF) analysis. Peptide sequences obtained from MALDI-TOF MS were searched in MASCOT ([www.matrixscience.com](http://www.matrixscience.com)) and ProFound (<http://prowl.rockefeller.edu/>) databases to identify proteins. The searches showing the highest

MASCOT score with maximum sequence coverage were taken into account. Later, the protein sequences obtained from the database were searched in the TIGR database using the protein BLAST tool ([http://rice.plantbiology.msu.edu/analyses\\_search\\_blast.shtml](http://rice.plantbiology.msu.edu/analyses_search_blast.shtml)), and their respective functions in rice were obtained.

### 2.2.4 Statistical analysis

Growth parameters, flowering pattern, yield and yield components, grain quality parameters, and NSC and N content were analyzed using Genstat 14th edition (Rothamsted Experimental Station, Harpenden, UK). The grain-filling rate and other associated parameters were estimated by nonlinear equation fitting using Microsoft Excel solver. Protein abundance (% volume) values across treatments and replications obtained from Image Master 2D Platinum software were analyzed as a completely randomized design using Genstat 14th edition.

## 2.3 Results

### 2.3.1 Temperature and RH

The temperatures in the chambers were close to the set targets of 22°C (actual, 22.1°C; SD =  $\pm 0.67^\circ\text{C}$ ) and 28°C (27.7°C; SD =  $\pm 0.81^\circ\text{C}$ ), and RH in the 22°C chambers was 97.2% ( $\pm 2.18\%$ ), whereas that in the 28°C chambers was 88.6% ( $\pm 3.11\%$ ). The temperature and RH during the day were similar to those in outside natural conditions – 28.1°C ( $\pm 2.57^\circ\text{C}$ ) and 87.3% ( $\pm 7.79\%$ ) in the 22°C chambers, and 28.6°C ( $\pm 2.19^\circ\text{C}$ ) and 85.9% ( $\pm 7.12\%$ ) in the 28°C chambers.

### 2.3.2 Flowering pattern, yield and yield components

The flowering pattern in both the tested entries showed no significant variation with HNT ( $P > 0.05$ ) across three consecutive flowering days (Figure A2.2). However, the two entries behaved differently with regard to the number of spikelets opening at peak anthesis: N22 recorded a smaller number of open flowers, whereas Gharib had more open flowers with HNT compared with the control, but these were not significantly different ( $P > 0.05$ ). Yield and yield components and total dry weight at flowering and maturity were significantly different

among the two genotypes ( $P < 0.05$  to  $P < 0.001$ ; Table 2.1). HNT induced significant differences with regard to grain yield, spikelets per square meter, seed set, 1000-grain weight, total dry weight and plant height at maturity ( $P < 0.05$  to  $P < 0.01$ ). Temperature and genotype interaction was significant only with grain yield ( $P < 0.05$ ), seed set and 1000-grain weight ( $P < 0.001$ ). Specifically, HNT reduced grain yield, 1000-grain weight and total dry weight at maturity by 21.8%, 7.9% and 13.5%, respectively, in the sensitive Gharib, whereas N22 was not affected. However, HNT decreased the number of spikelets per square meter by 14.6% and increased seed set by 7.6% in N22; these traits were not affected in Gharib.

### **2.3.3 Grain quality parameters**

All grain quality traits, including the brown, milled and head rice yields, were influenced significantly by genotype ( $P < 0.001$ ; Table 2.2). The effects of temperature and the interaction between genotype and temperature were significantly different in all the traits ( $P < 0.05$  to  $P < 0.001$ ), except for head rice yield and amylose content (Table 2.2). An inherently low head rice recovery was observed in Gharib. HNT reduced the brown and milled rice yields by 2.0% and 4.0%, respectively, in Gharib compared with control; in N22, these two traits were unaffected. Similarly, grain width and protein content followed the same trends, with a reduction of 2.7% and 4.8%, respectively, in Gharib. N22 recorded a significant increase in grain length, which was the only measured trait not affected by HNT in Gharib. Although the chalk content in grains was not affected with different categories up to 50%, Gharib under HNT recorded a 56.6% decrease in chalk content with the 50-75% category, but showed a 36.4% increase in chalkiness with the  $> 75\%$  category (Table 2.2).

### **2.3.4 Biomass, N and NSC partitioning**

Although biomass, N and NSC for the different plant parts, including leaves, stem + sheath and panicles, were recorded at different key stages (panicle initiation, flowering, 15 DAF and physiological maturity), we have focused on the data obtained from the last two stages as the former two were unaffected by temperature (Figure A2.3). Overall (leaf + stem + panicle) NSC ( $P < 0.05$ ) and N ( $P < 0.05$ ) contents in Gharib were reduced significantly at 15 DAF and at physiological maturity, whereas they were relatively unaffected ( $P > 0.05$ ) in N22 (Figure

**Table 2.1** Growth, yield, and yield components of rice (*Oryza sativa*) accessions N22 and Gharib exposed to control (22°C) and high night-time temperature (28°C) from panicle initiation to physiological maturity.

Genotype	Temperature (°C)	Grain yield (g m <sup>-2</sup> )	Panicles m <sup>-2</sup>	Spikelets panicle <sup>-1</sup>	Spikelets (×1000)	Seed set (%)	1000-grain weight (g)	TDW at flowering (g m <sup>-2</sup> )	TDW at maturity (g m <sup>-2</sup> )	Plant height (cm)
N22	22	287.4 ± 3.7	375 ± 8	59 ± 2	21.9 ± 0.6	81.1 ± 0.6	16.8 ± 0.1	679.3 ± 35.9	914.1 ± 15.3	147.4 ± 1.9
	28	275.8 ± 9.0	371 ± 3	50 ± 3	18.7 ± 1.0	87.3 ± 1.1	16.9 ± 0.3	660.5 ± 23.8	847.7 ± 22.7	157.0 ± 1.8
Gharib	22	260.4 ± 7.8	323 ± 8	46 ± 1	15.8 ± 0.6	79.7 ± 0.8	21.5 ± 0.1	506.7 ± 11.1	789.8 ± 55.6	122.9 ± 1.6
	28	203.6 ± 11.5	315 ± 9	48 ± 1	15.2 ± 0.5	77.7 ± 0.8	19.8 ± 0.1	505.2 ± 13.0	683.1 ± 21.6	125.0 ± 2.3
LSD		29.7	14.9	7.2	1.7	2.7	0.6	38.4	73.6	4.3
Genotype (G)		***	***	*	***	***	***	***	**	***
Temperature (T)		**	ns	ns	*	*	**	ns	*	*
G*T		*	ns	*	ns	***	***	ns	ns	ns

\*, \*\*, \*\*\*, significance at 5%, 1%, and 0.1%, respectively; ns=non-significant; LSD=least significant difference.

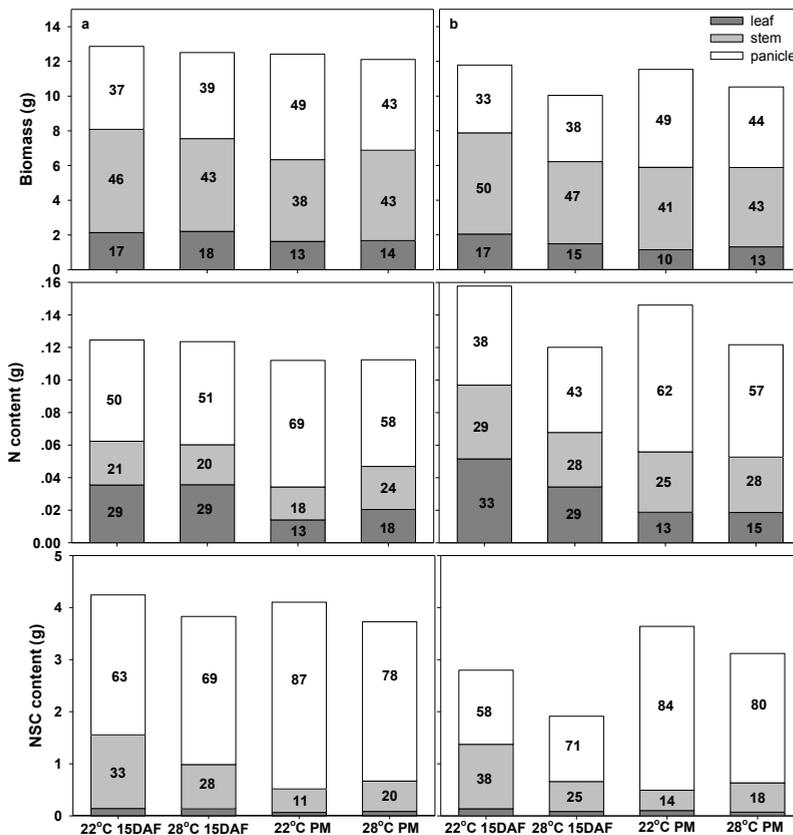
TDW = total dry weight, Mean value ± SE

**Table 2.2** Effects of high night-time temperature on grain quality and brown, milled, and head rice (*Oryza sativa*) yields of N22 and Gharib.

Genotype	Temperature (°C)	Brown rice (%)	Milled rice (%)	Head rice (%)	Grain length (mm)	Grain width (mm)	Amylose content (%)	Protein content (%)	Chalkiness 50-75%	Chalkiness > 75%
N22	22	76.7 ± 0.2	70.1 ± 0.2	61.7 ± 1.5	4.99 ± 0.01	2.42 ± 0.01	24.8 ± 0.5	9.7 ± 0.1	1.6 ± 0.4	0.2 ± 0.1
	28	77.0 ± 0.3	69.8 ± 0.3	60.9 ± 1.0	5.05 ± 0.01	2.41 ± 0.01	25.8 ± 0.6	9.7 ± 0.1	2.1 ± 0.4	2.1 ± 0.5
Gharib	22	76.3 ± 0.3	67.6 ± 0.2	25.7 ± 2.1	6.20 ± 0.01	2.24 ± 0.01	16.5 ± 0.1	12.5 ± 0.1	35.5 ± 2.3	59.3 ± 2.0
	28	74.8 ± 0.2	64.9 ± 0.4	20.7 ± 1.4	6.20 ± 0.01	2.18 ± 0.02	14.9 ± 0.2	11.9 ± 0.1	15.4 ± 1.7	80.9 ± 2.6
LSD		0.773	0.812	3.556	0.023	0.032	1.12	0.271	4.16	4.23
Genotype (G)		***	***	***	***	***	***	***	***	***
Temperature (T)		*	***	ns	**	**	ns	**	***	***
G×T		**	***	ns	**	*	*	**	***	***

\*, \*\*, \*\*\*, significance at 5%, 1%, and 0.1%, respectively; ns=non-significant; LSD=least significant difference; Mean value ± SE

2.1). A similar pattern was observed with biomass. The percentage NSC content in the panicles at 15 DAF was higher at 28°C than at 22°C in both entries (10% with N22 and 22% with Gharib), with N content following the same trend – N22 and Gharib accumulated 2% and 13% higher N, respectively, with HNT compared with control. However, the exact opposite in NSC and N accumulation in the panicles of both entries exposed to 28°C was recorded during physiological maturity; panicle biomass followed the same pattern. Comparatively, N and NSC contents in the stems in both entries were reduced with HNT at 15



**Figure 2.1** Biomass, nitrogen (N), and non-structural carbohydrate (NSC) partitioning in rice (*Oryza sativa*) accessions N22 (a) and Gharib (b) at 15 d after flowering (DAF) and physiological maturity (PM) stage under control and high night temperature (HNT) treatment. Numbers within bars indicate percent content. NSC content in the leaves was <5% at both 15 DAF and at physiological maturity in both entries. Values of N, NSC, and biomass “content” are obtained from four main tillers of a hill averaged over four replicates from each chamber (i.e., 24 replicate samples).

DAF, with the reduction being consistent with stem biomass, whereas the content in the stems was higher with HNT compared with 22°C at physiological maturity.

### **2.3.5 Rate and duration of grain filling**

Using the logistic equation, most of the variation with grain weight during the grain-filling process ( $R^2 = 0.95\text{--}0.99$ ) across varieties and temperatures was accounted (Table 2.3). In N22, the initial, maximum and mean grain-filling rates were increased substantially with HNT compared with the control, whereas it was only the initial grain-filling rate that recorded an increase with Gharib. With HNT, the maximum grain-filling rate was reduced substantially (20.3%) among the spikelets located in the bottom one-third of the panicle in Gharib. Although the mean grain-filling rate increased slightly in spikelets located at the top of the panicle, it was considerably reduced among spikelets located at the middle (2.2%), and particularly in those at the bottom (12.7%), of the panicle compared with the control in Gharib (Figure A2.4, Table 2.3). The time taken to reach the maximum grain filling rate in N22 was shortened by 1.2–1.7 d across the panicle, whereas, in Gharib, the range was smaller (0.3–0.7 d). The active grain-filling duration in N22 was reduced by 15.6–15.9% under HNT, irrespective of the location of the spikelets on the panicle. Gharib showed a similar response with grain-filling duration, but the effect was much smaller and restricted to the top (4.8%; 0.8 d) and middle (3.2%; 0.6 d) portions of the panicle. The spikelets at the bottom one-third had a much longer grain-filling duration (9.1%; 2 d).

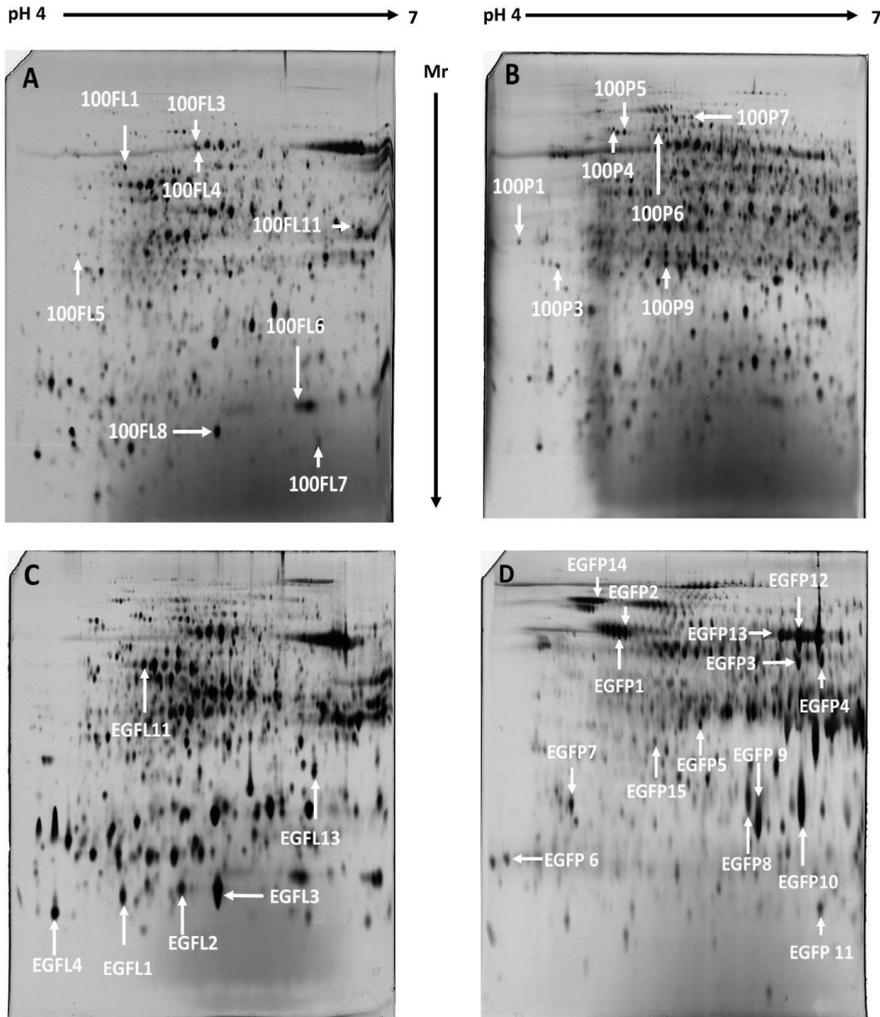
### **2.3.6 HNT-responsive flag leaf and spikelet proteins**

Two-dimensional gel electrophoresis was carried out on flag leaves and spikelets at two developmental stages, 100% flowering and 12 DAF (EGF), for both tolerant N22 and susceptible Gharib under control and HNT conditions in order to display and compare differentially expressed proteins. Protein profiling revealed c.400–500 reproducible protein spots using silver staining over a pH range of 4–7 with a molecular weight ranging from 10 to 90 kDa (Figures 2.2, A2.5). Protein spots showing significant differential expression in N22 were also identified in Gharib, and their abundance ratio was documented, and vice versa with spots differing from Gharib (Table 2.4). In addition, the differentially regulated spot

**Table 2.3** Grain-filling parameters for top, middle and bottom portions of the panicle, estimated using logistic equation for rice (*Oryza sativa*) accessions N22 and Gharib under different night-time temperatures.

Genotype	Position	Temperature (°C)	GR <sub>0</sub> (mg grain <sup>-1</sup> d <sup>-1</sup> )	GR <sub>max</sub> (mg grain <sup>-1</sup> d <sup>-1</sup> )	GR <sub>mean</sub> (mg grain <sup>-1</sup> d <sup>-1</sup> )	T <sub>max</sub> (days)	D (days)	R <sup>2</sup>	
N22	Top	22	0.282	1.845	0.949	7.5	14.5	0.99	
		28	0.338	2.207	1.135	6.3	12.2	0.99	
		22	0.214	1.638	0.825	8.9	16.7	0.99	
	Middle	28	0.231	1.960	0.973	7.6	14.1	0.98	
		22	0.147	1.692	0.808	9.7	17.2	0.98	
		28	0.175	1.895	0.911	8.0	14.5	0.95	
	Gharib	Top	22	0.380	1.948	1.038	8.3	16.7	0.99
			28	0.452	1.910	1.048	7.6	15.9	0.98
			22	0.314	1.900	0.988	9.6	18.7	0.99
Middle		28	0.374	1.795	0.966	8.9	18.1	0.98	
		22	0.218	1.753	0.876	11.8	22.0	0.98	
		28	0.327	1.397	0.765	11.5	24.0	0.97	
Bottom		22	0.218	1.753	0.876	11.8	22.0	0.98	
		28	0.327	1.397	0.765	11.5	24.0	0.97	
		22	0.218	1.753	0.876	11.8	22.0	0.98	

D, active grain-filling duration; GR<sub>0</sub>, initial grain-filling rate; GR<sub>max</sub>, maximum grain-filling rate; GR<sub>mean</sub>, mean grain-filling rate; R<sup>2</sup>, correlation coefficient; T<sub>max</sub>, time taken to reach maximum grain-filling rate.



**Figure 2.2** Representative two-dimensional gels showing differentially expressed protein spots in rice (*Oryza sativa*) flag leaves (a, c at 100% flowering and 12 d after flowering (DAF), respectively) and spikelets (b, d at 100% flowering and 12 DAF, respectively) exposed to high night temperatures (HNT) of 28°C. Their actual abundance ratios, obtained from the sampled developmental stage, and their earlier or later stage ratios, depending on the actual sampling stage, are presented in Table 2.4. The gels shown are from HNT-stressed N22 tissues, and a panel of gels from tissues exposed to control temperature (22°C) is presented in Supplementary Figure A2.5. Gels generated from spikelets at 12 DAF were slightly streaked in both entries, which was mainly caused by excess starch accumulation in the spikelets at the early grain-filling stage.

expression pattern at a later or earlier stage, depending on the actual sampling stage at either 100% flowering or 12 DAF, respectively, was also recorded to ascertain the developmental stage reprogramming of the proteomes (Table 2.4). One hundred and three protein spots were differentially regulated in both tissues, including both the developmental stages across N22 and Gharib. Of the 103 spots, 36 spots showing > 1.5-fold change and statistical significance ( $P < 0.05$ ) in their abundance ratio were excised from N22 gels and analyzed by MS.

Among the differentially expressed proteins, 71% and 67% were up-regulated in flag leaves and spikelets of N22 and Gharib, respectively, with all significantly changing spots from the spikelet samples up-regulated in both genotypes at 100% flowering (Table 2.4a). The same set of spots, when visualized from gels obtained from samples at 12 DAF, showed a clear trend, with the flag leaf spots increasing in intensity and the highly up-regulated spikelet spots down-regulated in N22. The pattern was not clear with the susceptible Gharib. Only 7% and 25% of flag leaf and spikelet spots, respectively, identified at the 100% flowering stage, were not detected in gels at the 12-DAF stage (Table 2.4a). From tissues obtained from the 12-DAF stage, that is coinciding with the EGF stage, 42% and 56% of the significantly changing spots were up-regulated in both flag leaves and spikelets of N22 and Gharib, respectively (Table 2.4b). The direction of change with both the up- and down-regulation of spots was identical in both N22 and Gharib, whereas the intensity of change in both directions differed, being stronger in N22 across all significantly changing spots, except for EGFP3, 6, 11 and 14. An examination of spots at the earlier developmental stage (100% flowering), which were actually extracted and sequenced at 12 DAF, revealed that 75% and 44% of the spots were undetected in N22 and Gharib, respectively.

In total, 36 differentially regulated proteins in response to HNT stress were grouped into seven categories according to their putative physiological functions: heat shock proteins (HSPs) and other molecular chaperones; proteins involved in signaling; proteins involved in sugar metabolism; proteins involved in nucleic acid/protein modification and repair; ribosomal proteins; proteins involved in phytohormone biosynthesis and signaling; and others (Table 2.5). Specific HSPs, proteins involved in calcium signaling and in nucleic acid/protein modification and repair were highly up-regulated in the case of N22 (as compared with

**Table 2.4** Abundance ratio (AR = % volume under stress / % volume under control) of differentially expressed protein spots in rice (*Oryza sativa*) accessions N22 and Gharib at 100% flowering (a) and 12 DAF (b).

Stage	Tissue	Spot ID	Actual		Re-programmed	
			AR (N22)	AR (Gharib)	AR (N22) at 12 DAF	AR (Gharib) at 12 DAF
100 % flowering (a)	Flag leaf	100FL1	<b>1.884 ± 0.128</b>	<b>2.189 ± 0.139</b>	<i>6.390 ± 0.247</i>	<i>1.752 ± 0.192</i>
		100FL3	<b>1.471 ± 0.301</b>	<b>1.893 ± 0.547</b>	<i>3.441 ± 0.522</i>	<i>Absent</i>
		100FL4	<b>1.782 ± 0.508</b>	<b>1.574 ± 0.171</b>	<i>2.264 ± 0.292</i>	<i>Absent</i>
		100FL5	<b>1.484 ± 0.172</b>	1.216 ± 0.124	<i>2.903 ± 0.168</i>	<i>1.499 ± 0.076</i>
		100FL6	<b>0.432 ± 0.083</b>	<b>0.349 ± 0.039</b>	<i>0.687 ± 0.132</i>	<i>0.603 ± 0.098</i>
		100FL7	<b>0.521 ± 0.124</b>	<b>0.256 ± 0.253</b>	<i>0.688 ± 0.114</i>	<i>0.578 ± 0.001</i>
		100FL8	<b>0.490 ± 0.130</b>	<b>0.479 ± 0.019</b>	<i>0.259 ± 0.040</i>	<i>0.157 ± 0.001</i>
		100FL11	<b>0.451 ± 0.103</b>	<b>0.537 ± 0.070</b>	<i>Absent</i>	<i>Absent</i>
	Spikelets	100P1	<b>2.151 ± 0.028</b>	<b>1.612 ± 0.191</b>	<i>0.077 ± 0.030</i>	<i>0.570 ± 0.001</i>
		100P3	<b>2.354 ± 0.768</b>	<b>1.633 ± 0.263</b>	<i>0.365 ± 0.032</i>	<i>0.563 ± 0.087</i>
		100P4	<b>3.004 ± 0.546</b>	<b>1.560 ± 0.338</b>	<i>2.331 ± 0.143</i>	<i>2.380 ± 0.283</i>
		100P5	<b>2.158 ± 0.488</b>	<b>1.858 ± 0.157</b>	<i>1.538 ± 0.536</i>	<i>0.863 ± 0.017</i>
		100P6	<b>2.341 ± 0.266</b>	0.890 ± 0.123	<i>0.510 ± 0.005</i>	<i>1.481 ± 0.004</i>
		100P7	<b>2.163 ± 0.068</b>	0.785 ± 0.092	<i>0.374 ± 0.114</i>	<i>1.594 ± 0.165</i>
		100P9	0.838 ± 0.026	<b>1.541 ± 0.091</b>	<i>Absent</i>	<i>Absent</i>
12 DAF (b)	Flag leaf	EGFL1	<b>0.289 ± 0.185</b>	<b>0.389 ± 0.066</b>	<i>Absent</i>	<i>Absent</i>
		EGFL2	<b>0.241 ± 0.162</b>	<b>0.479 ± 0.000</b>	<i>Absent</i>	<i>0.436 ± 0.079</i>
		EGFL3	<b>0.316 ± 0.200</b>	<b>0.357 ± 0.231</b>	<i>Absent</i>	<i>0.435 ± 0.068</i>
		EGFL4	<b>2.158 ± 0.530</b>	<b>1.459 ± 0.016</b>	<i>1.508 ± 0.162</i>	<i>1.685 ± 0.216</i>
		EGFL11	<b>2.003 ± 0.029</b>	<b>1.512 ± 0.202</b>	<i>0.604 ± 0.149</i>	<i>0.557 ± 0.037</i>
	Spikelets	EGFL13	<b>0.429 ± 0.281</b>	<b>0.455 ± 0.107</b>	<i>Absent</i>	<i>2.430 ± 0.011</i>
		EGFP1	<b>0.442 ± 0.040</b>	<b>0.539 ± 0.025</b>	<i>Absent</i>	<i>Absent</i>
		EGFP2	<b>1.657 ± 0.588</b>	0.761 ± 0.049	<i>Absent</i>	<i>Absent</i>
		EGFP3	<b>1.830 ± 0.672</b>	<b>2.829 ± 0.287</b>	<i>Absent</i>	<i>Absent</i>
		EGFP4	<b>1.746 ± 0.217</b>	1.134 ± 0.150	<i>Absent</i>	<i>Absent</i>
		EGFP5	<b>1.898 ± 0.642</b>	<b>1.589 ± 0.027</b>	<i>0.863 ± 0.013</i>	<i>0.818 ± 0.017</i>
		EGFP6	<b>0.272 ± 0.243</b>	<b>0.072 ± 0.032</b>	<i>1.532 ± 0.002</i>	<i>1.978 ± 0.101</i>
		EGFP7	<b>0.355 ± 0.069</b>	0.979 ± 0.102	<i>0.516 ± 0.017</i>	<i>1.979 ± 0.027</i>
		EGFP8	<b>0.135 ± 0.096</b>	<i>Absent</i>	<i>Absent</i>	<i>Absent</i>
		EGFP9	<b>2.153 ± 0.787</b>	<b>1.518 ± 0.020</b>	<i>Absent</i>	<i>Absent</i>
EGFP10	<b>0.340 ± 0.099</b>	<b>0.493 ± 0.000</b>	<i>Absent</i>	<i>Absent</i>		
EGFP11	<b>1.578 ± 0.147</b>	<b>1.745 ± 0.200</b>	<i>Absent</i>	<i>1.829 ± 0.142</i>		
EGFP12	<b>2.323 ± 0.730</b>	1.063 ± 0.123	<i>Absent</i>	<i>Absent</i>		
EGFP13	<b>1.700 ± 0.040</b>	<b>1.515 ± 0.424</b>	<i>Absent</i>	<i>Absent</i>		
EGFP14	<b>1.485 ± 0.226</b>	<b>1.821 ± 0.451</b>	<i>Absent</i>	<i>Absent</i>		
EGFP15	<b>2.411 ± 0.964</b>	<b>2.090 ± 0.424</b>	<i>1.814 ± 0.059</i>	<i>0.523 ± 0.047</i>		

In addition, their expression patterns at earlier (spots identified and sequenced at 12 DAF, that is, early grain filling stage) or later (spots identified and sequenced at the 100% flowering stage) developmental stages were identified and their ARs are presented in italics (reprogrammed). In both cases, bold and normal font indicate significant and nonsignificant changes, respectively. Values with ± SE included.

**Table 2.5** Differentially expressed proteins identified by matrix-assisted laser desorption / ionization-time of flight mass spectrometry (MALDI-TOF MS) and their annotation derived from MASCOT or PROFOUND databases, together with their experimental and theoretical pI and molecular weight (Mr).

Spot ID	Protein name	Locus ID	Identified protein description						Fold change (Actual)				Fold change (re-programmed)	
			MS	Theoretical pI	Mr	Experimental pI	Mr	SC%	N22	Gharib	N22	Gharib		
<b>HSPs and other chaperones</b>														
EGFP2	Peptidyl-prolyl cis-trans isomerase, FKBP-type	LOC_Os06g20320.1	42	5.19	51.9	5.1	65.0	18/42	20	++	-	Ab	Ab	
EGFP13	Hsp20/alpha crystalline family protein	LOC_Os01g08860.1	53	7.85	17.1	6.7	64.0	8/10	42	++	++	Ab	Ab	
100FL1	DnaK family protein	LOC_Os01g08560.2	33	5.15	92.5	4.8	62.4	10/15	13	++	+++	+++	++	
EGFP11	Late embryogenesis abundant protein	LOC_Os05g46480.4		9.11	6.55	6.8	12.0	5/5	89	++	++	Ab	++	
<b>Proteins involved in signaling</b>														
EGFP4	CAMK, CAMK like.17 - CAMK includes calcium/calmodulin-dependent protein kinases	LOC_Os03g03660.4	36	5.50	61.6	6.9	62.0	17/39	15	++	=	Ab	Ab	
100P7	Calmodulin-binding protein	LOC_Os02g08120.2		6.10	73.6	5.7	78.2	10/18	12	+++	-	---	++	
EGFL11	IQ calmodulin-binding motif family protein	LOC_Os05g43670.1	49	6.46	62.1	5.1	60.8	11/13	13	+++	++	--	-	
100FL3	Phosphatidylinositol 3- and 4-kinase family protein	LOC_Os06g17290.1		5.50	96.8	5.4	70.2	14/22	15	+	++	+++	Ab	
<b>Proteins involved in sugar metabolism</b>														
EGFP1	Hydrolase, alpha/beta fold family protein	LOC_Os03g17900.1	50	5.73	58.8	5.0	67.5	14/20	28	---	--	Ab	Ab	
EGFP5	NAD-dependent epimerase/ dehydratase family protein/ UDP-Glucose 4-Epimerase	LOC_Os05g51670.1	34	5.54	38.5	5.8	45.0	4/5	11	++	++	-	-	
EGFP12	Beta-mannosidase/galactosidase homologue	LOC_Os01g22364.1	44	6.77	57.4	6.8	64.0	5/6	12	+++	=	Ab	Ab	
EGFP15	UTP--glucose-1-phosphate uridylyltransferase	LOC_Os09g38030.1	64	5.67	53.5	5.5	40.0	9/9	17	+++	+++	++	--	
100P1	Ribose-5-phosphate isomerase A	LOC_Os07g08030.1	64	5.72	29.4	4.3	45.3	9/12	37	+++	++	---	--	
<b>Proteins involved in nucleic acid/protein modification and repair</b>														
EGFP7	OsPDIL2-1 protein disulfide isomerase PDIL2-1,	LOC_Os05g06430.2	46	5.44	40.9	4.5	30.0	15/41	38	---	=	--	++	
100FL4	CUE domain containing protein	LOC_Os03g10750.1		5.90	96.8	5.4	69.2	14/17	15	++	++	+++	Ab	
100FL5	Histone acetyltransferase GCN5	LOC_Os10g28040.1	66	6.01	65.5	4.5	42.0	14/18	19	+	=	+++	+	
100P6	Maturase K	LOC_Os04g16734.1	43	9.64	62.0	5.4	76.3	34/34	35	+++	=	--	+	
100P4	Retrotransposon protein, putative, Ty3-gypsy subclass	LOC_Os04g48660.1		5.70	93.2	5.1	78.2	16/26	16	+++	++	+++	+++	
EGFP3	Retrotransposon protein, putative, unclassified	LOC_Os03g28020.1	43	5.74	61.9	6.8	62.0	20/45	17	++	+++	Ab	Ab	

Table 2.5 (Continue)

Spot ID	Protein name	Identified protein description						Fold change (Actual)				Fold change (re-programmed)		
		MS	Theoretical pI	Mr	pI	SC%	MPP/PS	SC%	N22	Gharib	N22	Gharib		
<b>Ribosomal proteins</b>														
EGFP8	60S ribosome subunit biogenesis protein NIP7	42	9.0	21.10	6.2	20	6/6	39	---	---	Ab	Ab		
EGFP9	Chloroplast 30S ribosomal protein S8	64	10.7	16.00	6.3	18	8/11	52	+++	+++	Ab	Ab		
<b>Proteins involved in phytohormone biosynthesis and signaling</b>														
EGFP14	Auxin-responsive Aux/IAA gene family member	43	5.82	26.70	4.6	80.0	5/5	31	+	+	Ab	Ab		
EGFL2	Gibberellin 2-beta-dioxygenase 7	4.80	21.20	5.3	12.2	10/16	4/6	45	---	---	Ab	---		
EGFL4	Oxidoreductase, short chain dehydrogenase/reductase family domain containing protein	4.90	21.09	4.4	12.0	6/21	3/6	36	+++	+	++	++		
EGFL13	Cytokinin-N-glucosyltransferase 1	58	8.64	51.00	6.5	30.0	7/9	17	---	---	Ab	+++		
<b>Others</b>														
100FL6	Ribulose biphosphate carboxylase small chain, chloroplast precursor	66	9.04	19.90	6.3	14.2	9/70	40	---	---	---	---		
EGFL3	Ribulose biphosphate carboxylase large chain precursor	64	6.35	29.11	5.7	12.0	6/24	21	---	---	Ab	---		
EGFP6	KUN1-Kunitz-type trypsin inhibitor precursor	38	4.97	20.00	4.2	15.0	5/10	36	---	---	++	++		
EGFP10	Cation efflux family protein	52	6.92	14.80	6.7	20.0	4/6	26	---	---	Ab	Ab		
100FL7	Homeobox and START domains containing protein	63	6.63	45.40	6.4	12.0	13/21	29	---	---	---	---		
100FL8	Coiled-coil domain-containing protein 25	5.60	25.50	5.6	13.0	7/32	2/2	22	---	---	---	---		
100FL11	Ankyrin repeat family protein	6.20	58.40	6.7	51.3	17/22	2/8	28	---	---	Ab	Ab		
100P5	DUF630/DUF632 domains containing protein	5.20	90.50	5.2	78.4	12/32	1/1	11	+++	+++	+	+		
100P9	Stromal membrane-associated protein	42	6.12	35.97	5.5	33.8	13/29	24	---	---	Ab	Ab		
100P3	Expressed protein	59	5.71	57.22	4.7	37.8	35/52	46	+++	+++	---	---		
EGFL1	Expressed protein	4.80	12.18	4.8	11.2	2/9	5/1	51	---	---	Ab	Ab		

Protein spot numbers are as indicated in Figure 2.2. Corresponding accession numbers are obtained from the TIGR database ([http://rice.plantbiology.msu.edu/analyses/search\\_blast.shtml](http://rice.plantbiology.msu.edu/analyses/search_blast.shtml)). Actual and re-programmed as defined in Table 2.4. +, ++, +++; up-regulation by 1.25-1.49, 1.5-1.9, ≥ 2.0 fold; -, ---, --- indicates 1.25-1.49-(0.87-0.75 abundance ratio (AR)), 1.5-1.9-(0.74 to 0.50 AR), ≥ 2.0 (≤ 0.49 AR)-fold down-regulation. Ab, absent, CUE, coupling of ubiquitin to ER degradation, GCN5, general control non-repressed protein 5; IAA, indole-3 acetic acid; HSP, heat shock protein; MP/PS, matched peptides/searched peptides; MS, MASCOT score; PQ, total peptide searched per query; SC, sequence coverage.

Gharib) in response to HNT stress. Proteins involved in photosynthesis were down-regulated in both varieties (Table 2.5).

## **2.4 Discussion**

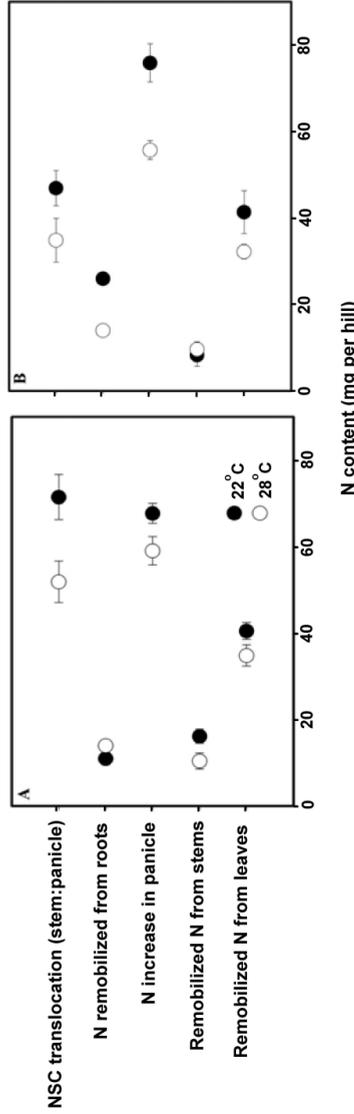
Conclusions drawn from controlled environment experiments have documented an HNT-induced increase in respiration rate and decrease in pollen germination (Mohammed and Tarpley, 2010) and poor assimilate translocation to grains (Morita et al., 2005; Cheng et al., 2009; Kanno and Makino, 2010), with a subsequent reduction in seed set and/or grain weight. These conclusions are based on individual genotype performance, whereas our study builds on the outcome of a wide genetic diversity screening (36 accessions) and tests the most contrasting entries from both studies using the same chambers established under field conditions.

A moderate increase in night temperature during the entire reproductive period led to a significant decline in grain yield and total dry matter at physiological maturity with the highly sensitive Gharib. This decline in yield was mainly attributed to a substantial reduction in 1000-grain weight, a phenomenon observed by Morita et al. (2005) and Kanno and Makino (2010). However, the percentage seed set was unaffected in Gharib, which contrasted with the finding of Mohammed and Tarpley (2009a), who noted a 90% reduction in fertility at HNT of 32° C using cultivar Cocodrie (which could be highly susceptible). Our ongoing controlled environment work indicates a similar response from very sensitive varieties exposed to temperatures > 30°C, but the tolerant N22, even under 35°C HNT, recorded a < 5% reduction in sterility (Coast et al., unpublished; University of Reading, UK). Hence, preliminary diversity analysis is essential to avoid an overestimation of the temperature effects. In addition, the grain weight of tolerant N22 was unaffected in our field study. However, the number of spikelets per panicle was reduced significantly in N22 with HNT, accompanied by a higher seed set, demonstrating the plastic response of maintaining yield under HNT. Competition for assimilates between the spikelets and the stem during panicle formation has been documented, with spikelets being poorer competitors than the stem for available assimilates (Fischer and Stockman, 1980). In this competition for assimilates between panicle

and stem, the stem in N22 appears to have prevailed over the panicle, as evidenced by a 6.5% increase in height and a simultaneous decrease (14.6%) in spikelet number under HNT (Table 2.1). Moreover, a similar quantitative impact of HNT on spikelet degradation (9.6%) in N22 was observed in an independent experiment using the same chambers, but such plastic responses were not observed with the sensitive Gharib.

A steady supply of assimilates in the 0–10 and 10–20 d following heading is a crucial determining factor for endosperm expansion and grain filling, respectively (Nagata et al., 2001). Carbohydrates for grain filling could either be assimilated during the ripening period or translocated from assimilates accumulated in the leaf sheath and culms before heading (Nagata et al., 2001; Lafarge and Bueno, 2009). In our study, a significant decline in N and NSC content in the sensitive Gharib throughout the ripening period until physiological maturity resulted in assimilate shortage and, with reduced 1000-grain weight and grain yield, indicated a greater limitation with source, although sink strength reduction could not be ruled out. After accounting for the accumulated N after flowering from the initial content + the translocation from the leaves and stem, unaccounted values of 11 mg per hill and 26.2 mg per hill N were recorded in N22 and Gharib panicles, respectively, at 22°C, and 14 mg per hill in both entries at 28°C, indicating the contribution of direct N uptake or active translocation of N stored in the roots during the active grain filling stage (Figure 2.3). Compared with N, NSC translocation to the panicle was more pronounced, with a higher contribution from stem NSC than from leaf NSC (data not shown), as documented earlier (Fu et al., 2011). Comparison of N22 and Gharib across both temperatures independently showed a smaller decrease in NSC translocation in Gharib, which could be equated to the HNT effect only, whereas a larger decrease in N22 could be caused by a combination of the HNT effect and reduced sink size (Figure 2.3).

Grain filling, the final stage of growth in cereals, is determined by the product of the rate and duration of grain growth. A negative relationship between the rate and duration of grain filling has been established (Yang et al., 2008). N22, which has considerably higher initial, maximum and mean grain-filling rates across the whole panicle, was able to compensate for a significant reduction in active grain-filling duration and maintained grain yield (Table 2.3).



**Figure 2.3** Remobilization of nitrogen (N) from rice (*Oryza sativa*) leaves (N content in leaves at flowering – N content in leaves at physiological maturity (PM)), stem (N content in stems at flowering – N content in stems at PM) and possibly roots into panicles, that is N increase in panicles (N content in panicles at PM–N content in panicles at flowering), and nonstructural carbohydrate (NSC) translocation ratio from stem to panicles (amount of NSC transferred from stem to grains / NSC in stems at flowering  $\times$  100) of N22 (A) and Gharib (B); white circles represent 28°C and black circles represent 22°C. Horizontal bars indicate  $\pm$  SE.

Interestingly, the plastic behavior of N22 to the deliberate reduction in the number of spikelets per square meter probably allowed the remaining spikelets to receive sufficient assimilates within the shortened grain-filling duration, a response that was absent in the susceptible Gharib. In addition, this response would allow assimilate saving, which otherwise would have been utilized for the production of additional nonproductive spikelets. Gharib, however, showed a higher initial grain filling rate, but the maximum and mean grain-filling rates were decreased greatly, in both middle and bottom portions of the panicles, together with the grain-filling duration in the top and middle parts of the panicle, thereby reducing the final grain weight. Our results confirm the conclusions of Kobata and Uemuki (2004) that a lower yield caused by high temperature during grain filling may be a result of the failure of assimilate supply to meet the accelerated grain-filling rate. This was the case with Gharib. Further, a significant synergistic correlation between the grain-filling rate and grain weight (but not between the grain-filling duration and grain weight) in bread and durum wheat under high temperature has been recorded (Dias and Lidon, 2009). Ideally, rice varieties with sufficient biomass, equipped with efficient translocation efficiency (high grain-filling rates) to compensate for the reduced grain-filling period, could potentially overcome the impact of HNT on grain yield.

HSPs are functionally involved in the repair and renaturation of stress-damaged proteins, in addition to protecting the cells against the effects of stress (Wang et al., 2004; Sarkar et al., 2009; Jagadish et al., 2011). Peptidyl-prolyl cis–trans isomerase (FKBPtype) was particularly up-regulated in early grain-filling spikelets in N22 and was down-regulated in the case of Gharib, with PPIase (peptidylprolyl isomerase) possibly having a positive role in maintaining protein synthesis and trafficking proteins during the active grain-filling stage. This protein is known to be induced in floral tissues under heat stress in wheat (Kurek et al., 1999) and works in tandem with HSP90 to ensure the correct folding of proteins in *Arabidopsis thaliana* (Hagai et al., 2007). Late embryogenesis abundant protein, which behaves like HSP12 in *Saccharomyces cerevisiae*, was up-regulated in the early grain-filling panicle of both varieties, showing its role in grain filling under heat stress and preventing other proteins from heat-induced desiccation. Calcium, a universal signaling molecule under heat stress, triggers

cytosolic Ca<sup>2+</sup> bursts, which are transduced by several Ca<sup>2+</sup>-binding proteins (CBPs), such as calmodulin (CaM), CaM-related proteins, Ca<sup>2+</sup>-dependent protein kinases (CDPKs), etc., that further up-regulate the expression of HSPs (Liu et al., 2003; Yang and Poovaiah, 2003). In our study, CBPs, such as CaM-dependent protein kinases, CaM-binding protein and IQ CaM-binding motif family protein, were more strongly up-regulated in tolerant N22, whereas the first two proteins were unchanged and down-regulated, respectively, in the susceptible Gharib panicles. Phosphatidylinositol 3- and 4-kinase family protein, which is involved in phosphate signaling in animals, was up-regulated at the 100% flowering stage, but more strongly at 12 DAF, indicating its role in high temperature stress signaling in N22, whereas the same protein was undetected in susceptible Gharib. Among the proteins involved in sugar metabolism, bmannosidase/glucosidase homolog was highly up-regulated only in N22, whereas the three other proteins were equally up-regulated in both entries. The CUE (coupling of ubiquitin to ER degradation) domain-containing protein, which is involved in the degradation of misfolded proteins in the endoplasmic reticulum and protein sorting, was up-regulated in both varieties, with a higher level of expression in N22 at the EGF stage. In addition, histone acylation by GCN5 (general control non-repressed protein 5) and HAC (histone acetyl transferase) helps in the transcriptional regulation of HSP70 and HSP17 genes, which are actively involved in correct protein folding and sequestration under high temperature stress (Bharti et al., 2004; Han et al., 2008). Maturase K could assist in splicing its own and other chloroplast group II introns, showing more active transcription of heat stress-responsive gene up-regulation in N22 (but down-regulation in Gharib). Proteins involved in the biosynthesis of RuBISCO were down-regulated in both genotypes, which could result in reduced photosynthetic rate with a pre-exposure to HNT, a phenomenon documented in wheat (Prasad et al., 2008). The majority of the significantly changing proteins at the 100% flowering stage were detected at 12 DAF in both flag leaves and spikelets, whereas those that were sequenced from tissues at 12 DAF were undetected at 100% flowering. This indicated dynamic proteome programming with different tissues at key developmental stages in rice when exposed to HNT. The combined increase in HSPs and Ca signaling proteins, and the better nucleic acid/protein modification and repair in tolerant N22

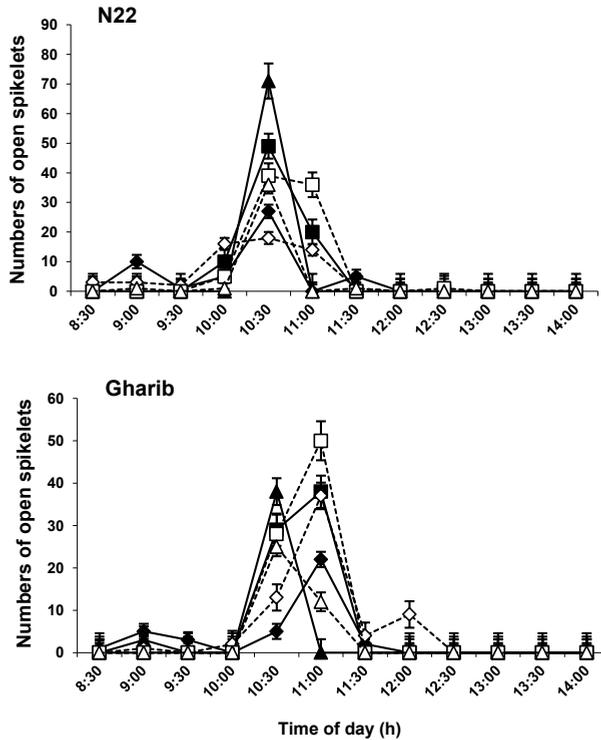
at the EGF stage, could have allowed for better enzymatic activity in the conversion of sucrose to starch.

Rice market prices are largely determined by milling quality outcomes and appearance, that is, higher chalk or brokens reduce rice prices dramatically. The significant reduction in milled rice yield and the increase in chalk content (with the highest chalk category in Gharib) are proxy for the negative impact of HNT on grain weight (reduced grain width), leading to reduced yield and total milled rice. The decrease in grain width could be associated with a reduction in average endosperm cell area observed under HNT (Morita et al., 2005), or with abnormal amyloplast packaging, resulting in white core chalk formation (Ishimaru et al., 2009). From source–sink manipulation studies, a close relationship between assimilate supply and milky white chalk formation has been established (Tsukaguchi and Iida, 2008), with increasing assimilate supply overcoming chalk formation even under high temperatures (Kobata and Uemuki, 2004). In addition, higher maintenance respiration with increasing night temperatures could partly be responsible for reduced assimilate supply, as documented by Cheng et al. (2009) and Mohammed and Tarpley (2010). Chalkiness was not a problem with N22, mainly because of the increased grain-filling rates and little influence on overall biomass, even under HNT. Interestingly, chalkiness under the 50–75% category was reduced significantly in Gharib with HNT, a feature that could be attributed to better assimilate transfer at the initial grain-filling stages, but, with a lack of sustained supply of assimilates, this resulted in a 36.4% increase in the > 75% chalkiness category. Moreover, Gharib with a comparatively higher biomass than N22 could have a relatively higher demand for maintenance respiration, depriving a larger share of assimilates over the 2-month-long HNT exposure.

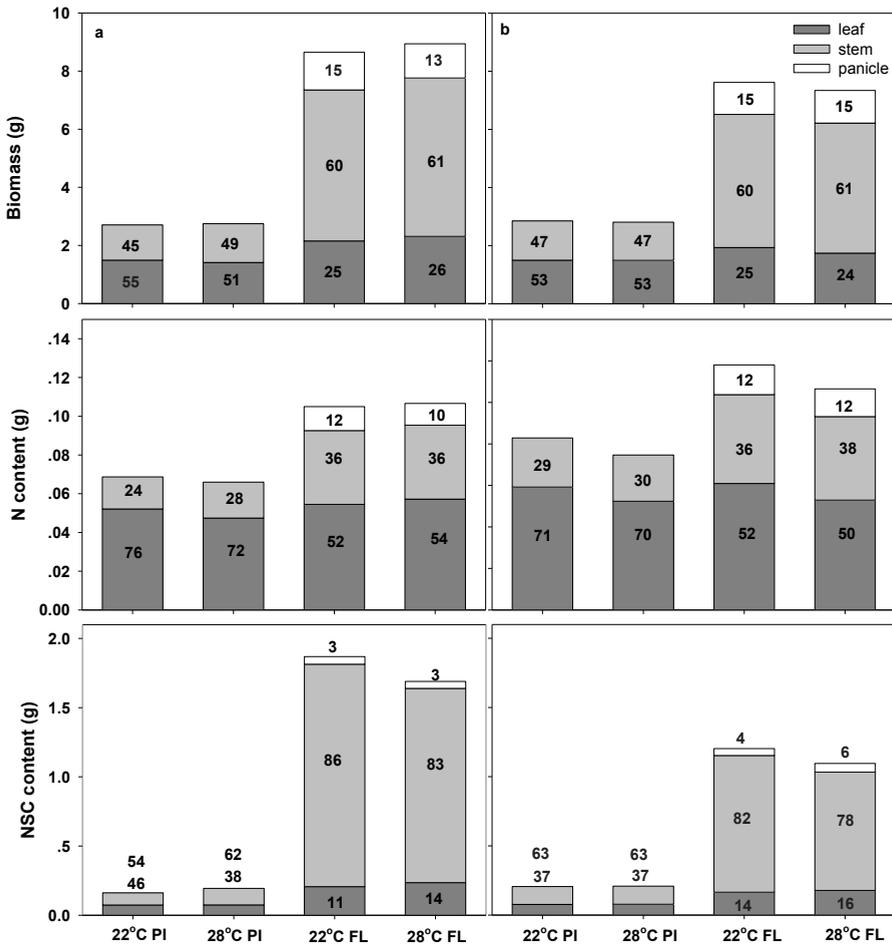
Appendix Chapter 2, Supplementary tables and figures



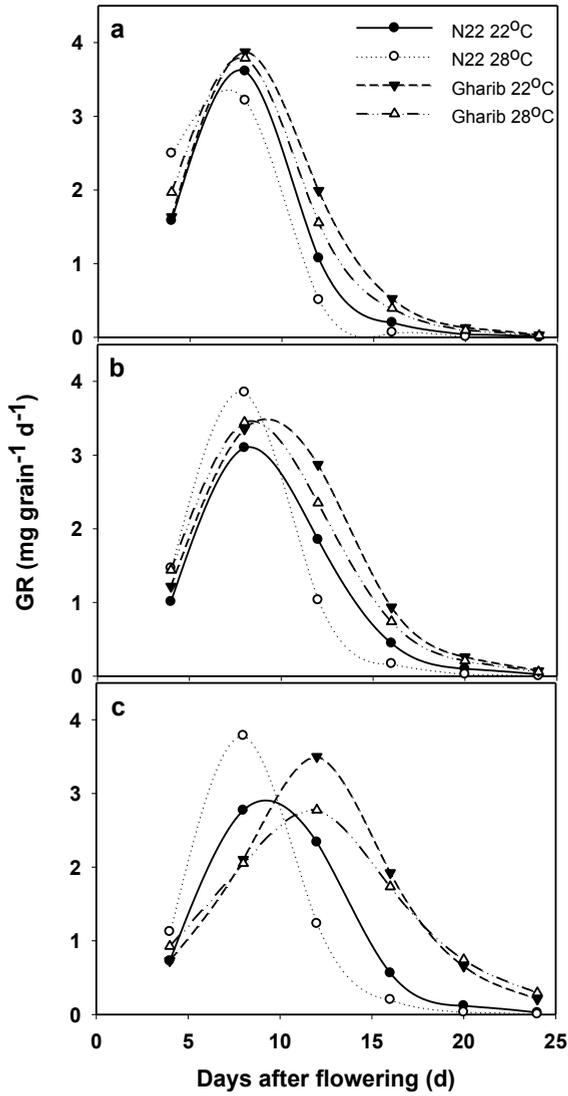
**Figure A2.1** Field growth chambers used to study high night-time temperature responses



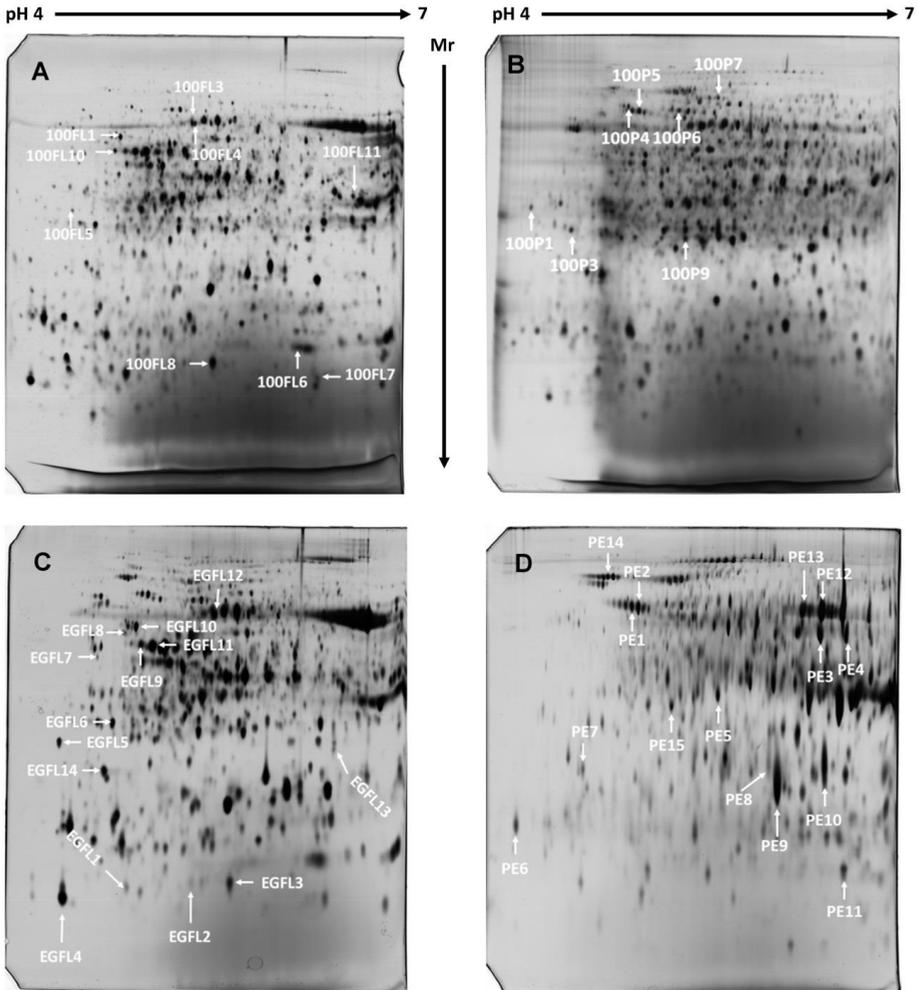
**Figure A2.2** Flowering patterns over three consecutive days starting from the first day of flowering exposed to control (22°C) and HNT (28°C). Solid symbols and line indicate night temperature of 22°C, while the open symbols with dashed lines are for 28°C. The average number of open spikelets on the first, second and third day are indicated by diamond, square and triangle, respectively.



**Figure A2.3** Biomass, nitrogen (N) and non-structural carbohydrates (NSC) content partitioning at panicle initiation (PI) and flowering stage (FL) in N22 (a) and Gharib (b). Numbers presented in the graph are percentage values and in instances where there is not sufficient space percentages are placed above the bar.



**Figure A2.4** Grain-filling rate (GR) in top (a), medium (b) and bottom (c) in N22 and Gharib under different night-time temperatures.



**Figure A2.5** Representative 2D gels showing differentially expressed protein spots in flag leaves (A, C at 100% flowering and 12 DAF, respectively) and panicles (B, D at 100% flowering and 12 DAF, respectively) in rice under HNT of 28°C. Their actual abundance ratios obtained at the sampled developmental stage and their reprogrammed ratios depending on their sampling stage are given in Table 2.4. Gels shown below are the control N22 tissues. Gels generated from panicles at the EGF were slightly streaked in both the entries which was mainly due to the excess starch accumulation in spikelets at the early grain-filling stage.

## CHAPTER 3

### **Grain yield and quality responses of tropical hybrid rice to high night-time temperature**

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### Abstract

High temperature has a pronounced effect on grain yield and quality in rice. Climate change has increased night temperature more than day temperature in many parts of the world. How rice responds to high night-time temperature (HNT) is largely unknown. This study presents the first effort to assess the response of tropical hybrid rice to HNT. Six commercial tropical hybrid rice cultivars together with a tolerant (N22-*aus*) and a susceptible (Gharib-*indica*) genotype were evaluated under control temperature (23°C) and HNT (29°C) starting from panicle initiation until maturity under field conditions at the International Rice Research Institute during the dry (DS) and wet (WS) seasons of 2013. Overall, HNT significantly decreased grain yield of Gharib and all tested hybrids across both the seasons, with less average reduction in the DS (13.4%) than in the WS (18.6%). Among the yield components, spikelets  $\text{m}^{-2}$  most significantly contributed to yield variation under control and/or HNT during both DS and WS followed by grain weight, while the contribution of seed-set was low and season-specific. Grain quality in most hybrids was also strongly affected by HNT, with decreased head rice yield, increased chalkiness and reduced grain width. Given this vulnerability to HNT, there is an urgent need to explore options for improving the adaptation of rice hybrids to increasingly warmer nights.

**Keywords:** Grain quality, grain yield, hybrid rice, high night-time temperature

### **3.1 Introduction**

Global mean surface temperature has increased by 0.85°C over the period from 1880 to 2012 and is projected to increase further by 1.0–3.7°C by the end of 2100 (IPCC, 2013). There has been a faster increase in night-time (daily minimum) temperature than day-time (daily maximum) temperature, leading to a global decrease in the diurnal temperature amplitude (Easterling et al., 1997). A large yield reduction resulting from high night-time temperature (HNT) has been reported from major rice growing areas across South and Southeast Asia (Peng et al., 2004; Welch et al., 2010) and the United States (Mohammed and Tarpley, 2014). In addition, poor grain quality is caused by warm nights, leading to a huge reduction in economic benefits (Lyman et al., 2013). Thus, HNT presents a serious challenge to sustain global rice yield and quality under future warmer climates.

Development of heat-tolerant cultivars is identified as a major priority to overcome the projected heat stress damage (Battisti and Naylor, 2009; Challinor et al., 2014). Studies have been carried out to quantify the genetic variation in heat tolerance of indica and/or japonica inbred rice in response to increased day-time temperature (Prasad et al., 2006; Jagadish et al., 2008; Shi et al., 2014) and night-time temperature (Zhang et al., 2013), and combined day and night temperature (Shah et al., 2014). In comparison, few studies have evaluated the response of hybrid rice to high day-time temperature (Hu et al., 2012). Compared with inbred cultivars, hybrid rice has superior yield potential. Hybrid rice currently occupies more than 60% of total rice area in China and is being extended into South and Southeast Asian countries (mainly Bangladesh, India, Indonesia, The Philippines, and Vietnam), as well as the United States (Fu et al., 2012; Xie et al., 2014). However, in China, it has been reported that extreme high temperature in farmers' fields, especially in the Yangtze River Valley, resulted in significant yield loss due to reduced seed-set in hybrid rice cultivars (Tian et al., 2009; Fu et al., 2012). In addition, recent research studies have indicated that over 80% of tested hybrids of rice are more vulnerable to extreme day-time temperature than inbred rice (Tian et al., 2009; Hu et al., 2012; Madan et al., 2012; Fu et al., 2015). Clearly, warming climate is increasingly becoming a threat to hybrid rice production and can potentially create a bottleneck for further adoption in tropical and subtropical environments, where more frequent heat episodes and warmer

nights are expected (IPCC, 2013).

Studies on the response of hybrid rice to HNT are even more limited compared with those on hybrid rice responses to high day-time temperature. Interestingly, differential impacts of increases in night temperature and day temperature have been reported under field conditions (Shi et al., 2013; Jagadish et al., 2014). Earlier studies determining hybrids' responses to HNT were conducted either in greenhouses (Mohammed and Tarpley, 2014) or the focus of the study was restricted to the post-flowering phase in a field-based study (Rehmani et al., 2014). Therefore, we conducted field experiments, in which HNT was imposed during the entire reproductive phase (from panicle initiation to maturity) involving commercial tropical hybrid rice cultivars and known checks contrasting for HNT response. The major objectives of our studies were (i) to evaluate the performance of selected rice hybrids to HNT in terms of grain yield and grain quality under realistic field conditions and (ii) to determine the major yield component traits that contribute to yield variation due to HNT.

### 3.2 Materials and methods

The field experiments were conducted in the lowland farm at the International Rice Research Institute (IRRI), Los Baños (14°11'N, 121°15'E, 21 m asl), Philippines, during the dry season (DS) and the wet season (WS) of 2013. Both the DS and WS experiments had four independent replications and two temperature treatments.

#### 3.2.1 Crop management

Six promising tropical hybrid rice cultivars were used in this study, of which three were obtained from a private company (H1, H2, H3) and the other three from IRRI's Hybrid Rice Development Consortium (HRDC) (H4-Mestizo 1, H5- Mestizo 3, H6- Mestizo 21). Two inbred rice cultivars were selected as checks, based on their contrasting responses to HNT (cv. N22, an *aus* variety from India, known to be tolerant to HNT; cv. Gharib, an *indica* from Iran, known for its susceptibility to HNT) as reported in Shi et al. (2013).

Seeds were exposed to 50°C for three days to break dormancy, and pre-germinated seeds were sown in seeding trays. Fourteen-day-old seedlings were manually transplanted on

February 5 during the DS and on July 11 in the WS, at a spacing of  $0.2 \times 0.2$  m with two seedlings per hill. In the DS, phosphorus ( $30 \text{ kg P ha}^{-1}$  as single superphosphate), potassium ( $40 \text{ kg K ha}^{-1}$  as KCl), and Zinc ( $5 \text{ kg Zn ha}^{-1}$  as zinc sulfate heptahydrate) were manually applied one day before transplanting. Nitrogen fertilizer in the form of urea was applied in four splits ( $45 \text{ kg ha}^{-1}$  as basal,  $30 \text{ kg ha}^{-1}$  at mid-tillering,  $45 \text{ kg ha}^{-1}$  at panicle initiation, and  $30 \text{ kg ha}^{-1}$  at heading). All fertilizers for the WS were supplied in half the amount of that in the DS, as per IRRI's recommendations (Peng et al., 2010). The standing water in the field was kept at about 2 cm at transplanting and about 5 cm from crop establishment till maturity. Manual weeding was done whenever required. Whorl maggots (*Hydrellia philippina* Ferino) during the early vegetative phase and sheath blight (*Rhizoctonia solani* Kühn) at booting and flowering stages were effectively controlled by chemical spraying.

### **3.2.2 Temperature treatment**

Temperature treatments started from panicle initiation stage and continued up to maturity covering the whole reproductive stage, which has been identified to be negatively affected by HNT (Shi et al., 2013). HNT during the vegetative stage has shown to have no significant negative effects or even positive effects on growth (Wei et al., 2010a; Laza et al., 2015). The treatments were initiated based on the panicle initiation date of the tolerant inbred check N22 as reference, which occurred around 30 days after transplanting in both seasons and was on average 8 - 14 days earlier than the panicle initiation of the tested hybrids in both seasons.

Crops were exposed to  $29^{\circ}\text{C}$  (HNT) or  $23^{\circ}\text{C}$  (control) by using the unique field-based tents at IRRI. The details of the set-up of the tents have been published in Shi et al. (2013). Briefly, the tents were fully open and exposed to natural conditions during day-time, and were manually closed at 18:00 h every day and re-opened at 6:00 h in the morning of the next day, exposing the plants to 12 hours of temperature treatments, and 12 h of day length in both the DS and the WS. Air-conditioners were automatically programmed to start controlling the temperature inside the tent to impose the night-time temperature treatment. Air temperature and relative humidity in each tent were measured every minute and averaged over 30 minute intervals by sensors (12-bit temperature/RH Smart Sensor-S-THB-M002, Onset computer

Corp., Bourne, MA, USA) placed at the crop canopy level, with all the sensors connected to HOBO Micro Station Data Loggers (HOBO, Onset computer Corp., Bourne, MA, USA) for data recording. Radiation was recorded by the IRRI's wetland weather station, which was less than 100 m from the experimental plots.

### 3.2.3 Measurements

#### Grain yield and total aboveground biomass

At maturity, grains from 25 hills (1 m<sup>2</sup>) were harvested to determine grain yield and grain weight was adjusted to a standard moisture content of 0.14 g H<sub>2</sub>O g<sup>-1</sup>. Plants from twelve hills were harvested randomly to determine total aboveground biomass, yield components and harvest index. Specifically, the number of panicles per hill was counted to calculate the number of panicle m<sup>-2</sup>, then plants were separated into straw and panicles. Panicles were hand-threshed, and filled and unfilled grains were separated by submerging them in tap water; a seed blower was used to separate half-filled and empty grains. Sub-samples were taken to manually count the total number of filled, half-filled and empty grains to assess spikelets m<sup>-2</sup> and seed-set (percentage of number of filled and half-filled grains over the total number of spikelets). In addition, grain weight was estimated from filled grains. Total aboveground biomass was determined from the dry weight of straw, rachis, filled, half-filled and empty grains after oven-drying at 70°C until constant weight. Harvest index was calculated as percentage of dry weight of filled grains over the total aboveground biomass.

#### Grain quality

Representative samples of about 250 g of filled grains collected from each cultivar and treatment were analyzed for grain quality at the Grain Quality and Nutrition Center, IRRI, Philippines. After dehulling and polishing 125 g rough rice, head rice (with length  $\geq 3/4$  of its total grain length) was weighed and used to calculate head rice yield. Physical traits such as chalkiness, grain length and width were measured by a Cervitex Grain Inspector 1625 (Foss, Denmark). The standard iodine colorimetry method described in ISO 6647-2-2011 (International Standardization Organization, 2011) was used to measure amylose content.

## **Statistical analysis**

To test the significance of cultivars, night-time temperature treatment, and their interaction effect on all the parameters, i.e., grain yield, yield components, and grain quality, data was statistically analyzed using a two-way analysis of variance (ANOVA) with Genstat (GenStat 16th Edition, Rothamsted Experimental Station, Harpenden, UK); means were compared based on the least significant difference (LSD) test. The relationship between grain yield and key yield components (spikelets m<sup>-2</sup>, seed set and grain weight) was determined by using stepwise regression in Genstat.

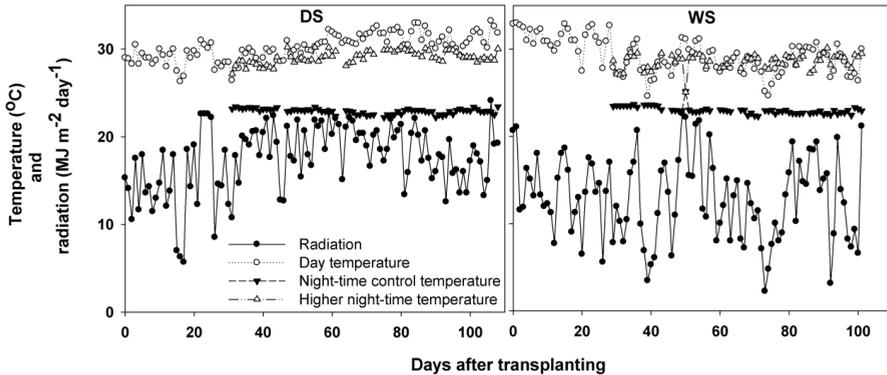
## **3.3 Results**

### **3.3.1 Climate and treatment conditions**

Average night-time (18:00-06:00 h) temperature during the entire period of treatment (from panicle initiation to maturity) was 23.0°C (standard deviation SD = 0.2) for the control and 29.1°C (SD = 0.5) in HNT tents during the DS (Figure 3.1). In the WS, temperature in control and HNT tents were 23.1°C (SD = 0.4) and 28.5°C (SD = 0.5), respectively. The temperature remained consistent at the target level throughout the exposure period. Ambient night-time temperatures during the treatment period were 25.4°C (SD = 0.8) and 24.9°C (SD = 0.8) during the DS and the WS, respectively. The relative humidity during the treatment period was 96.1% (SD = 1.7) in the control treatment, 81.7% (SD = 2.5) in the HNT during the DS, and 98.1% (SD = 0.7) in the control treatment, and 85.0% (SD = 2.4) for HNT in the WS (data not shown). Day-time temperature during the DS was 30.7°C (SD = 1.3) which was higher than 28.4°C (SD = 1.6) in the WS (Figure 3.1). The average radiation across the whole crop cycle was 17.5 MJ m<sup>-2</sup> day<sup>-1</sup> in the DS and 12.9 MJ m<sup>-2</sup> day<sup>-1</sup> during the WS.

### **3.3.2 Grain yield and aboveground biomass**

Grain yield significantly differed among cultivars and temperature treatments for both DS and WS ( $P < 0.01$  or  $0.001$ ; Tables 3.1 and 3.2). Gharib, the susceptible check, recorded significantly lower grain yield when exposed to HNT in both the DS (12.8%) and the WS (18.7%), while the tolerant check N22 had significantly lower yield (11.3%) during the WS



**Figure 3.1** Time-course of ambient day temperature (open circles) and solar radiation (filled circles) from transplanting to maturity, night-time control temperature (23°C - black inverted triangles) and higher night-time temperature (29°C - open triangles) from panicle initiation to maturity stage during dry (DS) and wet (WS) seasons of 2013.

only. HNT induced a significant reduction in grain yield for all selected hybrids during both the DS and the WS. Comparatively, the average grain yield had a higher reduction during the WS (18.6%) than in the DS (13.4%). In the DS, H4 (18.7%) had the largest reduction in grain yield followed by H1 (15.7%); larger reductions in grain yield were observed in H6 (36.4%) followed by H5 (23.7%) during the WS. Thus, the ranking of the hybrids in grain yield in response to the HNT depended on the season. Total aboveground biomass was significantly affected by HNT in both the DS ( $P < 0.001$ ) and the WS ( $P < 0.01$ ) (Figure 3.2). HNT had no obvious effects on harvest index in the DS, while significant effects were noticed during the WS.

### 3.3.3 Yield components

Among the yield components, the number of panicles  $m^{-2}$  was not affected by temperature treatment during either the DS or the WS ( $P > 0.05$ ), while spikelets  $m^{-2}$  and grain weight were significantly influenced by the HNT in both seasons ( $P < 0.01$  or  $0.001$ ; Tables 3.1 and 3.2). HNT reduced seed-set significantly only during the WS ( $P < 0.05$ ). Across both seasons, the spikelet number was significantly reduced for all hybrids except for H6 during the DS and for

**Table 3.1** Grain yield and yield components of checks (N22 and Gharib) and six hybrids exposed to control (23°C) (C) and higher (29°C) night-time temperature (HNT) from panicle initiation to physiological maturity in the dry season of 2013.

Cultivars	Treatment	Grain yield (g m <sup>-2</sup> )	Panicles m <sup>-2</sup>	Spikelets m <sup>-2</sup> (×10 <sup>3</sup> )	Spikelets panicle <sup>-1</sup>	Seed-set (%)	Grain weight (mg)
Checks	N22	364.0 ± 23.8	389 ± 10	28.0 ± 1.3	72.0 ± 3.6	88.3 ± 1.3	16.4 ± 0.1
	HNT	351.6 ± 18.6	372 ± 9	26.3 ± 0.5	70.7 ± 0.7	89.9 ± 0.8	16.3 ± 0.1
Gharib	Control	365.2 ± 9.8	358 ± 19	18.2 ± 0.7	50.9 ± 1.2	87.3 ± 0.8	21.6 ± 0.1
	HNT	318.3 ± 8.1	374 ± 24	18.2 ± 0.7	48.9 ± 1.2	86.0 ± 1.2	21.2 ± 0.1
Hybrids	H1	690.8 ± 63.6	329 ± 11	40.3 ± 2.0	122.4 ± 4.2	79.1 ± 3.6	21.7 ± 0.2
	HNT	582.6 ± 60.2	310 ± 19	35.1 ± 3.5	113.0 ± 7.7	82.7 ± 2.6	21.1 ± 0.1
H2	Control	787.1 ± 21.1	333 ± 8	45.5 ± 1.1	136.6 ± 3.4	74.6 ± 2.4	21.0 ± 0.2
	HNT	700.3 ± 39.5	303 ± 12	40.4 ± 1.6	133.5 ± 2.2	76.4 ± 2.0	19.6 ± 0.2
H3	Control	694.0 ± 68.4	315 ± 13	40.8 ± 1.9	130.7 ± 9.9	74.0 ± 0.6	21.5 ± 0.2
	HNT	608.5 ± 38.8	318 ± 12	34.0 ± 4.1	107.0 ± 12.5	80.8 ± 2.8	20.8 ± 0.2
H4	Control	576.8 ± 80.6	299 ± 9	32.7 ± 1.8	109.3 ± 4.4	68.6 ± 1.9	24.9 ± 0.5
	HNT	469.0 ± 28.9	320 ± 12	30.4 ± 0.5	95.0 ± 2.2	69.1 ± 1.4	24.5 ± 0.1
H5	Control	728.6 ± 18.7	348 ± 7	42.6 ± 3.7	122.3 ± 10.2	73.8 ± 3.1	22.7 ± 0.2
	HNT	639.0 ± 38.5	333 ± 15	39.3 ± 1.5	118.1 ± 3.0	72.8 ± 3.9	21.9 ± 0.2
H6	Control	631.4 ± 37.8	341 ± 21	43.2 ± 1.6	127.3 ± 3.9	68.4 ± 2.3	21.8 ± 0.2
	HNT	567.2 ± 44.9	351 ± 24	41.2 ± 1.5	124.3 ± 2.3	67.5 ± 1.5	20.8 ± 0.1
Cultivar (C)		98.4 ***	28 ***	4.1 ***	11.6 ***	4.5 ***	0.4 ***
Temperature (T)		39.2 **	ns	2.1 **	5.8 *	ns	0.2 ***
C × T		ns	ns	ns	ns	ns	ns

Mean value ± standard error.

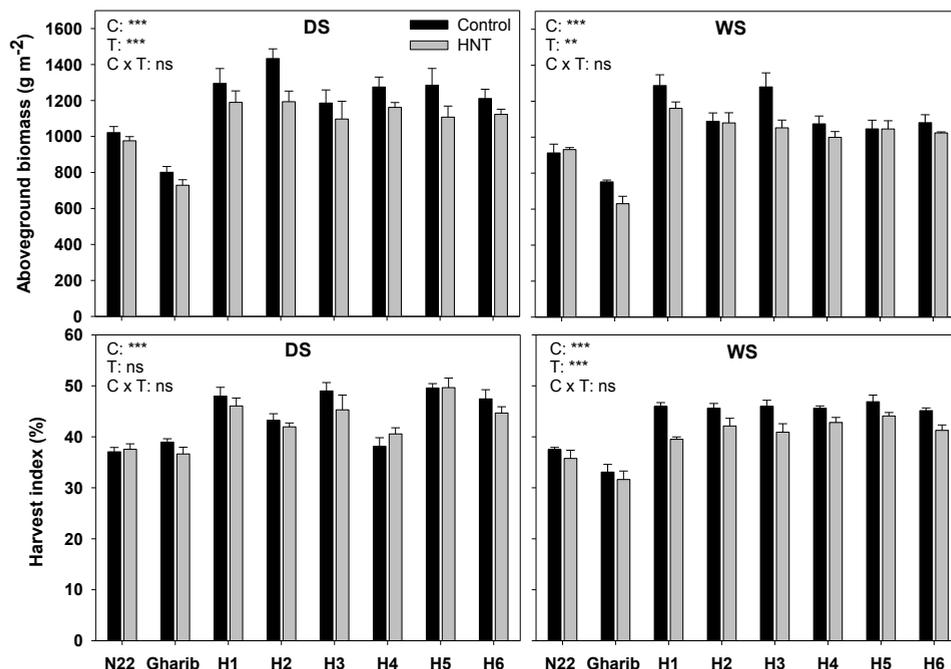
LSD (least significant difference) followed by \*, \*\*, \*\*\*, significance at 5%, 1% and 0.1%, respectively; ns, non-significant.

**Table 3.2** Grain yield and yield components of checks (N22 and Gharib) and six hybrids exposed to control (23°C) (C) and higher (29°C) night-time temperature (HNT) from panicle initiation to physiological maturity in the wet season of 2013.

Cultivars	Treatment	Grain yield (g m <sup>-2</sup> )	Panicles m <sup>-2</sup>	Spikelets m <sup>-2</sup> (×10 <sup>3</sup> )	Spikelets panicle <sup>-1</sup>	Seed-set (%)	Grain weight (mg)	
Checks	Control	357.5 ± 24.3	317 ± 12	21.7 ± 1.1	68.5 ± 1.8	93.8 ± 0.7	17.4 ± 0.2	
	HNT	317.2 ± 15.3	327 ± 12	21.9 ± 1.4	67.0 ± 3.0	93.1 ± 0.7	17.0 ± 0.1	
Hybrids	Gharib	Control	240.1 ± 4.2	278 ± 6	15.1 ± 0.2	54.5 ± 1.3	85.6 ± 0.9	22.4 ± 0.4
		HNT	195.3 ± 5.6	268 ± 10	14.2 ± 0.3	53.3 ± 1.6	82.6 ± 3.1	21.6 ± 0.2
	H1	Control	513.1 ± 42.2	264 ± 8	36.8 ± 1.8	139.7 ± 5.2	76.5 ± 0.6	22.5 ± 0.4
		HNT	396.4 ± 48.6	254 ± 6	32.6 ± 2.1	128.8 ± 8.5	70.4 ± 1.8	21.6 ± 0.3
	H2	Control	540.6 ± 73.1	239 ± 6	33.5 ± 1.5	140.2 ± 3.1	76.0 ± 2.6	21.6 ± 0.5
		HNT	502.8 ± 30.6	229 ± 10	31.0 ± 1.6	135.2 ± 3.3	77.4 ± 2.7	20.9 ± 0.2
	H3	Control	491.3 ± 43.6	248 ± 5	38.6 ± 1.8	155.3 ± 4.0	78.1 ± 3.0	22.0 ± 0.3
		HNT	438.8 ± 26.4	255 ± 12	31.2 ± 1.3	122.6 ± 3.3	74.5 ± 3.4	21.0 ± 0.2
	H4	Control	587.7 ± 48.8	237 ± 18	27.8 ± 1.6	118.5 ± 7.7	73.3 ± 0.7	26.1 ± 0.3
		HNT	524.2 ± 33.2	235 ± 18	24.7 ± 1.2	105.9 ± 6.2	73.6 ± 2.3	25.8 ± 0.3
H5	Control	663.6 ± 48.3	278 ± 14	35.6 ± 0.8	129.1 ± 6.9	70.8 ± 2.3	23.4 ± 0.2	
	HNT	506.6 ± 28.9	263 ± 6	34.4 ± 0.8	131.0 ± 3.1	66.5 ± 1.4	22.9 ± 0.1	
H6	Control	613.2 ± 37.1	269 ± 6	36.0 ± 1.3	133.9 ± 2.4	71.9 ± 1.7	22.8 ± 0.1	
	HNT	390.0 ± 22.5	269 ± 14	33.9 ± 1.9	125.9 ± 3.8	66.1 ± 3.6	21.9 ± 0.1	
Cultivar (C)		75.3 ***	22 ***	2.8 ***	9.2 ***	4.5 ***	0.5 ***	
Temperature (T)		37.6 ***	ns	1.4 ***	4.6 ***	2.2 *	0.3 ***	
C × T		ns	ns	ns	13.1 *	ns	ns	

Mean value ± standard error.

LSD (least significant difference) followed by \*\*\*, \*\*, \*, significance at 5%, 1% and 0.1%, respectively; ns, non-significant.



**Figure 3.2** Total aboveground biomass and harvest index of checks (N22 and Gharib) and six hybrids exposed to control (23°C) and higher (29°C) night-time temperature (HNT) from panicle initiation to physiological maturity in the dry (DS) and wet (WS) seasons of 2013. Bars are mean value ± standard error. Level of significance is expressed as \*\*, \*\*\* and ns for P<0.01, P<0.001 and P>0.05, respectively for cultivar (C) and temperature treatment (T) and their interactions.

H5 in the WS. By contrast, the number of spikelets m<sup>-2</sup> of the two inbred checks, N22 and Gharib, were not affected by HNT. In the WS, a significant decrease in seed-set was recorded in susceptible check Gharib and hybrids except in H2 and H4. HNT largely and significantly decreased grain weight for all tested cultivars in both the DS and the WS except for N22 during the DS. The number of spikelets m<sup>-2</sup> was strongly (P<0.001) associated with grain yield and explained 40.2% - 62.4% of the variation in grain yield across night-time temperature treatments in both the DS and the WS (Table 3.3). In contrast, only 6.9% - 13.7% of the total variation in grain yield was explained by grain weight. On the other hand, seed set had a smaller (< 3.5%) and non-significant contribution to grain yield particularly under HNT across both the seasons. Hence, the number of spikelets m<sup>-2</sup> and grain weight were the two major components associated with yield loss under HNT for the hybrids.

**Table 3.3** Relative contribution (partial [contribution of the parameter] and model [cumulative contribution]  $R^2$ ), F value and probability of three major yield components (spikelets  $m^{-2}$ , seed set and grain weight) in predicting grain yield in control and HNT treatment during dry season (DS) and wet season (WS) by the stepwise regression analysis. 15% level of significance was used as criterion for retaining a predictor in the model.

Season	Treatment	Variable entered	Partial $R^2$	Model $R^2$	F value	P value
DS	Control	Spikelets $m^{-2}$	0.624	0.624	49.740	<.0001
	Control	Grain weight	0.069	0.693	6.480	0.017
	Control	Seed set	0.035	0.728	3.620	0.068
	HNT	Spikelets $m^{-2}$	0.498	0.498	28.800	<.0001
WS	Control	Spikelets $m^{-2}$	0.402	0.402	20.170	<.0001
	Control	Grain weight	0.137	0.539	8.610	0.007
	HNT	Spikelets $m^{-2}$	0.430	0.430	22.580	<.0001
	HNT	Grain weight	0.112	0.541	7.040	0.013
	HNT	Seed set	0.034	0.575	2.210	0.148

### 3.3.4 Grain quality

The HNT induced significant damage to grain quality (Tables 3.4 and 3.5). Head rice yield showed a significant ( $P < 0.01$  in both the DS and the WS) decline by HNT in most of the tested hybrids, while inbred check Gharib was not affected. Head rice yield of N22 was not estimated because of technical difficulties encountered due to its short grain length (Madan et al., 2012). With exceptions of a slight decrease in H1 during the DS and H3 in the WS, a significant increase in H5 during both the DS and the WS, hybrids recorded a significant reduction in head rice yield. Hybrids H2 and H4 recorded the largest decline during the DS and the WS, respectively. The lower head rice yield was accompanied by higher chalkiness ( $P < 0.001$  and  $0.05$  in the DS and the WS, respectively), except for H5 in the DS, and N22 and H6 during the WS. Gharib, a waxy variety, had more waxy grains under HNT and increased in chalkiness that fell within the  $>75\%$  category (Table A3.1). Grain length was not affected by HNT in the two seasons, whereas grain width was strongly affected by HNT ( $P < 0.001$ ) in both seasons for all tested entries except for H3 in the DS. In contrast, amylose content was only affected ( $P < 0.01$ ) in Gharib, H3, H4, H6 during the DS while it was not influenced by HNT in the WS.

**Table 3.4** Grain quality of checks (N22 and Gharib) and six hybrids exposed to control (23°C) and higher (29°C) night-time temperature (HNT) from panicle initiation to physiological maturity in the dry season of 2013.

Checks	Cultivars	Treatment	Head rice (%)	Chalkiness	Grain length (mm)	Grain width (mm)	Amylose content
	N22	Control	--	9.2 ± 2.3	5.17 ± 0.02	2.21 ± 0.01	23.3 ± 0.4
		HNT	--	23.3 ± 1.3	5.22 ± 0.02	2.15 ± 0.02	23.4 ± 0.1
	Gharib	Control	18.4 ± 2.7	--	6.26 ± 0.00	2.23 ± 0.02	12.9 ± 0.3
		HNT	21.0 ± 2.5	--	6.26 ± 0.02	2.19 ± 0.02	11.5 ± 0.2
Hybrids	H1	Control	38.4 ± 1.7	22.5 ± 1.6	6.74 ± 0.01	1.99 ± 0.01	21.8 ± 1.0
		HNT	35.6 ± 0.7	31.3 ± 3.2	6.73 ± 0.04	1.96 ± 0.00	21.8 ± 0.0
	H2	Control	37.1 ± 3.1	21.8 ± 2.4	6.43 ± 0.00	2.11 ± 0.01	20.9 ± 0.4
		HNT	25.3 ± 3.3	37.5 ± 1.9	6.44 ± 0.02	2.07 ± 0.01	20.6 ± 0.4
	H3	Control	34.6 ± 4.4	23.0 ± 1.1	6.68 ± 0.04	1.97 ± 0.01	23.1 ± 0.4
		HNT	29.5 ± 2.7	35.3 ± 0.9	6.70 ± 0.04	1.96 ± 0.01	21.5 ± 0.3
H4	Control	41.8 ± 2.0	23.0 ± 1.8	6.79 ± 0.04	2.05 ± 0.00	19.6 ± 0.3	
	HNT	32.8 ± 3.2	30.0 ± 2.1	6.75 ± 0.04	2.02 ± 0.02	18.4 ± 0.1	
H5	Control	34.7 ± 2.5	25.3 ± 0.5	6.79 ± 0.03	2.02 ± 0.01	22.9 ± 0.4	
	HNT	40.0 ± 2.8	23.3 ± 2.0	6.82 ± 0.01	1.95 ± 0.01	23.2 ± 0.1	
H6	Control	43.7 ± 2.6	17.3 ± 2.7	6.84 ± 0.01	2.06 ± 0.00	25.4 ± 0.3	
	HNT	32.3 ± 3.8	20.8 ± 1.1	6.77 ± 0.04	2.00 ± 0.01	24.5 ± 0.4	
Cultivar (C)			5.7 ***	3.8***	0.05 ***	0.02 ***	0.8 ***
Temperature (T)			3.0 **	2.1***	ns	0.01 ***	0.4 **
C × T			8.1*	5.4***	ns	ns	ns

Mean value ± standard error.

LSD (least significant difference) followed by \*, \*\*, \*\*\*, significance at 5%, 1% and 0.1%, respectively; ns, non-significant.

**Table 3.5** Grain quality of checks (N22 and Gharib) and six hybrids exposed to control (23°C) and higher (29°C) night-time temperature (HNT) from panicle initiation to physiological maturity in the wet season of 2013.

Cultivars	Treatment	Head rice (%)	Chalkiness (%)	Grain length (mm)	Grain width (mm)	Amylose content
Checks	N22	-	18.5 ± 1.3	5.07 ± 0.00	2.36 ± 0.00	24.3 ± 0.1
	HNT	-	19.5 ± 3.8	5.07 ± 0.03	2.34 ± 0.01	24.8 ± 0.3
Hybrids	Gharib	52.7 ± 5.0	-	6.27 ± 0.01	2.28 ± 0.01	15.5 ± 0.4
		51.0 ± 2.8	-	6.27 ± 0.01	2.23 ± 0.01	14.3 ± 0.1
	H1	54.6 ± 2.4	14.8 ± 1.9	6.59 ± 0.02	1.94 ± 0.01	22.1 ± 0.4
		46.7 ± 2.6	18.8 ± 2.3	6.53 ± 0.04	1.87 ± 0.03	20.7 ± 0.1
	H2	54.6 ± 2.3	14.5 ± 1.7	6.47 ± 0.02	2.07 ± 0.01	22.0 ± 0.7
		49.3 ± 2.7	20.5 ± 1.9	6.50 ± 0.02	1.99 ± 0.01	21.6 ± 1.1
	51.6 ± 1.7	14.3 ± 0.9	6.55 ± 0.03	1.97 ± 0.01	21.1 ± 0.4	
	49.9 ± 0.6	24.5 ± 2.1	6.56 ± 0.02	1.94 ± 0.02	21.4 ± 0.4	
	57.4 ± 1.6	22.8 ± 1.1	6.82 ± 0.01	2.06 ± 0.01	21.1 ± 0.8	
	47.1 ± 2.9	26.3 ± 1.3	6.79 ± 0.04	2.04 ± 0.01	20.4 ± 0.2	
	45.5 ± 1.4	16.8 ± 0.3	6.71 ± 0.02	2.03 ± 0.01	24.8 ± 0.3	
	49.8 ± 0.7	22.3 ± 1.1	6.73 ± 0.03	1.99 ± 0.01	24.0 ± 0.4	
	48.4 ± 2.1	25.0 ± 2.6	6.81 ± 0.02	2.07 ± 0.01	24.1 ± 0.5	
	41.6 ± 5.4	26.8 ± 1.3	6.72 ± 0.03	2.01 ± 0.03	24.7 ± 0.3	
Cultivar (C)		ns	4.3***	0.05***	0.03***	1.0***
Temperature (T)		3.0**	2.2*	ns	0.02***	ns
C × T		ns	6.1***	ns	ns	ns

Mean value ± standard error.

LSD (least significant difference) followed by \*, \*\*, \*\*\*, significance at 5%, 1% and 0.1%, respectively; ns, non-significant.

### **3.4 Discussion**

Exploring and developing hybrid rice with combined high yield potential and heat stress tolerance will help expand the planting area of hybrid rice under current climate and sustain the food supply in the future warmer climate (Tian et al., 2009). Our study, therefore, aimed to test the response of tropical hybrid rice cultivars to elevated night-time temperature (HNT), especially when applied during the entire reproductive stage under field conditions. All selected tropical hybrids in our studies showed a decline in grain yield (average 13.4% and 18.6% decrease in the DS and the WS, respectively) when they were exposed to HNT (Tables 3.1 and 3.2). In summary, studies involving hybrid rice either from China, USA or Philippines (and those included in our study), tested either in the greenhouse or under field conditions, recorded large yield reductions under HNT exposure (Mohammed and Tarpley, 2014; Rehmani et al., 2014). These findings reiterate rice hybrids to be highly sensitive to HNT and hence warrant the urgent need to develop heat tolerant hybrid rice.

In addition, the reduction in grain yield was larger in the WS than in the DS although the temperature treatment was similar for both seasons. Such night-time temperature effects may also be associated with other environmental factors, such as day temperature (Ziska and Manalo, 1996) and solar radiation (Bell et al., 1992). The day-time temperature and solar radiation during the WS were relatively lower than during the DS, which could lead to a decrease in assimilate production and accumulation, thus inducing larger yield loss in the WS. In line with our results, Wei et al. (2010b) found different effects of HNT on grain yield of double season (early and late season in China) rice although they used different cultivars for the two seasons. HNT imposed during the WS resulted in no diurnal amplitude temperature difference, i.e. between day and night temperatures, which has been documented to have stronger negative impact than an increase in night temperature under large diurnal amplitudes (Bueno et al., 2012). This phenomenon is poorly understood across crops and could be another factor resulting in a larger decline in yield in the WS than in the DS. Thus, the seasonal variation in the responses to HNT needs more research as HNT may result in a reduced diurnal temperature amplitude.

We observed significant effects of HNT on the number of spikelets  $m^{-2}$  and these were mostly associated with grain yield under both control and HNT conditions. This finding is supported by a previous report indicating a negative relationship between the number of spikelets  $m^{-2}$  and daily minimum temperature based on long-term experimental records (1992–2003) involving the high-yielding inbred cultivar ‘IR72’ (Peng et al., 2004). Moreover, a stronger negative impact on spikelet differentiation and a significant increase in spikelet abortion have been documented in hybrids exposed to HNT (Wei et al., 2010b). However, a decrease in the number of spikelets in response to HNT has not been reported in other studies that included hybrids, either in greenhouse experiments (Mohammed and Tarpley, 2014; Peraudeau et al., 2015) or experiments under field conditions focused on post-flowering stress exposure (Rehmani et al., 2014). In comparison with the above studies, our treatment started from the panicle initiation stage, a key developmental stage that determines sink size, i.e., spikelet number. The stage of floral meristem and spikelet differentiation appears to be vulnerable to HNT. Detailed observations to ascertain the cause of damage during this phase are needed, especially for high-yielding hybrid rice with a large sink size that contributes to the high yield advantage.

Grain weight was reduced under HNT in all tested hybrids and this has also been proven by recent studies with inbred rice (Shi et al., 2013; Dong et al., 2014; Shah et al., 2014). Possible mechanisms responsible for the reduced grain weight under HNT may be the limited amount of assimilates supplied during the grain filling phase (Shi et al., 2013) as a result of higher respiration rate (Cheng et al., 2009; Mohammed and Tarpley, 2009b), reduced size of endosperm cells (Morita et al., 2005), and the loss of sink activity and the activities of key enzymes of starch synthesis, such as ADPG-PPase, starch synthase and the starch-branching enzyme (Dong et al., 2011). In addition, seed-set was negatively (but weakly,  $P < 0.05$ ) affected by HNT only during the WS. Recent field surveys in Laos and southern India, by Ishimaru et al. (2015), showed spikelet sterility to be highly correlated with maximum day temperature during flowering and not with minimum temperature, confirming that heat stress during day time is the primary cause of spikelet sterility. The relatively weak impact of HNT on the seed-set during the WS could possibly be driven by the huge sink size of H5 and H6, in

which a proportion of the spikelets could be inherently sterile, independent of stress exposure. In general, sink size is in excess under optimum conditions and can result in up to 30% of unfilled spikelets (Sheehy et al., 2001). These findings confirm that seed-set may not be among the major determinants of HNT-induced yield loss under field conditions (Shi et al., 2013; Jagadish et al., 2014).

As for grain yield, total aboveground biomass was affected by HNT (Figure 3.2). The importance of the availability of assimilates is confirmed by our study: changes in the HI were observed during the WS while no significant changes were recorded during the DS. This result also suggests a seasonal variation in responses to HNT. Higher proportion of stored assimilates during the pre-flowering stage, translocated to the spikelets during grain filling is shown to contribute to the higher HI in hybrids (Song et al., 1990). Our result for the lower HI under HNT could be partly due to poor translocation and partitioning of assimilates to grains, thereby accounting for the decreased seed-set in the WS.

In our studies, HNT induced not only yield losses but also poor grain quality. Reduction in head rice yield, increase in grain chalkiness, decrease in grain width, and changes in amylose content were observed in most hybrids (Tables 3.4 and 3.5) except in H5 recording higher head rice yield under HNT. Such unusual responses could be driven by seasonal and cultivar differences and physiological mechanisms inducing positive responses remain unclear and would require further investigation. The results confirm observations of Ambardekar et al. (2011) and Rehmani et al. (2014). Certain stages during the period of grain filling, i.e., milk and soft dough stages, have been identified as the stages most sensitive to HNT leading to the formation of chalky grain (Ambardekar et al., 2011). Night-time temperature during grain filling has pronounced effects on enzymatic activity (Cooper et al., 2008; Fitzgerald and Resurreccion, 2009), resulting in irregular packing of starch granules resulting in increased chalkiness (Ashida et al., 2009). Changes in grain shape and cooking quality under HNT are still poorly understood.

### **3.5 Conclusions**

For the first time our study has tested the responses of commercial tropical hybrids to HNT

under field conditions and ascertained their degree of resilience and their ability to withstand the predicted increase in night temperature in the future. Our results illustrate the susceptibility of tropical hybrid rice to HNT, with an average grain yield reduction of 13.4% and 18.6% during the DS and the WS, respectively. The reduction in yield and the poor grain quality observed in most hybrids reinforce the need to initiate additional efforts to develop hybrids with both high yield potential and heat tolerance. At the same time, research focus should not be restricted to only flowering and grain-filling stage, as the pre-flowering stage (from panicle initiation to flowering) also appears to be vulnerable to HNT. Hence, investigations to explore mechanisms behind the spikelet differentiation and degeneration under HNT are recommended.

**Appendix Chapter 3, Supplementary tables and figures**

**Table A3.1** Five categories of chalkiness (0-10, 10-25, 25-50, 50-75, >75) and number of waxy grains (in the sample) in Gharib exposed to control and high night-time temperature (HNT) from panicle initiation to maturity. Mean value  $\pm$  standard error

Season	Treatment	Category of chalkiness (%)					No. of waxy grains
		0-10	10-25	25-50	50-75	>75	
DS	Control	0.6 $\pm$ 0.3	1.8 $\pm$ 0.9	2.7 $\pm$ 0.7	17.6 $\pm$ 4.2	77.4 $\pm$ 5.0	53 $\pm$ 6
	HNT	0.6 $\pm$ 0.3	1.2 $\pm$ 0.7	0.5 $\pm$ 0.3	1.0 $\pm$ 0.6	96.7 $\pm$ 0.5	81 $\pm$ 2
WS	Control	1.4 $\pm$ 0.8	2.5 $\pm$ 1.3	2.9 $\pm$ 1.4	47.4 $\pm$ 6.9	45.9 $\pm$ 8.9	26 $\pm$ 8
	HNT	0.5 $\pm$ 0.2	1.1 $\pm$ 0.4	1.3 $\pm$ 0.5	21.4 $\pm$ 1.8	75.7 $\pm$ 2.3	53 $\pm$ 3



## CHAPTER 4

### **Quantifying source-sink relationships of rice under high night-time temperature combined with two nitrogen levels**

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### Abstract

High night-time temperature (HNT) disturbs processes of both assimilate production (source) and assimilate accumulation (sink), and as a result substantially reduces yields of cereal crops. There have been reports that increasing nitrogen application can alleviate the negative impact of high-temperature stress on yield in rice (*Oryza sativa* L.). However, little is known about the interactive effect of HNT and nitrogen (N) supply on rice grain yield and its underlying source-sink relationships. We conducted two field experiments at the International Rice Research Institute in both the dry (DS) and wet (WS) season of 2012, in which three cultivars with contrasting responses to HNT were grown under two levels of night-temperature and two levels of N application. HNT significantly decreased grain yield of Gharib at both N levels and in both seasons, while grain yield of PSBRc4 was significantly reduced by HNT at the higher N level only. Among the yield components, grain weight was consistently reduced by HNT for three cultivars across two seasons while spikelets  $m^{-2}$  and seed-set were affected by HNT during DS and WS, respectively. In most cases, higher N application reduced grain yield and its components. Thus, in our study, increasing the total N fertilizer did not alleviate the adverse effects of HNT on rice yield. Using a novel modeling approach that quantifies source-sink relationships during grain-filling, we found that increased nitrogen did not alleviate the negative impact of HNT on source-sink interactions during grain growth across cultivars and seasons. Nevertheless, the model showed that there were significant differences among cultivars in grain-filling duration, rates and total sink size, modulated by their source-sink relationship in response to HNT, suggesting that breeding programs should select for sink-related traits to improve rice tolerance to HNT.

**Keywords:** Grain quality, grain yield, high night-time temperature, source-sink dynamics

## **4.1 Introduction**

While global climate models predict mean temperature increases of 1.0 to 3.7°C by 2100 (IPCC, 2013), a greater increase in night-time minimum temperature than day-time maximum temperature is an increasingly common global phenomenon (Easterling et al., 1997; Vose et al., 2005). Night-time temperature is predicted to increase further, by up to 3°C by 2050 (Chotabonsak et al., 2011), thereby reducing the diurnal temperature amplitude. At a smaller geographic scale, this trend has been detected across major rice-producing countries such as the Philippines (Peng et al., 2004), China (Tao et al., 2006), and India (Rao et al., 2014). Large reduction in rice yield resulting from increasing night temperature has been documented across South Asia, Southeast Asia and in the US (Welch et al., 2010; Mohammed and Tarpley, 2014), resulting in significant economic losses (Lyman et al., 2013).

Grain yield in rice depends on both the supply of assimilates (source) and the capacity of the grains to accumulate available carbohydrates (sink), and critical yield-determining components spikelets per panicle, spikelet fertility and individual grain weight are mainly determined between panicle initiation and maturity. The yield losses under high night temperature (HNT) have been attributed to a reduction in final grain weight under realistic field conditions (Shi et al., 2013; Jagadish et al., 2014) and in spikelet sterility from studies carried out under controlled environmental conditions (Cheng et al., 2009; Mohammed and Tarpley, 2009a, 2010). The latter conclusion on reduced spikelet fertility is documented for response to extremely high night-time temperature ( $\geq 32^{\circ}\text{C}$ ), which is similar to the level of high-day-temperature but not comparable to levels of night temperature experienced by rice grown in different geographical locations. Hence, the major conclusion drawn from these controlled environment conditions studies on HNT induced yield losses through increased spikelet sterility is hard to be justified under realistic field conditions. Therefore, caution needs to be taken while comparing HNT impacts across field and controlled chamber studies (Jagadish et al., 2014). Across these studies, different mechanisms for yield reduction under HNT have been indicated, i.e. poor seed-set (Cheng et al., 2009; Mohammed and Tarpley, 2009a, 2010), limited amount of assimilates (deficiency of carbohydrates) supplied as a result of higher respiration rate and drop in photosynthesis (Cheng et al., 2009; Mohammed and Tarpley, 2009b), or lower translocation efficiency of assimilates during grain-filling phase (Shi et al., 2013). On the other hand, reduced sink size, i.e. endosperm cell size (Morita et al.,

2005) and sink activity, i.e. activities of key enzymes of starch synthesis (Dong et al., 2011) have also been identified to cause lower grain weight under HNT.

There have been reports that crop management approaches can be used to minimize yield reduction under high-temperature stress. Appropriate nitrogen management can (partially) alleviate the negative impact of high-temperature stress in plants (Waraich et al., 2012). Increasing the nitrogen supply at panicle initiation and/or flowering has been reported to relieve the negative effects on grain production, on exposure to short period of high day temperatures before or after flowering (Dai et al., 2009; Duan et al., 2013; Yang et al., 2014). It has also been documented that nitrogen management could lower the panicle or canopy temperature by building a good structure of rice canopy with higher leaf area index and facilitating higher transpiration cooling, thereby reducing high temperature-induced sterility and improving high-temperature tolerance (Yan et al., 2008). These reports suggest that nitrogen management could modulate both source and sink parts of the crop. However, the effects of nitrogen in combination with HNT exposure on source-sink ratios and rice yield under field conditions have not been investigated.

Source or sink limitation on grain-filling in cereals is often inferred from experiments in which the source-sink ratio is manipulated by shading, defoliation or grain removal. However, interpretation of this type of experiments is usually hard, considering the possibility that a physical removal of a plant part could lead to plant response to a shock and does not necessarily reflect responses to a gradual change in the source-sink relationships in intact plants. In addition, the dynamics of source activity is commonly quantified by measuring time-dependent instantaneous net canopy photosynthesis. Such measurements are time consuming and require gas-analyzer facilities. Yin et al. (2009) have created a quantitative model by using dynamics of grain weight and flag leaf area during grain-filling period to quantify the source-sink relationships. However, precise source-sink relationship during grain-filling often depends on the temporal changes in grain weight in response to assimilates availability per grain during the grain-filling period (Borrás et al., 2004). Thus, a new model methodology is needed to easily and accurately quantify source-sink relationships by using grain-filling dynamics and associated plant biomass produced during grain-filling, as dry weight is relatively easy to measure. Such an approach may help to quantify the factors involved in the reduction of grain yield under HNT and its impact on source-sink limitations. A quantitative understanding of source-sink relationships especially under field HNT

conditions could be used to identify physiological or agronomic traits suitable for improving rice grain yields by targeted breeding efforts.

In our study we aim to unravel the responses in grain yield to increased N supply under HNT in rice under realistic field conditions. To that end, we extend the model of Yin et al. (2009) into a novel modelling framework to more precisely and easily quantify changes in the balance between source supply and sink demand under HNT.

## **4.2 Materials and methods**

Field experiments were conducted in the 2012 dry season (DS) and wet season (WS) in the lowland farm at the International Rice Research Institute (IRRI), Los Baños (14°11'N, 121°15'E, 21 m asl), Philippines. A randomized complete block design was used for these experiments, N levels used as main plot, with temperature as split plots and cultivar as split-split plots.

### **4.2.1 Crop management**

Three rice cultivars with relatively similar phenology (days from transplanting to panicle initiation) and contrasting responses to high night temperature, i.e. N22 with high night-temperature tolerance (Coast et al., 2015) and Gharib with high night-temperature sensitivity (Shi et al., 2013; Zhang et al., 2013) together with PSBRc4 (a high-yielding cultivar released in the Philippines with unknown high night-temperature tolerance), were chosen for our studies. Seed dormancy was broken by exposing seeds to 50°C for 3 days, followed by pre-germination and sowing in seeding trays. Fourteen-day-old seedlings were transplanted on 16 January during the DS and 4 July in the WS at a spacing of 0.2 × 0.2 m with four seedlings per hill to compensate for the poor tillering ability of N22 and Gharib and to ensure uniform plant density under conditions where golden apple snails are a problem during early seedling stage. The fields were flooded at 5–10 cm water depth until physiological maturity. Weeds were removed manually and chemicals were applied to control pest and diseases. Whorl maggots (*Hydrellia philippina* Ferino) during the early vegetative stage, yellow stem borers (*Scirpophaga incertulas*) and sheath blight (*Rhizoctonia solani* Kühn) at flowering stage were effectively managed by chemical spraying.

### 4.2.2 Treatments

Two levels of N fertilizer in the form of urea were applied, 150 kg N ha<sup>-1</sup> (N1) and 250 kg N ha<sup>-1</sup> (N2) in the DS and 75 kg N ha<sup>-1</sup> (N1) and 125 kg N ha<sup>-1</sup> (N2) during the WS. 150 and 75 kg N ha<sup>-1</sup> are IRRI's recommended levels of N fertilizers for DS and WS, respectively. Basal nitrogen was applied at 30% of total amount and incorporated in all plots a day before transplanting, and remaining nitrogen was split-applied at mid-tillering (20%), panicle initiation (30%) and heading stage (20% of total amount), respectively. In addition, 30 kg P ha<sup>-1</sup> (single superphosphate), 40 kg K ha<sup>-1</sup> (KCl), and 5 kg Zn ha<sup>-1</sup> (zinc sulfate heptahydrate) were applied in the DS and 15 kg P ha<sup>-1</sup>, 20 kg K ha<sup>-1</sup>, and 2.5 kg Zn ha<sup>-1</sup> were used in the WS as basal fertilizers.

Sixteen temperature-controlled chambers were used to impose HNT stress under field conditions. The details of the set-up of the chambers were published in Shi et al. (2013). Briefly, during day-time (06:00–18:00 h), the chambers were kept open, exposing the plants to natural conditions. Chambers were manually closed at 18:00 h every day and re-opened at 6:00 h in the following morning; meanwhile, air conditioners (CW-1805 V, Matsushita Electric Philippines Corp., Taytay, Rizal, Philippines) were programmed to automatically maintain constant control temperature (22°C) and HNT (28°C) inside the chambers. HNT treatments of 28°C were based on our previous experiments (Shi et al., 2013, 2016) and the current experiment, in which the ambient night temperatures on average ranged between 24 and 25°C during the growing season and with a +3°C increase predicted under future scenarios with warmer nights (Chotamonsak et al., 2011). Higher night-time temperature can potentially impact rice yields on a global scale, encompassing the entire crop cycle unlike the short episodic occurrence of day heat spikes (Jagadish et al., 2015). Hence, our treatments were initiated from panicle initiation, approximately 27 days after transplanting in both seasons with the phenology of cultivar N22 as reference and continued up to maturity. In this way, the stress coincided with the critical yield-determining reproductive phase, which has been proven to be most sensitive period to high night-time temperature stress (Zhang et al., 2013). Sensors (12-bit temperature/RH Smart Sensor-S-THB-M002, Onset computer Corp., Bourne, MA, USA) placed at the crop level were used to measure temperature and relative humidity once every 30 min, with all the sensors connected to data loggers (HOBO, Onset computer Corp., Bourne, MA, USA).

### 4.2.3 Observations on grain yield and yield components

At physiological maturity, grains from a central 1 m<sup>2</sup> area (25 hills) were sampled for determining grain yield and the data was adjusted to the standard moisture content of 0.14 g H<sub>2</sub>O g<sup>-1</sup>. Twelve hills were taken to determine yield components. Panicle number was counted in each hill to determine panicle number m<sup>-2</sup>. Plants were separated into straw and panicles. Panicles were hand-threshed and filled spikelets were separated from unfilled spikelets by submerging them in tap water, and a seed blower was used to separate half-filled grains and empty spikelets. Three subsamples of 30 g filled spikelets, 2 g empty spikelets and all half-filled spikelets were counted manually and used to determine spikelets m<sup>-2</sup> (Peng et al., 2010) and seed-set (percentage of filled and half-filled grains over the total number of spikelets). Grain weight was calculated from filled grains.

### 4.2.4 Quantifying source-sink relationships

To minimize bias in panicle size between tillers chosen at different time points during the grain-filling, panicles on the first day of heading were tagged, with not more than two to three tillers per hill. Starting from 100% anthesis (all spikelets on a panicle had completed flowering), seven tagged tillers with uniform development were randomly sampled from the surface of soil at 4 days intervals until maturity. The tillers were divided into vegetative parts (i.e. leaf + stem and sheath) and panicles and constant dry weight from both samples was obtained after oven-drying at 70°C for 72 h. Then the total number of spikelets from each panicle was counted. Weight of the grains for each time point was obtained and biomass per grain were calculated to minimize the differences in panicle size for analyzing the source-sink relationships during grain-filling.

The following sigmoid growth function (Yin et al., 2003) was used to fit the temporal dynamics of grain weight after heading:

$$W = \begin{cases} W_b + (W_{\max} - W_b) \left(1 + \frac{t_e - t}{t_e - t_m}\right) \left(\frac{t - t_b}{t_e - t_b}\right)^{\frac{t_e - t_b}{t_e - t_m}} & \text{if } t_b \leq t \leq t_e \\ W_{\max} & \text{if } t > t_e \end{cases} \quad (1)$$

where  $t$  is days after heading,  $W_b$  is the initial grain weight at the moment when growth begins ( $t_b$ ),  $W_{\max}$  is the maximum value of  $W$  which reaches at  $t_e$ , the time at the end of growth, and  $t_m$  is the time when the maximum growth rate is achieved. The average grain-filling rate ( $\bar{C}$ ) during the period was calculated from  $\bar{C} = (W_{\max} - W_b)/t_e$ . Based on the logic described by

Yin et al. (2003), the maximum grain-filling rate  $C_m$  which is achieved at time  $t_m$  is determined by:

$$C_m = (W_{\max} - W_b) \left[ \frac{2t_e - t_m - t_b}{(t_e - t_b)(t_e - t_m)} \right] \left( \frac{t_m - t_b}{t_e - t_b} \right)^{\frac{t_m - t_b}{t_e - t_m}} \quad (2)$$

The sink activity at time  $t$  during grain-filling period (Figure 4.1A) is calculated from:

$$\text{Sink activity} = C_m \left( \frac{t_e - t}{t_e - t_m} \right) \left( \frac{t - t_b}{t_m - t_b} \right)^{\frac{t_m - t_b}{t_e - t_m}} \quad (3)$$

Total amount of sink growth, the accumulated biomass in the grains during whole period of grain-filling is

$$\text{Sink growth} = W_{\max} - W_b \quad (4)$$

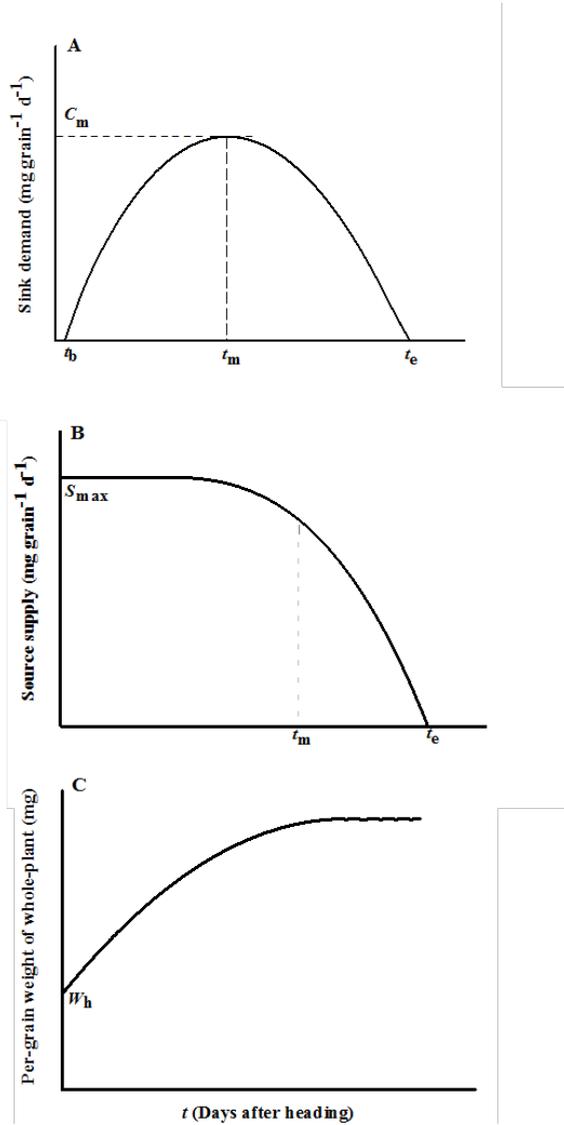
In contrast to the dynamics of sink activity (grain growth), source activity to support grain-filling ( $S$ ) followed a reversed sigmoid curve (Figure 4.1B), and such a reserved sigmoid pattern can be described by (Yin et al., 2009)

$$S = \begin{cases} S_{\max} \left[ 1 - \left( 1 + \frac{t_e - t}{t_e - t_m} \right) \left( \frac{t}{t_e} \right)^{\frac{t_e}{t_e - t_m}} \right] & \text{if } t < t_e \\ 0 & \text{if } t \geq t_e \end{cases} \quad (5)$$

where  $S_{\max}$  is the maximum value of source activity, which appears at the onset of grain-filling. The dynamics of  $S$  during grain-filling is hard to measure directly. However,  $S$  available for grain growth can be deduced from the dynamics of the whole-plant biomass ( $W_{\text{plant}}$ ), which can be easily measured. The temporal course of  $W_{\text{plant}}$  during grain-filling (Figure 4.1C) can be quantified by integrating Eq. (5) with respect to time  $t$ :

$$W_{\text{plant}} = \begin{cases} S_{\max} t \left\{ 1 - \left[ \left( \frac{1}{2t_e - t_m} \right) \left( \frac{t}{t_e} \right)^{\frac{t_e}{t_e - t_m}} \right] \left[ (2t_e - t_m - t) + \frac{(t_e - t_m)t}{3t_e - 2t_m} \right] \right\} + W_h & \text{if } t < t_e \\ S_{\max} \frac{t_e^2}{3t_e - 2t_m} + W_h & \text{if } t \geq t_e \end{cases} \quad (6)$$

where  $W_h$  is the initial whole-plant weight at the onset of the grain-filling. A typical time course of  $W_{\text{plant}}$  is illustrated in Figure 4.1C.  $S_{\max}$ ,  $t_m$ ,  $t_e$  and  $W_h$  can be estimated by fitting Eq. (6) to the easily measured data for the dynamics of  $W_{\text{plant}}$ , and these parameter values can then be used to input to Eq. (5) to calculate the time course of source supply for grain growth.



**Figure 4.1** A. Time course of sink activity (the growth process of grains) as described by Eq. (3); B. a reversed sigmoid curve indicating the corresponding source supply (activity) to support grain filling, as described by Eq. (5); and C. a typical time course of per-grain whole-plant weight ( $W_{\text{plant}}$ ) described by Eq. (6), during grain filling phase. See the text for the definitions of the symbols  $t_b$ ,  $t_m$ ,  $t_e$ ,  $C_m$ ,  $S_{\text{max}}$  and  $W_h$ .

Total source applied (source capacity) during the whole period of grain-filling is derived from the second part of the equation when  $t \geq t_e$ :

$$\text{Source capacity} = S_{\max} \frac{t_e^2}{3t_e - 2t_m} \quad (7)$$

Mathematically it is the area between the curve of Eq. (5) and the t-axis within  $0 \leq t \leq t_e$  (Yin et al., 2009).

Once sink and source parameters are determined, one can then compare the time course of sink and source for grain growth (e.g., if  $t_e$  for sink and source matches) and quantify whether or not total sink growth and source activity are in balance. However, this framework in quantifying the source-sink balance implicitly assumes that assimilates stored in reserves and the structural biomass in harvested organs have the same carbon fraction. A similar carbon fraction can be found in cereals or crops like potato, sweet potato and sugar beet, but not in crops like soybean and sunflower where the carbon fraction in the grain biomass is considerably higher than in reserves, i.e. 0.444 for starch (Penning de Vries et al., 1989). Therefore, our method suits best for crops like rice where any difference in the carbon fraction in reserves and in grain biomass is negligible.

#### 4.2.5 Statistical analysis

Data from two seasons (DS and WS) were analyzed separately as crop growing environment and management practices including fertilizer application were different. In addition, seasonal variation in rice plants exposed to HNT under the same chambers has been documented in our previous studies (Shi et al., 2016). To test the significance of cultivars, treatments and their interaction effects on all parameters, i.e., grain yield and yield components, statistical analysis was carried out using a three-way analysis of variance (ANOVA) with Genstat (Genstat 16th Edition, Rothamsted Experimental Station, Harpenden, UK); least significant difference (LSD) test was used to compare the means. Model fitting to estimate parameters related to sink and source dynamics was carried out using the least squares nonlinear regression with the GAUSS method in PROC NLIN of SAS (SAS Institute Inc., Cary, NC, USA). The SAS codes are available from corresponding author Xinyou Yin upon request.

## 4.3 Results

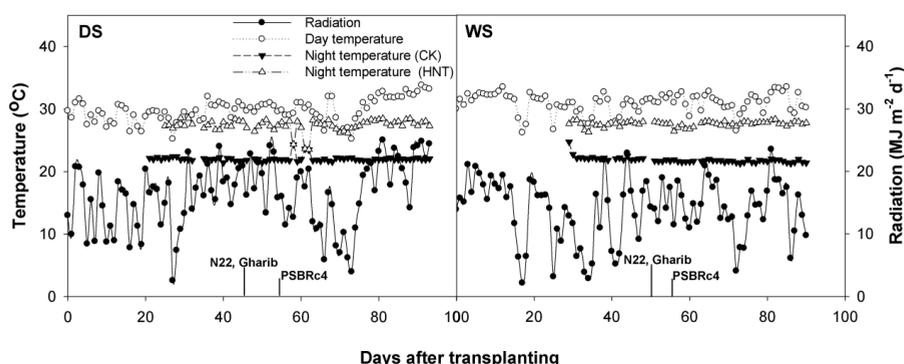
### 4.3.1 Climatic conditions and phenology

Average day-time temperatures throughout the whole crop cycle (from transplanting to maturity) were about 29.8°C (standard deviation SD = 1.9°C) and 30.9°C (SD = 1.6°C) during DS and WS, respectively (Figure 4.2). The average solar radiation across the whole growth period was 16.2 MJ/M<sup>2</sup> (SD = 5.3) in DS, and 13.9 MJ/M<sup>2</sup> (SD = 4.9) in WS. In addition, average night-time (18:00–06:00 h) temperature during the entire period (from panicle initiation to maturity) was 22.0°C (SD = 0.2°C) for the control and 27.5°C (SD = 0.6°C) in the HNT treatment during the DS. In the WS, temperatures in control and HNT treatments were 21.8°C (SD = 0.5°C) and 27.6°C (SD = 0.4°C), respectively.

Days to flowering were not affected by HNT, nor by nitrogen treatments. It took 43 days after transplanting (DAT) for N22 and Gharib, and 54 DAT for PSBRc4, to reach flowering under both HNT and control conditions in DS. During WS, it took 50 days for N22 and Gharib, and 55 days for PSBRc4, to reach flowering.

### 4.3.2 Grain yield and yield components

Grain yield significantly differed among cultivars, nitrogen and temperature treatments, and significant interactions occurred between cultivars and nitrogen for both DS and WS



**Figure 4.2** Time-course of temperature (ambient temperature during day time, night-time temperature within control (CK) and higher temperature (HNT) chambers) and solar radiation from transplanting to maturity during the dry and wet seasons (DS, WS). Black bars indicate the flowering date of each of three cultivars.

( $P < 0.05$ ,  $P < 0.01$  and/or  $P < 0.001$ ; Tables 4.1 and 4.2), and between cultivars and temperature during DS ( $P < 0.05$ ). The grain yield of the susceptible check (Gharib) was significantly reduced by 34.5% (average of N1 and N2 levels) and by 13.7% when it was exposed to HNT in DS and WS, respectively. However, for the tolerant variety (N22), we found virtually no influence of HNT on its grain yield. The high-yielding cultivar PSBRc4 had a significant decrease in grain yield at N2 in both DS and WS (by 8.8% and 5.6%, respectively) while there was no significant yield loss induced by HNT at N1 level. Under the same temperature treatments, the grain yields of the three cultivars were significantly lower at the N2 than at the N1 during DS except for PSBRc4 at control condition, but the situation in WS was opposite.

Among the yield components, HNT significantly decreased the spikelets  $m^{-2}$  ( $P < 0.05$ ) and grain weight ( $P < 0.001$ ) in DS, while it significantly decreased seed-set ( $P < 0.01$ ) and grain weight ( $P < 0.001$ ) during WS. The grain weight of the three cultivars were significantly reduced under HNT in both nitrogen levels during DS and WS except N22 at N1 level in DS and N22 at N2 level in WS. In DS, spikelets  $m^{-2}$  decreased when exposed to HNT except for N22 and Gharib at N1 level. During WS, HNT significantly reduced seed-set in N22 and PSBRc4, while a significant increase or no change was observed in Gharib. Higher N application (N2) had no significant effect on the spikelets  $m^{-2}$  in DS but significantly increased spikelets  $m^{-2}$  ( $P < 0.001$ ) in WS. Compared with N1, N2 significantly reduced the seed-set of PSBRc4 and Gharib during DS and of N22 and of PSBRc4 in WS. Grain weights were lower at N2 than at N1 in all cultivars in both DS and WS.

### 4.3.3 Source-sink relationships

Eq. (1) accurately described the dynamics of grain-filling of all three rice cultivars at two nitrogen levels and two temperature levels (Figure 4.3), and  $\geq 96\%$  of the variation was explained by the equation (Tables 4.3 and 4.4). In all cases, the fitting obeyed the constraint that  $t_b = 0$  as the onset time of grain-filling, in line with the early use of the model (Yin et al., 2009). The estimated  $W_{max}$ , representing the final grain weight, differed among cultivars and temperature levels. There were no obvious differences between control and HNT in  $W_{max}$  of N22 and PSBRc4, while  $W_{max}$  was consistently higher at control than at HNT in the susceptible cultivar Gharib.  $W_{max}$  in Gharib, was reduced by 2.7% to 10.5% compared to control in DS and WS, respectively. HNT also decreased the estimated  $t_c$ , the time when final grain weight is achieved, in cultivar Gharib; but for N22 and PSBRc4, the estimated  $t_c$

**Table 4.1** Grain yield and yield components of three rice cultivars N22, PSBRc4, Gharib grown at control (CK-22°C) and higher night-time temperature (HNT-28°C) conditions and at two nitrogen levels (N1-150 kg ha<sup>-1</sup>, N2-250 kg ha<sup>-1</sup>) in the dry season of 2012.

Cultivar	Nitrogen treatment	Temperature treatment	Grain yield (g m <sup>-2</sup> )	Panicles m <sup>-2</sup>	Spikelets panicle <sup>-1</sup>	Spikelets (×10 <sup>3</sup> )	Seed-set (%)	Grain weight (mg)
N22	N1	CK	393.3 ± 8.4	628 ± 16	47.3 ± 1.5	29.7 ± 1.0	88.7 ± 0.2	16.1 ± 0.1
		HNT	368.6 ± 16.2	587 ± 16	53.6 ± 0.9	31.5 ± 1.2	90.1 ± 0.8	16.3 ± 0.1
	N2	CK	361.4 ± 15.6	696 ± 7	46.1 ± 2.3	32.0 ± 1.6	87.1 ± 2.1	15.6 ± 0.1
		HNT	342.0 ± 9.2	628 ± 23	46.7 ± 1.6	29.4 ± 2.0	89.6 ± 0.9	15.4 ± 0.1
PSBRc4	N1	CK	484.7 ± 29.9	501 ± 15	68.8 ± 0.6	34.5 ± 1.0	82.0 ± 1.2	21.9 ± 0.1
		HNT	473.0 ± 18.8	479 ± 14	58.3 ± 1.7	27.9 ± 1.5	89.3 ± 2.7	20.9 ± 0.1
	N2	CK	491.8 ± 14.6	570 ± 27	59.5 ± 6.5	33.5 ± 2.3	77.8 ± 1.6	21.7 ± 0.2
		HNT	448.3 ± 24.0	525 ± 19	56.1 ± 3.3	29.4 ± 1.7	84.1 ± 4.1	20.5 ± 0.2
Gharib	N1	CK	307.7 ± 7.2	513 ± 13	35.3 ± 1.0	18.1 ± 0.7	84.9 ± 1.3	22.1 ± 0.2
		HNT	216.7 ± 16.7	551 ± 11	34.9 ± 0.4	19.2 ± 0.3	81.9 ± 2.3	21.8 ± 0.3
	N2	CK	227.1 ± 23.9	522 ± 8	34.7 ± 1.5	18.1 ± 0.7	81.2 ± 1.1	21.4 ± 0.1
		HNT	137.8 ± 18.2	560 ± 24	29.6 ± 2.6	16.4 ± 1.0	68.1 ± 4.3	20.4 ± 0.2
		Cultivar (C)	24.7 ***	24.8***	3.6***	1.9***	3.2***	0.2***
		Nitrogen (N)	20.2 ***	20.2***	3.0**	ns	2.6***	0.2***
		Temperature (T)	20.2 ***	ns	ns	1.6*	ns	0.2***
		C*N	34.9 *	ns	ns	ns	ns	0.3**
		C*T	34.9 *	35.1**	5.1*	2.7*	4.6***	0.3***
		N*T	ns	ns	ns	ns	ns	0.3*
		C*N*T	ns	ns	ns	ns	ns	ns

Mean value ± standard error.

LSD (least significant difference) followed by \*, \*\*, \*\*\*, significance at 5%, 1% and 0.1%, respectively; ns, non-significant.

**Table 4.2** Grain yield and yield components of three rice cultivars N22, PSBRc4, Gharib grown at control (CK-22°C) and higher night-time temperature (HNT-28°C) conditions and at two nitrogen levels (N1-75 kg ha<sup>-1</sup>; N2-125 kg ha<sup>-1</sup>) in the wet season of 2012.

Cultivar	Nitrogen treatment	Temperature treatment	Grain yield (g m <sup>-2</sup> )	Panicles m <sup>-2</sup>	Spikelets panicle <sup>-1</sup>	Spikelets m <sup>-2</sup> (×10 <sup>3</sup> )	Seed-set (%)	Grain weight (mg)
N22	N1	CK	331.6 ± 18.2	288 ± 9	63.3 ± 3.1	18.3 ± 1.3	97.7 ± 0.7	17.8 ± 0.1
		HNT	325.0 ± 16.2	292 ± 7	66.7 ± 4.8	19.4 ± 0.9	93.3 ± 0.3	17.5 ± 0.1
	N2	CK	366.5 ± 12.1	309 ± 12	64.3 ± 4.8	19.8 ± 1.1	94.1 ± 0.5	17.2 ± 0.1
		HNT	353.9 ± 5.4	286 ± 10	76.9 ± 1.1	22.0 ± 1.0	91.5 ± 0.4	17.3 ± 0.1
PSBRc4	N1	CK	432.5 ± 15.5	299 ± 7	65.5 ± 2.4	19.6 ± 1.0	92.7 ± 0.8	23.6 ± 0.1
		HNT	429.8 ± 14.4	303 ± 2	69.4 ± 3.9	21.0 ± 1.3	87.6 ± 1.7	22.8 ± 0.2
	N2	CK	543.3 ± 16.6	315 ± 9	82.0 ± 2.1	25.8 ± 0.6	89.8 ± 1.2	23.5 ± 0.1
		HNT	513.0 ± 2.1	333 ± 3	80.4 ± 2.7	26.8 ± 1.0	85.2 ± 1.5	22.6 ± 0.1
Gharib	N1	CK	238.9 ± 5.1	257 ± 5	42.5 ± 1.1	10.9 ± 0.4	88.1 ± 0.8	22.6 ± 0.1
		HNT	206.0 ± 10.0	249 ± 17	44.7 ± 1.6	11.1 ± 0.8	90.6 ± 1.3	21.7 ± 0.3
	N2	CK	319.5 ± 11.4	286 ± 5	52.9 ± 2.7	15.2 ± 0.8	91.6 ± 0.8	22.4 ± 0.1
		HNT	275.9 ± 5.1	275 ± 8	48.4 ± 5.6	13.3 ± 0.6	91.2 ± 0.8	21.5 ± 0.3
		Cultivar (C)	17.5***	12.7***	4.3***	1.4***	1.4***	0.2***
		Nitrogen (N)	14.3***	10.4***	3.5***	1.1***	ns	0.2*
		Temperature(T)	14.3**	ns	ns	ns	1.1**	0.2***
		C*N	24.7**	ns	ns	1.9*	2.0**	ns
		C*T	ns	ns	ns	ns	2.0***	0.3***
		N*T	ns	ns	ns	ns	ns	ns
		C*N*T	ns	ns	ns	ns	ns	ns

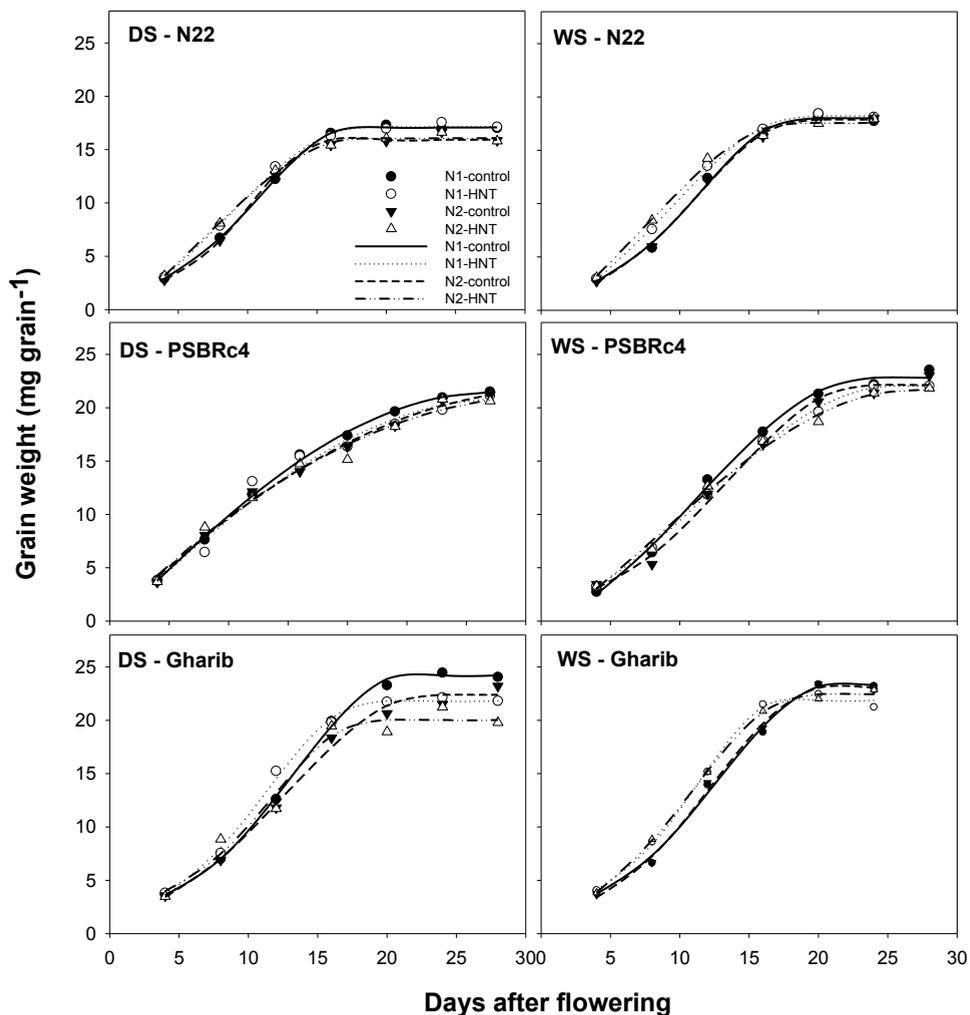
Mean value ± standard error.

LSD (least significant difference) followed by \*\*\*, \*\*, \*, significance at 5%, 1% and 0.1%, respectively; ns, non-significant.

was not lower or even higher under HNT compared with the control. In contrast, the estimated  $t_m$ , representing the time at which the maximum growth rate is reached, was lower at HNT than at control in all three cultivars except N1 level of PSBRc4 during WS. The maximum grain-filling rate  $C_m$ , calculated by Eq. (2), was lower at HNT than at CK in N22 and PSBRc4, except in PSBRc4 at N2 level in DS, whereas the changes in  $C_m$  of Gharib were not consistent among nitrogen levels. HNT induced higher average grain-filling rates  $\bar{C}$  for N22 and Gharib for both DS and WS, however, there were decreases in  $\bar{C}$  for PSBRc4 under HNT conditions.

As fitting Eq. (1) to data on grain growth was done on a single-grain basis (Figure 4.3), the data for whole-plant biomass ( $W_{\text{plant}}$ ) should also be used on a single-grain basis, so that the parameter estimates of fitting Eq. (6) can be directly used to derive the dynamics of the source supply to single grain. Note that we only measured aboveground biomass, which was used as the proxy to  $W_{\text{plant}}$  assuming that the assimilates are exclusively used aboveground after anthesis. Eq. (6) described well the time course of the observed  $W_{\text{plant}}$  per grain during grain-filling (Figure 4.4). The model accounted for  $\geq 94\%$  of the variation in all treatments and cultivars (Tables 4.3 and 4.4). The estimated maximum value of source activity at the onset of grain-filling,  $S_{\text{max}}$ , differed among cultivars and treatments. HNT caused an increase in  $S_{\text{max}}$  for all cultivars except N22 at N1 level in DS and WS, PSBRc4 at N1 and N2 levels of WS. The estimated  $t_e$  for source was relatively higher than that for sink for all cultivars and treatments. As expected,  $t_e$  for source was lower under HNT than in CK in most cases, except for N22 at N2 level in DS and PSBRc4 at N1 level during WS.

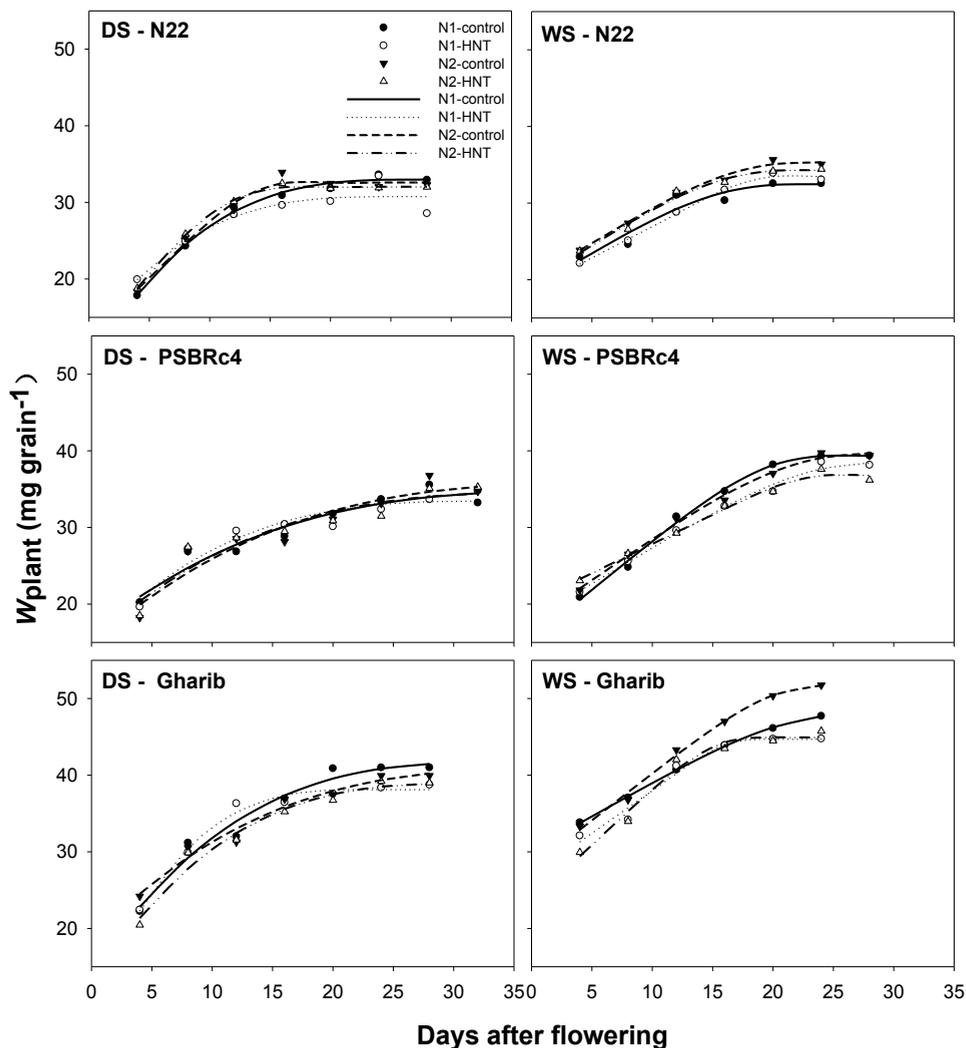
Source capacity calculated by Eq. (7), representing overall assimilates produced during the whole period of grain-filling, and sink growth calculated by Eq. (4) indicating the total accumulated assimilates in the grains during the same period, are compared in Table 4.5. There were differences in source capacity between cultivars, treatments including nitrogen level and temperature, even between seasons. N22 had higher sink growth under HNT compared to control across DS and WS, PSBRc4 and Gharib had lower sink growth at HNT than at control except PSBRc4 at N2 level in WS. In DS, the differences between source capacity and sink growth (source – sink) in N22 and Gharib had positive values. Any negative difference in source and sink, as shown in PSBRc4 (Table 4.5), indicates that part of the assimilates for grain growth is contributed by assimilates accumulated before flowering.



**Figure 4.3** The observed value of grain weight (points) during grain filling phase and corresponding fitted grain weight estimated from Eq. (1) (curves) for three rice cultivars (N22, PSBRc4, Gharib) at two nitrogen levels (N1, N2) and two temperature treatments (control, HNT) during the dry and wet seasons (DS, WS).

During WS, N22 needed to remobilise the pre-flowering assimilates together with PSBRc4 at HNT under both N1 and N2 levels, Gharib at both control and HNT under N1 levels.

The instantaneous time course of sink growth described by Eq. (3) and that of source supply by Eq. (5) are shown for DS and WS in Figures 4.4 and 4.5, respectively. There was little difference in the sink dynamics between the seasons, except for PSBRc4 in which sink



**Figure 4.4** The observed value of per grain whole-plant weight (points) and corresponding fitted weight estimated from Eq. (6) (curve) during the period of grain filling, in three rice cultivars (N22, PSBRc4, Gharib) at two nitrogen levels (N1, N2) and two temperature treatments (control, HNT) during the dry and wet seasons (DS, WS).

growth peaked earlier in DS than in WS. But source activity in most cases declined sharply from the onset of grain-filling in DS (Figure 4.5), in contrast to WS during which source activity decreased gradually (Figure 4.6). In general, HNT accelerated grain-filling rate and the peak of sink growth was observed earlier than in the CK.

**Table 4.3** Estimated parameter values (standard errors within brackets) of grain filling process for sink (Eq. (1) & (2)) and source (Eq. (6)) in N22, PSBRc4 and Gharib grown at control (CK-22°C) and higher night-time temperature (HNT-28°C) conditions at two nitrogen levels (N1 and N2) in the dry season of 2012.

Cultivar	Nitrogen	Temperature	Estimated parameters values of sink						Estimated parameters values of source					
			$W_{\max}$	$W_b$	$t_m$	$t_c$	$C_m$	$\bar{C}$	$R^2$	$S_{\max}$	$W_h$	$t_m$	$t_c$	$R^2$
N22	N1	CK	17.08 (0.14)	1.88 (0.49)	10.72 (0.45)	17.62 (0.55)	1.42	0.86	0.992	2.70 (0.34)	8.63 (1.56)	0	27.10 (2.15)	0.996
		HNT	17.18 (0.19)	0.24 (1.21)	7.93 (1.47)	18.46 (0.95)	1.34	0.92	0.985	2.15 (1.05)	12.66 (4.57)	0	25.32 (7.43)	0.943
	N2	CK	15.93 (0.15)	2.15 (0.52)	10.59 (0.38)	15.51 (0.59)	1.43	0.83	0.988	1.53 (0.51)	12.49 (2.86)	14.58 (1.77)	17.59 (6.22)	0.990
		HNT	16.08 (0.16)	0	6.90 (0.46)	18.04 (0.66)	1.29	0.89	0.987	2.02 (0.48)	10.84 (1.85)	11.62 (2.71)	17.93 (2.15)	0.999
PSBRc4	N1	CK	21.46 (0.30)	0	4.62 (1.28)	32.22 (1.51)	1.04	0.67	0.988	1.27 (0.43)	16.35 (2.64)	0	43.76 (13.27)	0.965
		HNT	20.88 (0.52)	0	3.99 (1.97)	33.17 (2.61)	1.01	0.63	0.976	1.62 (0.58)	15.18 (3.14)	0	33.80 (8.25)	0.957
	N2	CK	21.83 (0.80)	0	1.10 (2.23)	38.22 (3.70)	1.04	0.57	0.988	1.39 (0.58)	14.84 (3.59)	0	45.33 (17.53)	0.950
		HNT	21.23 (0.67)	0	0.0001	37.64 (2.29)	1.13	0.56	0.976	1.43 (0.59)	15.20 (3.50)	0	40.71 (13.74)	0.948
Gharib	N1	CK	24.22 (0.54)	2.84 (0.99)	13.31 (0.77)	21.25 (1.22)	1.69	1.01	0.977	2.34 (0.61)	14.46 (3.24)	0	34.89 (7.16)	0.983
		HNT	21.83 (0.32)	2.32 (1.04)	11.12 (0.84)	18.81 (0.94)	1.67	1.04	0.978	3.73 (0.66)	9.89 (2.63)	0	22.72 (2.26)	0.993
	N2	CK	22.39 (0.37)	2.22 (0.97)	12.51 (1.07)	22.86 (1.17)	1.37	0.88	0.980	1.63 (0.43)	18.59 (2.40)	0	41.07 (10.18)	0.984
		HNT	20.03 (0.34)	3.16 (1.00)	11.78 (0.86)	18.72 (1.09)	1.52	0.90	0.967	2.44 (0.55)	12.74 (2.80)	0	32.28 (5.26)	0.986

**For the sink part:**  $W_{\max}$ , the maximum value of grain weight (mg grain<sup>-1</sup>),  $W_b$ , the initial value of estimated grain weight (mg grain<sup>-1</sup>),  $t_m$ , the time at which the maximum growth rate is achieved (day),  $t_c$ , the time when maximum of grain weight is reached (day),  $C_m$ , the maximum grain-filling rate (mg grain<sup>-1</sup> day<sup>-1</sup>),  $\bar{C}$ , average grain-filling rate (mg grain<sup>-1</sup> day<sup>-1</sup>);

**For the source part:**  $S_{\max}$ , the maximum value of source activity (mg grain<sup>-1</sup> day<sup>-1</sup>),  $W_h$ , the initial value of per-grain whole-plant weight at heading (mg grain<sup>-1</sup>),  $t_m$ , the time at which the decrease of source supply is fastest (day),  $t_c$ , the time when source activity is zero (day).

**Table 4.4** Estimated parameter values (standard errors within brackets) of grain filling process for sink (Eq.(1) & (2)) and source (Eq. (6)) in N22, PSBRc4 and Gharib grown at control (CK-22°C) and higher night-time temperature (HNT-28°C) conditions at two nitrogen levels (N1 and N2) in the wet season of 2012.

Cultivar	Nitrogen	Temperature	Estimated parameter values of sink						Estimated parameter values of source					
			$W_{max}$	$W_h$	$t_m$	$t_e$	$C_m$	$\bar{C}$	$R^2$	$S_{max}$	$W_h$	$t_m$	$t_e$	$R^2$
N22	N1	CK	18.01 (0.19)	1.80 (0.45)	11.40 (0.41)	18.54 (0.52)	1.45	0.87	0.993	0.92 (0.85)	18.84 (4.69)	16.64 (10.59)	22.70 (18.61)	0.959
		HNT	18.25 (0.21)	0.59 (0.84)	8.97 (0.93)	18.86 (0.74)	1.39	0.94	0.991	0.82 (0.09)	18.77 (0.75)	18.95 (2.13)	20.29 (6.83)	0.998
	N2	CK	17.87 (0.15)	1.52 (0.38)	11.15 (0.37)	18.75 (0.43)	1.41	0.87	0.995	0.94 (0.24)	20.09 (1.53)	18.31 (2.68)	23.77 (6.47)	0.995
		HNT	17.58 (0.19)	0	7.35 (0.38)	18.28 (0.60)	1.39	0.96	0.990	0.98 (0.37)	19.57 (2.10)	16.92 (4.07)	22.66 (7.94)	0.992
PSBRc4	N1	CK	22.81 (0.25)	0.37 (0.78)	10.84 (0.93)	23.63 (0.80)	1.33	0.91	0.992	1.28 (0.16)	15.46 (1.22)	20.89 (1.19)	25.63 (3.95)	0.998
		HNT	22.03 (0.43)	1.20 (1.12)	11.11 (1.61)	25.21 (1.51)	1.21	0.83	0.980	0.94 (0.15)	18.00 (1.25)	24.37 (1.93)	30.12 (7.48)	0.996
	N2	CK	22.13 (0.32)	2.18 (0.68)	13.45 (0.71)	23.07 (0.89)	1.22	0.80	0.986	1.08 (0.16)	17.72 (1.20)	22.90 (1.91)	29.74 (5.63)	0.997
		HNT	21.72 (0.39)	0	8.39 (0.76)	26.90 (1.23)	1.17	0.81	0.984	0.77 (0.09)	20.20(0.95)	23.18 (2.26)	25.20 (7.20)	0.995
Gharib	N1	CK	23.31 (0.39)	2.84 (0.72)	12.75 (0.58)	20.81 (0.94)	1.62	0.98	0.987	0.88 (0.05)	30.23 (0.33)	22.83 (0.73)	28.93 (3.62)	1.000
		HNT	21.90 (0.35)	3.25 (0.81)	11.31 (0.54)	17.40 (0.82)	1.86	1.07	0.981	1.12 (0.29)	26.87 (2.33)	17.05 (2.40)	18.53 (6.96)	0.987
	N2	CK	23.07 (0.41)	2.41 (0.92)	12.40 (0.73)	20.33 (1.00)	1.67	1.02	0.982	1.23 (0.18)	27.96 (1.45)	21.44 (1.59)	25.37 (5.97)	0.997
		HNT	22.45 (0.30)	2.24 (0.87)	10.68 (0.74)	19.06 (0.78)	1.66	1.06	0.987	1.48 (0.54)	23.50 (3.43)	16.11 (2.40)	19.31 (7.64)	0.987

**For the sink part:**  $W_{max}$ , the maximum value of grain weight (mg grain<sup>-1</sup>),  $W_h$ , the initial value of estimated grain weight (mg grain<sup>-1</sup>),  $t_m$ , the time at which the maximum growth rate is achieved (day),  $t_e$ , the time when maximum of grain weight is reached (day),  $C_m$ , the maximum grain-weight filling rate (mg grain<sup>-1</sup> day<sup>-1</sup>),  $\bar{C}$ , average grain-filling rate (mg grain<sup>-1</sup> day<sup>-1</sup>);

**For the source part:**  $S_{max}$ , the maximum value of source activity (mg grain<sup>-1</sup> day<sup>-1</sup>),  $W_h$ , the initial value of per-grain whole-plant weight at heading (mg grain<sup>-1</sup>),  $t_m$ , the time at which the decrease of source supply is fastest (day),  $t_e$ , the time when source activity is zero (day).

**Table 4.5** Source capacity (calculated by Eq. (7)), sink growth (calculated by Eq. (4)), and their net balance during the grain filling period in three rice cultivars (N22, PSBRc4, Gharib) grown at control (CK-22oC) and higher night-time temperature (HNT-28oC) conditions at two nitrogen levels (N1, N2) in the dry and wet seasons (DS, WS) of 2012.

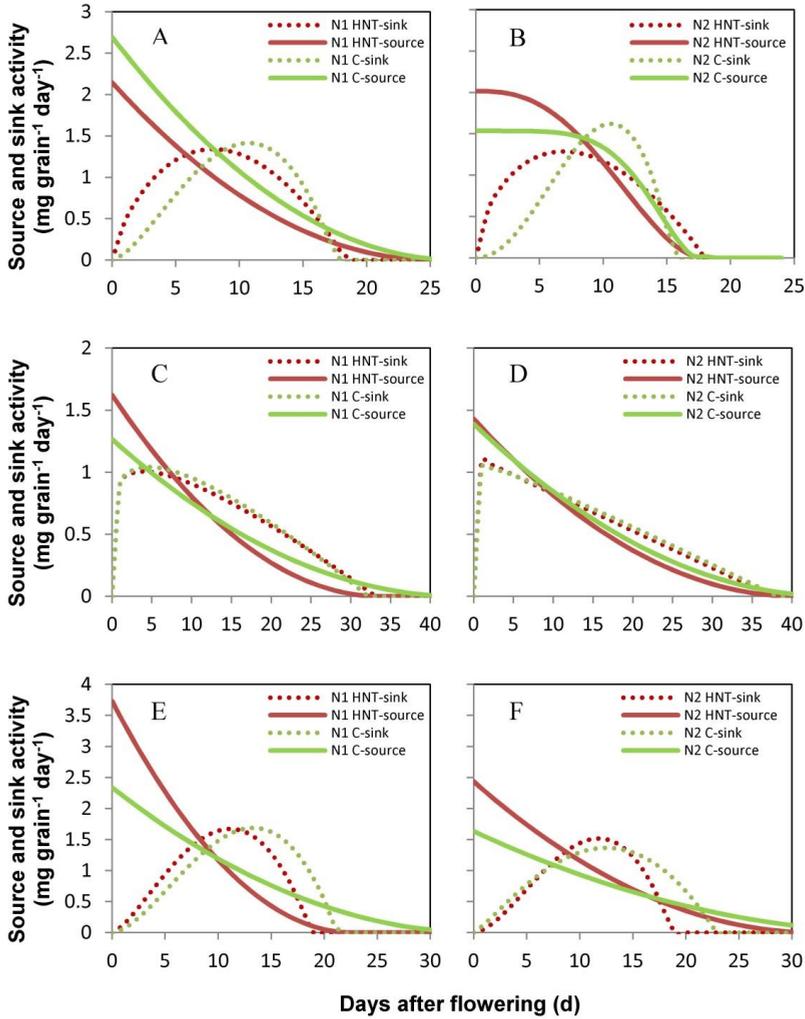
Cultivar	Nitrogen	Temperature	DS			WS		
			Source capacity (mg grain <sup>-1</sup> )	Sink growth (mg grain <sup>-1</sup> )	Source - sink (mg grain <sup>-1</sup> )	Source capacity (mg grain <sup>-1</sup> )	Sink growth (mg grain <sup>-1</sup> )	Source - sink (mg grain <sup>-1</sup> )
N22	N1	CK	24.35	15.20	9.15	13.63	16.21	-2.58
		HNT	18.12	16.93	1.18	14.72	17.65	-2.94
	N2	CK	20.11	13.78	6.33	15.24	16.35	-1.11
		HNT	21.20	16.08	5.12	14.74	17.58	-2.84
PSBRc4	N1	CK	18.48	21.46	-2.98	23.91	22.44	1.47
		HNT	18.29	20.88	-2.59	20.51	20.82	-0.31
	N2	CK	20.99	21.83	-0.84	22.00	19.95	2.05
		HNT	19.42	21.23	-1.81	16.62	21.72	-5.10
Gharib	N1	CK	27.21	21.38	5.83	17.88	20.46	-2.58
		HNT	28.26	19.51	8.76	17.86	18.65	-0.79
	N2	CK	22.36	20.18	2.19	23.80	20.66	3.14
		HNT	26.21	16.87	9.34	21.46	20.21	1.25

## **4.4 Discussion**

### **4.4.1 Grain yield under different night temperatures and different N availabilities**

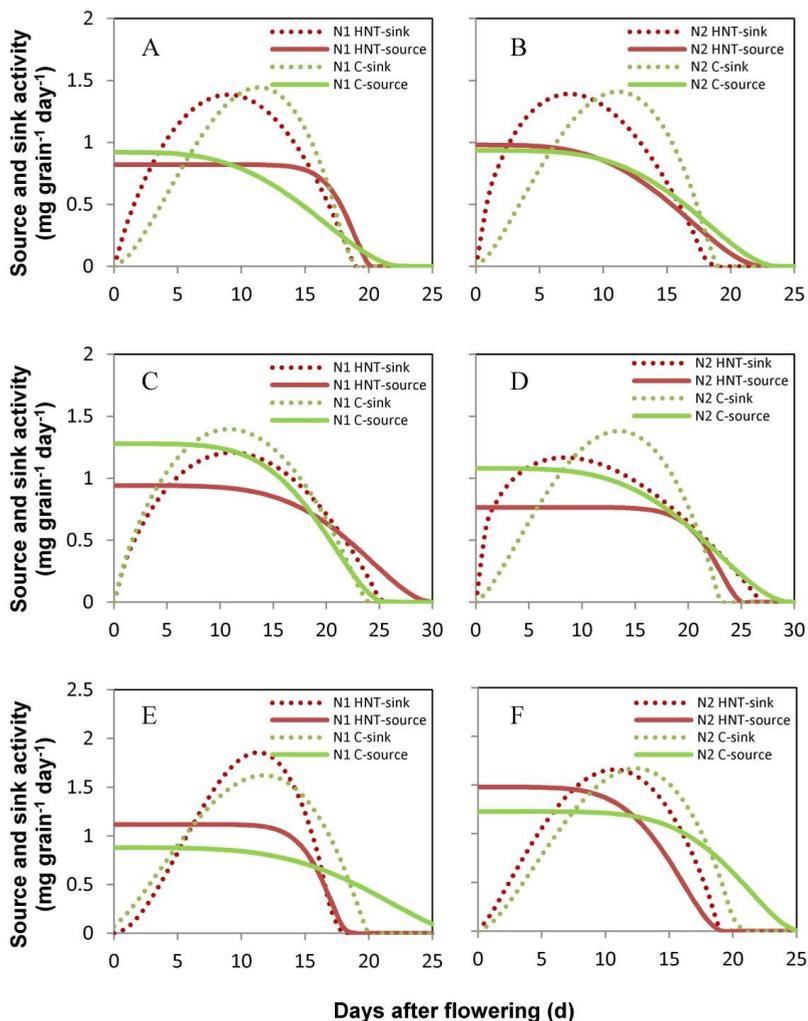
Previous studies have investigated the combined effects of nitrogen application and high day-time temperature on rice grain yield (Dai et al., 2009; Duan et al., 2013; Yang et al., 2014). Although the effects of nitrogen application on relieving the detrimental effects of high day-temperature were different at different nitrogen levels (Dai et al., 2009; Duan et al., 2013), indicating appropriate application of more nitrogen could contribute to alleviating the yield losses at high day-time temperature stress. For example, in the Duan et al. pot experiment, extra nitrogen application ( $1.0 \text{ g pot}^{-1}$ ) at panicle initiation stage led to increases in spikelets panicle<sup>-1</sup> and grain weight under higher temperatures (maximum temperature was  $37.5^{\circ}\text{C}$  at 10 days after heading). The mechanism behind minimizing the detrimental effects of high day-time temperature on grain yield by increasing nitrogen application could be the increased flag-leaf photosynthetic rate and root oxidation activity, or higher activities of the key enzymes involved in sucrose to-starch metabolic pathway in grains (Duan et al., 2013). However, from our field experiments (Tables 4.1 and 4.2), the same or an even higher proportion of yield loss was observed in the susceptible cultivar Gharib when plants were exposed to HNT at higher nitrogen level (N2) than at N1 level. Cultivar PSBRc4 also recorded significant yield loss on exposure to HNT when plants were grown at N2 level. The differences in the findings may result from the different kinds of temperature studies as high day-time temperature and HNT induce negative effects on different chains of physiological processes leading to damage to rice growth (Jagadish et al., 2015). A classical study involving different levels of nitrogen reported increases in respiration rates with corresponding increments in nitrogen supply (Swain et al., 2000). Our recent study has also indicated greater starch accumulation during the day leading to higher dark respiration rates (Peraudeau et al., 2014). Therefore, additional application of nitrogen, although leading to increased assimilate production, could cause higher respiration loss, especially under HNT. However, this respiratory loss is less important when high temperature is imposed during the day, as photosynthesis enhancement by nitrogen may be expressed at a greater extent under high day-time temperature provided that the day-time temperature is not raised to an extreme.

Since all three cultivars, maintained under chambers intended for either control or HNT stress treatments, were not exposed to stress until panicle initiation stage, here the tillering can be considered unaffected. However, the two different levels of nitrogen have led to



**Figure 4.5** Source and sink activity of N22 (A, B), PSBRc4 (C, D), Gharib (E, F) grown at control (CK-22°C) and higher night-time temperature (HNT-28°C) conditions at two nitrogen levels (N1 and N2) in the dry season of 2012.

different tiller numbers during both WS and DS but this was not reflected in the final panicle number (Tables 4.1 and 4.2). In addition, higher panicle number with higher nitrogen in Gharib and PSBRc4 during DS and WS resulted in lower grain yield indicating that the panicle number and grain yield were not linearly related and that HNT stress was the primary



**Figure 4.6** Source and sink activity of N22 (A, B), PSBRc4 (C, D), Gharib (E, F) grown at control (CK-22°C) and higher night-time temperature (HNT-28°C) conditions at two nitrogen levels (N1 and N2) in the wet season of 2012.

factor leading to yield losses rather than the dynamics in panicle numbers under different nitrogen levels. Significant differences were found among cultivars for spikelets m<sup>-2</sup> and grain weight in the DS, and for seed-set and grain weight during WS under control and HNT conditions. A negative impact on spikelet differentiation and a significant increase in spikelet abortion decreasing spikelets m<sup>-2</sup> has been documented when HNT was imposed from panicle initiation (floral meristem and spikelet differentiation stage) until flowering (Wei et al., 2010).

Our results reiterate grain weight to be the major yield component reduced by HNT exposure consistently across the different genotypes. The same response has been obtained by an independent study by Zhang et al. (2013), working on 36 varieties originating from different countries, and also a study focused on popular rice hybrids (Shi et al., 2016). The possible mechanisms behind the decreased grain weight under HNT include reduced endosperm cell size (Morita et al., 2005) and changed activities of key enzymes of starch synthesis, such as the activities of ADPG-PPase (Dong et al., 2011). In addition, increasing the nitrogen application in our experiments significantly reduced seed-set and grain weight in most cases (Tables 4.1 and 4.2). As these yield components are formed in different time windows, instead of merely increasing the total amount of N applied, systematically changing the timing and amount of applied N could be further investigated. Such an approach could allow to explore the possibility of minimizing HNT induced rice yield losses under field conditions by proper N management, provided night respiratory losses can be maintained at low levels.

#### 4.4.2 Quantifying source-sink relationships during grain-filling

In this study, we extend the work of Yin et al. (2009) into a new methodology that quantifies source-sink relationships based on easily measured dynamics of grain growth and of the whole plant biomass during grain-filling. For the sink part, as with the approach of Yin et al. (2009), the dynamics of sink growth was described by Eq. (1), from the determinate sigmoid equation of Yin et al. (2003). The advantages of this sigmoid curve in describing sink growth dynamics over the more classical curves like the Logistic or the Richards functions were fully described by Yin et al. (2003). The first-order derivative of this sigmoid curve, Eq. (3), gives an instantaneous sink growth rate. For quantifying the dynamics of source activity, common measurements of net canopy photosynthesis would be needed. By developing the new equation, Eq. (6), quantifying the dynamics of source activity during grain-filling becomes very simple as it is based on the curve fitting to the easily measured whole-plant biomass data. From the estimated parameters of Eq. (6), the dynamics of source supply can be straight forwardly obtained by Eq. (5) which shares the same parameters  $S_{\max}$ ,  $t_m$  and  $t_c$  with Eq. (6).

Our methodology can be used to characterize average and maximum grain-filling rates ( $\bar{C}$  and  $C_m$ , respectively) and to assess whether the length of source and sink active phase ( $t_c$ ) matches. The results suggested no consistent effect of N supply on, but genotypic difference in, sink growth in association with the source capacity (Tables 4.3 and 4.4). The grain-filling duration ( $t_c$ ) of both N22 and PSBRc4 was not shortened by HNT while  $t_c$  decreased under

HNT in Gharib. Besides, average grain-filling rate ( $\bar{C}$ ) increased in N22 and Gharib under HNT, whereas it was reduced in PSBRc4. Thus, lower grain weight of Gharib and of PSBRc4 (Tables 4.1 and 4.2) might result from the shortened grain-filling duration and the lower grain-filling rate, respectively. Maximum grain-filling rate ( $C_m$ ) were largely decreased in N22 and PSBRc4 when they were exposed to HNT, probably resulting in the decreases in the sink potential which is determined at the very early grain-filling stage. The lower individual grain sink potential would not compensate for the increased assimilate availability during the later grain-filling period (Borrás et al., 2004), hence, resulting in final lower grain weight. By using Eq. (6), the  $t_c$  for source part was determined and was proved to be higher than the  $t_c$  for sink (Tables 4.3 and 4.4), indicating that grain growth stopped earlier than the complete terminating of total biomass accumulation. It reinforces the findings of Kim et al. (2011) reporting that assimilates were still supplied after the termination of grain-filling.

By comparing the time course of Eq. (3) and that of Eq. (5), one can quantify the instantaneous source and sink (im)balance during the period of grain growth (Figures 4.5 and 4.6). Obviously there was a surplus in available assimilates for the first part of grain-filling, and the surplus assimilates must first enter the crop pool of reserves for remobilizing to support grain-filling in the later phase when the current source supply is in deficit compared with sink demand. The transition from surplus to deficit can be easily shown, which is not necessarily in the middle of grain-filling (Figures 4.5 and 4.6). So, current rice simulation models that set the fraction of partitioning to grains immediately after flowering may not reflect the actual partitioning dynamics during the initial grain growth.

The instantaneous source and sink dynamics shown in Figures 4.5 and 4.6 reveal the contrast between DS and WS. While the sink dynamics differed little between the seasons, source activity in most cases declined sharply from the onset of grain-filling in DS (Figure 4.5), compared to WS during which source activity decreased gradually (Figure 4.6). This was probably because there were considerably more spikelets  $m^{-2}$  in DS (Table 4.1) than in WS (Table 4.2); such a higher sink demand requires more N remobilization from leaves (Sinclair and de Wit, 1975), already during the early part of grain-filling in DS. While the sink dynamics of PSBRc4 did not differ much from other two genotypes in WS, it differed in DS. Again, the level of N had little impact on these differences.

Our methodology also quantifies whether or not the cumulative source and sink capacity during the period of grain growth is in balance. This approach determines the percentage of

the amount of grain weight that comes from the pre-flowering assimilate reserves (when in deficit) or the fraction of the post-flowering assimilates that are unutilized for grain growth (in surplus). The latter surplus case certainly suggests an overall sink limitation of crop yield, as in cases of N22 under both control and HNT conditions in our DS experiment (Table 4.5), while it was in deficit during WS which proved to be source limited. But for cv. PSBRc4, which had larger grain weight than the other two cultivars (Tables 4.1 and 4.2), it required assimilates reserved at pre-flowering phase in most cases and especially under HNT at both N levels, indicating it belonged to the source limitation even though sink demand decreased when exposed to HNT. For the susceptible cultivar Gharib, it was generally under surplus condition at control and HNT condition, suggesting a sink limitation. There was no effect of N supply on changing source and sink limitation, except for Gharib in WS for which there was a switch from source to sink limitation from N1 to N2 levels.

The calculation as given in Table 4.5 shows no consistent effect of N on either overall source capacity or overall sink growth, but a clear effect of genotypes on the sink response to HNT. Susceptible cultivar Gharib consistently had decreased sink growth under HNT whereas the tolerant cv. N22 and the high-yielding cv. PSBRc4 did not. Such genotypic difference in response to HNT was not found for overall source capacity. The contrast for source and sink from this analysis on single-grain basis, combined with the genotypic differences in spikelets  $m^{-2}$  in response to HNT (Tables 4.1 and 4.2), suggests that breeding programs should focus on selection for the sink related traits to improve rice tolerance to HNT.

## CHAPTER 5

### **High day-time and night-time temperature affect grain growth dynamics in contrasting rice genotypes**

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### Abstract

Rice grain yield and quality are predicted to be highly vulnerable to global warming. Five genotypes including heat-tolerant and susceptible checks, a heat-tolerant near-isogenic line and two hybrids were exposed to control (31°C/23°C [day/night]); HNT (31°C/30°C); HDT (38°C/23°C) and HNNT (38°C/30°C) treatments, for 20 consecutive days during grain-filling stage. Grain-filling dynamics, starch metabolism enzymes, temporal starch accumulation patterns and process of chalk formation were quantified. Compensation between grain-filling rate and duration minimized HNT impact but irreversible impacts on seed-set, grain-filling and ultimately grain weight were recorded with HDT and HNNT. Scanning electron microscopy demonstrated irregular and smaller-sized starch granule formation affecting amyloplast build-up with HDT and HNNT, while a quicker but normal amyloplast build-up was recorded with HNT. Our findings revealed temporal variation in the starch metabolism enzymes in all three stress treatments. Changes in the enzymatic activity did not derail starch accumulation under HNT when assimilates were sufficiently available, while both sucrose unloading and the conversion of sucrose into starch were affected by HDT and HNNT. The findings indicate differential mechanisms leading to heat stress induced loss in yield and quality. Additional genetic improvement is needed to sustain rice productivity and quality under future climate.

**Keywords:** Chalkiness, grain-filling, high day-time temperature, high night-time temperature, rice, starch metabolism enzymes, starch packaging

**Abbreviations:** CWI, cell wall invertase; DAF, days after flowering; HT NIL, heat-tolerant near-isogenic line; HDT, higher day-time temperature; HNT, higher night-time temperature; HNNT, combined higher night-time and day-time temperature; NSC, non-structural carbohydrates; RH, relative humidity; SS, starch synthase; SuSy, sucrose synthase; T<sub>day</sub>, day temperature; T<sub>night</sub>, night temperature; VI, vacuolar invertase; VPD, vapour pressure deficit.

## **5.1 Introduction**

Global mean surface air temperature is predicted to increase by 1.8 to 3.7°C by the end of the 21<sup>st</sup> century, which potentially increases the frequency and magnitude of heat-stress events (IPCC, 2013). Under such climatic scenarios, rice plants are particularly vulnerable to heat stress during developmental periods of grain-filling, leading to substantial reduction in yield and quality (Lobell and Gourdj, 2012; Lyman et al., 2013). For example, in 2010, extremely high temperature after heading significantly deteriorated rice grain quality in many rice growing regions of Japan (Morita et al., 2016). A heat wave with temperatures well over the critical threshold 33°C (Bheemanahalli et al., 2016), i.e. 38°C lasting for 10 to 20 d, contributed to a total estimated 5.18 million tonnes of paddy yield loss in China (Yang and Li, 2005; Tian et al., 2009).

Although an increase in global temperature has been well documented, a greater increase in night-time compared to day-time temperatures has been highlighted recently (Sillmann et al., 2013). This differential increase in day and night temperature will result in reduced diurnal temperature range, which has been shown to affect crop growth and development (Yin et al., 1996; Peng et al., 2004; Bahuguna and Jagadish, 2015). However, it is also reported that high day-time temperatures (HDT) in some of the major tropical rice growing regions are already close to the threshold, beyond which yield begins to decline (Prasad et al., 2006; Wassmann et al., 2009). Additionally, the very first global mapping exercise differentiating vulnerability of rice growing regions to high day and night temperatures, demonstrates regions that could be affected either by HDT, high night-time temperature (HNT) or combined high night-time and day-time temperatures (HNNT) (Laborte et al., 2012). By analysing yields obtained from 227 rice farms in 6 countries across South and Southeast Asia, Welch et al. (2010) pointed out that rice yields were differentially sensitive to increased maximum and minimum temperatures, supporting the above mapping exercise. Further, it is shown that rice genotypes (both inbreds and hybrids) possess different response mechanisms to HNT compared to HDT from previous studies (Shi et al., 2013; Jagadish et al., 2015; Shi et al., 2016; Bahuguna et al., 2017). Hence, substantiating the need to study different responses of rice plants exposed to HDT, HNT and to HNNT stresses in parallel, to determine resilience of rice genotypes to these stresses for sustaining rice production across different geographical regions (Laborte et al., 2012).

Exposure to increasing temperatures under either HDT, HNT or combined HNNT under chamber (Yamakawa et al., 2007; Cao et al., 2016) or field (Shi et al., 2013; Rehmani et al., 2014; Bahuguna et al., 2017) conditions during grain-filling impairs grain growth, leading to poor seed-set and reduced single-grain weight. Changes in single-grain weight are often attributed to reduced carbohydrate supply (Dong et al., 2014) and altered starch metabolism enzymes (Bahuguna et al., 2017). Exposure to heat stress during grain-filling also brings about poor grain quality, for example increased chalkiness of the grains (Ishimaru et al., 2009; Lanning et al., 2011). Chalk formation in rice grain is a result of loosely packed starch granules leading to air spaces between amyloplasts (Ashida et al., 2009), which could result in a higher percentage of broken grains and significantly lower the market value of the rice grain (Lyman et al., 2013; Zhao and Fitzgerald, 2013). Chalky grains are usually classified into milky-white, basal-white, white-back and white-belly, based on the location of the chalk formation in the grain (Wada et al., 2015). Determining the type and location of chalk formation is crucial, particularly under stressed conditions (Lyman et al., 2013). Despite the importance, comparative responses of rice to HDT and HNT independently, and to combined HDT and HNT, affecting grain growth and starch packing over time and chalk formation have not been systematically investigated. Hence a better understanding of the differential responses of HDT and HNT is needed to refine ongoing approaches towards developing heat-stress resistant rice cultivars.

Recent progress in improving heat tolerance in rice during flowering has resulted in fine mapping of an effective QTL (quantitative trait locus) on chromosome 4 (*qHTSF4.1*), which increased spikelet fertility by 15% at 38 °C compared to its susceptible parent IR64 (Ye et al., 2015). Both IR64 and its heat tolerant near-isogenic line (HT NIL) introgressed with *qHTSF4.1* (Ye et al., 2015) were tested to assess if the beneficial impact of heat tolerance observed during anthesis in the NIL could also reduce the impact of post-flowering heat damage. Hence in our study, IR64, HT NIL in IR64 background, and the known heat-tolerant *aus* type N22 (Jagadish et al., 2010), together with two hybrids, were exposed to post-flowering heat stress to address the following objectives: (i) to compare the differential impact of HDT, HNT and their combination (HNNT) on parameters related to grain growth and development; (ii) to test if the known HDT tolerant NIL in IR64 background has a positive influence on maintaining grain quality under stress; and (iii) to determine the impact of HNT, HDT and HNNT on key starch metabolizing enzymes and their influence on starch packaging in developing rice grains.

## **5.2 Materials and methods**

### **5.2.1 Plant material and experimental set-up**

Five rice genotypes, Nagina 22 [N22] (heat tolerant), IR64 (heat susceptible), heat tolerant IR64 near-isogenic line (HTNIL) (Ye et al., 2012) and two hybrids (H2 [private company hybrid]) and H5 [International Rice Research Institute (IRRI) hybrid breeding programme] – numbering of hybrids based on Shi et al., 2016 for ease of comparison across studies) were used. The two hybrids were selected based on their higher relative difference in seed-set (H2) and grain weight (H5) under HNT exposure (Shi et al., 2016) and also to represent the private and public breeding products.

Dormancy of the seeds was broken by exposing seeds to 50°C for 3 days and pre-soaked seeds were sown in seeding trays. One 14-day-old seedling was transplanted into 7-liter plastic pots (23 cm diameter and 25 cm height) containing 6 kg clay loam soil. Basal fertilizer of 2.0 g ammonium sulfate  $[(\text{NH}_4)_2\text{SO}_4]$ , 1.0 g single superphosphate (SSP), and 1.0 g muriate of potash (KCl) was applied to each pot. An additional 2.0 g  $(\text{NH}_4)_2\text{SO}_4$  was top-dressed at 25 days after transplanting. The study was conducted at the IRRI, Los Baños (14°11'N, 121°15'E, 21 m asl), Philippines. Plants were grown in pots in a naturally-lit greenhouse until flowering, and were then moved to controlled-environment walk-in chambers where plants were subject to various temperature treatments (see next section). All pots were maintained under flooded condition from transplanting to harvest to avoid water stress. No major pests and diseases were noticed during the experiment.

### **5.2.2 Temperature treatments and growth chamber conditions**

At the onset of flowering of the main and/or primary tillers from each plant, the flowering spikelets from the top portion of the panicle (located on upper primary rachis branches) were marked (i) to collect samples of developing grains, temporally having the day of flowering as the common reference across genotypes and treatments and (ii) to avoid collecting samples which would confound findings due to the known gradient in grain developmental differences from top (superior spikelets) towards the bottom (inferior spikelets) portion of a panicle (Yang and Zhang, 2010). Use of only the superior spikelets will allow to test if assimilate supply is the major factor leading to lower single-grain weight and poor quality under exposure to heat stress. The following day after marking, 50 pots (one plant per pot) per genotype per temperature treatment were moved into large walk-in growth chambers (3.3 m ×

3.2 m × 2.7 m; 10.6 m<sup>2</sup> area) programmed to induce temperature treatments. The temperature treatments were randomly assigned to four independent chambers and plants were randomly arranged in a chamber. Each chamber was fitted with six independent units of 1 kW high-intensity discharge lamps, providing photosynthetic photon flux density of  $\geq 650 \mu\text{mol m}^{-2} \text{s}^{-1}$  at plant canopy for 11 h and  $215 \mu\text{mol m}^{-2} \text{s}^{-1}$  for 2 h during 05:00-06:00 h and 17:00-18:00 h, providing a total photoperiod of 13 h day<sup>-1</sup>. Relative humidity (RH) in the chambers was set at 70%. Plants were exposed to control temperature (Control, day/night, 31°C/23°C), high day-time temperature (HDT, 38°C/23°C), high night-time temperature (HNT, 31°C/30°C), and combined high night-time and day-time temperatures (HNDDT, 38°C/30°C). The high day-time temperature of 38°C was maintained from 08:30 h to 14:30 h for 6 h while night-time temperature exposure of 30°C was for 11 h from 18:00 h to 05:00 h (in order to obtain the short period of heat spikes during the day versus the long period of warmer nights with less fluctuation, replicating field conditions in tropical rice growing regions. The other hours (14:30-18:00 and 05:00-08:30) in a diurnal cycle were the gradual temperature change-over periods. In addition, the night temperature as observed in our earlier studies does not follow a sinusoidal pattern as the day temperature and the conditions are maintained relatively similarly over the entire night, further supporting our temperature treatment structure. Temperature treatments were imposed for 20 consecutive days after flowering, a period identified to be determining grain weight and its quality in rice (Gong et al., 2013; Ishimaru et al., 2003). For our experiment, these 20 days covered almost the entire grain-filling duration (see Results). After the treatment, the plants were transferred back into the greenhouse till physiological maturity under the natural condition where the temperature recorded during hours similar to the stress duration (08:30– 14:30 h) were 31.5°C (SD = 1.6°C) during day-time and 25.4°C (SD = 1.0°C) at night-time (18:00– 05:00 h). It took 5 to 8 days for N22 and 10 to 12 days for the other genotypes after the stress was released to reach physiological maturity. Temperature and RH were continuously monitored at 15-min intervals at plant level (about 1.3 to 1.5 m from the ground surface) inside the chambers by using MINCER (Micrometeorological Instrument for Near Canopy Environment of Rice, developed by the National Institute of Agrobiological Sciences, Japan; Yoshimoto et al., 2012). All actual temperatures, RH and vapor pressure deficit (VPD) in all walk-in growth chambers during experiments period are included in Table A5.1. The VPD was calculated by using the equation presented in the website <http://cronklab.wikidot.com/calculation-of-vapour-pressure-deficit>.

### 5.2.3 Grain development measurements

About 30 to 50 spikelets that flowered on the same day for each treatment were randomly collected on 2-day intervals until the end of the treatments (10 time points) and at physiological maturity for estimating multiple parameters that characterize grain-filling. Instead of repeatedly sampling from the same set of plants that is expected to generate a confounding effect on source-sink relationships, we sampled spikelets at various time points from independent replicate plants. All fertile spikelets that formed grains were counted and weighed after oven-drying at 70°C until constant dry weight was reached. The observed single-grain dry weight ( $W$ ) and days after flowering ( $t$ ) were used to fit the determinate sigmoid growth equation as described in detail in Yin et al. (2003, 2009) and used in Shi et al. (2017), to describe the temporal dynamics of single-grain growth

$$W = \begin{cases} W_b + (W_{\max} - W_b) \left(1 + \frac{t_e - t}{t_e - t_m}\right) \left(\frac{t - t_b}{t_e - t_b}\right)^{\frac{t_e - t_b}{t_e - t_m}} & \text{if } t_b \leq t \leq t_e \\ W_{\max} & \text{if } t > t_e \end{cases} \quad (1)$$

where initial grain weight  $W_b$  is the grain weight at the time  $t_b$  when growth of grain begins,  $W_{\max}$  is the maximum value of single-grain weight which is achieved at the end of grain growth ( $t_e$ ). The mean grain-filling rate ( $\bar{C}$ ) is calculated from  $\bar{C} = (W_{\max} - W_b)/(t_e - t_b)$ , while the maximum grain-filling rate  $C_m$ , which is achieved at the time of the maximum growth rate ( $t_m$ ), is calculated by

$$C_m = (W_{\max} - W_b) \left[ \frac{2t_e - t_m - t_b}{(t_e - t_b)(t_e - t_m)} \right] \left( \frac{t_m - t_b}{t_e - t_b} \right)^{\frac{t_m - t_b}{t_e - t_m}} \quad (2)$$

At physiological maturity, the final set of marked grains were collected and evaluated individually. Partially filled grains with incomplete grain-filling (Shi et al., 2015), filled and unfilled grains were counted separately. Seed-set was determined by the number of fully filled and half-filled grains divided by the total number of marked grains. Dry weight of filled grains was obtained after oven-drying at 70°C for 3 days.

### 5.2.4 Enzyme assays and biochemical characterization

Grains at 5, 10, and 15 days after flowering (DAF) were collected consistently at the same time across sampling dates. Specifically, samples of grains for HNT and those for the respective control were collected at 4 a.m. (i.e. towards the end of the night-time stress exposure), while for HDT, HNNT and control treatments grain samples were collected at 2

p.m. (i.e. towards the end of the day-time treatment). The samples were immediately submerged in liquid nitrogen and stored at  $-80^{\circ}\text{C}$  for subsequent enzyme assays. Activities of four key enzymes involved in sucrose-to-starch conversion (cell wall invertase, vacuolar invertase, sucrose synthase and soluble starch synthase) were determined. All chemicals and enzymes used for enzyme estimation were from Sigma Chemical Company (St Louis, MO, USA). We followed exactly the same protocol for enzyme extraction and activity assay as described in Bahuguna et al. (2017). Enzyme activity was expressed in nanomoles per milligram protein per hour for sucrose synthase and nanomoles per milligram protein per minute for others (detailed information on methodology provided in information of Appendix).

Grains at 5, 10, and 15 DAF and at physiological maturity were obtained to assess non-structural carbohydrates (NSC) content. Briefly, 0.1 g of finely ground grain samples were extracted with 7 ml of ethanol (80% v/v) at  $85^{\circ}\text{C}$  for 10 min for three times. The supernatant was transferred after centrifugation and total volume was adjusted to 25 ml by combining all supernatants from washed pellet and also the 80% ethanol. Then soluble sugar content was measured by using anthrone reagent as described in Yoshida et al. (1976). The remaining residue was dried in the oven for 24 h. Then, 2 ml of water was added into the dried residue before placing the tubes in a boiling-water bath for 15 min. After ice cooling, 2 ml of 9.2 N  $\text{HClO}_4$  was added and the tubes were stirred occasionally for 15 min. The suspension was adjusted to 6 ml by adding water and then the supernatant was transferred after centrifugation. These steps were repeated by adding 2 ml of 4.6 N  $\text{HClO}_4$  and water to wash the residue, respectively. All supernatants were combined and the total volume was adjusted to 50 ml with water. The starch content was read by a colorimetric method with anthrone reagent at 630 nm (Yoshida et al., 1976).

### 5.2.5 Observation of chalkiness

To observe the endosperm structure of the developing grains, grains were collected at 5, 10, and 15 DAF for each of the four treatments and were carefully divided into halves by using the edge of a sharp razor blade to create natural fracture surfaces (Cao et al., 2016) to obtain a cross section of the grains. The separated halves were fixed on aluminium specimen stubs using a double-sided tape, and the specimen's surface was coated with gold using an ion sputtering device (JFC-1100E, JEOL, Tokyo, Japan) under vacuum. Then the samples were observed and photographed with a scanning electron microscope (XL-30, Philips, The

Netherlands). At maturity, fully matured grains were collected for grain appearance evaluation: the hulled grains were viewed visually and classified into transparent, milky-white/white-cored, white-belly, white-back, and opaque kernels according to the classification of Tsukaguchi and Iida (2008).

### **5.2.6 Statistical analysis**

The data obtained for seed-set, single-grain weight, enzyme activities, NSC content and chalkiness were analyzed as a completely randomized design following ANOVA using GenStat 16ED (Rothamsted Experimental Station, Harpenden, UK), and the mean values were compared based on the least significant difference (LSD) test at a 5% probability level. The curve fitting of equation (1) was carried out using the least-squares nonlinear regression with the GAUSS method in PROCNLIN of SAS (SAS Institute Inc., Cary, NC, USA), and mean and maximum grain-filling rates ( $\bar{C}$  and  $C_m$ ) were calculated thereof.

## **5.3 Results**

### **5.3.1 Seed-set**

A significant genotype  $\times$  treatment ( $P < 0.001$ ) effect was recorded for seed-set based on the marked spikelets (Table 5.1). There was a significant reduction in the seed-set percentage under HNT exposure only in IR64 (7.7%), while HT NIL and both hybrids behaved similar to the heat tolerant N22. In contrast, seed-set was significantly reduced in all genotypes with HDT except in HT NIL, with least reduction in HT NIL (3%) and the highest in IR64 (17%). HNDT exposure led to significant reduction in seed-set across all tested genotypes compared with the control, with least reduction in H5 and N22 (5 to 6%) while the other three genotypes recorded reductions of 10 to 12%. To test the relative importance of day-time temperature ( $T_{day}$ ) and night-time temperature ( $T_{night}$ ), as well as their interaction ( $T_{day} \times T_{night}$ ), regression analysis was carried out and the results are included in the Tables A5.2 and A5.3. Across five genotypes,  $T_{day}$  was more damaging than  $T_{night}$  for seed-set as absolute values of the negative coefficients of  $T_{day}$  were larger than those of  $T_{night}$  (Table A5.2). Besides, the relative impact of  $T_{day}$  over  $T_{night}$  depended on genotypes, there was a tendency that the difference between the coefficients of  $T_{day}$  and  $T_{night}$  was smaller in HT NIL than in the other genotypes, suggesting the difference in sensitivity to  $T_{day}$  and  $T_{night}$  was smaller in heat tolerant NIL genotype. On the other hand, IR64 and H5 showed a significant  $T_{day} \times T_{night}$  interaction ( $P < 0.05$ ) for seed-set (Table A5.3).

**Table 5.1** Seed-set and single-grain weight of filled grains of five rice genotypes exposed to control temperature (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C), or combined higher night-time and day-time temperature (HNNT-38°C/30°C) during grain filling for 20 consecutive days.

Genotype	Seed-set (%)				Single-grain weight (mg grain <sup>-1</sup> )				
	Control	HNT	HDT	HNNT	Control	HNT	HDT	HNNT	
N22	96.3 ± 0.7	95.2 ± 0.9	88.8 ± 2.9	90.9 ± 1.7	17.1 ± 0.2	17.2 ± 0.2	15.0 ± 0.6	12.9 ± 0.6	
IR64	94.9 ± 1.2	87.6 ± 1.3	79.1 ± 2.8	83.3 ± 1.7	23.3 ± 0.1	23.4 ± 0.1	17.5 ± 0.6	14.2 ± 0.3	
HT NIL	94.5 ± 1.1	92.3 ± 1.0	91.2 ± 1.8	84.8 ± 4.6	25.2 ± 0.2	25.0 ± 0.1	21.2 ± 0.6	20.2 ± 0.9	
H2	92.6 ± 1.6	92.3 ± 1.3	87.9 ± 1.7	82.7 ± 1.7	20.6 ± 0.2	20.5 ± 0.5	17.5 ± 0.3	13.4 ± 0.3	
H5	88.4 ± 1.0	86.5 ± 0.9	78.6 ± 2.0	83.9 ± 1.8	22.3 ± 0.2	22.2 ± 0.3	20.7 ± 0.2	17.7 ± 0.4	
Genotype (G)		1.9***				0.3***			
Treatment (T)		1.7***				0.3***			
G × T		3.7***				0.7***			

Mean value ± standard error of the mean.

LSD (least significant difference) followed by \*\*\* indicating significance at 0.1%.

### 5.3.2 Grain-filling parameters and single-grain weight

We used equation (1) to fit data on the time course of grain-filling. In line with the use of equation (1) by Yin et al. (2009), we set flowering as the starting reference point, i.e., set  $t_b = 0$  as the onset time of grain-filling, and let the model to fit parameters  $W_b$ ,  $W_{max}$ ,  $t_m$  and  $t_e$ . Variations in grain-filling parameters were effectively estimated using the model ( $R^2 = 0.93$ - $0.99$ ) across all genotypes and treatments (Table 5.2), as confirmed by the result that the estimated  $W_{max}$  values (Table 5.2) were essentially the same as the observed mean grain weight (Table 5.1). Using these estimates, maximum ( $C_m$ , equation (2)) and mean ( $\bar{C}$ ) grain-filling rates were calculated (Table 5.2).

Across all genotypes, the maximum ( $C_m$ ) and mean ( $\bar{C}$ ) grain-filling rates were higher with HNT than with the control, whereas the time taken to reach the maximum grain-filling rate ( $t_m$ ) and total grain-filling duration ( $t_e$ ) were shortened by HNT compared with the control. Thus, the combination of an increased grain-filling rate ( $\bar{C}$  increased by 1.1% - 35.6%) and shortened total grain-filling duration ( $t_e$  decreased by 4.1% - 25.4%) did not result in a lower final single-grain weight under HNT compared with the control condition (Table 5.1), indicating compensation of reduced grain-filling duration by increased rate of filling. Comparatively, the  $C_m$  and  $\bar{C}$  of the five genotypes were largely decreased with HDT

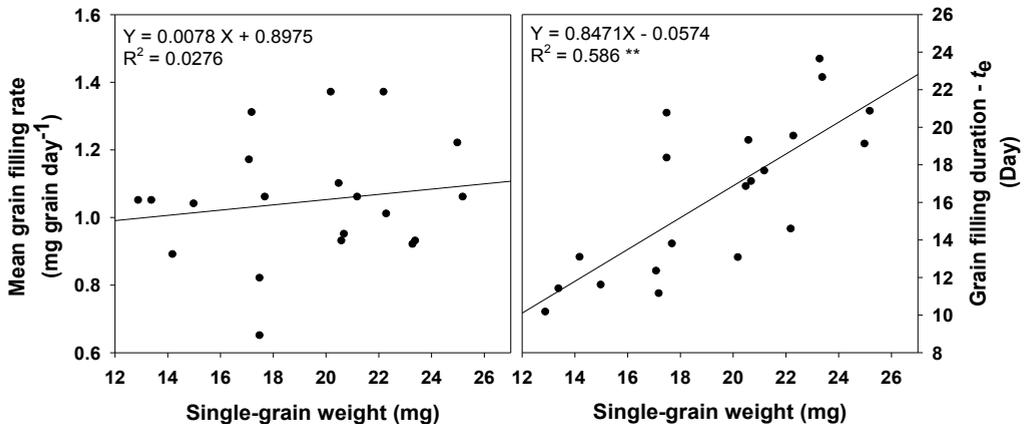
**Table 5.2** Grain-filling parameter values (standard error of the estimate in parenthesis) derived through equation (1) for five rice genotypes exposed to control (31 °C/23 °C (day/night)), high night-time temperature (HNT-31 °C/30 °C), high day-time temperature (HDT-38 °C/23 °C) or combined high night-time and day-time temperature (HNDDT-38 °C/30 °C) at grain filling for 20 days after flowering.

Genotype	Treatment	$W_{max}$ (mg grain <sup>-1</sup> )	$W_b$ (mg grain <sup>-1</sup> )	$t_m$ (day)	$t_e$ (day)	$C_m$ (mg grain <sup>-1</sup> day <sup>-1</sup> )	$\bar{C}$ (mg grain <sup>-1</sup> day <sup>-1</sup> )	R <sup>2</sup>
N22	Control	16.78 (0.17)	2.32 (0.36)	7.68 (0.28)	12.33 (0.41)	1.96	1.17	0.98
	HNT	16.74 (0.14)	2.20 (0.37)	5.89 (0.32)	11.13 (0.36)	2.00	1.31	0.99
	HDT	14.27 (0.20)	2.27 (0.43)	7.11 (0.39)	11.59 (0.52)	1.71	1.04	0.97
	HNDDT	13.10 (0.22)	2.43 (0.59)	5.87 (0.56)	10.15 (0.77)	1.67	1.05	0.93
IR64	Control	23.73 (0.68)	2.09 (0.75)	8.11 (1.37)	23.62 (1.61)	1.32	0.92	0.97
	HNT	23.10 (0.56)	1.94 (0.72)	6.10 (1.44)	22.64 (1.48)	1.37	0.93	0.97
	HDT	16.42 (0.35)	2.87 (0.36)	9.93 (0.68)	20.74 (1.07)	0.97	0.65	0.98
	HNDDT	14.41 (0.23)	2.82 (0.52)	7.23 (0.65)	13.07 (0.85)	1.38	0.89	0.95
HT NIL	Control	24.23 (0.45)	2.21 (0.45)	10.03 (0.53)	20.84 (0.84)	1.57	1.06	0.99
	HNT	24.40 (0.58)	1.05 (1.18)	4.75 (1.86)	19.10 (1.56)	1.80	1.22	0.96
	HDT	21.56 (0.28)	2.78 (0.39)	9.53 (0.40)	17.66 (0.62)	1.64	1.06	0.99
	HNDDT	21.15 (0.20)	3.24 (0.45)	7.45 (0.34)	13.05 (0.48)	2.17	1.37	0.98
H2	Control	20.10 (0.29)	2.20 (0.39)	8.90 (0.54)	19.29 (0.75)	1.37	0.93	0.99
	HNT	20.51 (0.24)	2.08 (0.50)	6.59 (0.70)	16.83 (0.72)	1.58	1.10	0.98
	HDT	17.28 (0.34)	2.26 (0.47)	9.26 (0.68)	18.35 (0.99)	1.23	0.82	0.97
	HNDDT	14.62 (0.23)	2.62 (0.51)	6.86 (0.47)	11.39 (0.60)	1.72	1.05	0.95
H5	Control	21.99 (0.43)	2.23 (0.50)	9.92 (0.57)	19.52 (0.90)	1.53	1.01	0.98
	HNT	21.99 (0.23)	2.02 (0.49)	7.32 (0.43)	14.57 (0.52)	2.06	1.37	0.99
	HDT	19.21 (0.35)	2.94 (0.49)	10.43 (0.49)	17.10 (0.80)	1.57	0.95	0.98
	HNDDT	17.18 (0.15)	2.55 (0.32)	7.38 (0.34)	13.78 (0.45)	1.63	1.06	0.99

$W_{max}$ , the maximum value of grain weight;  $W_b$ , initial grain weight;  $t_m$ , time when the maximum growth rate is achieved;  $t_e$ , the time at which the maximum of grain weight is reached;  $C_m$ , the maximum grain-filling rate;  $\bar{C}$ , mean grain-filling rate.

compared with the control treatment, except for an increase in  $C_m$  in HT NIL and H5 (Table 5.2), as well as no change in  $\bar{C}$  of HT NIL. Additionally, HDT reduced grain-filling duration ( $t_c$ ) compared with control condition which resulted in the reduction of single-grain weight in all genotypes (Table 5.1).

Four out of the five genotypes recorded higher  $C_m$  upon exposure to HNDT compared with control conditions; the exception was N22. The mean grain-filling rate ( $\bar{C}$ ) was decreased in N22 and IR64, with an increase in H5 and H2 and a very strong increase in HT NIL. Across all five genotypes, time taken to reach the maximum grain-filling rate and grain-filling duration were largely shortened under HNDT compared with control. Moreover, there was a strong reduction in total grain-filling duration of all genotypes under HNDT (21.3% - 37.1%) compared with a smaller and more variable reduction with HNT (4.1% - 25.4%) and a similarly reduction under HDT (4.9% -15.3%) exposure. Therefore, the final single-grain weight under HNDT was the lowest compared with other treatments and the impact was the same for all five genotypes (Table 5.1). Single-grain weight was significantly and positively correlated with total grain-filling duration ( $t_c$ ), with a non-significant positive relationship between single-grain weight and mean grain-filling rate (Figure 5.1).



**Figure 5.1** The relationship of mean grain filling rate or grain filling duration ( $t_c$  – the time taken to reach maximum grain weight) and final single-grain weight across five genotypes grown at control (31°C/23°C (day/night)), high night-time temperature (HNT-31°C/30°C), high day-time temperature (HDT-38°C/23°C) or combined high night-time and day-time temperature (HNDT-38°C/30°C) at grain filling for 20 days after flowering.

Regarding the Tday and Tnight effects on single-grain weight, Tday had greater impact than Tnight (Table A5.2). Even though four out of five genotypes (except HT NIL) had significant interaction between Tday and Tnight, the interaction was significant at a very high probability level for single-grain weight ( $P < 0.001$ , Table A5.3). This suggests that although Tday was dominant, Tnight interacts with Tday in determining grain weight, in all tested genotypes except HT NIL.

### **5.3.3 Sink-related enzymatic activity**

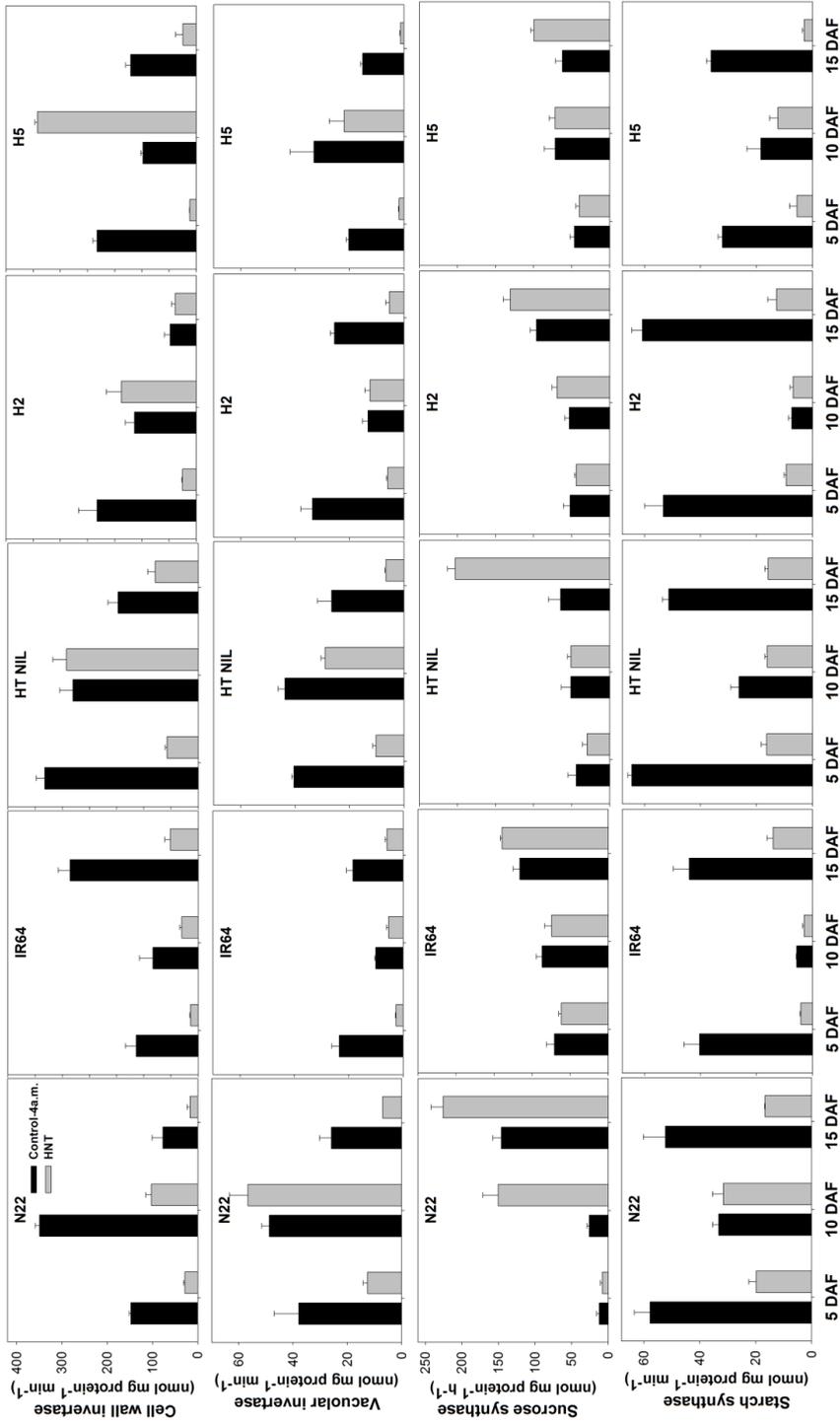
A significant genotype  $\times$  treatment  $\times$  stage effect ( $P < 0.001$ ) was observed for cell wall invertase (CWI) activity of grains taken from control and HNT at 4 a.m. (Table A5.4). Under HNT exposure, CWI activity was significantly reduced across genotypes and stages, except for non-significant changes in HT NIL at 10 DAF, and H2 at both 10 and 15 DAF, and a significant increase in H5 at 10 DAF (Figure 5.2). Although not significant, a similar increasing trend in CWI was seen in HT NIL and H2 at 10 DAF. A significant genotype  $\times$  stage ( $P < 0.001$ ) and treatment  $\times$  stage ( $P < 0.001$ ) effect was observed for vacuolar invertase (VI) activity. HNT reduced the VI activity in the grains with the highest reduction recorded at 5 (61% to 91%) and 15 (68% to 92%) DAF, but less reduction at 10 DAF (5% to 47%) or even an increase in N22. For sucrose synthase (SuSy) activity, a significant genotype  $\times$  treatment  $\times$  stage effect ( $P < 0.001$ ) was observed. HNT did not induce significant changes in the grains at 5 DAF and 10 DAF except for N22 at 10 DAF. In contrast, SuSy activity was significantly increased in the grains sampled at 15 DAF for all genotypes under HNT exposure, with HT NIL recording the highest increase (214%). Significant genotype  $\times$  treatment ( $P < 0.05$ ), genotype  $\times$  stage ( $P < 0.001$ ) and treatment  $\times$  stage ( $P < 0.001$ ) effects were observed for starch synthase (SS) activity. HNT significantly decreased SS activity across all five genotypes and at three different grain growth stages, except for N22, IR64 and H2 at 10 DAF. Moreover, the largest reduction was observed at 5 DAF (66% to 90%) and 15 DAF (68% to 91%) in SS activity in all genotypes while there was only a reduction of 5% to 47% at 10 DAF.

A significant genotype  $\times$  treatment  $\times$  stage effect ( $P < 0.001$ ) was observed for CWI activity of the grains taken from control, HDT and HNNT treatments at 2 p.m. (Table A5.4). Grains had lower CWI activity at 5 DAF which was further reduced when they were exposed to HDT and HNNT compared with the control, except for N22, IR64 and H2 with relatively higher CWI activity at HDT compared with the control (Figure 5.3). Furthermore, the

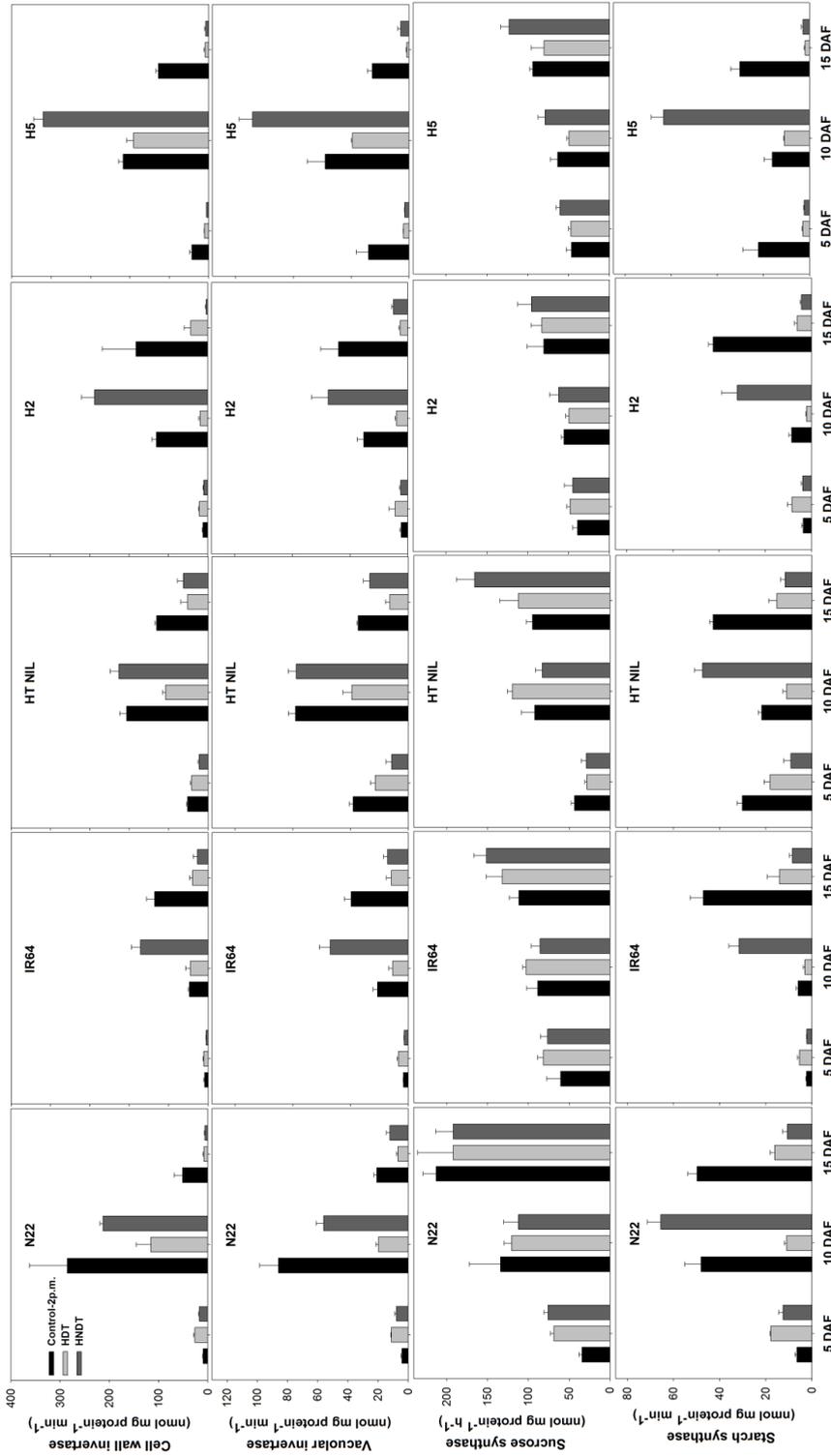
reduction in CWI activity under both HDT and HNNT at 15 DAF was highly significant and consistent across all five genotypes. In comparison, CWI activity at 10 DAF was decreased under HDT compared with the control treatment while it increased under HNNT except for genotype IR64. A significant genotype  $\times$  treatment  $\times$  stage effect ( $P < 0.001$ ) was also observed for VI activity in the grains sampled from control, HDT and HNNT treatments at 2 p.m. HDT induced a reduction in VI activity across all genotypes and three stages except for N22, IR64 and H2 at 5 DAF. In contrast, HNNT reduced VI activity at 5 and 15 DAF while there was an increase in VI activity at 10 DAF under HNNT compared to control in all genotypes except N22. A significant genotype  $\times$  stage effect ( $P < 0.001$ ) was recorded for SuSy activity of the grains sampled from control, HDT and HNNT treatments at 2 p.m. (Table A5.4). Thus, changes in Susy activity depended on genotype in both HDT and HNNT conditions, but its activity tended to increase when grain growth progressed. For SS activity, a significant genotype  $\times$  treatment  $\times$  stage effect ( $P < 0.001$ ) was also observed for grains sampled from control, HDT and HNNT treatments at 2 p.m. Under HDT, SS activity was significantly reduced across all genotypes and three grain growth stages except for a slight increase in N22, IR64 and H2 at 5 DAF. In contrast, the activity of SS was significantly lower at 5 DAF and 15 DAF when exposed to HNNT although no significant changes were recorded in N22, IR64 and H2 at 5 DAF, while its activity at 10 DAF was significantly higher across all genotypes under HNNT exposure.

### 5.3.4 Content of non-structural carbohydrates

A significant genotype  $\times$  treatment  $\times$  stage effect was observed for the NSC content in the grains (Figure 5.4). The faster grain-filling rate of grains when exposed to HNT was supported by higher NSC content with grains exposed to HNT than with grains that developed under control conditions in all genotypes at 5, 10 and 15 DAF, with NSC content under HNT exposure being close to control treatment at final maturity. Under HDT conditions, the NSC content did not change significantly in all genotypes at 5 DAF while it was significantly lower than under control conditions at 10 DAF, 15 DAF and maturity across all genotypes except for the non-significant effect in N22 and HT NIL at 10 DAF. For the HNNT treatment, differences among genotypes and stages were observed in the NSC content. In all genotypes, grains grown under HNNT showed higher NSC content than those grown under control conditions at 5 DAF. At 10 DAF, significantly higher NSC content was only observed in HT NIL and H2, while the other genotypes had lower NSC content than the control. After that (at



**Figure 5.2** Cell wall invertase, vacuolar invertase, sucrose synthase and starch synthase in grains taken at 4 a.m. on 5, 10, 15 days after flowering (DAF) in five rice genotypes exposed to control (31 °C/23 °C (day/night)) and high night-time temperature (HNT-31 °C/30 °C) at grain filling stage lasting 20 days after flowering.



**Figure 5.3** Cell wall invertase, vacuolar invertase, sucrose synthase and starch synthase in grains taken at 4 a.m. on 5, 10, 15 days after flowering (DAF) in five rice genotypes exposed to control (31°C/23°C (day/night)), high day-time temperature (HDT-38°C/23°C) or combined high night-time and day-time temperature (HNDT-38°C/30°C) at grain filling stage lasting 20 days after flowering.

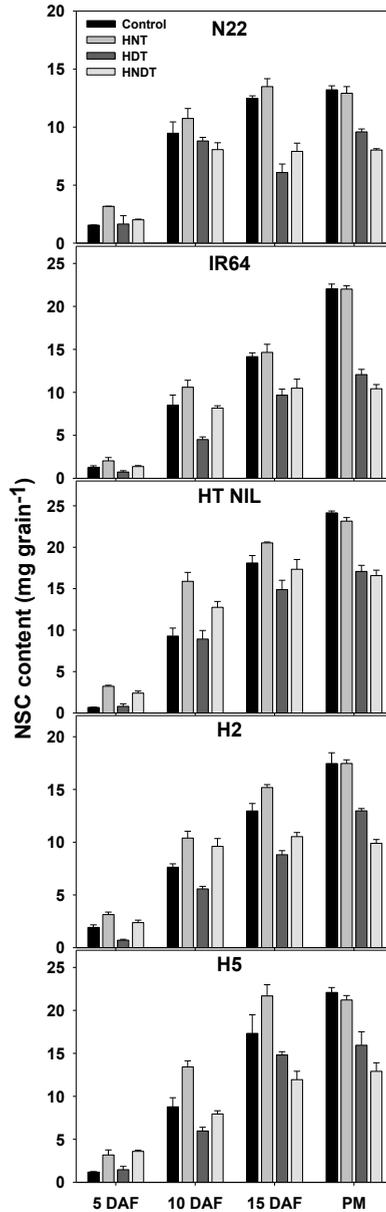
15 DAF and maturity), the NSC content under HNNT was significantly lower than for the control in all five genotypes.

### **5.3.5 Development of amyloplasts**

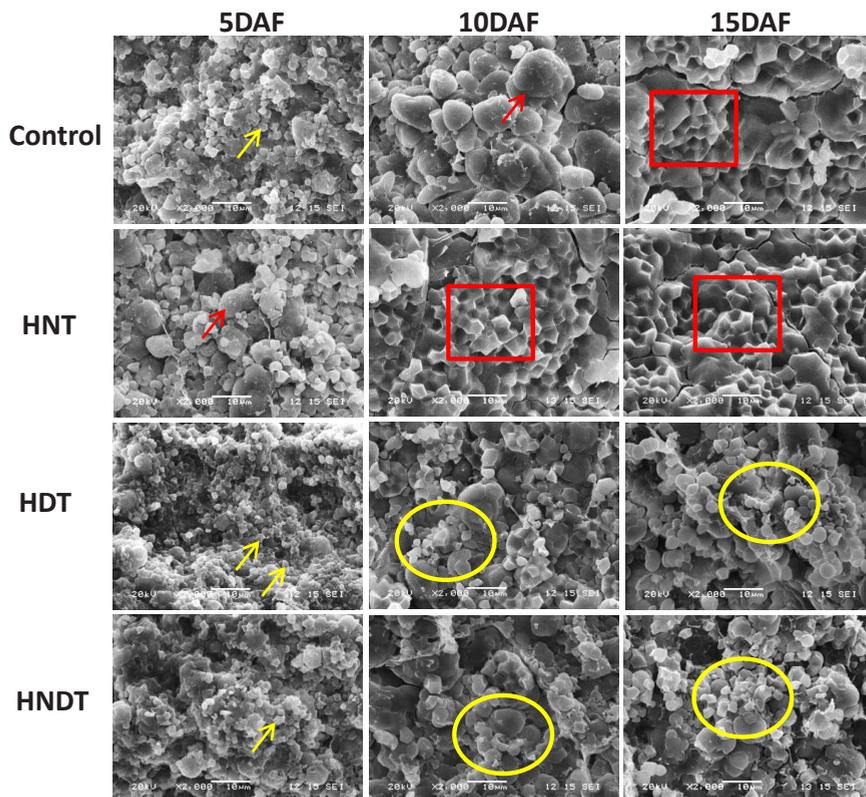
To understand the effects of heat stress on the development of the endosperm, transverse sections of the central part of the grains were analysed under a scanning electron microscope (Figure 5.5 and Figures A5.1, A5.2, A5.3). At 5 DAF, small starch granules had developed in the rice endosperm across all genotypes and four treatments. Besides, starch granules began packaging into amyloplasts, particularly in the grains exposed to HNT, indicating that the grain-filling process in this treatment was more advanced than in the control, HDT and HNNT treatments while there were no obvious differences in the starch granules under both HDT and HNNT condition. Starting at 10 DAF, amyloplasts were compounded and tightly packed with numerous well-developed (polygonal shape) starch granules in developing grains under HNT exposure in all genotypes, while this phenomenon was only observed in N22 and H2 under control conditions (Figure A5.2). In contrast, the starch granules in grains exposed to HDT and HNNT were poorly developed (round shape together with heterogeneous size) and single, that is to say not all starch granules participated in the packing process towards amyloplast development. In addition, large airspaces were observed between amyloplasts or the individual starch granules in the grains exposed to HDT and HNNT. Thus, SEM results illustrated poor development of starch granules in the grains exposed to HDT and HNNT conditions which could have resulted in lower single-grain weight and poor grain quality, i.e. the formation of chalk.

### **5.3.6 Chalkiness**

To ascertain the heat-stress impacts on the occurrence of different types of chalky kernels, the grains harvested at maturity were hulled manually and assessed visually. Percentage of various types of chalk kernels was examined per treatment for each genotype (Table 5.3). Many grains were found with a large chalky part around the core, indicating either milky-white or white-core chalkiness, and were grouped into one category. A significant genotype  $\times$  treatment effect was observed for the different types of chalkiness. More than 84% of the control grains were grouped into the transparent type across the five genotypes. However, HNT treatment significantly increased the percentage of white-belly grains (31.8% to 67.0%) in all genotypes and significantly induced an increase in proportion of grains with milky or



**Figure 5.4** Non-structural carbohydrates (NSC) content in grains at 5, 10, 15 days after flowering (DAF) and physiological maturity (PM) in five rice genotypes exposed to control (31°C/23°C (day/night)), high night-time temperature (HNT-31°C/30°C), high daytime temperature (HDT-38°C/23°C) or combined high night-time and day-time temperature (HNDT-38°C/30°C) at grain-filling stage for 20 days after flowering. ANOVA results (values are least significant difference following by the significance level (\*\*\*)  $P < 0.001$ ) were: genotype (G): 0.44\*\*\*, Treatment (T): 0.39\*\*\*, Stage (S): 0.39\*\*\*, G×T: 0.88\*\*\*, G×S: 0.88\*\*\*, T×S: 0.79\*\*\*, G×T×S: 1.76\*\*\*.



**Figure 5.5** Scanning electron microscopic observation of the transverse section of the central part of the developing grains collected at 5, 10, 15 days after flowering (DAF) in IR64 exposed to control (31°C/23°C (day/night)), high night-time temperature (HNT-31°C/30°C), high day-time temperature (HDT-38°C/23°C) or combined high night-time and day-time temperature (HNNT-38°C/30°C) at grain-filling stage for 20 days after flowering. Magnification = ×2,000. Yellow arrows indicate the single granules. Red arrows indicate the single granules grouping into amyloplast. Red rectangle indicates the polygonal shape of starch granules grouping into amyloplast without airspaces. Yellow elliptical ring shows poorly developed amyloplasts together with the individual round shape and heterogeneous size of starch granules with large airspaces.

white-core grains in N22 and H2. In contrast, HDT and HNNT substantially increased the chalkiness, as the proportions of milky-white or white-core grains were suddenly increased up to 72.6 % to 91.7% ( $P < 0.001$ ) which accounted for the largest proportion and opaque (fully chalky) kernels which went up ( $P < 0.001$ ) to the second largest proportion under both the HDT and HNNT conditions. In summary, all high-temperature treatments resulted in a significant increase in chalkiness of the grains, but with HNT mainly resulting in white-belly

**Table 5.3** Total number of grains used for chalkiness observation and the percentage of each type of chalk grains in five rice genotypes exposed to control (31°C/23°C (day/night)), high night-time temperature (HNT-31°C/30°C), high day-time temperature (HDT-38°C/23°C), or combined high night-time and day-time temperature (HNDDT-38°C/30°C) at grain filling lasting 20 days after flowering.

Genotype	Treatment	No. of grains observed	Transparent grain (%)	Milky-white & white-core (%)	White-belly (%)	Opaque kernels (%)
N22	Control	106	92.8 ± 1.0	1.4 ± 1.4	5.2 ± 1.3	0.0 ± 0.0
	HNT	145	34.4 ± 2.8	16.5 ± 2.8	45.8 ± 4.5	0.0 ± 0.0
	HDT	143	0.7 ± 0.7	88.3 ± 3.1	0.0 ± 0.0	11.0 ± 2.8
	HNDDT	137	0.7 ± 0.7	87.0 ± 1.5	0.0 ± 0.0	12.3 ± 1.2
IR64	Control	173	88.5 ± 1.2	7.5 ± 1.5	2.9 ± 0.5	0.0 ± 0.0
	HNT	183	59.6 ± 0.6	5.9 ± 2.2	31.8 ± 3.3	2.7 ± 0.5
	HDT	194	0.5 ± 0.5	90.7 ± 0.8	0.0 ± 0.0	8.8 ± 0.6
	HNDDT	116	0.0 ± 0.0	84.5 ± 1.9	0.0 ± 0.0	15.5 ± 1.9
HT NIL	Control	144	86.5 ± 2.1	0.0 ± 0.0	11.4 ± 2.0	1.5 ± 0.7
	HNT	257	40.4 ± 2.6	5.4 ± 3.0	50.3 ± 4.2	3.9 ± 1.1
H2	HDT	254	0.4 ± 0.4	90.5 ± 1.6	0.0 ± 0.0	5.8 ± 1.1
	HNDDT	150	1.3 ± 1.3	89.2 ± 2.5	0.0 ± 0.0	9.5 ± 1.4
	Control	147	84.9 ± 4.5	1.2 ± 1.2	13.2 ± 3.7	0.0 ± 0.0
	HNT	185	38.3 ± 7.7	16.5 ± 6.7	43.2 ± 2.8	0.7 ± 0.7
H5	HDT	283	12.5 ± 1.6	72.6 ± 1.4	12.4 ± 2.3	1.0 ± 0.6
	HNDDT	155	0.0 ± 0.0	91.7 ± 1.3	0.0 ± 0.0	8.3 ± 1.3
	Control	140	85.0 ± 0.4	5.0 ± 0.1	10.0 ± 0.3	0.0 ± 0.0
	HNT	224	30.3 ± 0.9	2.7 ± 0.8	67.0 ± 1.1	0.0 ± 0.0
Genotype (G) Treatment (T) G × T	HDT	217	0.0 ± 0.0	73.4 ± 0.8	23.8 ± 1.1	2.8 ± 0.3
	HNDDT	147	1.1 ± 1.1	78.4 ± 1.0	11.2 ± 0.8	9.4 ± 1.2
	Control		3.4***	3.3***	3.0***	1.5***
	HNT		3.1***	3.0***	2.7***	1.4***
			6.9***	6.7***	6.0***	3.1***

Mean value ± standard error of the mean.

LSD (least significant difference) followed by \*\*\* indicating significance at 0.001 probability level.

chalkiness while HDT and HNNT resulted in a high proportion of milky white and/or white core chalkiness.

#### **5.4 Discussion**

A comprehensive geographical mapping exercise on a global scale indicated regions with higher vulnerability to HNT or HDT or a combined HNNT stress (Laborte *et al.*, 2012), substantiating the need for systematic investigation of the response of rice genotypes to these conditions. HNT of 30°C coinciding with flowering and extended to a few days after flowering did not affect seed-set/spikelet fertility negatively under growth chamber and field conditions (Shi *et al.*, 2013; Coast *et al.*, 2014; Jagadish *et al.*, 2015) and this is largely supported by our findings. However, the present results indicate clear differential responses of rice genotypes to HDT and HNT, with a greater impact of HDT on seed-set compared to HNT (Tables A5.2 and A5.3). This variable response was noted despite the shorter duration of increased day-time temperature per day imposed in our treatments (6 h for day-time treatment and 11 h for night-time treatment). The findings are in agreement with the results of Yin *et al.* (1996), showing that Tday exerts a greater influence on rice plant development rather than Tnight. Since the stress was imposed a day after anthesis key physiological processes such as anther dehiscence, pollen germination etc. would not be the primary determinants reducing seed-set (Jagadish *et al.*, 2007). Hence, the reduced seed-set would have mainly resulted from the impact on the embryo development, including division of the fertilized egg or primary endosperm nucleus and subsequent processes (Vara Prasad *et al.*, 2017). Since regulation of cell division, endo-reduplication and cell expansion varies during day and night, for example, cell division is known to be stimulated by light (Okello *et al.*, 2015), rendering the day-temperature to be more important in determining seed-set than night-time temperature.

Similar to the seed-set, Tday induced greater damage than Tnight for grain growth patterns, whereas Tnight interacted with Tday to determine single-grain weight. Previous studies involving single genotype in which night-time temperature were extremely high (34°C and 35°C) together with relatively low day-time warming (34°C and 35°C) treatments, suggested HNT to have a larger negative impact on single-grain weight than HDT (Morita *et al.*, 2005; Li *et al.*, 2011). In contrast, day-time warming had greater effects on grain weight than night-time warming normalized by every 1°C warming (Rehmani *et al.*, 2014), which is supported by our results. However, with the predicted increase in night-time temperature at a faster rate than day-time temperature, the negative influence of HNT on overall yield losses

should not be underestimated (Shi et al., 2013, 2016 and 2017). At the whole plant level, we have demonstrated that HNT of 29°C starting from panicle initiation to maturity using field-based tents significantly reduce 1000 grain weight (Shi et al., 2013, Jagadish et al., 2015). Differential responses for grains at different positions within a panicle exposed to high temperatures have been documented (Cao et al., 2016; Fu et al., 2016). No decline in the single-grain weight under HNT in the current study could be attributed to the measuring approach wherein only superior spikelets having greater access to assimilate were considered (Fu et al., 2016 and other references within). This approach using only superior spikelets allowed to have a common reference for explicitly estimating enzymatic activity and starch packaging during grain-filling and by avoiding other confounding factors. Having sufficient assimilates available with stress imposed after flowering allows marked spikelets to exercise the plasticity to minimize damage and to ascertain if the impact was primarily due to stress and not due to source limitation. However this may not be the case at the whole plant level which is determined by the source-sink relationships altered by the loss of essential carbohydrates to enhanced night respiration (Bahuguna et al., 2017), curtailing the level of plasticity.

Grain weight is mainly determined by a balance between grain-filling rate and grain-filling duration. The ability of the grain to retain its grain weight under HNT indicates the plasticity expressed under sufficient resource availability, which in our studies was made possible by following a measuring approach that included just the superior spikelets. On the other hand, the impact of HDT or HNNT, induces an irreversible damage either during the embryo development (seed-set reduction) or grain-filling stages (reduced grain weight), indicating the need for taking a genetic route to enhance tolerance to HDT. Kim et al. (2011) suggested an early termination of grain-filling in temperate rice exposed to high temperature not due to lack of assimilates but loss of sink activity. In contrast, Kobata and Uemuki (2004) have attributed the impact of HDT during grain-filling to limitations in assimilate supply. Interestingly, we noticed that the targeted tillers exposed to HDT and HNNT treatments produced new extra tillers during the grain-filling stage in most cases, indicating surplus assimilates from the leaf and/or the reserves stored in the culm and leaf sheath. Thus, in our study the failure of assimilate supply to the grain was not the reason behind the lower grain weight under HDT and HNNT conditions; instead, sink itself was playing a more important role, supporting Kim et al. (2011) in emphasizing the need to focus on sink strength under heat stress exposure.

The determination of the dynamic grain growth in rice and other cereals has been related to the senescence of source and or sink organs, i.e. loss of photosynthetic activity in the leaves and sugar or starch metabolism related enzyme activity in the endosperm (Bahuguna et al., 2017). The sink strength of a developing grain is met by a balanced sucrose gradient from source to sink tissue and by cleaving sucrose into hexoses by invertases and SuSy (Hirose et al., 2002; Koch 2004). Key starch metabolism enzymes including CWI [responsible for phloem unloading and cleaving sucrose in the apoplast (Wang and Ruan 2012)], VI [sink initiation and expansion by supporting cell division during the pre-storage phase (Roitsch and Gonzalez 2004)], SuSy [supplying the substrate (UDP glucose/ADP glucose) for starch synthesis (Li et al., 2013)] and SS for starch synthesis, are shown to be affected differently among rice genotypes under HNT exposure (Bahuguna et al., 2017). Under HNT exposure, with developing grain samples collected at 4 am, the activity of CWI, VI and SS decreased especially at 5 DAF and 15 DAF while SuSy activity remained rather stable across three time points. Interestingly, the CWI and VI levels remained similar to control at the peak grain-filling stage, i.e. 10 days post flowering (Bahuguna et al., 2017), only in HT NIL and N22, respectively, indicating possible alternative routes to continue cleaving of transported sucrose. This along with the significantly higher SuSy activity in N22 (10 and 15 DAF) and HT NIL (15 DAF) indicates that they may be better equipped for harsher night-time temperature exposure compared to the other three genotypes. The reduced enzymatic activity did not contribute to derail the NSC accumulation and grain weight under HNT at the single grain level, as reflected by simple correlation coefficients in Table A5.5. All four enzymes were positively correlated ( $P < 0.05$  to  $P < 0.001$ ) with three of the key grain-filling parameters, particularly maximum and mean grain-filling rate under HNT exposure (Table A5.5), while the pattern was mixed with HDT and HNNT (Table A5.6). However, Bahuguna et al. (2017) has shown that lower CWI and SuSy activity to play a decisive role in NSC accumulation and grain weight under HNT exposure at the whole-plant level, which was seen only at 5 DAF, indicating a strong impact of source-sink relationship with total grain-filling duration at the whole-plant level compared to single grain.

The total NSC in grains under HDT and HNNT exposure was lower than that under control at the single-grain level. This low NSC could be a result of the unloading of transportable sugar (lower CWI activity), poor substrate supply for starch synthesis (lower Susy activity) and low starch synthesis at late grain-filling stage. However, at the single grain a clear correlation with NSC accumulation in grain and the enzymatic activity was not

observed. Interestingly across all the tested genotypes and almost all the four key enzymes, their activity was increased considerably with HNNT compared to HDT at the peak grain-filling phase of 10 DAF. This could be either attributed to an accelerated phenomenon due to higher temperature or more a short term acclimation response with high night-time alternated by high day-time temperature stress, which could prove beneficial if sufficient assimilates become available (resilient source-related sucrose transporters). Hence, exploring the ability of the key starch metabolism enzymes to acclimatize to increasing day-time or night-time temperature is an interesting area for further research.

In our study, HDT and HNNT resulted in smaller-sized starch granules during the grain-filling period, along with loosely packed starch granular structure leading to more chalky appearance and lower single-grain weight as documented by Geigenberger (2011). Moreover, milky-white/white-cored chalk was substantially increased under HDT and HNNT exposure, which is known to reduce the economic value of the grains (Lyman et al., 2013). However, this phenomenon was not observed in HNT exposure as our scanning electron microscopic observations were aimed at the chalkiness at the central part of grains which is the most serious problem. Although the central part of developing grains had tightly packed polygonal-shaped starch granules (amyloplasts) under HNT condition white-belly chalk was noticed to significantly increase under HNT. These results are in agreement with the observations in previous studies, which showed less effect on chalkiness under HNT compared with HDT (Dai et al., 2009; Li et al., 2011). The formation of milky-white/white-cored chalk under heat stress is mainly attributed to reduced assimilates supply (as indicated above with a possible source enzymatic failure with HDT) unable to meet the enhanced demand resulting from higher grain-filling rate (Liu et al., 2013). In addition, white-belly chalk often occurs at the late stage due to inefficient utilization of reserves (Xi et al., 2014). Lisle et al. (2000) suggested that chalk formation is more likely related to the utilization of carbon during sucrose-to-starch deposition within the developing grains rather than the insufficient assimilate supply. From our findings we see that this holds true with HNT under sufficient assimilate availability while a limitation with both supply and utilization aspects were affected under HDT and HNNT. Hence, the above findings highlight the importance of exploring the efficiency of the source-sink activity at different forms of heat stress exposure.

HT NIL performed differently compared to the other genotypes in many aspects. When exposed to HDT, HT NIL was the only genotype without significant decrease in seed-set

compared with others (Table 5.1), indicating its true tolerance and providing evidence for its post-flowering heat stress tolerance in addition to tolerance during flowering (Ye et al., 2012). When exposed to HNDT, HT NIL, responded similarly as other genotypes, but with a lower reduction in seed-set and with least decrease in single-grain weight. In addition, HT NIL was the only genotype which maintained mean grain-filling rate ( $\bar{C}$ ) under HDT or had the largest increase in  $\bar{C}$  under HNDT, presumably contributing to significantly higher NSC content at 10 DAF under HDT and HNDT, respectively, while most other genotypes recorded a significant decline in NSC at 15 DAF and at maturity (Table 5.2 and Figure 5.4). Although investigation of the starch metabolism enzymes did not lead to striking differences in its responses to HDT and HNDT compared with other genotypes, HT NIL had relatively higher CWI, VI and SS activity at the peak grain-filling period i.e.10 DAF under HDT and HNDT conditions (Figure 5.3). This provides partial mechanistic support that the HT NIL in IR64 background tolerates heat stress during both flowering and post-flowering stages, making it an ideal source for further detailed molecular analysis to develop genetic markers for introducing sustained long duration heat stress tolerance into current susceptible popular rice cultivars.

## **5.5. Conclusions**

The impact of HNT, HDT and HNDT during grain-filling on physiological, biochemical and histological aspects related to grain growth was quantified in a contrasting set of rice inbreds and hybrids. HDT and HNDT had a pronounced negative impact on the starch biosynthetic enzyme activity and also on the NSC content of the grains leading to structural changes in the starch granules resulting in increased milky-white/white-core chalk. However, HNT did not induce the reduction in single-grain weight and in NSC content due to the dynamic compensation of higher grain-filling rate and shortened grain-filling duration. Interestingly, the HT NIL developed to minimize the heat-stress impact at flowering had an extended positive impact on reducing the heat-stress effect during grain-filling stages. Comparatively, day-time temperature either independently or in combination with HNT had strong negative impact on the processes including the starch packing. These results provide comprehensive understanding of the impact of high day-time or night-time temperature and their combined impact on grain growth and form a starting point for further elucidation of the complex mechanisms responsible for differential responses of day-time and night-time temperatures and diel warming on rice plants.

## Appendix Chapter 5, Supplementary tables and figures

**Table A5.1** Actual temperature, relative humidity and vapour pressure deficit records within the walk-in growth chambers which were set at control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDDT-38°C/30°C) for exposing the treatments to rice plants. Mean  $\pm$  standard deviation. Data for day-time was from 08:30 h – 14:30 h for 6 h while night-time was recorded for 11 h from 18:00 h – 05:00 h

Treatment	Temperature (°C)		Relative humidity (%)		Vapour pressure deficit (kPa)	
	Day-time	Night-time	Day-time	Night-time	Day-time	Night-time
Control	30.9 $\pm$ 0.5	22.9 $\pm$ 0.3	68.2 $\pm$ 5.4	72.6 $\pm$ 7.6	1.4 $\pm$ 0.5	0.8 $\pm$ 0.2
HNT	31.0 $\pm$ 0.6	29.8 $\pm$ 0.4	61.9 $\pm$ 9.5	71.9 $\pm$ 6.7	1.5 $\pm$ 0.3	1.6 $\pm$ 0.2
HDT	37.8 $\pm$ 0.7	22.7 $\pm$ 0.6	61.1 $\pm$ 10.2	68.6 $\pm$ 5.8	2.0 $\pm$ 0.2	0.9 $\pm$ 0.3
HNDDT	37.8 $\pm$ 0.6	30.1 $\pm$ 0.4	67.9 $\pm$ 8.9	66.0 $\pm$ 6.8	1.9 $\pm$ 0.2	1.3 $\pm$ 0.1

**Table A5.2** Regression analysis ( $Y = a + b1 \times T_{day} + b2 \times T_{night}$ ) was carried out for the seed-set and single-grain weight for five genotypes grown at control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDT-38°C/30°C) at grain filling lasting for 20 days after flowering.

Trait	Genotype	No. of observations	R <sup>2</sup>	Coefficients			P-value		
				Intercept	T <sub>day</sub>	T <sub>night</sub>	Intercept	T <sub>day</sub>	T <sub>night</sub>
Seed-set	N22	104	0.15	121.16 ± 7.37	-0.82 ± 0.20	0.00 ± 0.19	0.00	0.00	1.00
	IR64	145	0.12	132.27 ± 12.65	-1.25 ± 0.28	-0.12 ± 0.28	0.00	0.00	0.67
	HT NIL	98	0.07	130.21 ± 14.48	-0.73 ± 0.28	-0.52 ± 0.27	0.00	0.01	0.05
	H2	128	0.10	134.63 ± 12.46	-0.93 ± 0.29	-0.52 ± 0.27	0.00	0.00	0.05
	H5	155	0.10	106.06 ± 10.88	-0.87 ± 0.23	0.30 ± 0.23	0.00	0.00	0.20
Single-grain weight	N22	103	0.46	34.20 ± 2.11	-0.47 ± 0.06	-0.10 ± 0.05	0.00	0.00	0.08
	IR64	147	0.77	65.42 ± 2.37	-1.13 ± 0.05	-0.25 ± 0.05	0.00	0.00	0.00
	HT NIL	112	0.58	46.43 ± 3.05	-0.63 ± 0.06	-0.06 ± 0.06	0.00	0.00	0.25
	H2	131	0.61	52.46 ± 2.53	-0.68 ± 0.06	-0.41 ± 0.06	0.00	0.00	0.00
	H5	152	0.42	43.10 ± 2.21	-0.46 ± 0.05	-0.24 ± 0.05	0.00	0.00	0.00

Estimates ± standard error of the estimates

**Table A5.3** Regression analysis ( $Y = a + b1 \times T_{day} + b2 \times T_{night} + b12 \times T_{day} \times T_{night}$ ) was done for the seed-set and grain weight for five genotypes grown at control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDT-38°C/30°C) at grain filling lasting for 20 days after flowering.

Trait	Genotype	No. of observations	R <sup>2</sup>	Coefficients					P-value				
				Intercept	T <sub>day</sub>	T <sub>night</sub>	T <sub>day</sub> ×T <sub>night</sub>	Intercept	T <sub>day</sub>	T <sub>night</sub>	T <sub>day</sub> ×T <sub>night</sub>		
Seed-set	N22	104	0.16	180.79 ± 50.87	-2.60 ± 1.52	-2.22 ± 1.89	0.07 ± 0.06	0.00	0.09	0.24	0.00	0.09	0.24
	IR64	145	0.17	355.31 ± 77.02	-7.63 ± 2.19	-8.28 ± 2.80	0.23 ± 0.08	0.00	0.00	0.00	0.00	0.00	0.00
	HT NIL	98	0.08	53.64 ± 71.75	1.55 ± 2.10	2.39 ± 2.69	-0.09 ± 0.08	0.46	0.46	0.38	0.46	0.46	0.38
	H2	128	0.11	33.97 ± 78.36	1.87 ± 2.17	3.36 ± 2.99	-0.11 ± 0.08	0.67	0.39	0.26	0.67	0.39	0.26
	H5	155	0.13	243.97 ± 62.59	-4.81 ± 1.78	-4.86 ± 2.32	0.15 ± 0.07	0.00	0.01	0.04	0.00	0.01	0.04
Single-grain weight	N22	103	0.50	-6.73 ± 14.11	0.75 ± 0.42	1.43 ± 0.52	-0.05 ± 0.02	0.63	0.08	0.01	0.63	0.08	0.01
	IR64	147	0.81	-1.05 ± 13.70	0.77 ± 0.39	2.18 ± 0.50	-0.07 ± 0.01	0.94	0.05	0.00	0.94	0.05	0.00
	HT NIL	112	0.58	32.07 ± 15.01	-0.20 ± 0.44	0.48 ± 0.56	-0.02 ± 0.02	0.03	0.65	0.39	0.03	0.65	0.39
	H2	131	0.67	-21.20 ± 14.75	1.37 ± 0.41	2.43 ± 0.56	-0.08 ± 0.02	0.15	0.00	0.00	0.15	0.00	0.00
	H5	152	0.49	-12.51 ± 12.80	1.13 ± 0.37	1.82 ± 0.47	-0.06 ± 0.01	0.33	0.00	0.00	0.33	0.00	0.00

Estimates ± standard error of the estimates

**Table A5.4.** Significance of probability for enzymatic activities in grains from five rice genotypes on 5, 10 and 15 days after flowering. The analysis was done separately based on the sampling time (control grains versus HNT grains taken at 4 a.m.; control, HDT, HNDT collected at 2 p.m.). Values are least significant difference for each trait followed by the significance level. \* P<0.05, \*\*\* P<0.001, ns non-significant.

Treatment	Interactions	Cell wall interase	Vacuolar invertase	Sucrose synthase	Starch synthase
Control at 4 a.m. and HNT	Genotype	9.48***	3.94***	10.78***	3.53***
	Treatment	6.00***	2.49***	6.82***	2.23***
	Stage	7.34***	3.05***	8.35***	2.73***
	Genotype × Treatment	13.41***	ns	15.25***	4.99*
	Genotype × Stage	16.42***	6.83***	18.67***	6.11***
	Treatment × Stage	10.39***	4.32***	11.81***	3.86***
	Genotype × Treatment × Stage	23.23***	ns	26.41***	ns
	Genotype	14.77***	2.88***	12.93***	2.81***
	Treatment	11.44***	2.23***	10.02*	2.18***
	Stage	11.44***	2.23***	10.02***	2.18***
Control at 2 p.m., HDT, HNDT	Genotype × Treatment	ns	4.99***	ns	4.87*
	Genotype × Stage	25.58***	4.99***	22.40***	4.87***
	Treatment × Stage	19.81***	3.87***	ns	3.77***
	Genotype × Treatment × Stage	44.30***	8.65***	ns	8.43***

**Table A5.5.** Correlation coefficients of the activities of four enzymes taken at 4 a.m. on 5, 10, 15 days after flowering (DAF), including cell wall invertase, vacuolar invertase, sucrose synthase, or starch synthase, with final grain weight, non-structural carbohydrates (NSC) in the grains, and grain-filling parameter values derived through the sigmoid grain growth equation ( $C_m$ , the maximum grain-filling rate;  $\bar{C}$ , mean grain-filling rate;  $t_m$ , time when the maximum growth rate is achieved;  $t_e$ , the time at which the maximum of grain weight is reached.), in five rice genotypes exposed to control (31°C/23°C (day/night)) and higher night-time temperature (HNT-31°C/30°C) at grain-filling stage lasting for 20 days.

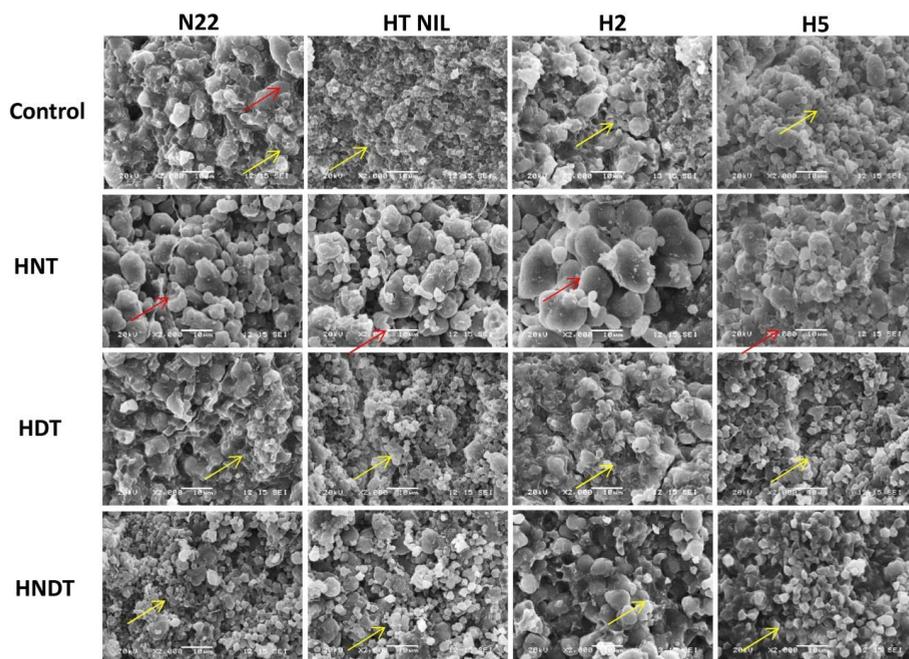
Days after flowering (DAF)	Enzyme	Grain weight	NSC	$C_m$	$\bar{C}$	$t_m$	$t_e$
5 DAF	Cell wall invertase	-0.230	-0.718*	0.019	-0.189	0.622*	-0.118
	Vacuolar invertase	-0.107	-0.755**	-0.184	-0.340	0.682*	0.060
	Sucrose synthase	0.637*	-0.391	-0.852***	-0.759**	0.329	0.896***
	Starch synthase	-0.078	-0.767**	-0.200	-0.348	0.701*	0.088
10 DAF	Cell wall invertase	-0.554	0.082	0.655*	0.459	-0.047	-0.663*
	Vacuolar invertase	-0.432	0.059	0.666*	0.557	0.081	-0.663*
	Sucrose synthase	-0.273	0.006	0.141	0.244	-0.287	-0.230
	Starch synthase	-0.464	0.020	0.660*	0.522	0.104	-0.666*
15 DAF	Cell wall invertase	0.091	-0.263	-0.240	-0.371	0.422	0.295
	Vacuolar invertase	-0.070	-0.450	-0.330	-0.490	0.708*	0.181
	Sucrose synthase	-0.376	-0.162	0.458	0.474	0.881**	-0.459
	Starch synthase	-0.079	-0.496	-0.410	-0.560	0.705*	0.230

\*, \*\*, \*\*\* significant at the 0.05, 0.01 and 0.001 probability level, respectively. df=9

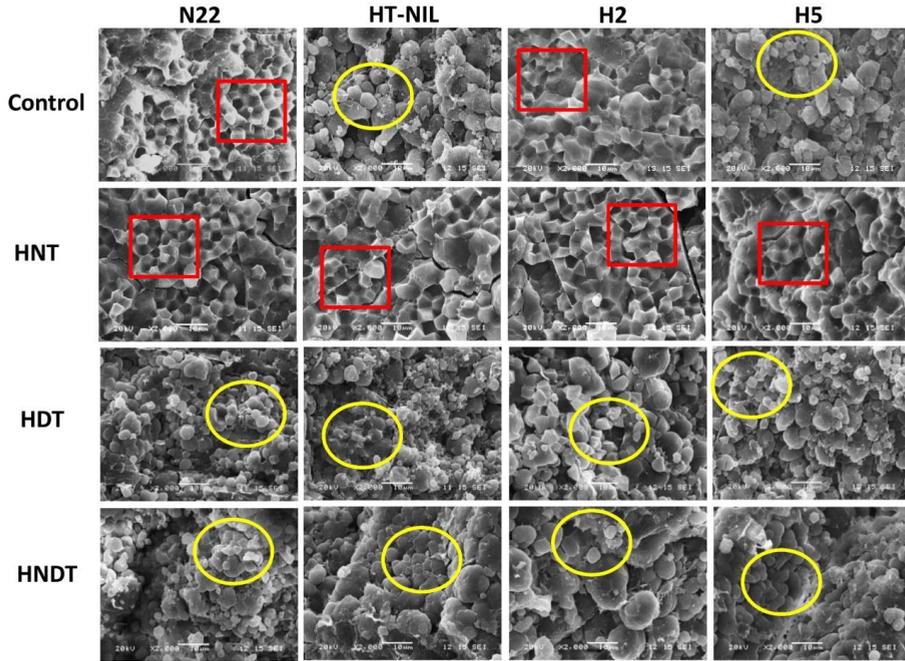
**Table A5.6** Correlation coefficients of the activities of four enzymes taken at 2 p.m. on 5, 10, 15 days after flowering (DAF), including cell wall invertase, vacuolar invertase, sucrose synthase, or starch synthase, with final grain weight, non-structural carbohydrates (NSC) in the grains, and grain-filling parameter values derived through the sigmoid grain growth equation ( $C_m$ , the maximum grain-filling rate;  $\bar{C}$ , mean grain-filling rate;  $t_m$ , time when the maximum growth rate is achieved;  $t_e$ , the time at which the maximum of grain weight is reached), in five rice genotypes exposed to control (31°C/23°C (day/night)), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDT-38°C/30°C) at grain-filling stage lasting for 20 days.

Days after flowering (DAF)	Enzyme	Grain weight	NSC	$C_m$	$\bar{C}$	$t_m$	$t_e$
5 DAF	Cell wall invertase	0.245	-0.372	0.255	0.290	0.152	0.000
	Vacuolar invertase	0.402	-0.412	0.186	0.256	0.288	0.149
	Sucrose synthase	-0.494	-0.001	-0.545*	-0.597*	-0.287	-0.089
	Starch synthase	0.396	-0.426	0.172	0.243	0.296	0.153
10 DAF	Cell wall invertase	-0.381	0.390	0.667**	0.586*	-0.552*	-0.701**
	Vacuolar invertase	-0.205	0.466	0.676**	0.636**	-0.460	-0.568*
	Sucrose synthase	-0.195	0.247	0.283	0.239	-0.332	-0.292
	Starch synthase	-0.419	0.467	0.624**	0.607*	-0.698**	-0.713**
15 DAF	Cell wall invertase	0.695**	0.550*	-0.111	0.033	0.371	0.628**
	Vacuolar invertase	0.393	0.354	0.162	0.267	-0.062	0.257
	Sucrose synthase	-0.519*	-0.396	0.487	0.400	-0.664**	-0.656**
	Starch synthase	0.543*	0.384	0.003	0.095	0.185	0.462

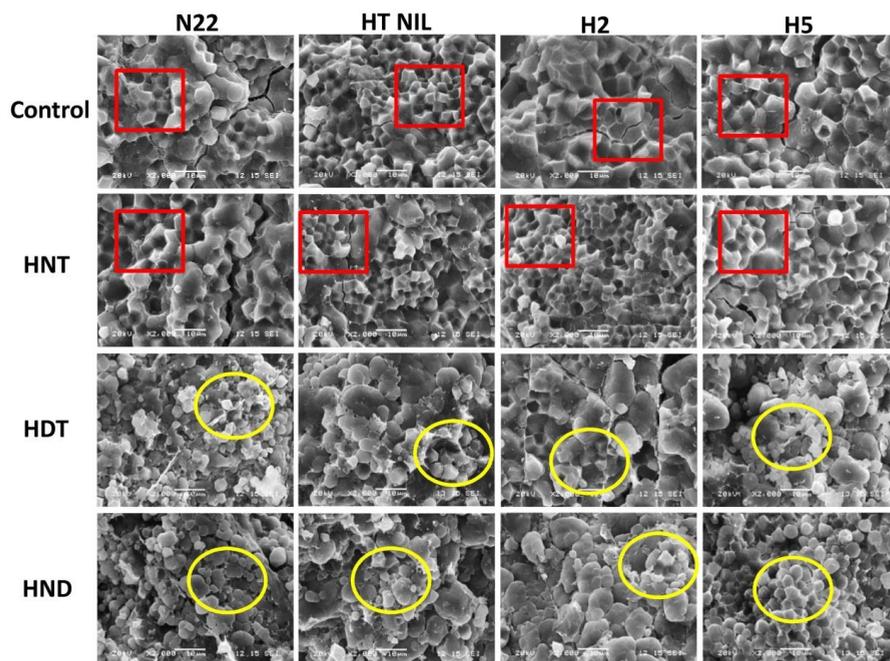
\*, \*\* significant at the 0.05 and 0.01 probability level, respectively. df = 14



**Figure A5.1.** Scanning electron microscopic observation of the transverse section of the central part of the developing grains collected at 5 days after flowering in four rice genotypes exposed to control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDT-38°C/30°C) at grain filling stage for 20 days after flowering. Magnification =  $\times 2,000$ . Yellow arrows indicate the single granules. Red arrows indicate the single granules grouping into amyloplast.



**Figure A5.2** Scanning electron microscopic observation of the transverse section of the central part of the developing grains collected at 10 days after flowering in four rice genotypes exposed to control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDT-38°C/30°C) at grain filling stage for 20 days after flowering. Magnification =  $\times 2,000$ . Red rectangle indicates the polygonal shape of starch granules grouping into amyloplast without airspaces. Yellow elliptical ring shows poorly developed amyloplasts together with the individual round shape and heterogeneous size of starch granules with large airspaces.



**Figure A5.3** Scanning electron microscopic observation of the transverse section of the central part of the developing grains collected at 15 days after flowering in four rice genotypes exposed to control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDT-38°C/30°C) at grain filling stage for 20 days after flowering. Magnification =  $\times 2,000$ . Red rectangle indicates the polygonal shape of starch granules grouping into amyloplast without airspaces. Yellow elliptical ring shows poorly developed amyloplasts together with the individual round shape and heterogeneous size of starch granules with large airspaces.

Supplementary Information 5 – **Detailed methods for enzyme estimation.** About 200 mg grains were grinded in mortar and pestle by using liquid nitrogen in the cold condition. Enzyme extract was prepared by adding 1.5 ml extraction medium with 100 mM tricine-NaOH (pH 8.0), 8 mM MgCl<sub>2</sub>, 2 mM ethylene-diamine-tetra-acetic acid (EDTA), 50 mM 2-mercaptoethanol, 12.5% (v/v) glycerol and 5% (w/v) insoluble polyvinylpyrrolidone-40 for starch synthesis (SS); 100 mM Hepes-KOH (pH=7.4), 5 mM MgCl<sub>2</sub>, 1 mM EDTA, 1 mM EGTA, 1 mM PMSF, 5 mM DTT, 1 ml l-1 Triton X-100, 200 ml glycerol, and 5 mM thiourea for invertases; 25 mM Hepes-NaOH (pH=7.5), 5 mM MgCl<sub>2</sub>, 0.5m M EDTA, 2% poly(ethylene glycol)-20, 3 mM DTT and 1% bovine serum albumin for sucrose synthase (SuSy). The extract was centrifuged for 5 min at 14,000 rpm at 4°C and the supernatant was directly used as enzyme source for SS assay. The supernatant was desalted for SuSy assay by using 3 ml Sephadex G-50 column (25 mM Hepes-NaOH (pH=7.5), 5 mM MgCl<sub>2</sub>, 0.5 mM EDTA) at 4°C. Supernatant for soluble invertase, i.e. vacuolar invertase in our study, was pipetted out and retained after centrifuge. Then, 0.5 ml extraction buffer was used to wash the pellet and finally suspended in 1.8 ml for the cell wall invertase (CWI) assay.

A 280- $\mu$ l reaction mixture containing enzyme extract and 50 mM Hepes-NaOH (pH 7.4), 1.6 mM ADP glucose, 0.7 mg amylopectin, 15 mM DTT was incubated at 25°C for 20 min for the SS assay. The enzyme was inactivated by placing the reaction mixture in a boiling water bath for 30 s which was followed by adding 100  $\mu$ l of a solution of 50 mM Hepes-NaOH (pH 7.4), 4 mM phospho(enol) pyruvate, 200 mM KCl, 10 mM MgCl<sub>2</sub> and pyruvate kinase (1.2 U) and incubating at 30°C for 30 min. Again, the mixture was immersed in a boiling-water for 30 s and then was centrifuged at 10,000 rpm for 5 min. The supernatant (300  $\mu$ l) was mixed with a solution of 50 mM Hepes-NaOH (pH 7.4), 10 mM glucose, 20 mM MgCl<sub>2</sub> and 2 mM NADP. The absorbance was measured at 340 nm after adding 1 $\mu$ l each of hexokinase (1.4 U) and G6P dehydrogenase (0.35 U). The assay mixture (70  $\mu$ l) containing 8 mM UDP glucose, 8 mM fructose, 15 mM MgCl<sub>2</sub>, 40 mM Hepes-NaOH (pH 7.5) and desalted extract was used for SuSy activity determination. The mixture was incubated at 25°C for 10 min, and 70  $\mu$ l 1N NaOH was added to terminate the reactions. Then, the tubes was placed in boiling water for 10 min. After cooling the tubes at room temperature, 0.25 ml of 1% resorcinol in ethanol and 0.75 ml of 30% HCl were added and the total mixture was incubated further at 80°C for 8 min followed by immediately cooling on ice. Tubes were centrifuged at 5000 rpm for 5 min and absorbance was read at 520 nm.

A 40  $\mu\text{l}$  supernatant extract and a dissolved pellet fraction were taken for the VI and CWI assay, respectively. Extract was added on ice to a 180  $\mu\text{l}$  assay mixture including 0.1 M sucrose, and either 50 mM Bicine-KOH (N,N-bis[2-hydroxyethyl]glycine) (pH=7.6) or 50 mM sodium acetate at pH=4.3 and pH=4.7 for VI and CWI, respectively. At time zero, tubes were transferred to a water bath at 30°C for 1 h and at the same time, time zero controls were set in which the incubation at 30°C had been omitted. Additional 30  $\mu\text{l}$  of 1 M Tris-HCl (pH=8) was added in assays and controls for both VI and CWI before heating at 85°C for 3 min. 70  $\mu\text{l}$  of assay mixture was added to the 190- $\mu\text{l}$  fructose assay mix (100 mM Hepes-KOH (pH 7.4), 2.25 mM  $\text{MgCl}_2$ , 1.1 mM ATP, 0.2 U hexokinase and 1.1 mM NADP). The production of G6P from glucose was determined from the increase in absorbance at 340 nm and upon the addition of 0.2 unit (U) of NADP-dependent G6P dehydrogenase.

## CHAPTER 6

### **Pollen germination and *in vivo* fertilization in response to high temperature during flowering in rice**

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## Abstract

High temperature during flowering in rice causes spikelet sterility and is a major threat to rice productivity in tropical and subtropical regions, where hybrid rice development is increasingly contributing to sustainable food security. However, the sensitivity of hybrids to increasing temperature and physiological responses in terms of dynamic fertilization processes are unknown. To address these questions, several promising hybrids and inbreds were exposed to control and high day-time temperature (HDT) in Experiment 1 and hybrids having contrasting heat tolerance were selected for Experiment 2 for further physiological investigation under high day and night temperature treatments. The day-time temperature played a dominant role in determining spikelet fertility compared with night-time temperature. HDT significantly induced spikelet sterility in tested hybrids and hybrids had higher heat susceptibility than the high-yielding inbred varieties. Poor pollen germination was strongly associated with sterility under high temperature. Our novel observations capturing the series of dynamic fertilization processes demonstrated that pollen tube not reaching the viable embryo sac as the major limitation leading to spikelet sterility under heat exposure. Our findings highlight the urgent need to improve heat tolerance in hybrids and highlights integrating early-morning flowering as a potential trait for mitigating heat stress impact at flowering.

**Keywords:** Fertilization, flowering, high day-time temperature, high night-time temperature, *in vivo* pollen germination, rice.

## **6.1 Introduction**

Hybrid rice plays a pivotal role in sustaining food security due to its high productivity under favorable conditions, as demonstrated, consistently throughout China (Cheng et al., 2007). Outside of China, hybrid rice has increased steadily, up to 6.36 million ha in 2014, mostly planted in tropical and subtropical rice growing countries, such as Philippines, India, Bangladesh and Indonesia (Xie and Peng, 2016). However, planting area of hybrid rice in these countries is limited and often fluctuates because of unfavorable weather conditions (Xie and Peng, 2016). It has been projected that global temperature will continue to increase steadily during the 21<sup>st</sup> century, accompanied by more frequent and more intense heat episodes and warmer nights (IPCC, 2013). Although the typical heat episodes occur in short durations, when it coincides with critical flowering stage, heat can pose a serious threat to spikelet fertility and therefore induce yield loss (Jagadish et al., 2007). To date, heat-induced spikelet sterility during flowering has been documented in rice fields from different rice growing regions, e.g. China (Tian et al., 2010), Japan (Hasegawa et al., 2011) and Laos and Southern India (Ishimaru et al., 2016).

Developing heat-tolerant varieties is a sustainable strategy to cope with the challenges arisen from increasing temperature (Challinor et al., 2014). Given the unpredictable occurrence of high temperature stress in the tropics and subtropics, hybrid rice should have both high-yielding potential and heat resistance to improve rice yield in these areas. To that end, identifying genetic variation and understanding physiological mechanisms underlying the variation are essential to support breeding for heat tolerance. A series of phenotyping studies have identified a wide genetic variation in rice in their response to high temperature at flowering among indica and/or japonica ecotypes (Matsui et al., 2001; Jagadish et al., 2008; Shi et al., 2015). Also, some studies have assessed the performance of hybrid rice under high temperature conditions in China, showing sensitivities of hybrid rice to increasing day-time temperature during flowering (Hu et al., 2012; Fu et al., 2015). Our previous study has clarified the serious vulnerability of tropical and subtropical hybrid rice to high night-time temperature (HNT) (Shi et al., 2016). However, there is little information regarding the resistance of tropical and subtropical hybrid rice to high day-time temperature (HDT). In addition, it is not clear whether there is any difference in, and interaction between, the effects of HDT and HNT on spikelet fertility during flowering.

Flowering includes pollination, pollen germination and fertilization. Any stress taking place during this stage is likely to cause sterility, and ultimately induce yield loss. Flowering stage has been considered to be the most-sensitive stage to temperature fluctuations (Satake and Yoshida, 1978; Matsui et al., 2001; Jagadish et al., 2007). During high temperature exposure, anther dehiscence of rice is inhibited from decreased ability of pollen grains to swell, resulting in a lower number of pollen adhered to the stigma (Matsui et al., 2000; Prasad et al., 2006; Jagadish et al., 2010). Immediately after landing on the stigma, pollen grains start to germinate followed by pollen tube growth inside the pistil to reach the female gametophyte inside the ovule. Even when sufficient numbers of pollen are shed on stigma, pollen germination can be sometimes poor and pollen tube growth impeded under heat stress (Satake and Yoshida, 1978; Tang et al., 2008). In rice, all the above mentioned, the combined process of anther dehiscence, pollination, pollen germination, and pollen tube reaching the ovary, usually takes about 45 minutes during the opening and after closing of the flower (Jagadish et al., 2010). In contrast, the subsequent fertilization typically occurs within 1.5 to 4.0 h after flower opening (Cho 1956). Thus, there is a significant chance that the subsequent double fertilization process after pollen tube penetrates the embryo sac occurs under high temperature around noon. However, almost all studies focusing on the spikelet sterility caused by high day temperature during flowering mainly concentrate on pollination and pollen germination, whereas the effect of high temperature on the fertilization process remains unknown. Nowadays, breeders are working towards introducing early-morning flowering trait (EMF) in rice plants that allows spikelets to flower during early hours of the day when temperature is relatively cooler (Ishimaru et al., 2010). The introduction of EMF trait can potentially minimize heat stress damage on pollen viability but the fertilization process after the completion of pollination and pollen germination will still be vulnerable to high temperature during late morning and early noon.

The objective of this study is to investigate the high temperature effects on the fertilization process in rice in the context of evaluating the “early-morning flowering” strategy to improve tolerance to high-temperature stress. Two experiments were conducted. In Experiment 1, we evaluated some promising tropical and subtropical hybrids to assess their difference in heat resistance to HDT compared with some popular high-yielding inbreds. Pollen germination was also tested in Experiment 1 to explore its contribution to sterility under HDT stress exposure. Based on the results of Experiment 1, contrasting hybrid genotypes were selected to be further examined in Experiment 2 on impacts of independent

HNT, HDT and their combination (HNDT) on spikelet fertility. More importantly, by using an advanced experimental set-up for *in vivo* imaging of double fertilization, we had a clear visual observation on the entire dynamic fertilization process inside the intact ovule, thereby, specifically filling knowledge gaps in identifying the effect of high temperature on the *in vivo* fertilization process.

## **6.2 Materials and methods**

### **6.2.1 Materials and crop husbandry**

In Experiment 1, promising tropical and subtropical hybrids from a private company (H1-H3) and International Rice Research Institute (IRRI) (H4-Mestizo 1, H5-Mestizo 3, H6-Mestizo 21 and H7-Mestizo 31), high-yielding inbreds [PSBRc4, NSICRc222 and HHZ12-DT10-Sal1-DT1(HHZ12)], along with the best heat tolerant check N22, an *aus* variety from India and a popular variety IR64 as susceptible check, were chosen to determine their responses to HDT. Seeds of all entries were first exposed to 50°C for 3 days to break their dormancy and then were incubated at 30°C for 2 days. After that, the germinated seeds were sown in seeding trays followed by transplanting one 14-day-old seedling into each plastic pot (23 cm × 25 cm) filled with 6 kg dried clay loam soil. 2.0 g ammonium sulfate [(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>], 1.0 g single superphosphate (SSP) and 1.0 g muriate of potash (KCl) were applied as basal fertilizer in each pot and an additional 2.0 g [(NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>] was used for topdressing at 25 days after transplanting. Plants were grown in the greenhouse with natural environmental conditions (temperature, photoperiod, relative humidity and radiation) at IRRI, Los Baños (14°11'N, 121°15'E, 21 m asl), Philippines, before transferring them to walk-in growth chambers for various temperature treatments described below. MINCERs (Micrometeorological Instrument for Near Canopy Environment of Rice, developed by the National Institute of Agrobiological Sciences, Japan; Yoshimoto et al., 2012) were placed in the greenhouse to record the actual temperature and relative humidity at the plant level at 15-min intervals. The recorded actual temperature, relative humidity and vapor pressure deficit (VPD) in the greenhouse during the period of experiments are shown in Table A6.1. The VPD was calculated by using the equation presented in the website (<http://cronklab.wikidot.com/calculation-of-vapour-pressure-deficit>). Photoperiod was about 12 h during the greenhouse phase of the experiment. Both pests and diseases were effectively controlled.

In Experiment 2, hybrids with contrasting responses to high-temperature impact on spikelet fertility as observed in Experiment 1 (H2, H5 and H6), heat tolerant IR64 near-isogenic line (HT NIL) introgressed with a Chromosome 4 fragment from N22 (Ye et al., 2012) together with the parents (N22 and IR64) were selected. The crop husbandry was the same as in Experiment 1.

### 6.2.2 Temperature treatments

When the main tillers of the plants showed first signs of flowering (external appearance of anthers), pots were randomly moved into walk-in growth chambers (3.3 m × 3.2 m × 2.7 m; 10.6 m<sup>2</sup> area in each chamber) facility at IRR1 to impose temperature treatments in Experiment 1. Plants were exposed to control at 30°C and HDT at 38°C for 6 hours (8:00 to 14:00 h) per day, lasting for 6 consecutive flowering days. The transition from night to day temperature was for three hours (from 5:00 to 8:00 h), and that from day to night temperature was from 14:00 to 18:00 h, after which the chamber temperature was set to 23°C as night temperature till 6:00 of the next day. The six-hour day temperature treatment was applied with an aim to cover the major flowering period within a given flowering day and to make sure that >90% spikelets that flowered on that day were exposed to high temperature (Jagadish et al., 2007). In the controlled-environment walk-in chambers, six independent units of 1 kW high-intensity discharge lamps were fixed in each chamber to provide  $\geq 650 \mu\text{mol m}^{-2} \text{s}^{-1}$  photosynthetic photon flux density at the crop canopy for 11 h of photoperiod and  $215 \mu\text{mol m}^{-2} \text{s}^{-1}$  for 1 h during the day-night changeover period, resulting in 12 h of photoperiod. The relative humidity was controlled at 70%. MINCERs were placed in the middle of the chamber at plant level (about 130 to 150 cm above the ground floor) to record the actual temperature and relative humidity at 15-min intervals. Actual temperature, relative humidity and VPD are shown in Table A6.1. After 6 days of high-temperature stress, the majority of spikelets from the target panicles completed flowering under stress exposure and the remaining un-flowered spikelets were marked and excluded from the determination of spikelet fertility. All plants were then transferred back into the greenhouse till grain maturity.

In Experiment 2, plants whose main tillers started to flower were randomly moved into four independent walk-in growth chambers for 6 consecutive days of exposure to temperature treatments. Plants were exposed to four temperature treatments: control (day/night, 31°C/23°C), high day-time temperature (HDT, 38°C/23°C), high night-time temperature (HNT, 31°C/30°C), and combined high night-time and day-time temperatures (HNDDT,

38°C/30°C). Both day-time and night-time temperatures were maintained for 11 hours from 6:00 to 17:00 h and from 18:00 to 5:00 h, respectively, with one hour transition period between day and night. The longer duration of stress exposure on each day in Experiment 2 was to ensure all the key flowering and fertilization processes during day-time were stressed, allowing us to observe the entire time course of fertilization process under a similar level of heat stress. Since flowering in rice extends until close to noon, with a known 4 h time frame needed for post-flowering fertilization events to be completed (Cho, 1956), the treatment structure followed in Experiment 2 is essential to dissect the impact on the entire fertilization process minimizing bias or stress escape. The setup for the other environmental factors within the walk-in growth chambers, including photosynthetic photon flux density, photoperiod and relative humidity (RH), were the same as in Experiment 1. After the treatment, the plants were moved back into the greenhouse till they reached grain maturity.

In both Experiments 1 and 2, 0.2-mm-diameter copper constantan thermocouples (PTFE twin twisted pair thermocouple, RS Components Corporation, Northamptonshire, UK) were inserted into the spikelets' lemma and palea to measure spikelet tissue temperature in each chamber during the temperature treatment. Spikelet tissue temperatures were monitored every 5 s and means over 5 min were recorded by a data logger (CR 1000 data logger, Campbell Scientific Inc., Logan, UT, USA).

### **6.2.3 Observation of spikelet fertility**

At the maturity stage, spikelet fertility from Experiment 1 and Experiment 2 were estimated from the targeted main-tiller panicles. Individual spikelet was pressed by thumb and fore finger to determine whether it was fertilized or not. Spikelets with enlarged ovule (Shi et al., 2015), partially filled spikelets (spikelets with incomplete grain-filling) and fully filled spikelets were grouped into fertilized spikelets. Thus, spikelet fertility was calculated as the ratio of fertilized spikelets to total number of spikelets from one panicle. The heat stress index (%) for spikelet fertility was then calculated as (Tao et al., 2008):

$$\text{Heat stress index (\%)} = \frac{\text{spikelet fertility in control} - \text{spikelet fertility in stress}}{\text{spikelet fertility in control}} \times 100$$

### **6.2.4 Observation of in vivo pollen germination**

On the first day of temperature exposure at flowering stage, spikelets that just began to flower (open of lemma and palea) after transferring into the chambers were carefully marked and

more than 20 spikelets were randomly sampled into FAA (50% absolute ethanol, 5% acetic acid and 18% sterilized water) fixative following the protocol by Rang et al. (2011), at about 1 h after their flowering from each genotype and each temperature treatment for Experiment 1. The spikelets were vacuumed for 1 h followed by washing them with 50% ethanol and de-ionized water. Thereafter, the sampled spikelets were carefully dissected using a stereomicroscope (Olympus SZX7, Olympus Corp, Japan). Isolated stigmas were cleared in 8 N NaOH for 3–5 h at room temperature and subsequently stained with 2% aniline blue dissolved in 0.1 M  $K_2HPO_4$  for 5–10 min. The total number of pollen and the number of germinated pollen on the stigma were recorded to determine the percentage of pollen germination.

### 6.2.5 Whole-mount observation of *in vivo* fertilization

In Experiment 2, individual flowering spikelets were marked at the initiation of flowering on the first day of temperature treatments by using the acrylic paint tagging technique (Jagadish et al., 2008) for control and HDT treatments. As day-time temperature had a predominant effect on spikelet fertility (see Results) presumably because pollination and the subsequent fertilization processes mainly occurred during the day-time, we conducted the observations on the effects of only HDT on fertilization. About 30 to 50 spikelets were systematically collected at 0.5, 1.0, 1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 5.0, 8.0, 12.0 and 24.0 hours after their initiated flowering. The spikelet samplings were collected into FAA fixative and vacuumed for 1 h followed by washing with 70% ethanol and stored in 70% ethanol at 4°C until microscopic observation.

The embryo sac of rice is enclosed within the nucellus, integument and ovary wall, thus posing a technical challenge for its observation using conventional paraffin sectioning (Zeng et al., 2007). To facilitate observation of cells and nuclei in different stages of their development within the embryo sac without continuous sections of the sample and to obtain a clear visual image, a simple and effective eosin B staining procedure for embryo sac scanning using a laser scanning confocal microscope (Zeng et al., 2007), designated as WE-CLSM (whole-mount eosin B-staining confocal laser scanning microscopy), was applied in our study. In detail, the ovaries from the spikelets were carefully dissected in 70% ethanol under a stereomicroscope (Olympus SZX7, Olympus Corp, Japan). Then, they were sequentially dehydrated in 50%, 30%, 10% ethanol and distilled water for 20 min respectively. To facilitate dyeing the samples, the ovaries were pretreated in 2% aluminum potassium sulfate

for 20 min. They were then stained with 10 mg/L eosin B solution (dissolved in 4% sucrose) for 10 to 12 h at room temperature. Having completed all above steps, the ovaries underwent thorough dehydration. Specifically, after being treated with 2% aluminum potassium sulfate for 20 min to remove partial dye from the ovary walls, the ovaries were rinsed 2 to 3 times with distilled water. Then the samples were dehydrated with a series of ethanol solutions (10%, 30%, 50%, 70%, 90%) for 20 mins individually and then with 100% ethanol for 20 mins for two to three times. At last, the dehydrated ovaries were carefully transferred into a mixture of absolute ethanol and methyl salicylate (1:1) for 1-2 h, and then they were kept in pure methyl salicylate for at least 1 h before microscopic observation.

The stained ovaries were carefully placed on a glass concavity slide and mounted with pure methyl salicylate before covering with coverslips. Then samples were scanned by a Leica SPE laser scanning confocal microscope (Leica Microsystems, Heidelberg, Germany) at excitation wavelength at 543 nm and emitted light was detected between 550 and 630 nm. The images of embryo sac were recorded and the abnormality of their structure and fertilization were determined.

### **6.2.6 Statistical analysis**

A two-way analysis of variance (ANOVA) was used to assess the effects of genotype, temperature treatment and their interaction on spikelet fertility and percent pollen germination data. Spikelet temperature was analyzed by a one-way analysis of variance (ANOVA). Least significant difference test (LSD) at a probability level of 5%, 1% and 0.1% was used to mean separation. Together with correlation and regression analysis, the above analyses were performed using Genstat (Version 16th, Rothamsted Experimental Station, Harpenden, UK).

## **6.3 Results**

### **6.3.1 Spikelet tissue temperature**

In Experiment 1, the range of spikelet tissue temperatures across the tested genotypes under control conditions and heat stress ranged between 31.0°C and 33.3°C, and between 36.0°C and 38.5°C, respectively (Table 6.1). The spikelet tissue temperatures under control condition were slightly higher than the target air temperature, while they were close to target air temperature under HDT condition. In contrast, spikelet tissue temperatures from Experiment 2 were similar to target air temperature across all temperature treatments in day, night and

**Table 6.1** Spikelet tissue temperature of checks (N22 and IR64), selected common high-yield inbreds (NSIC Rc222, HHZ12, PSBRc4) and seven hybrid rice varieties (H1 to H7) which were exposed to control (30°C) and higher day-time temperature (HDT-38°C) from 08:00 – 14:00 h for 6 h at flowering stage for 6 consecutive days.

Genotype	Control	HDT
N22	33.3 ± 0.8	38.4 ± 0.3
IR64	32.5 ± 0.7	37.7 ± 0.3
NSIC Rc222	31.2 ± 0.1	37.1 ± 1.4
HHZ12	32.1 ± 0.2	38.0 ± 0.6
PSBRc4	33.0 ± 1.0	36.0 ± 0.9
H1	32.2 ± 0.4	38.0 ± 0.3
H2	31.6 ± 0.4	38.5 ± 0.7
H3	32.9 ± 1.3	37.6 ± 0.6
H4	31.0 ± 0.4	36.1 ± 0.7
H5	32.8 ± 1.0	37.0 ± 0.7
H6	33.0 ± 1.4	38.0 ± 0.3
H7	32.0 ± 0.6	36.2 ± 0.9
Genotype	1.1*	0.8***

Mean ± standard deviation.

LSD (least significant difference) followed by \*,\*\*\* means significance at 5% and 0.1%.

combined stress treatments (Table 6.2). As measured spikelet tissue temperature did not differ much from air temperature, we used air temperature in all our analysis, unless specified otherwise.

### 6.3.2 Spikelet fertility

In Experiment 1, plants were exposed to two temperature treatments, i.e. control and HDT (38°C) for 6 h per day for 6 days at flowering stage. Significant genotype, treatment, and interaction between genotype and interaction effects ( $P < 0.001$ ) were recorded for spikelet fertility based on the targeted panicles. Specifically, under control condition, spikelet fertility was more than 80% in checks and high-yielding inbred varieties, but slightly lower in all hybrids except for H1 (Figure 6.1a). Spikelet fertility across the tested genotypes decreased under HDT stress and there was significant genotypic variation in response to HDT ( $P < 0.001$ ). Under HDT exposure, tolerant check N22 had 12.4% reduction in spikelet fertility compared to 64.9% in susceptible check IR64. Across the three inbreds, an average decline of 15.0% in fertility was recorded with exposure to HDT stress. Largest decrease of spikelet fertility was documented across all hybrids except for H2, with an average of 48.2%. In Experiment 2, the plants were exposed to separate and combined HNT and HDT, along with control temperature. There were significant effects of genotype, temperature treatments, and of the interaction

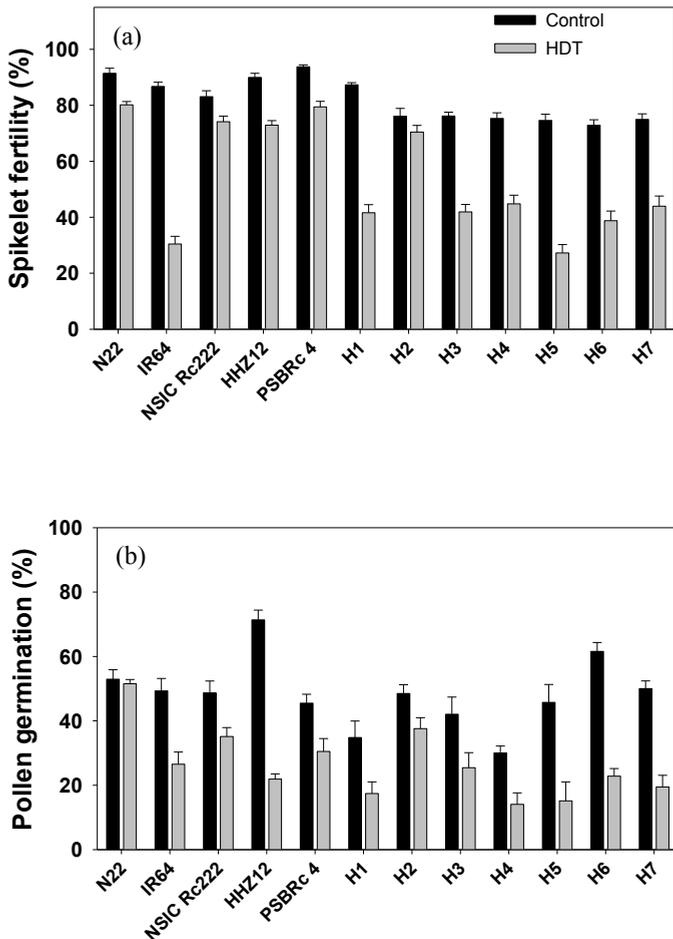
**Table 6.2** Spikelet tissue temperature of checks (N22 and IR64), heat tolerant IR64 near-isogenic line (HT NIL) and three hybrids (H2, H5, H6) exposed to control (31°C/23°C(day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C), combined higher day-time and night-time temperature (HNT-HDT-38°C/30°C) at flowering stage for consecutive 6 flowering days. Both day and night temperature were maintained for 11 h at 06:00 h – 17:00 h and 18:00 h – 05:00 h, respectively.

Genotype	Day-time			Night-time		
	Control	HNT	HDT	HNT	HDT	HNDT
N22	31.2 ± 0.1	29.6 ± 1.2	38.1 ± 0.1	37.6 ± 0.3	22.5 ± 0.1	28.2 ± 0.4
IR64	29.9 ± 0.0	29.4 ± 0.3	37.7 ± 1.0	38.4 ± 0.4	22.4 ± 0.1	29.4 ± 0.2
HT NIL	30.2 ± 0.3	30.0 ± 0.7	38.0 ± 0.4	38.0 ± 0.4	22.6 ± 0.3	29.6 ± 0.6
H2	30.7 ± 0.3	31.6 ± 0.3	39.3 ± 0.5	38.1 ± 0.4	22.7 ± 0.2	29.5 ± 0.1
H5	31.0 ± 0.3	30.6 ± 0.4	37.2 ± 0.3	38.1 ± 0.3	22.9 ± 0.1	29.6 ± 0.2
H6	30.0 ± 0.3	29.4 ± 0.3	38.6 ± 1.1	38.6 ± 0.2	22.9 ± 0.1	29.4 ± 0.2
Genotype	0.4***	0.9***	0.9**	NS	0.2**	0.5***

Mean value ± standard deviation.

LSD (least significant difference) followed by \*\*, \*\*\* means significance at 1% and 0.1%. NS means non-significant.

between genotype and temperature ( $P < 0.001$ ). Spikelet fertility was not significantly affected when exposed to HNT in checks and HT NIL, while there was a moderately significant decline in all tested hybrids (Table 6.3). In contrast, there were highly significant decreases in spikelet fertility when exposed to HDT and HNNT across all genotypes, with an average fertility of only 14.7% and 15.4% under HDT and HNNT exposure, respectively. Interestingly, there was a significant increase in spikelet fertility of H6 at HNNT over HDT. Regression



**Figure 6.1** Spikelet fertility (a) and percentage of pollen germination on the stigma (b) of checks (N22 and IR64), selected common high-yielding inbreds (NSIC Rc222, HHZ12, PSBRc 4) and seven hybrid rice varieties (H1 to H7) which were exposed to control (30°C) and high day-time temperature (HDT-38°C) at flowering stage for 6 consecutive flowering days in Experiment 1. Bars indicate standard errors of the mean.

**Table 6.3** Spikelet fertility of checks (N22 and IR64), heat tolerant IR64 near-isogenic line (HT NIL) and three hybrids (H2, H5, H6) exposed to control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C), combined higher day-time and night-time temperature (HNDDT-38°C/30°C) at flowering stage for 6 consecutive flowering days (Experiment 2).

Genotype	Control	HNT	HDT	HNDDT
N22	93.0 ± 0.7	91.8 ± 0.7	24.5 ± 5.0	29.0 ± 1.8
IR64	88.2 ± 0.8	86.5 ± 0.8	12.1 ± 1.5	9.7 ± 1.7
HT NIL	83.4 ± 1.7	80.7 ± 1.7	19.1 ± 2.6	20.2 ± 2.0
H2	69.5 ± 2.1	52.5 ± 2.5	15.4 ± 3.9	9.3 ± 2.9
H5	70.3 ± 2.1	40.6 ± 6.6	4.6 ± 1.5	3.8 ± 0.8
H6	73.4 ± 2.0	66.4 ± 2.1	12.7 ± 1.7	20.9 ± 1.4
Genotype (G)		3.5 ***		
Treatment (T)		2.8 ***		
G × T		7.0 ***		

Mean value ± standard error.

LSD (least significant difference) followed by \*\*\* means significance at 0.1%.

analysis was undertaken to test the relative importance of day-time temperature (T<sub>day</sub>) and night-time temperature (T<sub>night</sub>), as well as their interaction (T<sub>day</sub> × T<sub>night</sub>) on spikelet fertility. Using air temperature or spikelet tissue temperature essentially gave similar results (Table 6.4). Overall, T<sub>day</sub> was more damaging than T<sub>night</sub> as absolute values of the negative coefficients of T<sub>day</sub> were generally higher than those of T<sub>night</sub> (Table 6.4). Moreover, the effects of T<sub>day</sub> on spikelet fertility was significant for all genotypes while that of T<sub>night</sub> was at a significant level in some genotypes only, indicating genotypic variation in responses to T<sub>day</sub> and to T<sub>night</sub>, and the spikelet fertility of hybrids in particular were, to some extent, further affected by T<sub>night</sub>. Moreover, of the hybrids, H5 and H6 showed a significant T<sub>day</sub> × T<sub>night</sub> interaction, suggesting that although the effect of T<sub>day</sub> was dominant, T<sub>night</sub> notably interacted with T<sub>day</sub> in determining spikelet fertility in these two genotypes.

A strong positive correlation was observed for the heat stress index between two independent sets of plants exposed to HDT in Experiments 1 and 2, indicating genotypic consistency in tolerance/susceptibility to heat (P<0.05; n=5; Figure A6.1) in two independent experiments. However, the heat stress index was higher in Experiment 2 than in Experiment 1, presumably due the different durations of high temperature (11 h in Experiment 2 vs 6 h in Experiment 1).

**Table 6.4** Regression analysis ( $Y = a + b1 \times T_{\text{day}} + b2 \times T_{\text{night}} + b12 \times T_{\text{day}} \times T_{\text{night}}$ ) for the spikelet fertility for six rice genotypes grown at control (31°C/23°C (day/night)), higher night-time temperature (HNT-31°C/30°C), higher day-time temperature (HDT-38°C/23°C) or combined higher night-time and day-time temperature (HNDT-38°C/30°C) for 6 consecutive flowering days (based on data of Experiment 2).

Factor	Genotype	No. of observations	R <sup>2</sup>	Coefficients				P-value			
				Intercept	T <sub>day</sub>	T <sub>night</sub>	T <sub>day</sub> × T <sub>night</sub>	Intercept	T <sub>day</sub>	T <sub>night</sub>	T <sub>day</sub> × T <sub>night</sub>
Air temperature	N22	48	0.93	482.9 ± 102.7	-12.5 ± 3.0	-3.8 ± 3.8	0.1 ± 0.1	0.000	0.000	0.333	0.301
	IR64	48	0.99	420.9 ± 49.0	-10.5 ± 1.4	0.2 ± 1.8	0.0 ± 0.1	0.000	0.000	0.917	0.787
	HT NIL	48	0.96	433.5 ± 76.7	-11.0 ± 2.2	-2.8 ± 2.9	0.1 ± 0.1	0.000	0.000	0.329	0.345
	H2	48	0.87	523.4 ± 109.8	-12.8 ± 3.2	-9.3 ± 4.1	0.2 ± 0.1	0.000	0.000	0.028	0.068
	H5	48	0.85	879.5 ± 134.7	-23.0 ± 3.9	-22.5 ± 5.0	0.6 ± 0.1	0.000	0.000	0.000	0.000
	H6	48	0.95	585.8 ± 68.6	-15.8 ± 2.0	-10.6 ± 2.6	0.3 ± 0.1	0.000	0.000	0.000	0.000
Spikelet temperature	N22	48	0.93	716.4 ± 121.6	-18.2 ± 3.6	-13.9 ± 4.7	0.4 ± 0.1	0.000	0.000	0.005	0.010
	IR64	48	0.99	516.3 ± 40.1	-13.7 ± 1.2	-6.2 ± 1.5	0.2 ± 0.0	0.000	0.000	0.000	0.000
	HT NIL	48	0.96	425.5 ± 66.6	-10.8 ± 1.9	-4.1 ± 2.5	0.1 ± 0.1	0.000	0.000	0.114	0.135
	H2	48	0.87	284.8 ± 99.0	-5.8 ± 2.8	-0.9 ± 3.9	0.0 ± 0.1	0.006	0.043	0.820	0.826
	H5	48	0.85	1101.3 ± 142.7	-29.7 ± 4.1	-30.6 ± 5.2	0.8 ± 0.2	0.000	0.000	0.000	0.000
	H6	48	0.95	533.1 ± 56.7	-14.2 ± 1.6	-11.0 ± 2.1	0.3 ± 0.1	0.000	0.000	0.000	0.000

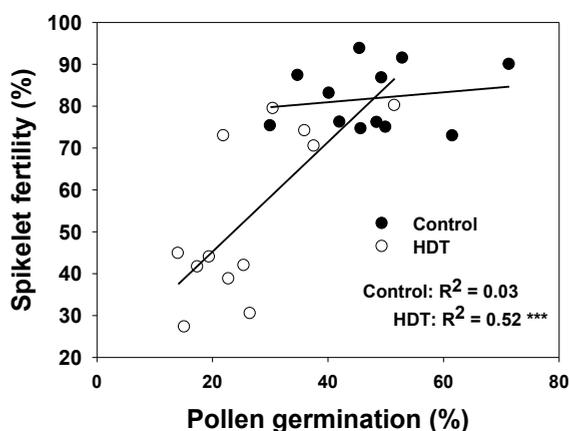
Estimates ± standard error of the estimates

### 6.3.3 Pollen germination and its relation with spikelet fertility

The percent pollen germination was significantly affected by genotypes, temperature treatment and their interaction ( $P < 0.001$ ) in Experiment 1. Under control conditions, the pollen germination ranged from 30.0% to 71.4% across all genotypes (Figure 6.1b). There was a significant decline in percentage of pollen germination with HDT in all genotypes except for the tolerant check N22, with an average of 46.8% of reduction across cultivars. The percent pollen germination was significantly correlated with spikelet fertility with high temperature condition ( $P < 0.01$ ;  $n = 12$ ) while it was not strongly associated with spikelet fertility at the control condition (Figure 6.2).

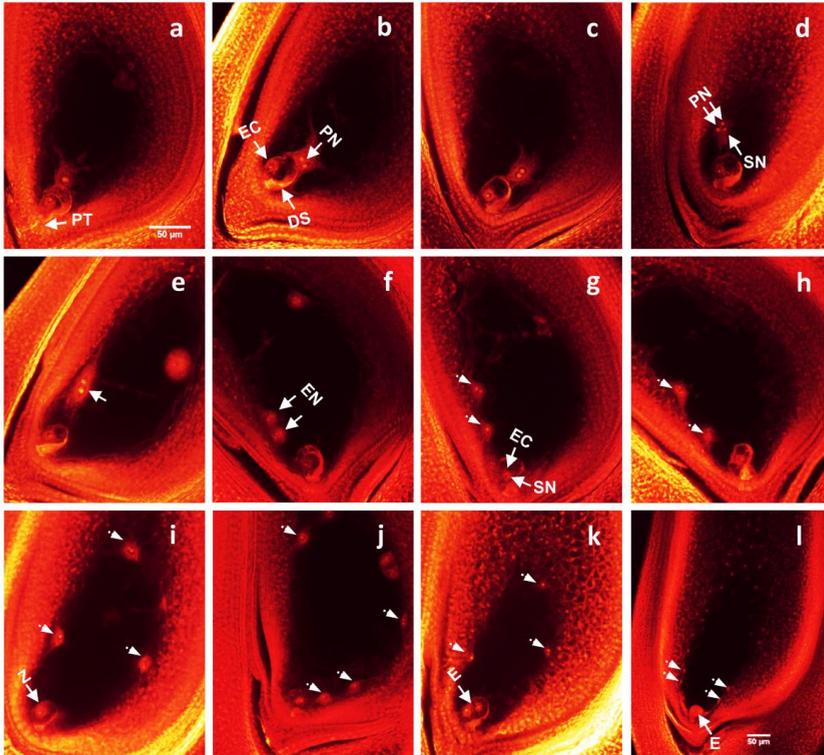
### 6.3.4 Fertilization observation

By applying the WE-CLSM, the *in vivo* imaging of the double fertilization process was successfully observed for rice exposed to both control and HDT. About 0.5 to 1 h after flowering across all genotypes (Table A6.2), the tip of the pollen tube passed through the micropylar pole (Figure 6.3a), discharged its content through interaction with one of degenerated synergids which looked like a horn (Figure 6.3b). The horn-like structure was seen extending and getting closer to the two polar nuclei and egg cell, allowing the release of



**Figure 6.2** The relationship between spikelet fertility and pollen germination of all tested genotypes which were exposed to control (30°C) and high day-time temperature (HDT - 38°C) at flowering stage for 6 consecutive flowering days (Experiment 1). The significance of the correlation is represented as: \*\*\*,  $P < 0.001$ .

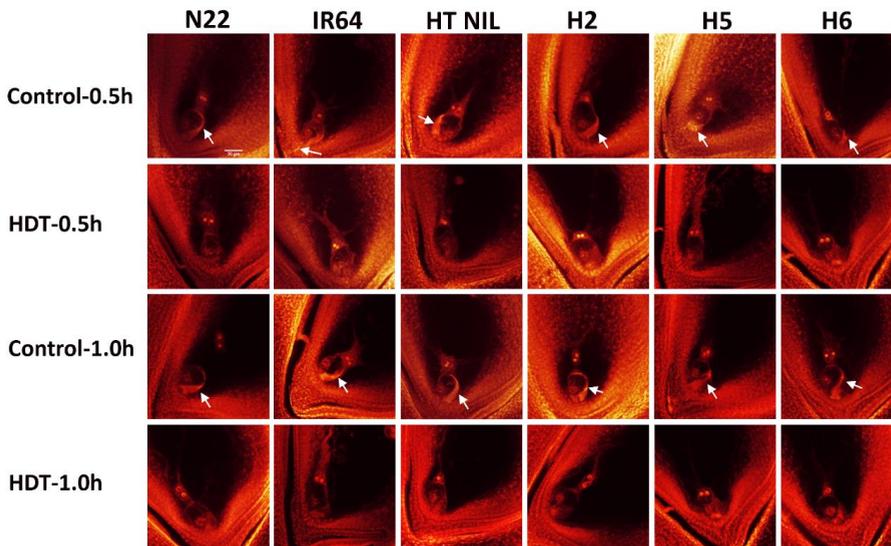
two sperm cells to migrate towards the female gametes (one of the released sperm cells moved towards the egg cell and the other one towards polar nuclei – not visible in our pictures). After that, cytoplasm content around polar nuclei became denser (Figure 6.3c). From then on till 2 or 3 hours after flowering in different genotypes, polar nuclei together



**Figure 6.3** Processes leading to fertilization and zygote formation in IR64 plants exposed to control temperature (30°C) coinciding with flowering (Experiment 2). (a) At 0.5 h after flowering (h), pollen tube (PT) was passing through the micropylar. (b) At 1 h, pollen tube penetrated into the degenerated synergids (DS) and a horn-like structure got closer into two polar nuclei (PN) and egg cell (EC). (c-d) From 1.5 to 2.0 h, two polar nuclei together with one sperm cell nucleus (SN-small nuclei shown in 2.0) started migration. (e) At 2.5 h, the fusion of PN and SN occurred (indicated by arrow). (f-h) From 3.0 to 4 h, free endosperm nucleus (EN) were shown due to the first division of the primary endosperm nucleus. (i) At 5 h, zygote (Z) shown with large nucleolus was seen from fusion of EC and SN. (k) At 12.0 h, pre-embryo with two cells. (l) At 24 h, embryo (E) together with plenty of free endosperm nucleus. Bars = 50 μm

DS, degenerated synergids; E, embryo; EC, egg cell; EN, endosperm nucleus; PT, pollen tube; PN, polar nuclei; SN, sperm cell nuclei; Z, zygote.

with the sperm nucleus moved closer to the wall of the embryo sac (Figure 6.3d, e) and the primary endosperm nucleus was formed from the fertilization of polar nuclei and sperm nucleus. Then the primary endosperm nucleus started its first free-nuclear division (Figure 6.3f) and there was genotypic variation in the timing when division was started (Table A6.2). From then on, the primary endosperm nucleus continued its 2<sup>nd</sup> or 3<sup>rd</sup> division (Figure 6.3g – j). In 5 hours after flowering, the sperm nucleus fused with egg the nucleus, forming a larger nucleolus providing initial signals of zygote formation (Figure 6.3i). Twelve hours after flowering, the zygote was seen to undergo its first division and a two-celled embryo was formed, while the primary endosperm nucleus had completed three or more rounds of divisions (Figure 6.3k). At 24 hours after flowering, the size of the embryo sac was enlarged with plenty of free nuclei distributed around the wall of embryo sac and simultaneously



**Figure 6.4** Processes happening at 0.5 and 1.0 h after flowering in two rice genotypes (checks-N22 and IR64), heat tolerant IR64 near-isogenic line (HT NIL) and three hybrids (H2, H5, H6) after exposing to control temperature (30°C) and high day-time temperature (38°C) at flowering stage (Experiment 2). (control-0.5 h) Highlighted pollen tube is passing through micropylar (in IR64 and H5) or soon after it penetrated into one of the degenerated syneids and bright horn was formed (indicated by arrow). (control-1.0 h) Horn-like structure extended into the middle of two polar nuclei and egg cell allowing the release of two sperm cells nuclei. (HDT-0.5 h & HDT-1.0 h) The bright pollen tube or horn-like structure was not observed in the embryo sac indicating the pollen tube did not reached the embryo sac.

Bars = 50  $\mu$ m

the zygote continued its division (Figure 6.31).

Based on the *in vivo* fertilization observations, spikelets were classified into four categories to distinguish the differences between control and HDT exposure (Table 6.5). Under the control condition, more than 73.3% of the spikelets had normal fertilization, and the next large group was the spikelets without pollen tube reaching the embryo sac, accounting for 2.5% to 23.8% of spikelets across all genotypes (Table 6.5). There were only 0.2% to 2.2% of samples in which the pollen tube reached the embryo sac, but then were arrested with no further progress. After exposure to high day temperature stress, the spikelets with normal fertilization largely decreased between 2.3% and 19.0% (Table 6.5). There were an average 80.2% samples without pollen tube reaching to the micropylar pole or without bright horn-like structure indicating penetration of pollen tube into the degenerated synergids in all genotypes (Figure 6.4 - showing the process at 0.5 and 1.0 h after flowering). In contrast, the spikelets with arrested fertilization increased under the HDT condition compared to that in the control condition even though it was less frequent among the various fertilization classifications.

### 6.4 Discussion

In view of constraints affecting hybrid rice development under the current and projected increase in frequency of heat episodes in tropical and subtropical rice-growing countries, we studied experimentally the impact of high temperature stress on hybrids in comparison with inbred rice genotypes. When designing experiments involving temperature effects transpiration cooling could be a confounding factor due to different VPD (vapor pressure deficit) which is determined by interaction between day temperature and relative humidity (Yan et al., 2010; Julia and Dingkuhn, 2013). Considering tissue temperature in plants has been highlighted (Sheehy et al., 1998; Yoshimoto et al., 2011), to account for this interaction. There are indications of genotypic difference in panicle temperature under highly variable environments such as extreme heat and low relative humidity (Julia and Dingkuhn, 2013). However, in our study, spikelet tissue temperature measured across all genotypes and both experiments was close to air temperature, by following an established experimental set up (i.e. high day temperature and moderately high relative humidity) wherein VPD is maintained at low levels (Jagadish et al., 2010; Shi et al., 2015). Additionally, it has been reported that the tolerant genotype with higher spikelet fertility had relatively higher spikelet tissue

**Table 6.5** Frequencies of various classifications of fertilization in checks (N22 and IR64), heat tolerant IR64 near-isogenic line (HT NIL) and three hybrids (H2, H5, H6) of rice exposed to control (31°C/23°C, day/night) and high day temperature (HDT-38°C/23°C) at its flowering day (Experiment 2).

Genotype	Treatment	Total no. of spikelets used for observation	Spikelets with normal fertilization (%)	Spikelets without pollen tube that reached its embryo sac (%)	Spikelets with arrested fertilization (%)	Unclear samples and abnormal embryo sac (%)
N22	Control	446	94.8	2.5	0.9	1.8
	HDT	306	19.0	77.1	2.3	1.6
IR64	Control	319	91.2	2.5	0.3	6.0
	HDT	341	2.3	73.3	16.7	7.6
HT NIL	Control	533	89.1	8.6	0.2	2.1
	HDT	384	16.4	78.4	4.2	1.0
H2	Control	356	81.2	14.6	2.2	2.0
	HDT	306	9.8	81.4	4.6	4.2
H5	Control	412	73.3	23.8	0.5	2.4
	HDT	307	5.9	83.4	3.3	7.5
H6	Control	459	88.7	6.3	0.4	4.6
	HDT	530	9.8	87.4	1.3	1.5

Total no. of observed spikelets is the sum of collected spikelets starting from 0.5 to 24 h after flowering.

"Spikelets with normal fertilization" indicates the spikelets have the similar process to control condition at its particular timepoint.

"Spikelets with arrested fertilization" indicates the fertilization process stopped and it was not in the same condition as the control samples.

temperature compared to the susceptible genotype (Coast et al., 2015; Shi et al., 2015). Our study also showed that the spikelet fertility of tolerant check-N22 was much higher than that in other genotypes under high temperature, with no obvious difference in spikelet tissue temperature between N22 and other genotypes (Table 6.1 and 6.2). These data indicate that genotypic resilience is not merely associated with avoiding the hot microclimate, but mostly due to its resilient reproductive physiology (such as number of pollen and pollen germination on the stigma).

Thus, it is necessary to investigate how spikelet fertility is associated with reproductive physiology under stress. Until today, our study is the first to evaluate this association in the context of the performance of tropical and subtropical hybrids to HDT. We first observed the high vulnerability of these hybrids to HDT during flowering, which is in agreement with the previous studies working on evaluating the heat tolerance of hybrid rice grown in China (Tong et al., 2008; Hu et al., 2012; Zhang et al., 2014; Fu et al., 2015). It is worth noting that hybrids having heat stress tolerance to HDT in above studies and even our study account for only a small portion of all tested hybrids. Moreover, substantial differences in the sensitivity of spikelet fertility to HDT were identified within investigated hybrid rice and selected best-performing modern inbred indica varieties and a heat tolerant check. Hybrids showed greater decreases in spikelet fertility over the inbreds exposed to HDT at flowering. Madan et al. (2012) showed that the large yield advantage of one hybrid over an inbred cultivar (IR64) at 29°C and 35°C disappears at 38°C as sterility significantly increased. In line with our results, a study which compared one inbred japonica variety with two hybrids showed higher heat susceptibility in hybrids at heading stage (Zhang et al., 2014). Based on the above studies and our own evaluation, it can be concluded that high temperature is a major factor in regulating the stability of hybrid rice production, with hybrid rice relatively more sensitive to increasing temperature than indica and japonica inbreds. These findings, therefore, highlight the urgent need to address the damage caused by HDT on hybrids and develop heat-tolerant hybrids by utilizing the genetic advances made using inbreds and landraces (Ye et al., 2015). In Experiment 2, HDT and HNHT significantly decreased spikelet fertility in all tested genotypes while HNT moderately decreased spikelet fertility in only three hybrids (Table 6.3). Regression analysis also demonstrated that day temperature was dominant in deciding spikelet fertility of rice rather than night temperature (Table 6.4). This is in agreement with the results of Yin et al. (1996) on phenological development to flowering in response to day

and night temperature and of Ishimaru et al. (2016) on the spikelet sterility in the fields of heat-vulnerable regions in Laos and southern India.

High temperature during flowering has been identified to affect the anther dehiscence, pollen pollination and pollen germination, causing spikelet sterility (Matsui et al., 2000; Prasad et al., 2006; Jagadish et al., 2010). Our result (Figure 6.2) was in line with previous reports that spikelet sterility under HDT exposure was strongly associated with lower numbers of pollen germinated on the stigma. Only one pollen tube can succeed in penetrating the embryo sac from the micropyle because the other pollen tubes that arrive there later cannot enter as the micropyle opening is blocked by the first arriving pollen tube. However, the elongation of the pollen tube is more favorable when several pollen tubes are in close proximity to each other as compared with isolated ones (Hoshikawa, 1989). Thus, a certain number of germinated pollen are required for maintaining the spikelet fertility under HDT condition, and Yoshida (1981) identified that this minimum number of germinated pollen grains is ten. After the pollen has germinated on the stigma and the pollen tube has penetrated the embryo sac, the double fertilization process is immediately initiated. However, this *in vivo* fertilization process has never been clearly described in previous research to pinpoint relative changes under both control and high temperature conditions. Our results clearly demonstrated that increased temperature during flowering caused spikelet sterility by disturbance of the pre-fertilization process as spikelets without pollen tube reaching the embryo sac accounted for the largest proportion among all observations (Table 6.5, Figure 6.4 and Figure A6.2). A more detailed mechanistic explanation to previous findings, high temperature affecting pollen viability and germination on the stigmatic surface and along its journey to the ovary, from our findings adds to the knowledge gap in this area. In contrast, the fertilization processes in both control and HDT conditions were less affected by temperature stress as spikelets with arrested fertilization accounted for a small proportion compared with spikelets without pollen tube reaching the ovary. Our study is the first to prove the hypothesized statement that temperature  $\geq 38^{\circ}\text{C}$  occurring one hour after flowering had a minimal impact on fertility (Yoshida et al., 1981; Jagadish et al., 2007). Furthermore, our results imply that by shifting the flower opening to early morning cooler conditions (Ishimaru et al., 2010; Bheemanahalli et al., 2017) is an effective strategy, and should be considered as a potential trait to improve the heat-stress resilience in hybrids.

## **6.5 Conclusions**

In summary, together with the findings of previous studies showing the high vulnerability of hybrids to high day temperature, our study also indicates the heat susceptibility in tropical and subtropical hybrids and emphasizes their susceptibility to be higher than that of the high-yielding inbred varieties. Moreover, we identified a novel mechanism of high temperature impacts during flowering, that is, the fertilization process was minimally affected by HDT; instead, disturbances in the pre-fertilization phase were the primary causes for heat-induced spikelet sterility. Thus, introducing the early-morning flowering trait into rice plants could be considered as a good strategy because the sensitive period of the plant would be in the relatively cool morning hours and the later fertilization process, though taking place at high temperature around noon, would be little affected. While this mechanism may also explain our result in H6 that HNT might alleviate the negative effect of the following-day HDT, it does not explain our data showing a direct negative effect of HNT in three hybrids. The latter effect merits further morpho-physiological investigations.

Appendix Chapter 6, Supplementary tables and figures

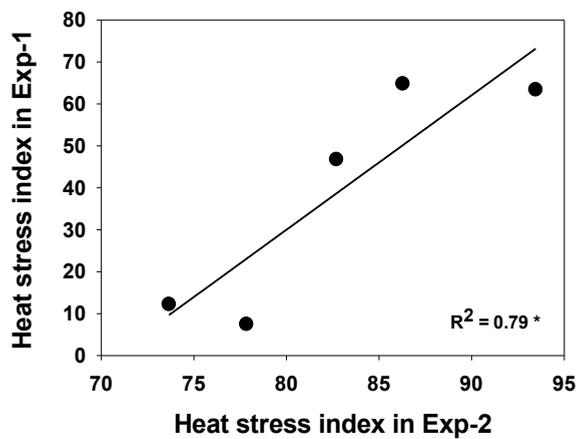
**Table A6.1** Actual temperature, relative humidity and vapor pressure deficit records within the greenhouse and walk-in growth chambers in Experiment 1, which were set at control (30°C/23°C (day/night)) and higher day-time (HDT-38°C/23°C) temperatures. Data for day-time was from 08:00 h – 14:00 h for 6 h while night-time was recorded for 11 h from 18:00 h – 05:00 h. In Experiment 2, walk-in growth chambers were set at control (31°C/23°C (day/night)), higher night-time (HNT-31°C/30°C), higher day-time (HDT-38°C/23°C) or combined higher night-time and day-time (HNNT-38°C/30°C) temperatures for imposing the treatments to the rice plants. Both day and night temperatures were maintained for 11 h at 06:00 h – 17:00 h and 18:00 h – 05:00 h, respectively.

Experiment	Place / Treatment	Temperature (°C)		Relative humidity (%)		Vapor pressure deficit (kPa)	
		Day-time	Night-time	Day-time	Night-time	Day-time	Night-time
Experiment 1	Greenhouse	28.8 ± 2.0	24.5 ± 1.6	77.4 ± 7.9	89.0 ± 3.9	0.9 ± 0.4	0.3 ± 0.1
	Control chamber	29.2 ± 1.1	23.0 ± 0.9	68.7 ± 5.9	72.0 ± 2.7	1.3 ± 0.3	0.8 ± 0.1
	HDT chamber	37.5 ± 1.2	23.0 ± 1.0	66.7 ± 5.7	70.7 ± 2.4	2.2 ± 0.5	0.8 ± 0.1
Experiment 2	Greenhouse	29.3 ± 1.7	26.0 ± 1.2	81.6 ± 5.5	87.6 ± 4.9	0.8 ± 0.3	0.4 ± 0.2
	Control chamber	30.1 ± 1.1	22.8 ± 0.5	78.7 ± 5.2	78.2 ± 1.8	0.9 ± 0.3	0.6 ± 0.1
	HNT chamber	30.6 ± 0.8	29.8 ± 0.3	72.8 ± 7.2	80.0 ± 4.9	1.2 ± 0.3	0.8 ± 0.2
	HDT chamber	37.4 ± 0.9	23.0 ± 0.3	77.1 ± 6.6	79.0 ± 1.8	1.5 ± 0.5	0.6 ± 0.1
	HNNT chamber	37.8 ± 0.7	30.0 ± 0.5	75.2 ± 5.1	75.4 ± 1.6	1.6 ± 0.3	1.0 ± 0.1

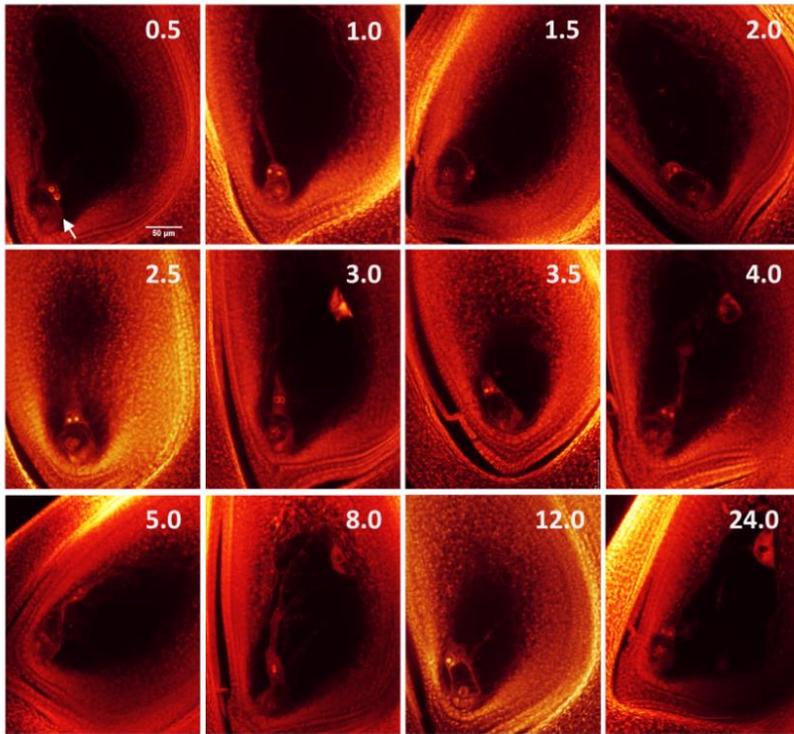
Mean ± standard deviation.

**Table A6.2** The identification of major phases of double fertilization in six different rice genotypes (checks - N22 and IR64, heat tolerant IR64 near-isogenic line - HT NIL, and three hybrids - H2, H5, H6) grown at control (31°C/23°C (day/night)) during their flowering days. The number in the table indicates hours after spikelet opening (Experiment 2).

Characteristics	N22	IR64	HT NIL	H2	H5	H6
The arrival of pollen tube in the embryo sac and the formation of "horn"	0.5	0.5 -1.5	0.5 -1	0.5	0.5 -1.5	0.5-1
Polar nuclei together with male nucleus started moving	1.0	2.0	1.5	1.0	2.0	1.5
Polar nuclei and male nucleus started its first division (start-end)	2.0-4.0	3.0-4.0	2.5-4.0	2.5-4.0	3.0-4.0	2.5-4.0
Polar nuclei and male nucleus started its second division	<5	5	5	5	5	5
First division of the zygote was observed	<12	12	12	12	12	12



**Figure A6.1** The relationship between heat stress index of five common selected genotypes in Experiment 1 (HDT-1) and Experiment 2 (HDT-2). The significance of the correlation is represented as: \*,  $P < 0.05$ .



**Figure A6.2** Processes happening in the embryo sac of IR64 at 0.5 to 24 hours after flowering (the numbers located on the top right corner in each picture) exposed to higher day-time temperature (38°C/23°C-day/night) for 6 consecutive days. The bright horn-like structure (position indicated by arrow) was not found in the embryo sac indicating the pollen tube did not passed through the micropylar and penetrated with degenerated synergids.

# **CHAPTER 7**

## **General discussion**

Warmer nights and more frequent, more intense and longer heat waves than ever before in the history of agriculture occur particularly in tropical and subtropical rice growing regions, and this trend is projected to continue in the future (see Chapter 1). Rice production, therefore, will seriously be affected by the increasing temperatures, posing a great challenge to sustaining rice productivity for meeting the growing food demands in the future. In this thesis, efforts were made to unravel the impact of high day-time temperature (HDT) and/or night-time temperatures (HNT) on rice grain yield and grain quality. Besides, some key physiological traits and phenomena, related to the response of rice to HNT and/or HDT, were analyzed and effective adaptation strategies to cope with frequent high temperatures were proposed.

In this chapter, I will first discuss the major results from my studies and their implications (Sections 7.1 to 7.4) by addressing the following questions raised in the General Introduction (Chapter 1) of this thesis: (a) what are the responses of rice to HNT in the field? (b) what is the degree of tolerance/susceptibility among promising tropical and subtropical rice hybrids to increased temperatures? (c) what are the differences in response of rice to HDT and HNT at flowering and early grain filling stage? and (d) what are the appropriate strategies that can be used to cope with increased temperatures. Subsequently I will indicate the further research questions that are not yet dealt within this thesis and I will make suggestions for future research in Section 7.5. The General Discussion will be completed by Concluding remarks (Section 7.6).

### **7.1 Responses of rice to high night-time temperature in the field**

#### **7.1.1 Response of yield and yield components of rice to high night-time temperature**

This thesis presents the first effort to explore the impact of HNT on rice plants in the field and the first evidence that yields of tropical and subtropical rice hybrids are affected by exposure to HNT. HNT significantly reduced grain yield of susceptible genotypes (Gharib) and all tested hybrids under field conditions (Chapters 2-3). Chapter 3 also showed that HNT significantly decreased grain yield across both seasons (i.e. the dry and the wet season), with on average less reduction in the dry season (13.4%) than in the wet season (18.6%), although the temperature treatments were similar for both seasons. Such difference in the effects of high night-time temperature between the seasons may be associated with other environmental factors, such as day temperature (Ziska and Manalo, 1996) and solar radiation (Bell et al., 1992). The day-time temperature and solar radiation during the wet season were relatively

lower than during the dry season (Yang et al., 2008), which could lead to a decreased assimilate production and accumulation, thus inducing a large yield loss in the wet season. In line with my results, Wei et al. (2010b) found different effects of HNT on grain yield of both early- and late-season rice in China. HNT imposed during the wet season resulted in the absence of a clear diurnal temperature amplitude. Such an amplitude has been documented to have a stronger negative impact than an increase in night-time temperature *per se* (Bueno et al., 2012), and could be another factor resulting in a larger decline in yield in the wet season than in the dry season. However, the effect of the amplitude is still poorly understood.

The impact of increasing temperatures can occur through effects on each of the following yield components: number of panicles per plant, number of spikelets per panicle, percentage seed set, and single-grain weight. In Gharib, an elite indica traditional rice variety with low yielding capacity and good quality (Sabouri et al., 2012), the yield reduction, as observed in this thesis, was consistently caused by decreases in single-grain weight. Among the high-yielding rice hybrids, the yield component number of spikelets  $m^{-2}$  contributed most, and single-grain weight contributed less, to yield variation under control and/or HNT across the two seasons, while the contribution of percentage seed set was generally low and season-specific. This is in contrast with previous HNT studies conducted in controlled environments, in which yield reduction was attributed to increases in spikelet sterility (Cheng et al. 2009; Mohammed and Tarpley 2010, 2011; Mohammed et al., 2013; Dong et al., 2014). However, my study was in line with subsequent HNT studies in the field, which indicated that percentage seed set may not be the main determinant of HNT-induced yield loss under field conditions as seed set was not consistently and significantly affected by HNT (Zhang et al. 2013; Rehmani et al., 2014). The differences in findings between experiments carried out in controlled environments and in the field could partly be attributed to the fact that very high HNTs were imposed in all above controlled-environment studies (Cheng et al. 2009; Mohammed and Tarpley, 2010, 2011, Mohammed et al., 2013; Dong et al., 2014), i.e. temperatures  $\geq 32^{\circ}C$  were imposed. Such high values do not exactly represent the predicted increase in the near future, whereas HNTs were closer to the current, actual night-time temperatures ( $+2^{\circ}C$  to  $4^{\circ}C$ ) in the experiments carried out in the field.

Environmental variables during the early reproductive phase from panicle initiation to booting can have a major effect on rice yield formation. The number of spikelets per panicle

is determined during this development phase. In my study, seasonal HNT starting from panicle initiation significantly reduced the number of spikelets  $m^{-2}$  in high-yielding hybrids with a large number of spikelets panicle<sup>-1</sup> (Chapter 3). This finding is supported by a strong negative impact on spikelet differentiation and a significant increase in spikelet abortion under HNT exposure documented by Wei et al. (2010a) and Wu et al. (2016). Moreover, grain weight reduced under HNT was consistently observed in my studies and other recent studies with inbred rice (Zhang et al. 2013; Rehmani et al., 2014; Shah et al., 2014).

In conclusion, the number of spikelets  $m^{-2}$  and the single-grain weight were mainly determining the yield loss when the field crops were exposed to warmer nights during the whole reproductive stage.

### 7.1.2 Physiological mechanisms of yield loss under high night-time temperatures

At the whole plant level, HNT consistently reduced single-grain weight (Chapters 2-4), which was also observed in other subsequent field-scale HNT studies (Zhang et al., 2013; Rehmani et al., 2014; Shah et al., 2014). Thus, my studies focused on the important factors limiting grain growth and development during grain filling under HNT. Final single-grain weight is mainly determined by duration and rate of grain filling. High night-time temperatures reduced grain growth duration, resulting in an overall negative effect on final single-grain weight (Chapter 2). Moreover, a significant reduction in assimilate translocation after flowering was observed for the susceptible genotype when exposed to HNT (Chapter 2), highlighting the critical role high assimilate translocation plays in tolerance to HNT. In addition, net assimilate production is mainly determined by the balance between photosynthesis and respiration. Warmer nights negatively affect the balance between day-time photosynthesis and night-time respiration (Bahuguna et al., 2016), reduce overall carbohydrate pool and biomass, and thus reduce yield (Chapter 3). The reduction in single-grain weight under HNT is related not only to the changes in source activity as reported above, but also to sink limitation resulting from the changes in enzymes involved in sucrose-to-starch metabolism (Bahuguna et al., 2016). Only superior spikelets having greater access to assimilates were considered in Chapter 5 to test if assimilate supply is the major factor leading to lower single-grain weight and poor quality under exposure to heat stress. No decline in the single-grain weight was found under HNT at early grain filling stage in the controlled environments. I observed that HNT disturbed the sink activity (enzymes involved in sucrose-to-starch metabolism) of superior spikelets. By using a novel modelling approach that

quantifies source-sink relationships during grain filling, I found that there were significant differences among cultivars in grain filling duration and grain filling rate, both modulated by their source-sink relationship in response to HNT (Chapter 4). In summary, both source activity (assimilation and/or translocation) and sink activity (enzymes involved in sucrose-to-starch metabolism) were affected by HNT. However, given the significant genotypic variation in HNT responses, such detailed physiological mechanisms have to be investigated further, using more rice accessions.

## **7.2 Responses of hybrid rice to high temperatures**

A potential adaptation strategy to develop heat-tolerant cultivars demands an extensive search for genetic variation. So far, genetic variation in response to HDT has been well reported in several studies (Ziska et al., 1996; Matsui et al., 2001; Prasad et al., 2006; Jagadish et al., 2008; Tenorio et al., 2013; Shi et al., 2015; Huang et al., 2016). Although there is wide genetic variation in HDT tolerance across different rice germplasm accessions, only 5% of 455 rice germplasm showed some level of tolerance (Tenorio et al., 2013), indicating that there are relatively few potential donors of tolerance traits available among the genetic resources that could be used for developing cultivars tolerant to HDT. Moreover, most heat-tolerant germplasm in previous studies are traditional varieties with undesirable agronomic characteristics and they are grown in relatively narrow geographic niches, making it difficult to directly use them in breeding (Tenorio et al., 2013). Therefore, a more effective way is to use modern advanced breeding lines or cultivars. In this thesis, hybrids that are currently grown in major tropical and subtropical rice-growing areas were examined to assess the variation among these cultivars in response to high temperatures (HNT and HDT). HNT exposure covering the whole reproductive phase significantly decreased grain yield of all tested hybrids in the field, which was associated with a reduction in the number of spikelets  $m^{-2}$  and single-grain weight (Chapter 3). Besides, HDT during flowering lead to severe sterility in the field. In my study, HDT during flowering significantly increased spikelet sterility in tested hybrids. More importantly, hybrids had lower tolerance to HDT than the high-yielding inbred varieties (Chapter 6). My results are in agreement with previous studies evaluating heat tolerance of hybrid rice grown in China (Tong et al., 2008; Hu et al., 2012; Zhang et al., 2014; Fu et al., 2015). It is worth noting that hybrids having tolerance to HDT in the above studies and also in my study account for only a small portion of all tested hybrids. These findings, therefore, highlight the urgent need to address the serious damage in hybrids caused by HDT

or HNT and to develop heat-tolerant hybrids. Besides, the identification of genetic resources tolerant to HDT is well documented compared with the identification of genetic resources tolerant to HNT. HNT and HDT have different impacts on rice plants and different chains of processes leading to damage resulting from HNT and HDT have been addressed (Jagadish et al., 2015). Future studies should aim to identify novel donors that have tolerance to warm nights, in order to provide sufficient options to mitigate the impact of increasing night-time temperatures.

### **7.3 Differences in impact between high night-time temperature and high day-time temperature**

Global warming occurs asymmetrically, with a faster increase in the night-time than in the day-time temperature (Donat and Alexander, 2012). Also, more than just the increase in the average day-time temperature, it becomes increasingly frequent that short-term heat spikes coincide with flowering and/or grain filling for a couple of days (Wassmann et al., 2009). In my studies, seasonal HNT caused a significant decline in overall biomass, reduced non-structural carbohydrates in plants, and resulted in decreased number of spikelets  $m^{-2}$  and single-grain weight, ultimately resulting in yield losses (Chapters 2-4). HDT coinciding with flowering, however, induced a reduction in percentage of germinated pollen grains on the stigma, and resulted in spikelet sterility (Chapter 6). When HDT occurred during the early grain filling stage, processes involved in grain growth and development were affected, such as changes in grain filling dynamics and in activities of starch metabolism enzymes, resulting in much lower single-grain weight and increases in grain chalkiness (Chapter 5). In a recent meta-analysis, a dose-response analysis was conducted using 95 published data sets to differentiate HNT and HDT influences (Xiong et al., 2017). My results are in agreement with that meta-analysis, clearly indicating that the responses of rice plants to HDT and HNT differ, involving different chains of processes.

Seed set is determined by the successful flowering and fertilization processes, and also by successful early embryo development. For rice plants, the combined process of anther dehiscence, pollination, pollen germination, and pollen tube growth until it reaches the ovary usually takes about 30 to 80 minutes during the opening and closing of the flower during day-time (Cho, 1956). The subsequent fertilization typically occurs within 1.5 to 4.0 h after flower opening. These processes are definitely associated with day-time temperature rather than night-time temperature as they occur within the course of a day. As discussed earlier, day-

time temperatures above 35°C occurring even for one hour during flowering had a pronounced impact on flowering processes, and consequently spikelet fertility (Satake and Yoshida, 1978; Prasad et al., 2006; Jagadish et al., 2007, 2010a,b, 2011). However, the temperatures during the previous night can also induce changes in flowering dynamics in the following days, such as shifting the time of peak flowering, extending or shortening spikelet flowering duration and daily flowering duration per panicle, and lowering percentage of pollen germination (Mohammed and Tarpley, 2009a; Julia and Dingkuhn 2012; Coast et al., 2015). Such changes might partly be linked with spikelet sterility and reduce grain yield in the controlled-environment chamber studies with very high night-time temperatures. However, it has been proven in my field studies that HNT effects on seedset were less pronounced compared with HNT effects on other yield components and that these effects were season specific (Chapters 2-4). Moreover, regulation of cell division, endo-reduplication and cell expansion varies during day and night, for example, cell division is known to be stimulated by light in tomato (Okello et al., 2015), rendering day-temperature to be more important in determining early embryo and endosperm development than night-time temperature. Thus, compared with HNT, HDT had a more significant impact on flowering behavior, early embryo development, and therefore on seed set.

Similar to seedset, HDT had a greater influence than HNT on single-grain growth patterns for spikelets from the top portion of the panicle, whereas HNT interacted with HDT in determining single-grain weight (Chapter 5). Previous studies involving a single genotype in which night-time temperatures were extremely high (34°C and 35°C) together with relatively low day-time warming (34°C and 35°C) treatments, suggested HNT to have a larger negative impact on single-grain weight than HDT (Morita et al., 2005; Li et al., 2011; Coast et al., 2015). In contrast, day-time warming had greater effects on single-grain weight than night-time warming normalized by every 1°C warming (Rehmani et al., 2014), which is supported by my results. However, the negative influence of HNT on single-grain weight should not be underestimated at the whole plant level in the field (Chapters 2-4). In my studies, HDT induced changes in grain filling rate (decreases or slight increases in different genotypes) and substantially reduced growth duration; these effects were associated with changes in starch biosynthetic enzyme activity, ultimately resulting in a smaller pool of non-structural carbohydrates and lower single-grain weight (Chapter 5). However, HNT did not reduce single-grain weight and NSC content due to the dynamic compensation of higher grain filling rate and shortened grain filling duration in my study. Therefore, there were different findings in

terms of impact of HNT and HDT on grain growth and development. The underlying mechanism of how HDT and HNT cause lower grain weight in rice is still far from clear and requires further rigorous research.

High temperatures during grain filling impair biosynthesis and storage of starch, resulting in chalk formation. In my study, it was clearly determined that HDT had more significant impact on chalkiness than HNT (Chapter 5), which is in line with other studies (Dai et al., 2009; Li et al., 2011; Xiong et al., 2017). Occurrence of milky-white/white-cored chalk was substantially increased under HDT exposure, which was observed as loosely packed, irregular and small-sized starch granules (Chapter 5). In contrast, white-belly chalk, together with a quicker formation but normal shape of amyloplast build-up at the central part of the grains (polygonal shape of starch granules grouping into amyloplast without airspaces) were recorded with HNT (Chapter 5). Thus, these findings indicated different mechanisms involved in the response to HDT and HNT during grain filling.

### **7.4 Appropriate strategies to cope with increased temperatures**

Rice spikelets become sterile if high temperatures occur during flowering. The potential adaptation strategies in response to high temperature at this critical stage, i.e. flowering, include heat avoidance (panicle cooling by transpiration- Julia and Dingkuhn, 2013), heat escape (time of day of anthesis- early morning flowering; Ishimaru et al., 2010; Julia and Dingkuhn, 2012; Hirabayashi et al., 2014) and heat tolerance (through involvement of key genoto resilient reproductive processes - Jagadish et al., 2010b). Apart from these three strategies specifically raised for heat-induced sterility during flowering, some crop practices have also been highlighted to cope with yield loss under high temperature exposure.

#### **7.4.1 Heat avoidance**

Previous studies often use air temperature to explain variability in heat-stress induced spikelet sterility during flowering. However, recent studies have shown large differences between plant tissue temperature and air temperature, depending on the plants' transpiration cooling ability which is largely a function of prevailing temperature and relative humidity (Matsui et al., 2007; Lafarge et al., 2016). Tissue temperature is not considered in controlled-environment high temperature studies as these experiments are generally conducted at a targeted relative humidity. Hence, in my study (Chapter 6), spikelet tissue temperatures were measured across all genotypes and they were recorded to be close to air temperature, by following an

established experimental set-up (i.e. high day-time temperature and moderately high relative humidity) wherein vapor pressure deficit was maintained at low levels (Jagadish et al., 2010; Shi et al., 2015; Lafarge et al., 2016), indicating that transpiration cooling ability is strongly limited under high humidity conditions. Thus, a holistic approach to a detailed characterization of rice genotypes for true high-temperature tolerance in the controlled-environment chambers is to provide low vapor pressure deficit conditions to minimize evaporative cooling in plants (Bahuguna et al. 2015). Additionally, it has been reported that the tolerant genotype with higher spikelet fertility in my research had a relatively higher spikelet tissue temperature compared to a susceptible genotype (Julia and Dingkuhn 2013; Coast et al., 2015; Shi et al., 2015). My study also showed that spikelet fertility of the tolerant check, cv. N22, was much higher than that of other genotypes under high temperature, with no obvious difference in spikelet tissue temperature between N22 and other genotypes (Chapter 6). These data clearly indicate that tolerance of a genotype is not merely associated with avoiding the hot microclimate, but mostly due to genotypic tolerance to reproductive physiology, more than to its transpiration ability. Therefore, transpiration cooling ability, considered as a potentially effective adaptive trait for improving spikelet fertility at high temperature exposure (Weerakoon et al., 2008; Julia and Dingkuhn, 2012), might not work at high humidity. Besides, genotypic transpiration cooling ability is not equal to genotypic high-temperature tolerance, so transpiration cooling ability should be used with caution as a trait for phenotyping heat tolerance of large panels of genotypes.

#### **7.4.2 Heat escape**

Recent studies show that disturbance of the various physiological processes including anther dehiscence, pollination and pollen germination, taking place during the opening and after closing of the flower, are the primary causes for heat-induced spikelet sterility during flowering (Matsui et al., 2001; Jagadish et al., 2007). This is confirmed in Chapter 6, in which I also recorded a similar phenomenon of poor pollen germination ability strongly associated with sterility under high temperature in various rice genotypes. Moreover, the subsequent fertilization processes occurring within 1.5 to 4.0 h after flower opening (Cho 1956) was investigated in my thesis to specifically fill knowledge gaps in identifying the effect of high temperature on in vivo fertilization process. In Chapter 6, novel observations, having a series of snapshots of dynamic fertilization processes, demonstrated that poor pollen tube growth resulting in not reaching the viable embryo sac was the major limitation leading to spikelet

sterility under heat exposure. In other words, disturbances in the pre-fertilization phase were the primary causes for heat-induced spikelet sterility. Recently, the early-morning flowering trait from wild rice *Oryza officinalis* has been successfully incorporated into popular rice cultivars, advancing their flowering time during the a day to the cooler hours in the morning (Ishimaru et al., 2010; Hirabayashi et al., 2014). My observations have clearly demonstrated that introducing the early-morning flowering trait into rice plants is a good strategy. The peak of the heat-sensitive flowering period occurs in the relatively cool hours sooner after dawn and the later fertilization process, although taking place at high temperature around noon, is little affected. Moreover, the effectiveness of this trait in minimizing heat-induced sterility has been recently confirmed in field conditions (Bheemanahalli et al., 2017). Hence, with the predicted increasing temperatures, escaping heat stress by incorporating the early-morning flowering trait in breeding programs is a practical and effective strategy.

### 7.4.3 Heat tolerance

Adaptation to increased temperature could be improved if more heat-tolerant varieties are adopted (Jagadish et al., 2010b). After identification and breeding of the heat-tolerant lines by breeders, further studies should be focused on investigating the potential to improve rice, and especially heat-tolerance traits from breeding lines, which in turn, could assist in future breeding. Recently, an unidentified highly heat-tolerant *taustype*, N22 (Prasad et al., 2006; Jagadish et al., 2008), has been used as donor of tolerance into a widely grown cultivar, IR64, and the resultant heat tolerant near-isogenic line has been proven to increase spikelet fertility by 15% at 38°C compared to its susceptible parent IR64, during flowering (Ye et al., 2012, 2015). Hence in Chapters 5-6, I have included this known heat tolerant near-isogenic line to test its high-temperature tolerance. As expected, the tolerant IR64 near-isogenic line had consistently lower sterility than its susceptible parent IR64 under exposure to high temperature. More interestingly, this near-isogenic line which was developed to improve high temperature tolerance at flowering, had an extended positive impact on reducing the heat-stress effect during grain filling. It had the smallest reduction in seed set and single-grain weight under HDT, because of its maintained higher rate of grain filling and higher starch biosynthetic enzyme activities compared with its susceptible parent IR64. Thus, this heat-tolerant near-isogenic line can be used as an ideal source for further detailed molecular analysis to develop genetic markers for introducing sustained heat tolerance during grain filling. Hence, under future climate change scenarios, it is very important to exploit existing heat-

tolerant germplasm and to incorporate beneficial traits for developing cultivars with both superior high yield potential and adaptation to increasing temperatures.

#### **7.4.4 Nitrogen management**

Increasing nitrogen application helps alleviating the negative impact of high day-time temperature stress on grain yield or grain quality (Dai et al., 2009; Duan et al., 2013; Dou et al., 2017). When the crop was exposed to HDT, extra nitrogen application could contribute to increasing the photosynthetic rate of the flag leaf and the root oxidation activity, or to higher activities of the key enzymes involved in sucrose-to-starch metabolic pathways in the grains, and to reduce the yield loss ultimately (Duan et al., 2013). However, in Chapter 4, higher nitrogen application induced the same or even a higher yield loss than observed for the lower nitrogen application, indicating that the additional nitrogen fertilizer did not assist in minimizing yield loss under exposure to HNT. The discrepancy in the findings may result from the different kinds of temperature studies as HDT and HNT induced different chains of physiological processes leading to damage to rice growth as I discussed in Section 7.4. Additional application of nitrogen, although leading to increased assimilate production, could cause higher respiration loss (Swain et al., 2000; Peraudeau et al., 2014). However, this respiratory loss is less important when high temperature is imposed during the day, as photosynthesis enhancement by nitrogen may be expressed to a greater extent under high day-time temperature provided that the day-time temperature is not too high. Thus, the potential of using nitrogen management to alleviate high-temperature stress requires further critical assessment. Perhaps, instead of merely increasing the total amount of nitrogen applied, systematically changing the timing and amount of applied nitrogen could be further investigated. Such an approach could allow to explore the possibility of minimizing HNT induced rice yield losses under field conditions by proper nitrogen management.

#### **7.5 Further research questions and future perspectives**

Solutions to overcome current challenges faced with increasing temperature-induced yield losses have been proposed for rice. But changes in ambient temperature may be sensed via a complex network involving different parts of the plant, and examining the complex network underlying lower yield and poor quality continues to be a major challenge. Although my studies have contributed to fill in knowledge gaps on both how key physiological processes and the observed yields and quality are affected by high temperatures occurring particularly

during the reproductive stage, there are more questions raised from my research that remain to be answered from more research efforts. These include the following areas.

- 1) With more emphasis laid on addressing HNT impacts on rice, caution needs to be exercised in imposing the proper levels of stress and targeting traits that can overcome the damage under realistic field conditions. From my field experiments, I have noticed different mechanisms underlying the yield loss under HNT exposure when compared with the findings from controlled-environment studies. There is an urgent need to identify and validate a critical temperature threshold to facilitate thorough investigations of HNT-induced rice yield and grain quality losses under field conditions. Moreover, I found that the reduction of yield under HNT was different during the two seasons, indicating that other environmental factors under field conditions may interact with HNT in influencing yield, such as solar radiation and relative humidity. Although the interaction between HNT and other climate factors is not clearly investigated, all of these factors are of importance to be considered in future high-temperature studies (Julia and Dingkuhn, 2012; Matsui et al., 2014).
- 2) The critical role of HNT in reducing the number of spikelets  $m^{-2}$  is not systematically investigated in my study, although the sensitivities appear to vary among varieties. Detailed observations to ascertain the cause of damage during the early reproductive phase are needed, especially for high-yielding hybrid rice with a large sink size that contributes to the high yield advantage.
- 3) Day-time and night-time temperature increases have been documented to potentially affect rice plant differently. In previous studies, the effects of higher day-time and night-time temperatures are scarcely investigated in combination. In this thesis, however, the efforts to explore these differential influences were undertaken during flowering and during grain filling, but in a controlled environment only (Chapters 5-6). In future studies, more field experiments are required to investigate the differential effects of HNT and HDT.
- 4) Heat tolerance varies among different genotypes. At the same time, the impacts of high-temperature stress on growth, development, grain yield, grain quality as well as various physiological functions may involve a complex network. Elucidating this complexity would need a mechanistic understanding of these affected processes and an incorporation of this understanding into robust crop models. Such understanding and modeling would help to quantify the effects of high temperatures under a range of

environments and genotypes, and also help to identify traits that can potentially be improved to obtain higher and more stable crop yields in stressful environments.

## **7.6 Concluding remarks**

Global warming, including warmer nights and extreme heat spikes, reduces rice grain yield and quality. Thus, it aroused much more attention than ever. However, some critical questions have not been fully answered; to that end, my thesis attempts to fill in the knowledge gap to address them. I investigated the responses of rice plants to increased night-time temperatures in the field, suggesting reduction in the number of spikelet  $m^{-2}$  and grain weight were closely associated with yield loss under HNT in the field. In view of the increasing area of hybrid rice production, in particular in South and Southeast Asia, my studies investigated the degree of tolerance among tropical and subtropical hybrids of rice in response to increased temperatures. The results showed the lack of tolerance to high temperatures in hybrid rice, suggesting the urgent need to improve heat tolerance for hybrid rice in order to cope with future warming scenarios. Moreover, the combined HNT and HDT studies provided a better understanding of the differential mechanisms underlying rice flowering and grain development under either HNT or HDT. The integrative physiological studies described in this thesis also illustrated diverse capacities in high-temperature adaptation in rice and provided effective mechanisms or traits that can be exploited to improve heat tolerance in rice.



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## Summary

The vulnerability of rice productivity to frequent high maximum day-time temperature and the relative more increase in night-time temperature compared with the day-time temperature in rice growing area across South and Southeast Asia have been mapped. Moreover, the increase in both day- and night-time temperatures is projected to continue, potentially leading to significant reductions in yield. Hence, major efforts are needed to sustain rice production under a rapidly warming climate. Physiological responses of rice to high temperatures, including high day-time temperature (HDT) and high night-time temperature (HNT) need to be explored, to unravel mechanisms and traits that can be exploited to improve heat tolerance in rice.

The specific objectives of this thesis are to address the following questions: (1) what are the impacts of HNT in the field? (2) how tolerant is (sub)tropical, hybrid rice to increased temperatures? (3) what are the mechanisms behind the differences in impact between HDT and HNT? and (4) what are the appropriate strategies that can be used to cope with increased temperatures?

To understand rice responses to HNT, most previous studies were conducted as pot experiments in controlled-environment chambers and involved exposure to very high night-time temperatures. Field-level information about the impact of HNT on rice is very limited. In my thesis, therefore, independent HNT studies were conducted under field conditions to systematically analyse HNT responses. In **Chapter 2**, two genotypes having contrasting responses were exposed to HNT during the entire reproductive period. Compared to that in the tolerant check N22, the grain yield reduction in the susceptible genotype Gharib was due to the significant reduction in grain weight, resulting from decreases in nitrogen and non-structural carbohydrate translocation after flowering, and from reduced grain-filling rate and duration. Combined increase in heat shock proteins, Ca signalling proteins, and efficient protein modification and repair mechanisms (particularly at the early grain-filling stage) enhanced the tolerance of N22 for HNT.

Chapter 2 demonstrated that the known heat-induced reduction in grain weight under HNT exposure was the major determinant for yield reduction under field conditions, a finding which was different from the previous results based on controlled-environment experiments. It also showed a necessity to analyse how diverse the temperature effects are if more genotypes are studied. In **Chapter 3**, I presented the first effort to explore the degree of

tolerance among six promising tropical rice hybrids in response to HNT together with the two genotypes with lower yield potential used in the previous chapter. Overall, HNT significantly decreased grain yield of the susceptible check Gharib and all tested hybrids across two growth seasons, with less average reduction in the dry season than in the wet season. The latter suggests that other environmental factors under field conditions may also contribute to HNT impacts on yield. Among yield components, the variation in number of spikelets  $m^{-2}$  most significantly contributed to yield variation under control and/or HNT followed by variation in grain weight, while the contribution of the percentage of seed-set was small and season-specific. In most hybrids, grain quality was also strongly affected by HNT, with decreased head rice yield and increased chalkiness. Thus, the combination of decreased number of spikelets  $m^{-2}$  and individual grain weight largely contributed to the decline in grain yield under HNT exposure in the field compared with the percentage seed set. This chapter also indicated that tropical and subtropical hybrid rice is generally highly vulnerable to HNT.

Both assimilate production (source) and assimilate accumulation (sink) are associated with yield of cereal crops. Besides, there have been reports that increasing nitrogen application can alleviate the negative impact of HDT on yield in rice. However, little is known about the interactive effect of HNT and nitrogen supply on rice grain yield and its underlying source-sink relationships. In **Chapter 4**, a novel model approach was proposed to quantify source-sink relationships for rice genotypes grown under HNT and different nitrogen regimes. HNT significantly decreased grain yield of the susceptible check Gharib at both nitrogen levels and in both dry and wet seasons, while grain yield of cultivar PSBRc4 was significantly reduced by HNT at the higher nitrogen level only, suggesting that increased total nitrogen fertilizer supply did not alleviate the adverse effects of HNT on rice yield. Moreover, the model showed that there were significant differences among cultivars in grain-filling duration, grain-filling rate and total sink size, resulting from their diverse source-sink relationships in response to HNT.

HNT consistently reduced grain weight in the previous chapters. To this end, my studies focused on the important factors limiting grain growth and development during grain-filling. In addition, more frequent high day-time temperature has been documented to coincide with the grain-filling stage, causing substantial yield loss in many rice-producing regions. In **Chapter 5**, I investigated the impact of independent HNT, HDT and a combination of HNT and HDT (HNDT) on grain-filling. At the single organ level (superior spikelets),

compensation between grain-filling rate and duration minimized the HNT impact, but irreversible impacts on seed-set, grain-filling and ultimately grain weight were recorded with HDT and HNNT. Changes in the enzymatic activity did not derail starch accumulation under HNT when assimilates were sufficiently available, while both sucrose supply and the conversion of sucrose into starch were affected by HDT and HNNT. Irregular and smaller-sized starch granule formation causing the presence of milky-white and white-core chalkiness were observed with HDT and HNNT exposure, while a normal amyloplast build-up and less chalkiness were recorded with HNT. The findings in this chapter indicate differential mechanisms leading to yield loss and poor grain quality from HNT and HDT.

In previous chapters, I explored the high susceptibility among promising tropical and subtropical hybrid rice in response to HNT. The sensitivity of hybrids to increasing temperatures during flowering, and physiological responses in terms of dynamic fertilization processes are unknown. To address these issues, several promising hybrids and inbreds were exposed to HDT and/or HNT and physiological investigation was conducted on the *in vivo* fertilization processes in **Chapter 6**. HDT significantly induced spikelet sterility in tested hybrids and hybrids had higher heat susceptibility than the high-yielding inbred varieties. The day-time temperature exerted a greater influence on spikelet fertility than night-time temperature. Besides, novel observations involving a series of snapshots on dynamic fertilization processes demonstrated that the pollen tube not reaching the viable embryo sac was the major limitation leading to spikelet sterility under heat exposure. These findings highlight the urgent need to improve high-temperature tolerance in hybrids and demonstrate the importance of exploring early-morning flowering as a potential trait to mitigate the impact of heat stress at flowering.

In conclusion, yield reduction under HNT in the field condition was mainly associated with the number of spikelet  $m^{-2}$  and individual grain weight. The selected promising tropical and subtropical hybrids were highly susceptible to both HDT and HNT, suggesting the urgent need to improve the tolerance of rice hybrids to increasingly warmer climates. Impact of HNT and HDT either at flowering or during grain-filling in rice was different; more research needs to be undertaken to investigate the mechanisms that drive this difference. Increased total nitrogen supply did not alleviate the HNT effect in the field. However, introducing the early-morning flowering trait into rice plants could be a good strategy because the most sensitive

## *Summary*

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period of the plants would be in the relatively cool morning hours and the later fertilization process, though taking place at high temperature around noon, would be little affected.

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## List of Publications

- Jagadish SVK, Craufurd P, **Shi W**, Oane W. (2014) A phenotypic marker for quantifying heat stress impact during microsporogenesis in rice (*Oryza sativa* L.). *Functional Plant Biology* 41, 48–55.
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## PE&RC Training and Education Statement

With the training and education activities listed below the PhD candidate has complied with the requirements set by the C.T. de Wit Graduate School for Production Ecology and Resource Conservation (PE&RC) which comprises of a minimum total of 32 ECTS (= 22 weeks of activities)



### Review of literature (6 ECTS)

- Mechanistic insights into the effects of higher temperature on rice

### Writing of project proposal (4.5 ECTS)

- Physiological and molecular characterization of rice with contrasting response to heat stress

### Post-graduate courses (3.6 ECTS)

- ORYZA training program for beginners; International Rice Research Institute (IRRI), Philippines (2014)
- Experimental design and data analysis course; International Rice Research Institute (IRRI), Philippines (2015)
- Mixed linear models; PE&RC (2017)

### Laboratory training and working visits (3 ECTS)

- Laser scanning microscopy practice; South China Agricultural University, China (2015)

### Invited review of (unpublished) journal manuscript (1 ECTS)

- Field Crops Research: Transgenic *Bt* (Cry1Ab/Ac) rice lines with different genetic backgrounds exhibit superior field performance under pesticide-free environment (2015)

### Competence strengthening / skills courses (2.7 ECTS)

- Research data management; IRRI, Philippines
- Effective presentation skills workshop; IRRI, Philippines
- Scientific writing workshop; IRRI, Philippines

### PE&RC Annual meetings, seminars and the PE&RC weekend (0.6 ECTS)

- PE&RC Last year's weekend

### Discussion groups / local seminars / other scientific meetings (6 ECTS)

- CESD Division seminars; IRRI, Philippines (2014-2016)
- IRRI Thursday seminars (2014-2016)

### International symposia, workshops and conferences (6.6 ECTS)

- 4<sup>th</sup> International Rice Congress; Bangkok, Thailand (2014)
- The "Growing rice like wheat" workshop at IRRI (2015)
- Chinese Redbud Innovation forum for International Young Talents; Guangzhou, China (2017)



## Curriculum vitae

Wanju Shi was born on July 28, 1987 in Dali, Yunnan Province, China. In June 2010, she obtained her BSc degree in Agronomy from the Hunan Agricultural University, China. As she received awards for best academic results during the BSc degree, she received free admittance to enrol as an MSc student in the same university. During her first year of the MSc study, she was supported by International Rice Research Institute (IRRI) in Philippines and joined the Crop Physiology group as a thesis scholar from October 2010 onwards. In June 2013, she completed her Master degree and was awarded the best masters' thesis. After October 2013, she started her PhD study at the Centre for Crop Systems Analysis of Wageningen University & Research, in collaboration with IRRI where she conducted her experiments. During her PhD program, she was awarded “Young Rice Scientist” during the 4<sup>th</sup> International Rice Congress in Bangkok in 2014.



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